NEW PATTERNS IN GENETICS AND DEVELOPMENT

C. H. WADDINGTON

New Patterns in Genetics and Development

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New Patterns in

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Genetics and Development

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Preface

*HIS book is based on a set of six Jesup Lectures, given at Columbia THIS book is based on a set of six sesup factories, given University during April and May, 1961. An invitation to contribute to a series as well known as this faces its recipient with a somewhat daunting challenge. He is, I suppose, expected to produce something new; something, moreover, which falls a bit outside the regular wellcharted paths of scientific advance of which everyone is already fully aware; and finally, something of his own. My attempt to meet this challenge takes the form of a discussion of two problems, one rather new and one very old. The new problem is the impact of the recent great advances in genetics on our understanding of the development of multicellular organisms. This subject has often been touched on by geneticists but has received less attention from authors who are fully conversant with the embryological material. The old problem is the ancient conundrum of morphogenesis—the appearance of organized structure within a vast range of sizes from the cellular organelle to the elephant. Presentday biology, which is dominated by the enormous successes of biochemistry, has tended to neglect these structures which are too large to be handled by biochemical methods; but they still confront us as one of the most insistent and least understood characteristics of living things. Some may feel that the sensible thing to do at present about these structures is to leave them alone in hope that the progress of biochemistry will eventually throw up some new clue; but to others, including myself, they have the attraction of a real frontier, a region where one is not just trying to fill in an already existing sketch map, but where one has to try to figure out the bare bones of the geography from scratch.

A short set of lectures such as this cannot, of course, attempt to be comprehensive in the treatment even of the topics chosen for discussion, and the desirability of describing some of the work of my own laboratory has led to what would have been an even greater imbalance if I had set out to give a general survey of modern embryology. I have, for instance, consciously left out many important topics on which the main recent contributions have been made by American biologists, since these are matters which scarcely need exposition by a visitor.

There is today in America a great flowering of developmental cell biology as well as of genetics. To an outsider it is perhaps surprising that there is not more contact between the two fields in this country; but I should not like my friends in either camp to feel that the omission from this short series of lectures of many of the topics nearest their hearts is due to any lack of appreciation on my part of the magnificent advances which are being made.

New York, Middletown, and Edinburgh March-August, 1961

C. H. WADDINGTON

Acknowledgments

DURING the preparation of these lectures, I had the good fortune to be invited to become a Fellow of the Institue for Advanced Studies at Wesleyan University, Middletown, Connecticut. There I was provided with ideal conditions for thinking and writing, without which it would have been difficult indeed to step back from day-to-day preoccupations and take a look at the problem of development as a whole, as I have tried to do. To the Director of that Center, Dr. Sigmund Neumann, and to the committee who organize the Jesup Lectures, I can only offer my deepest thanks for all the kindnesses and considerations they have shown me.

Authors, editors, and publishers have been generous in giving me permission to reproduce certain drawings, and I should like to express my gratitude to all of them. The name of the author is under each drawing, and the complete reference to his work is given in Works Cited at the end of the book. For the use of the figures, I have been granted permission by the following: Acta Scientifica Fennica; Australian Journal of Biological Sciences; Birkhauser Verlag (Basel), Experientia; Company of Biologists (Cambridge), Journal of Experimental Biology; Elsevier Publishing Co. (Amsterdam), Frey-Wyssling, 1948; Faraday Society; Genetics; Journal of Biophysical and Biochemical Cytology; Masson et Cie (Paris), Archives d'Anatomie Microscopique; National Academy of Sciences (Washington), Proceedings of the National Academy of Sciences; Pergamon Press (Oxford), Waddington, 1959; Ronald Press (New York), Wettstein, 1959; The Royal Society (London), Proceedings of The Royal Society (London) B. and Philosophical Transactions of The Royal Society of London (B.); Springer Verlag (Heidelberg), Zeitschrift für Vererbungslehre, Chromosoma, and Die Naturwissenschaften, Kuhn, 1955.

Plates

77 - 11			111	٩
FOL	lowing	page	112	2
		0		

- I Ultrastructure of early newt neurula cell
- II Changes in intercellular contacts during development of newt notochord
- III First-type ergastoplasm in newt notochord cells
- IV Second-type ergastoplasm
- v Arrangement of particles in ergastoplasmic lamellae
- VI Changing sites of amino acid incorporation in developing newt embryos
- VII Nucleolar syntheses
- VIII Nuclear developments in nurse cells of Drosophila ovaries
 - IX Cytoplasmic structures in Drosophila egg
 - x Annulated lamellar stack
 - XI Annuli in nuclear envelope and in stack
- XII Myelin forms in early stages of growth
- XIII Myelin forms in late stages of growth
- XIV Nucleus of Micrasterias in adult and in division
- xv Reforming nuclear envelope in Micrasterias and newt
- XVI Newt ergastoplasm in vivo in neurulation and membrane knots
- XVII Early stage of rhabdomere development in the Drosophila eye
- XVIII Rhabdomere formation in ergastoplasm and nuclear envelope
 - XIX Ergastoplasm and nuclear envelope in retinulae and cone cells
 - xx Retinula formation in later stages
 - XXI Group of ommatidia
- XXII Ommatidia in mutant eyes
- XXIII Further eye mutants
- xxiv Further eye mutants

Contents

Preface	vii
Acknowledgments	ix
 The Production of New Substances The Nature of Developmental Processes 3 The Activities of Genes The Control of Gene Activity 14 The Cytoplasmic Elaboration of Substances 36 Conclusion 42 	
 Kinetic Organization and Cellular Ultrastructure Toward a Mathematical Theory of Epigenesis 45 Cytological Structures 51 Autoradiography of Developing Cells 78 The Significance of Ultrastructure 82 	
 Types of Morphogenetic Process Unit-Generated Forms 90 Instruction-Generated Forms 118 Template-Generated Forms 119 Condition-Generated Forms 123 Conclusion 133 	
4. Morphogenesis in Single Cells Conclusion 161	135
 Multicellular Morphogenesis In Small Groups of Cells 162 In Tissues 177 Conclusion 197 	162
 Biological Patterns All-Over Patterns or Textures 199 Area or Volume Patterns 20 Spot Patterns 215 Conclusion 233 	199
Epilogue	235
Works Cited	243
Index	257

Figures

1	Epigenetic action system of cell	7
	Jacob and Monod's repressor-operator system	20
	Cascade repression	24
	Possible evocator effects on gene-action systems	27
	Pathways of histidine synthesis in Neurospora crassa	38
	Complementation between alleles at locus histidine-1	39
	Diagram and interpretation of simple complementation series	
/ •	of three alleles	40
8.	Four types of band behavior in Rhynchosciara salivary	
	chromosomes	52
9.	Banding pattern in chromosome II R of Drosophila bucksii	53
	Puff changes in chromosome III L of Drosophila melano-	
	gaster	55
11.	Small parts of some lampbrush chromosomes of crested newt	57
	Diagram of loop formation in newt lampbrush chromosomes	59
	Diagram of main ultrastructural changes in early development	
	of urodele notochord	70
14.	Development of retinulae and cone cells in Drosophila eye	74
	Protein molecules	93
16.	Formation of ordered aggregates of collagen	96
	Diagrams of tropocollagen macromolecular packing in native	
	type and segment long-spacing arrangement	97
18.	Cross-linked arrangement of fibers found in preparations of	- 1
7	tropomyosin stained with phosphotungstic acid	99
19.	Structure of flagellum and basal body in flagellate Pseudo-	-
	trychonmypha	100
20.	Sections through sheets and sheet-generated structures	106
	man man mine prince perfect permetting	100

	FIGURES	xiii
21.	Structure of grana and intergrana regions of chloroplast	108
22.	Chloroplast development in higher plants	110
23.	Submicroscopic structure of myelin forms	114
24.	Two types of lipoprotein film	116
	Growth of zigzag myelin figure	117
	Condition-generated pattern	125
	Turing's patterns	127
28.	Pattern with hairs at corners	129
29.	Cell architecture in scolopale organ of locust ear	136
	Complex cell structures	137
	Anchor and plate in Leptosynapta	144
	Two arrangements of anchor and plate during development	145
	Development of isolated plates	146
	Malformations of anchor and consequential rearrangements	
	of plate in several species of Holothurians	146
35.	Stages in division of Micrasterias	149
36.	Division of binucleate double cell of Micrasterias	150
37.	Development of asymmetrical half-cells	151
38.	Guyot-Bjerknes force	157
39.	Transformation of Naegleria from an amoeboid to a flagellate	
	form	158
40.	Stages in reaggregation of amphibian blastula cells traced	
	from a time-lapse film	164
41.	Arrangement of disaggregated cells after sorting out	167
	Relation between surface packing and various types of ad-	
	hesiveness (or viscosity)	170
43.	Possible mechanisms of anteroposterior elongation of gastru-	
	lating presumptive mesoderm in amphibia	176
44.	Shapes of nuclei in precartilage cells	178
45.	Transformation of precartilage nuclei shapes in movement	
	from central axis	179
46.	Development of bones	181
47.	Results of adding material to the developing chick limb-bud	186
	Illustration of evolutionary changes in morphology of avian	
	leg skeleton caused by changes in proportion of presumptive	
	material in various bones	186

49.	Modifications of basic pentadactyl pattern in evolution	188
50.	Organs formed by fusion of two anterior leg imaginal-buds in	
	Drosophila	189
51.	Amphibian head skeletons	191
52.	External structures on amphibian heads	192
53.	Effects of grafting larval (5th instar) skin in Rhodnius on	
	cuticular pattern on adult abdomen	200
54.	Hypothesis of skin grafting effects in Rhodnius	201
55.	Patterns on shells of Theodoxus fluviatilis	202
56.	Patterns in wings of Plodia interpunctella	204
57.	Reduction of patterns	206
58.	Secondary elaboration of pattern	207
59.	Tarsal segmentation in wild-type and mutants of Drosophila	
	melanogaster causing four jointed legs	208
60.	Tarsal segmentation in mutants and double homozygotes	
	which disrupt pattern	209
61.	Local specificity in effect of segmentation genes	210
62.	Disrupted segmentation	211
63.	Effect of various genes on tarsal segmentation	213
64.	Head and thorax of dachsous combgap (left) and dachsous	
	combgap four jointed (right) fly	215
65.	Group of wool follicles in transverse section	217
66.	Hair patterns on metathoracic mesothorax in flies of assimi-	
	lated bithorax stock	220
67.	Hypothetical operation of bithorax alleles	223
68.	Smoothing out of abnormal pattern	224
69.	Results of selection for bristle number in populations segre-	
	gating for scute in Drosophila	228
70.	Vibrissae in the mouse	230
71.	Canalization of hair number	231
72.	Location of ocelli and associated bristles in wild-type Droso-	
	phila	232

1. The Production of New Substances

HE title, New Patterns in Genetics and Development, which I have chosen for the series of lectures on which this book is based, is ambiguous. It implies, in the first place, that we shall be discussing the problem of form and the appearance of definitely shaped masses of tissue arranged in recognizable patterns, which is one of the most striking and at the same time enigmatic phenomena with which the biologist is confronted. But the arising of orderly forms is only one aspect, and one of the most complex aspects, of the whole process of development. It is usually an accompaniment, and often apparently a consequence of changes in the material constitution of the various regions of a developing system. One can hardly discuss new patterns without devoting a good deal of attention to new substances. And here the other interpretation of our ambiguous title becomes relevant. In the last few years, advances in other fields of general biology, particularly in microbiological genetics and in the ultrastructural biology of adult tissues, have given rise to many new patterns of thought which are applicable, in greater or lesser degree, to the problems of development. The aim of this book is to consider the origin of new patterns of structure in developing cells and tissues in the light of these new patterns of thought.

The changes undergone by developing systems are often spoken of as "differentiation." This is a portmanteau term, and confusion often arises from failure to distinguish the several different types of change which are all included within it; a statement, which may be quite true when one of these is meant, may be nonsense if the word is interpreted in one of its other senses. Differentiation, of course, is always concerned with the differences between two entities, but the entities may be of many dif-

ferent types. The two major categories are differences between two temporal states of the same entity and the differences between two spatially distinct but contemporaneous entities. We find, for instance, that a given region of an embryo changes from gastrula ectoderm through neural plate to neural tube, and finally, perhaps, to the brain. This is a series of alterations in time; and as the name for this general type of phenomenon, I shall use the word "histogenesis." However, we shall find that while one region of the gastrula ectoderm is changing in this way, another region will develop into neurula epidermis and then into the lens of the eye. The difference between the brain and the lens is a difference between two spatially separate parts. For the arising of such differences, I shall use the expression "regionalization."

The differences which arise during histogenesis and regionalization are, to some extent, differences in chemical composition, which could be ascertained in homogenized samples taken from the various stages and regions. But this is by no means the whole of the story. The embryologist is confronted not simply by chemical substances, but by a whole hierarchy of more complex organized entities, such as subcellular organelles, cells, tissues, and organs, in each of which the material substance has some relatively definite spatial arrangement. We need to agree on some terminology in which these spatial factors can be discussed. I propose using the two well-known terms "morphogenesis" and "pattern formation." I shall use morphogenesis when we are concerned with the assumption of a definite shape by a mass of material which we are treating as being homogeneous, that is to say, without separately distinguished parts. When, for instance, the neural plate rolls up into a neural tube, or when a mass of cartilage molds itself into a femur, we can regard these developments as processes of morphogenesis.

They are, in general, only *interesting* examples of morphogenesis in so far as the shapes are definite, that is to say, precisely, or nearly precisely, repeatable in different instances of the same developing system.

I shall use pattern formation for processes in which we wish to distinguish different spatial parts within the developing system and to discuss their geometrical relations. If, for instance, a mass of cartilage in an embryonic limb develops into a number of condensations, and if we wish to consider the relations between the distal and proximal skeletal ele-

ments, or the number and arrangement of the digits, then we shall be dealing with examples of pattern formation.

The application of these terms—histogenesis, regionalization, morphogenesis, and pattern formation—overlap to some extent. This is inevitable because the terms are required to discuss various aspects of what is essentially a unified process: that of embryonic development. The best instrument of thought we can hope for, at least at the beginning of such a discussion, is not a set of rigidly defined and exclusive terms according to which we have decided in advance the phenomena should be analyzed, but rather a relatively flexible terminology that uses words each of which emphasizes a particular aspect of the subject without totally excluding the other aspects.

The Nature of Developmental Processes

The first step toward a discussion of these processes should be to decide, if possible, on the nature of the basic concepts in terms of which an adequate framework for our thoughts can be formulated. Chemists conduct their arguments in a vocabulary based on the concepts of atom, electron, and quantum; geneticists find their foundation in the mutationsite and the gene. What are the corresponding fundamental concepts in embryology? A reading of what one might call the classic books on modern theories of development—such as Spemann's Embryonic Development and Induction (1938), Weiss's Principles of Development (1939), Lehmann's Einführung in die physiologische Embryologie (1945)-reveals a discussion couched in terms, such as induction, determination, self-differentiation, competence, regulation, individuation. These are essentially operational terms. They describe, with more or less precision, the behaviors of various types of cells or tissue fragments as they have been revealed by experimentation. It is well known, of course, that there are several difficulties in the exact definition of the various terms; but these are probably no more, or no less, damaging to their usefulness than are the similar complications involved in the meticulous use of other generally accepted and operationally defined physiological terms, such as vitamins, hormones, metabolism. Within their legitimate sphere, the classic embryological terms are capable of doing good service. As all

operationally defined terms, they are useful for describing the results of experiments, but are feeble guides, or perhaps even deceptive ones, to the nature of the underlying elements whose properties bring about the processes which the experiments discovered.

What should we take those underlying elements to be? There are, surely, two major clues. In the first place, we know that genes determine the specific nature of many chemical substances, cell types, and organ configurations; and we have every reason to believe that they ultimately control all of them. But, in the second place, the fact that regionalization occurs in the development of nearly all organisms—that in all living things more complex than bacteria (and perhaps even in them also) there are different regions each with its own characteristic specificity—shows that something more than the genes must be involved. This regionalization can usually be traced back to the presence of a number of different types of cytoplasm in the body of the cell from which development starts. Thus, the underlying elements to whose properties we have to look for a penetrating theory of development must be genes interacting with particular types of cytoplasm.

Theories of development based on the interactions of nucleus and cytoplasm go back at least to the days of Boveri. It is only recently, however, that theoretical schemes have been worked out in terms of genes, which we now hold to be the main determinants within the nucleus. In the classic embryological treatises, such as the books mentioned previously, the word "gene" scarcely occurs; and even in such a recent book as the compendious Analysis of Development (1955) edited by Willier, Weiss, and Hamburger, the single chapter devoted to genes makes up less than 3 percent of the work. In the 1920s and 1930s, some geneticists -Goldschmidt, Muller, Bridges, Haldane, Garrod, and others-began to be interested in the relation between individual genes and the substances whose specificities they determine, a line of work which has since made such enormous progress. But it was not, I think, until the appearance of my own book Organisers and Genes in 1940 that a serious attempt was made to envisage the standard embryological concepts such as competence, induction, determination, in terms of the interactions between groups of genes and their cytoplasmic surroundings. Although among embryologists this interpretation of these concepts is still not generally accepted-at least not in their practice as indicated in books such