Mark M. Wilde

# Quantum Information Theory

# **Quantum Information Theory**

MARK M. WILDE McGill University, Montréal

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#### **Quantum Information Theory**

Finally, here is a modern, self-contained text on quantum information theory suitable for graduate-level courses. Developing the subject "from the ground up," it covers classical results as well as major advances of the past decade.

Beginning with an extensive overview of classical information theory suitable for the non-expert, the author then turns his attention to quantum mechanics for quantum information theory, and the important protocols of teleportation, super-dense coding, and entanglement distribution. He develops all of the tools necessary for understanding important results in quantum information theory, including capacity theorems for classical, entanglement-assisted, private, and quantum communication. The book also covers important recent developments such as superadditivity of private, coherent, and Holevo information, and the superactivation of quantum capacity.

This book will be warmly welcomed by the upcoming generation of quantum information theorists and by the already established community of classical information theorists.

MARK M. WILDE is currently a Lecturer in the School of Computer Science at McGill University, Montréal and will begin in August 2013 as an Assistant Professor with a joint appointment in the Department of Physics and Astronomy and the Center for Computation and Technology at Louisiana State University, Baton Rouge.

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### How To Use This Book

#### For Students

Prerequisites for understanding the content in this book are a solid background in probability theory and linear algebra. If you are new to information theory, then there is enough background in this book to get you up to speed (Chapters 2, 10, 12, and 13). Though, classics on information theory such as Cover and Thomas (1991) and MacKay (2003) could be helpful as a reference. If you are new to quantum mechanics, then there should be enough material in this book (Part II) to give you the background necessary for understanding quantum Shannon theory. The book of Nielsen and Chuang (sometimes known as "Mike and Ike") has become the standard starting point for students in quantum information science and might be helpful as well (Nielsen & Chuang, 2000). Some of the content in that book is available in Nielsen's dissertation (Nielsen, 1998). If you are familiar with Shannon's information theory (at the level of Cover and Thomas (1991), for example), then this book should be a helpful entry point into the field of quantum Shannon theory. We build on intuition developed classically to help in establishing schemes for communication over quantum channels. If you are familiar with quantum mechanics, it might still be worthwhile to review Part II because some content there might not be part of a standard course on quantum mechanics.

The aim of this book is to develop "from the ground up" many of the major, exciting, pre- and post-millennium developments in the general area of study known as quantum Shannon theory. As such, we spend a significant amount of time on quantum mechanics for quantum information theory (Part II), we give a careful study of the important unit protocols of teleportation, superdense coding, and entanglement distribution (Part III), and we develop many of the tools necessary for understanding information transmission or compression (Part IV). Parts V and VI are the culmination of this book, where all of the tools developed come into play for understanding many of the important results in quantum Shannon theory.

#### For Instructors

This book could be useful for self-learning or as a reference, but one of the main goals is for it to be employed as an instructional aid for the classroom. To aid instructors in designing a course to suit their own needs, a preprint version of this book is available from <a href="http://arxiv.org/abs/1106.1445">http://arxiv.org/abs/1106.1445</a> under a Creative Commons Attribution-NonCommercial-ShareAlike license. This means that you can modify and redistribute the preprint version of this book as you wish, as long as you attribute the author, you do not use it for commercial purposes, and you share a modification or derivative work under the same license (see <a href="http://creativecommons.org/licenses/by-nc-sa/3.0/">http://creativecommons.org/licenses/by-nc-sa/3.0/</a> for a readable summary of the terms of the license). These requirements can be waived if you obtain permission from the present author. By releasing the preprint version of this book under this license, I expect and encourage instructors to modify it for their own needs. This will allow for the addition of new exercises, new developments in the theory, and the latest open problems. It might also be a helpful starting point for a book on a related topic, such as network quantum Shannon theory.

I used an earlier version of this book in a one-semester course on quantum Shannon theory at McGill University during Winter semester 2011 (in many parts of the USA, this semester is typically called "Spring semester"). We almost went through the entire book, but it might also be possible to spread the content over two semesters instead. Here is the order in which we proceeded:

- 1. Introduction in Part I.
- 2. Quantum mechanics in Part II.
- 3. Unit protocols in Part III.
- 4. Chapter 9 on distance measures, Chapter 10 on classical information and entropy, and Chapter 11 on quantum information and entropy.
- 5. The first part of Chapter 13 on classical typicality and Shannon compression.
- 6. The first part of Chapter 14 on quantum typicality.
- 7. Chapter 17 on Schumacher compression.
- 8. Back to Chapters 13 and 14 for the method of types.
- 9. Chapter 18 on entanglement concentration.
- 10. Chapter 19 on classical communication.
- 11. Chapter 20 on entanglement-assisted classical communication.
- 12. The final explosion of results in Chapter 21 (one of which is a route to proving the achievability part of the quantum capacity theorem).

The above order is just a particular order that suited the needs for the class at McGill, but other orders are of course possible. One could sacrifice the last part of Part III on the unit resource capacity region if there is no desire to cover the quantum dynamic capacity theorem. One could also focus on going from classical communication to private classical communication to quantum communication in order to develop some more intuition behind the quantum capacity theorem.

#### Other Sources

There are many other sources to obtain a background in quantum Shannon theory. The standard reference has become the book of Nielsen and Chuang (2000), but it does not feature any of the post-millennium results in quantum Shannon theory. Other books that cover some aspects of quantum Shannon theory are Hayashi (2006) and Holevo (2002a). Patrick Hayden has had a significant hand as a collaborative guide for many PhD and Masters' theses in quantum Shannon theory, during his time as a postdoctoral fellow at the California Institute of Technology and as a professor at McGill University. These include the theses of Yard (2005), Abeyesinghe (2006), Savov (2008, 2012), Dupuis (2010), and Dutil (2011). All of these theses are excellent references. Naturally, Hayden also had a strong influence over the present author during the development of this book.

## **Acknowledgments**

I began working on this book in the summer of 2008 in Los Angeles, with much time to spare in the final months of dissertation writing. I had a strong determination to review quantum Shannon theory, a beautiful area of quantum information science that Igor Devetak had taught me three years earlier at USC in fall 2005. I was carefully studying a manuscript entitled "Principles of Quantum Information Theory," a text that Igor had initiated in collaboration with Patrick Hayden and Andreas Winter. I read this manuscript many times, and many parts of it I understood well, though other parts I did not.

After a few weeks of reading and rereading, I decided "if I can write it out myself from scratch, perhaps I would then understand it!", and thus began the writing of the chapters on the packing lemma, the covering lemma, and quantum typicality. I knew that Igor's (now former) students Min-Hsiu Hsieh and Zhicheng Luo knew the topic well because they had already written several quality research papers with him, so I requested if they could meet with me weekly for an hour to review the fundamentals. They kindly agreed and helped me quite a bit in understanding the packing and covering techniques.

Not much later, after graduating, I began collaborating with Min-Hsiu on a research project that Igor had suggested to the both of us: "find the triple trade-off capacity formulas of a quantum channel." This was perhaps the best starting point for me to learn quantum Shannon theory because proving this theorem required an understanding of most everything that had already been accomplished in the area. After a month of effort, I continued to work with Min-Hsiu on this project while joining Andreas Winter's Singapore group for a two-month visit. As I learned more, I added more to the notes, and they continued to grow.

After landing a job in the DC area for January 2009, I realized that I had almost enough material for teaching a course, and so I contacted local universities in the area to see if they would be interested. Can Korman, formerly chair of the Electrical Engineering Department at George Washington University, was excited about the possibility. His enthusiasm was enough to keep me going on the notes, and so I continued to refine and add to them in my spare time in preparing for teaching. Unfortunately (or perhaps fortunately?), the course ended up being canceled. This was disheartening to me, but in the mean time, I had contacted Patrick Hayden to see if he would be interested in having me join his group at

McGill University for postdoctoral studies. Patrick Hayden and David Avis then offered me a postdoctoral fellowship, and I moved to Montréal in October 2009.

After joining, I learned a lot by collaborating and discussing with Patrick and his group members. Patrick offered me the opportunity to teach his graduate class on quantum Shannon theory while he was away on sabbatical, and this encouraged me further to persist with the notes.

I am grateful to everyone mentioned above for encouraging and supporting me during this project, and I am also grateful to everyone who provided feedback during the course of writing up. In this regard, I am especially grateful to Dave Touchette for detailed feedback on all of the chapters in the book. Dave's careful reading and spotting of errors has immensely improved the quality of the book. I am grateful to my father, Gregory E. Wilde, Sr., for feedback on earlier chapters and for advice and love throughout. I thank Ivan Savov for encouraging me, for feedback, and for believing that this is an important scholarly work. I also thank Constance Caramanolis, Raza-Ali Kazmi, John M. Schanck, Bilal Shaw, and Anna Vershynina for valuable feedback. I am grateful to Min-Hsiu Hsieh for the many research topics we have worked on together that have enhanced my knowledge of quantum Shannon theory. I thank Michael Nielsen and Victor Shoup for advice on Creative Commons licensing and Kurt Jacobs for advice on book publishing. I acknowledge funding from the MDEIE (Quebec) PSR-SIIRI international collaboration grant. I am grateful to Sarah Payne and David Tranah of Cambridge University Press for their extensive feedback on the manuscript and their outstanding support throughout the publication process.

I am indebted to my mentors who took me on as a student during my career. Todd Brun was a wonderful PhD supervisor—helpful, friendly, and encouraging of creativity and original pursuit. Igor Devetak taught me quantum Shannon theory in fall 2005 and helped me once per week during his office hours. He also invited me to join Todd's and his group, and more recently, Igor provided much encouragement and "big-picture" feedback during the writing of this book. Bart Kosko shaped me as a scholar during my early years at USC and provided helpful advice regarding the book project. Patrick Hayden has been an immense bedrock of support at McGill. His knowledge of quantum information and many other areas is unsurpassed, and he has been kind, inviting, and helpful during my time at McGill. I am also grateful to Patrick for giving me the opportunity to teach at McGill and for advice throughout the development of this book.

I thank my mother, father, sister, and brother and all of my surrounding family members for being a source of love and support. Finally, I am indebted to my wife Christabelle and her family for warmth and love. I dedicate this book to the memory of my grandparents Joseph and Rose McMahon, and Norbert Jay and Mary Wilde. Lux aeterna luceat eis, Domine.

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# **Contents**

	How To Use This Book	page xi
	Acknowledgments	xiv
Part I	Introduction	1
1	Concepts in Quantum Shannon Theory	3
	1.1 Overview of the Quantum Theory	7
	1.2 The Emergence of Quantum Shannon	Theory 11
2	Classical Shannon Theory	26
	2.1 Data Compression	26
	2.2 Channel Capacity	35
	2.3 Summary	49
Part II	The Quantum Theory	51
3	The Noiseless Quantum Theory	53
	3.1 Overview	54
	3.2 Quantum Bits	55
	3.3 Reversible Evolution	61
	3.4 Measurement	68
	3.5 Composite Quantum Systems	74
	3.6 Summary and Extensions to Qudit St	rates 89
	3.7 History and Further Reading	96
4	The Noisy Quantum Theory	97
	4.1 Noisy Quantum States	98
	4.2 Measurement in the Noisy Quantum	Theory 110
	4.3 Composite Noisy Quantum Systems	112
	4.4 Noisy Evolution	120
	4.5 Summary	139
	4.6 History and Further Reading	140
5	The Purified Quantum Theory	141
	5.1 Purification	142
	5.2 Isometric Evolution	143

-							
C	0	n	t	P	n	t	9

vi

	5.3 5.4 5.5	Coherent Quantum Instrument Coherent Measurement History and Further Reading	154 155 156
Part III	Unit	t Quantum Protocols	157
6	Thre	ee Unit Quantum Protocols	159
	6.1	Non-local Unit Resources	160
	6.2	Protocols	162
	6.3	Optimality of the Three Unit Protocols	171
	6.4	Extensions for Quantum Shannon Theory	173
	6.5	Three Unit Qudit Protocols	174
	6.6	History and Further Reading	180
7	Cohe	erent Protocols	181
	7.1	Definition of Coherent Communication	182
	7.2	Implementations of a Coherent Bit Channel	184
	7.3	Coherent Dense Coding	185
	7.4	Coherent Teleportation	187
	7.5	The Coherent Communication Identity	189
	7.6	History and Further Reading	190
8	The	Unit Resource Capacity Region	191
	8.1	The Unit Resource Achievable Region	191
	8.2	The Direct Coding Theorem	195
	8.3	The Converse Theorem	196
	8.4	History and Further Reading	200
Part IV	Too	ls of Quantum Shannon Theory	201
9	Dista	ance Measures	203
	9.1	Trace Distance	204
	9.2	Fidelity	212
	9.3	Relationships between Trace Distance and Fidelity	219
	9.4	Gentle Measurement	223
	9.5	Fidelity of a Noisy Quantum Channel	226
	9.6	The Hilbert–Schmidt Distance Measure	230
	9.7	History and Further Reading	231
10	Class	sical Information and Entropy	232
	10.1	Entropy of a Random Variable	233
	10.2	Conditional Entropy	237
	10.3	Joint Entropy	239
	10.4	Mutual Information	239
	10.5	Relative Entropy	240

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(	-	-	4	_	10	+	0

		٧	i	i
--	--	---	---	---

	10.6	Conditional Mutual Information	241
	10.7	Information Inequalities	243
	10.8	Classical Information and Entropy of Quantum Systems	249
	10.9	History and Further Reading	251
11	Quan	tum Information and Entropy	252
	11.1	Quantum Entropy	253
	11.2	Joint Quantum Entropy	258
	11.3	Potential yet Unsatisfactory Definitions of Conditional Quantum	
		Entropy	261
	11.4	Conditional Quantum Entropy	263
	11.5	Coherent Information	265
	11.6	Quantum Mutual Information	267
		Conditional Quantum Mutual Information	270
		Quantum Relative Entropy	272
	11.9	Quantum Information Inequalities	275
	11.10	History and Further Reading	290
12	The I	nformation of Quantum Channels	292
	12.1	Mutual Information of a Classical Channel	293
	12.2	Private Information of a Wiretap Channel	299
	12.3	Holevo Information of a Quantum Channel	303
	12.4	Mutual Information of a Quantum Channel	309
	12.5	Coherent Information of a Quantum Channel	314
	12.6	Private Information of a Quantum Channel	319
	12.7	Summary	325
	12.8	History and Further Reading	326
13	Class	ical Typicality	327
	13.1	An Example of Typicality	328
	13.2	Weak Typicality	329
	13.3	Properties of the Typical Set	331
	13.4	Application of Typical Sequences: Shannon Compression	333
	13.5	Weak Joint Typicality	335
	13.6	Weak Conditional Typicality	338
	13.7	Strong Typicality	341
	13.8	Strong Joint Typicality	350
	13.9	Strong Conditional Typicality	352
	13.10	Application: Shannon's Channel Capacity Theorem	358
	13.11	Concluding Remarks	362
	13.12	History and Further Reading	363
14	Quan	tum Typicality	364
	14.1	The Typical Subspace	365
	14.2	Conditional Quantum Typicality	375

	14.3	The Method of Types for Quantum Systems	384
	14.4	Concluding Remarks	387
	14.5	History and Further Reading	387
15	The	Packing Lemma	388
	15.1	Introductory Example	389
	15.2	The Setting of the Packing Lemma	389
	15.3	Statement of the Packing Lemma	391
	15.4	Proof of the Packing Lemma	393
	15.5	Derandomization and Expurgation	398
	15.6	History and Further Reading	400
16	The	Covering Lemma	401
	16.1	Introductory Example	402
	16.2	Setting and Statement of the Covering Lemma	404
	16.3	Proof of the Covering Lemma	406
	16.4	History and Further Reading	413
Part V	Noise	eless Quantum Shannon Theory	415
17	Schu	macher Compression	417
	17.1	The Information-Processing Task	418
	17.2	The Quantum Data-Compression Theorem	420
	17.3	Quantum Compression Example	424
	17.4	Variations on the Schumacher Theme	425
	17.5	Concluding Remarks	427
	17.6	History and Further Reading	427
18	Enta	nglement Concentration	429
	18.1	An Example of Entanglement Concentration	430
	18.2	The Information-Processing Task	433
	18.3	The Entanglement Concentration Theorem	433
	18.4	Common Randomness Concentration	440
	18.5	Schumacher Compression versus Entanglement Concentration	441
	18.6	Concluding Remarks	445
	18.7	History and Further Reading	445
Part VI	Nois	y Quantum Shannon Theory	447
19	100000000000000000000000000000000000000	sical Communication	451
	19.1	Naive Approach: Product Measurements at the Decoder	453
	19.2	The Information-Processing Task	456
	19.3	The Classical Capacity Theorem	458
	19.4	Examples of Channels	463

_							
	'n	-	4	-	-	4	_

ix
1.

	19.5	Superadditivity of the Holevo Information	471
	19.6	Concluding Remarks	474
	19.7	History and Further Reading	475
20	Futo	relevant Assisted Classical Communication	477
20		nglement-Assisted Classical Communication	477
	20.1	The Information-Processing Task	479
	20.2	A Preliminary Example	480
	20.3	The Entanglement-Assisted Classical Capacity Theorem	484
	20.4	The Converge Theorem	484
	20.5	The Converse Theorem	493
	20.6	Examples of Channels  Canaly diag Remarks	501
	20.7	Concluding Remarks History and Further Reading	506
	20.8	History and Further Reading	507
21	Cohe	erent Communication with Noisy Resources	508
	21.1	Entanglement-Assisted Quantum Communication	509
	21.2	Quantum Communication	514
	21.3	Noisy Super-Dense Coding	515
	21.4	State Transfer	518
	21.5	Trade-off Coding	522
	21.6	Concluding Remarks	530
	21.7	History and Further Reading	531
22	Priva	te Classical Communication	532
~ ~	22.1	The Information-Processing Task	533
	22.2	The Private Classical Capacity Theorem	536
	22.3	The Direct Coding Theorem	536
	22.4	The Converse Theorem	545
	22.5	Discussion of Private Classical Capacity	546
	22.6	History and Further Reading	549
23	Quan	tum Communication	550
	23.1	The Information-Processing Task	551
	23.2	The No-Cloning Theorem and Quantum Communication	553
	23.3	The Quantum Capacity Theorem	554
	23.4	The Direct Coding Theorem	555
	23.5	Converse Theorem	562
	23.6	An Interlude with Quantum Stabilizer Codes	564
	23.7	Example Channels	571
	23.8	Discussion of Quantum Capacity	574
	23.9	Entanglement Distillation	579
	23.10	History and Further Reading	582

24	Trad	ing Resources for Communication	585
	24.1	The Information-Processing Task	586
	24.2	The Quantum Dynamic Capacity Theorem	588
	24.3	The Direct Coding Theorem	593
	24.4	The Converse Theorem	596
	24.5	Examples of Channels	606
	24.6	History and Further Reading	616
25	Sumi	mary and Outlook	618
	25.1	Unit Protocols	619
	25.2	Noiseless Quantum Shannon Theory	619
	25.3	Noisy Quantum Shannon Theory	620
	25.4	Protocols Not Covered in This Book	623
	25.5	Network Quantum Shannon Theory	624
	25.6	Future Directions	625
Appendix	Α	Miscellaneous Mathematics	626
			006
Appendix	В	Monotonicity of Quantum Relative Entropy	633
	Refer	rences	639
	Index	$\mathcal{C}$	653