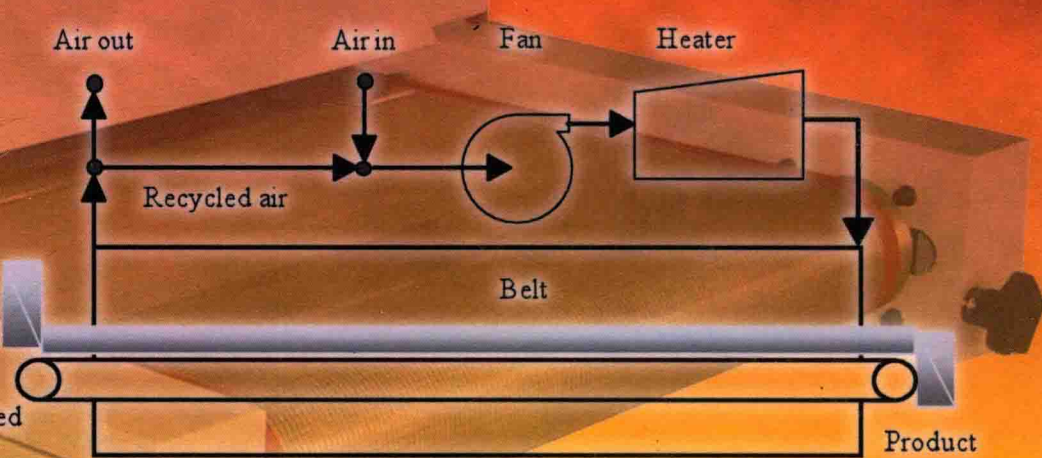


Contemporary Food  
Engineering Series  
Da-Wen Sun, Series Editor



# Food Process Engineering Operations



**George D. Saravacos**  
**Zacharias B. Maroulis**



CRC Press  
Taylor & Francis Group

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Zacharias B. Maroulis



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# Contemporary Food Engineering

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*To*  
*Katie Saravacos*

*Sincerely yours,*  
*George Emer*  
*Professor George D. Saravacos*

---

# Series Preface

## CONTEMPORARY FOOD ENGINEERING

Food engineering is the multidisciplinary field of applied physical sciences combined with the knowledge of product properties. Food engineers provide the technological knowledge transfer essential to the cost-effective production and commercialization of food products and services. In particular, food engineers develop and design processes and equipment in order to convert raw agricultural materials and ingredients into safe, convenient, and nutritious consumer food products. However, food engineering topics are continuously undergoing changes to meet diverse consumer demands, and the subject is being rapidly developed to reflect market needs.

In the development of food engineering, one of the many challenges is to employ modern tools and knowledge, such as computational materials science and nanotechnology, to develop new products and processes. Simultaneously, improving food quality, safety, and security continue to be critical issues in food engineering study. New packaging materials and techniques are being developed to provide more protection to foods, and novel preservation technologies are emerging to enhance food security and defense. Additionally, process control and automation regularly appear among the top priorities identified in food engineering. Advanced monitoring and control systems are developed to facilitate automation and flexible food manufacturing. Furthermore, energy saving and minimization of environmental problems continue to be important food engineering issues, and significant progress is being made in waste management, the efficient utilization of energy, and the reduction of effluents and emissions in food production.

The *Contemporary Food Engineering Series*, consisting of edited books, attempts to address some of the recent developments in food engineering. Advances in classical unit operations in engineering applied to food manufacturing are covered as well as such topics as progress in the transport and storage of liquid and solid foods; heating, chilling, and freezing of foods; mass transfer in foods; chemical and biochemical aspects of food engineering and the use of kinetic analysis; dehydration, thermal processing, nonthermal processing, extrusion, liquid food concentration, membrane processes, and applications of membranes in food processing; shelf life, electronic indicators in inventory management; sustainable technologies in food processing; and packaging, cleaning, and sanitation. The books are aimed at professional food scientists, academics researching food engineering problems, and graduate-level students.

The books' editors are leading engineers and scientists from many parts of the world. All the editors were asked to present their books to address the market's need and pinpoint the cutting-edge technologies in food engineering.

All contributions are written by internationally renowned experts who have both academic and professional credentials. All authors have attempted to provide critical,

comprehensive, and readily accessible information on the art and science of a relevant topic in each chapter, with reference lists for further information. Therefore, each book can serve as an essential reference source to students and researchers in universities and research institutions.

**Da-Wen Sun**  
*Series Editor*

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# Series Editor

**Professor Da-Wen Sun, PhD**, was born in Southern China and is a world authority on food engineering research and education. His main research activities include cooling, drying, and refrigeration processes and systems; quality and safety of food products; bioprocess simulation and optimization; and computer vision technology. His innovative studies on vacuum cooling of cooked meats, pizza quality inspection by computer vision, and edible films for shelf-life extension of fruits and vegetables have been widely reported in the national and international media.



Dr. Sun received first-class BSc honors and an MSc in mechanical engineering, and a PhD in chemical engineering in China before working at various universities in Europe. He became the first Chinese national to be permanently employed in an Irish university when he was appointed college lecturer at the National University of Ireland, Dublin (University College Dublin) in 1995, and was then continuously promoted in the shortest possible time to senior lecturer, associate professor, and full professor. Dr. Sun is now professor of food and biosystems engineering and director of the Food Refrigeration and Computerized Food Technology Research Group at the University College Dublin.

As a leading educator in food engineering, Dr. Sun has contributed significantly to the field of food engineering. He has trained many PhD students who have made their own contributions to the industry and academia. He has also, on a regular basis, given lectures on the advances in food engineering at academic institutions internationally and delivered keynote speeches at international conferences. As a recognized authority in food engineering, Dr. Sun has been conferred adjunct/visiting/consulting professorships from 10 top universities in China including Zhejiang University, Shanghai Jiaotong University, Harbin Institute of Technology, China Agricultural University, South China University of Technology, and Jiangnan University. In recognition of his significant contribution to food engineering worldwide and for his outstanding leadership in the field, the International Commission of Agricultural and Biosystems Engineering (CIGR) awarded him the CIGR Merit Award in 2000 and again in 2006; the Institution of Mechanical Engineers based in the United Kingdom named him Food Engineer of the Year 2004; in 2008 he was awarded the CIGR Recognition Award in recognition of his distinguished achievements as the top 1% of agricultural engineering scientists around the world; in 2007, Dr. Sun was presented with the AFST(I) Fellow Award by the Association of Food Scientists and Technologists (India); and in 2010, he was presented with the CIGR Fellow Award; the title of "Fellow" is the highest honor in CIGR, and is conferred to individuals who have made sustained, outstanding contributions worldwide.



Dr. Sun is a fellow of the Institution of Agricultural Engineers and a fellow of the Institution of Engineers of Ireland. He has also received numerous awards for teaching and research excellence, including the President's Research Fellowship, and has received the President's Research Award from the University College Dublin on two occasions. He is editor-in-chief of *Food and Bioprocess Technology—An International Journal* (Springer); series editor of the *Contemporary Food Engineering Series* (CRC Press/Taylor & Francis); former editor of the *Journal of Food Engineering* (Elsevier); and an editorial board member for the *Journal of Food Engineering* (Elsevier), the *Journal of Food Process Engineering* (Blackwell), *Sensing and Instrumentation for Food Quality and Safety* (Springer), and the *Czech Journal of Food Sciences*. Dr. Sun is also a chartered engineer.

On May 28, 2010, Dr. Sun was awarded membership to the Royal Irish Academy (RIA), which is the highest honor that can be attained by scholars and scientists working in Ireland. At the 51st CIGR General Assembly held during the CIGR World Congress in Quebec City, Canada, in June 2010, he was elected as incoming president of CIGR, and will become CIGR president in 2013–2014; the term of the presidency is six years, two years each for serving as incoming president, president, and past president.

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# Preface

Food engineering is an interdisciplinary field of major concern to university departments of food science, and chemical and biological engineering. It is an applied area of importance to engineers and scientists working in various food processing industries.

*Food Process Engineering Operations* deals with the application of chemical engineering unit operations to the handling, processing, packaging, and distribution of food products. In addition to basic process engineering considerations, such as material and energy balances, food processing should meet the special requirements of food acceptance, human nutrition, and food safety.

This book is concerned with the applications of process engineering fundamentals to food processing technology. It can be used primarily as a textbook for one or two semesters for food science students. It can also serve as an important reference for students of chemical and biological engineering interested in food engineering, and for scientists, engineers, and technologists working in food processing industries.

Chapters 1 through 5 review the fundamentals of process engineering and food processing technology, with typical examples of food process applications. Chapters 6 through 15 (the main part of the book) cover food process engineering operations in detail, including theory, process equipment, engineering operations, and application examples and problems.

Chapter 1 provides an introduction to food process engineering with brief discussions on process diagrams, material and energy balances, and engineering calculations. It also presents typical flow sheets of food preservation, food manufacturing, and food ingredient processes.

Chapter 2 deals with the transport phenomena in process engineering, including steady- and unsteady-state transport of heat, mass, and momentum. It presents the simplified solutions of the generalized diffusion equation and introduces interface transfer, transfer coefficients, and dimensionless numbers.

Chapter 3 presents an outline of thermodynamics and kinetics in relation to food processing. It introduces the laws of thermodynamics and covers several thermodynamic processes. It also discusses phase equilibria, with an emphasis on water equilibria, and introduces food kinetics, with an emphasis on microbial growth and inactivation.

Chapter 4 presents a brief overview of food processing technology with typical examples from the food preservation, food manufacturing, and food ingredient industries.

Chapter 5 reviews the engineering properties of foods, including thermophysical, mechanical, and transport properties. It also presents realistic engineering property data, which can be used in food engineering calculations and in food process designs.

Chapter 6 covers the fluid transport operations of foods, including rheology, mechanical balances, piping systems, and pumping equipment.

Chapter 7 describes the several mechanical processing operations used in the preservation and manufacturing of food products. It also discusses particle characteristics, size reduction and enlargement, mixing and forming, mechanical separations, and removal of food parts.

Chapters 8 and 9 discuss the heat transfer operations used in food processing. The heat transfer coefficients are defined and applied to the design of various heat exchangers. Thermal preservation processes (sterilization and pasteurization) are discussed in detail and thermal treatment processes (baking, roasting, and frying) are outlined.

Chapters 10 and 11 deal with the evaporation and drying operations of food processing. The design of evaporators and dryers is based on heat and mass transfer and on water activity. Energy savings are important for economic design. These chapters describe several types of drying equipment.

Chapter 12 covers refrigeration and freezing operations applied to food systems.

Chapter 13 discusses the food applications of mass transfer, including distillation, extraction, and crystallization.

Chapter 14 reviews novel food process operations, such as membrane processing, supercritical fluid extraction, and high-pressure processing.

Chapter 15 provides an overview of food packaging engineering, including packaging materials, packaging equipment, and package processing operations.

Chapter 16 introduces spreadsheet software in food process engineering. It presents examples of Excel® and Visual Basic® applications for heat exchanger, psychrometric, and rheological calculations.

Simple diagrams are used to illustrate the mechanism of each operation and the main components of the process equipment. Simplified calculations, based on elementary calculus, are used in the book. Realistic values of food engineering properties are taken from the literature and the authors' experience. Detailed data and pictures of process equipment can be obtained from suppliers of equipment. The appendix contains useful engineering data for process calculations, such as steam tables, engineering properties, engineering diagrams, and suppliers of process equipment. The book is based on our long teaching and research experiences both in the United States and in Greece.

We wish to acknowledge the contributions of our colleagues, associates, and students at the National Technical University of Athens, Rutgers University, and Cornell University. We appreciate the useful information provided by technical people in the food processing industry and the suppliers of processing equipment.

Special thanks are due to Magda Krokida for her help in preparing the numerical examples in this book. We also appreciate the help of N. Oikonomou, V. Oikonomopoulou, C. Boukouvalas, P. Eleni, I. Katsavou, A. Lazou, K. Kavvadias, and P. Michailidis for preparing the various diagrams in the book.

We hope that this book will contribute to the advancement of teaching and applications of food process engineering operations worldwide. We welcome any comments, criticisms, and corrections by the readers of this book.

**George D. Saravacos**  
**Zacharias B. Maroulis**

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# Authors

**George D. Saravacos** is currently an emeritus professor at the National Technical University of Athens (NTUA), Athens, Greece. He has been a professor of chemical engineering at the NTUA, and a professor of food engineering at Cornell and Rutgers Universities. He has taught courses on unit operations and food engineering both in the United States and in Greece.

Dr. Saravacos received his diploma engineering degree in chemical engineering from NTU, his MS degree in food science from the University of California, and his ScD degree from MIT. He was awarded an honorary doctorate by the Agricultural University of Athens.

Professor Saravacos' research interests include transport properties of foods, heat and mass transfer, evaporation, dehydration, and distillation. He has participated in several fundamental and applied research projects in food engineering in Greece and in the United States, cooperating with the NYSAES (Cornell) and CAFT (Rutgers). He has published more than 150 papers in technical journals and proceedings of national and international meetings, and has authored 10 books/book chapters and 5 textbooks (in Greek). He is also the recipient of the P&G Award for Excellence in Drying Research.

Dr. Saravacos is a member of the IFT, the AIChE, and the Engineering Society of Greece. He is on the editorial board of the *Journal of Food Engineering*. Currently (2008–2011), he is serving as the president of the International Association of Engineering and Food (IAEF).

**Zacharias B. Maroulis** is currently a professor of chemical engineering at the National Technical University of Athens (NTUA), Athens, Greece, where he teaches and does research on unit operations and process design.

He received his diploma engineering degree and his doctorate from the NTUA and pursued his postdoctoral research at Rutgers University. He is the author or coauthor of more than 120 journal articles, 7 books and book chapters, and professional publications, including *Food Plant Economics* (CRC, 2007), *Food Process Design* (Dekker, 2003), and *Transport Properties of Foods* (Dekker, 2001).

Dr. Maroulis also serves on the editorial board of the journal *Drying Technology*; his research interests include transport properties of foods, drying science and technology, and process economics.

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# Contents

Series Preface.....	xxi
Series Editor.....	xxiii
Preface.....	xxv
Authors.....	xxvii

<b>Chapter 1</b> Introduction .....	1
1.1 Introduction .....	1
1.2 Process Diagrams .....	2
1.3 Material and Energy Balances .....	2
1.3.1 Material Balances.....	3
1.3.2 Energy Balances.....	5
1.4 Engineering Units.....	6
1.5 Engineering Calculations .....	7
1.5.1 Engineering Symbols .....	7
1.5.2 Dimensions and Dimensionless Numbers.....	8
1.5.3 Notation of Numbers .....	8
1.5.4 Engineering Calculations .....	9
Application Examples .....	9
Material Balance.....	14
Energy Balances .....	14
Total Energy Requirements .....	16
Actual Energy Requirements.....	16
Problems.....	16
List of Symbols .....	17
References .....	18

<b>Chapter 2</b> Transport Phenomena.....	19
2.1 Introduction .....	19
2.2 Steady-State Transport .....	20
2.2.1 Transport Rate Equations.....	20
2.2.1.1 Heat Transport .....	20
2.2.1.2 Mass Transport .....	21
2.2.1.3 Momentum Transport .....	21
2.3 Unsteady-State Transport .....	22
2.3.1 Mathematical Modeling .....	22
2.3.1.1 Analytical Solution .....	22
2.3.1.2 Generalized Chart Solution .....	25
2.3.1.3 Numerical/Computer Solution.....	28

2.4	Interface Transfer .....	28
2.4.1	Transfer Coefficients .....	28
2.4.2	Dimensionless Numbers.....	29
2.4.2.1	Momentum Transport ( <i>Re, Fr, f</i> ).....	29
2.4.2.2	Heat Transfer ( <i>Bi, Fo, Gr, Gz, Le, Nu, Pe, Pr, St</i> ).....	29
2.4.2.3	Mass Transfer ( <i>Bi, Fi, Le, Pe, Sc, Sh</i> ).....	30
	Application Examples .....	30
	Problems.....	34
	List of Symbols .....	34
	References .....	36

<b>Chapter 3</b>	Thermodynamics and Kinetics .....	37
3.1	Introduction .....	37
3.2	Principles of Thermodynamics .....	37
3.2.1	Definitions .....	37
3.2.2	First Law.....	38
3.2.3	Second Law .....	39
3.2.4	Calculation of Entropy Change .....	40
3.2.5	Thermodynamic Flow Processes .....	40
3.2.5.1	Compression Processes.....	41
3.2.5.2	Expansion Processes.....	41
3.2.5.3	Steam Power Cycle .....	42
3.2.5.4	Carnot Cycle .....	44
3.2.5.5	Refrigeration and Heat Pumps.....	44
3.2.6	Gas Compression.....	45
3.3	Phase Equilibria.....	46
3.3.1	Vapor–Liquid Equilibria .....	47
3.3.2	Vapor–Solid Equilibria .....	47
3.3.3	Water Phase Equilibria.....	49
3.4	Food Kinetics .....	50
3.4.1	Chemical Reactions.....	50
3.4.2	Microbial Kinetics.....	54
3.4.2.1	Microbial Growth .....	54
3.4.2.2	Microbial Inactivation .....	55
	Application Examples .....	55
	Problems.....	58
	List of Symbols .....	58
	References .....	59

<b>Chapter 4</b>	Overview of Food Process Technology.....	61
4.1	Introduction .....	61
4.2	Food Preservation.....	62
4.2.1	Minimal Processing and Hurdle Technology.....	62
4.2.2	Refrigeration.....	62

4.2.3	Freezing .....	63
4.2.4	Thermal Processing.....	65
4.2.5	Concentration and Dehydration.....	66
4.2.6	Novel Food Processes.....	69
4.3	Food Manufacturing.....	70
4.4	Food Ingredients.....	74
4.5	Food Product Engineering.....	77
	Problems.....	77
	References .....	78
<b>Chapter 5</b>	<b>Engineering Properties of Foods.....</b>	<b>79</b>
5.1	Introduction .....	79
5.2	Physical Properties .....	79
5.2.1	Density and Porosity .....	79
5.2.2	Thermal Properties.....	83
5.2.2.1	Nonfrozen Foods .....	83
5.2.2.2	Frozen Foods .....	84
5.2.3	Mechanical Properties.....	85
5.2.3.1	Static Tests .....	85
5.2.3.2	Dynamic Tests .....	86
5.2.3.3	Empirical Tests .....	87
5.2.4	Electrical Properties.....	87
5.2.5	Acoustic Properties .....	88
5.2.5.1	Sound Propagation.....	88
5.2.5.2	Food Applications.....	88
5.2.5.3	Doppler Velocimetry .....	89
5.2.6	Colorimetric Properties.....	89
5.2.7	Surface Properties .....	90
5.2.7.1	Introduction .....	90
5.2.7.2	The Gibbs Equation.....	90
5.2.7.3	Liquids .....	91
5.2.7.4	Solids .....	91
5.3	Transport Properties .....	92
5.3.1	Transport Properties of Gases and Liquids.....	92
5.3.2	Rheological Properties.....	95
5.3.3	Thermal Transport Properties.....	98
5.3.4	Mass Transport Properties .....	99
5.3.4.1	Mass Diffusivity .....	99
5.3.4.2	Permeability.....	101
	Application Examples .....	102
	Problems.....	107
	List of Symbols .....	108
	References .....	110

<b>Chapter 6</b>	<b>Fluid Flow Operations.....</b>	<b>111</b>
6.1	Introduction .....	111
6.2	Food Rheology .....	111
6.2.1	Capillary Tube Viscometry .....	111
6.2.2	Rotational Viscometry .....	112
6.2.3	Velocity Distribution .....	113
6.2.4	Reynolds Number.....	115
6.3	Mechanical Energy Balances .....	116
6.3.1	Mechanical Flow Systems.....	116
6.3.2	Friction in Piping Systems .....	119
6.3.3	Friction in Non-Newtonian Fluids .....	121
6.3.4	Rabinowitsch–Mooney Equation .....	121
6.4	Piping Systems .....	122
6.4.1	Pipes and Tubes.....	122
6.4.2	Pipe Fittings.....	126
6.5	Film and Open Channel Flows .....	126
6.5.1	Film Flow .....	126
6.5.2	Open Channel Flow.....	127
6.6	Flow Measurement .....	128
6.6.1	Differential Pressure Flow Meters .....	128
6.6.1.1	U-Tube Manometer .....	129
6.6.1.2	Orifice Meter .....	130
6.6.1.3	Venturi Meter.....	131
6.6.1.4	Pitot Tube .....	131
6.6.2	Mechanical Flow Meters.....	133
6.6.2.1	Rotameters .....	133
6.6.2.2	Positive Displacement Flow Meters.....	133
6.6.3	Electrical and Magnetic Flow Meters .....	133
6.6.3.1	Hot Wire Anemometer .....	133
6.6.3.2	Magnetic and Acoustic Flow Meters .....	134
6.6.4	Weir Flow Meters.....	134
6.7	Pumping Equipment .....	135
6.7.1	Pumps.....	135
6.7.1.1	Centrifugal Pumps.....	136
6.7.1.2	Positive Displacement Pumps.....	136
6.7.1.3	Rotary Pumps .....	137
6.7.2	Pump Characteristics .....	139
6.7.2.1	Pump Curves .....	139
6.7.2.2	Net Positive Suction Head .....	142
6.7.2.3	Pump Selection.....	143
6.7.3	Fans and Blowers.....	144
6.7.4	Vacuum Pumps.....	145
	Application Examples .....	147
	Problems.....	158
	List of Symbols .....	159
	References .....	160



<b>Chapter 7</b>	<b>Mechanical Processing Operations</b> .....	161
7.1	Introduction .....	161
7.2	Particle Characteristics .....	161
7.2.1	Particle Size and Shape .....	161
7.2.2	Particle Size Distribution .....	163
7.2.3	Particle Size Analysis .....	164
	7.2.3.1 Methods of Measurement .....	164
	7.2.3.2 Sieve Analysis .....	165
7.3	Size Reduction .....	166
7.3.1	Introduction .....	166
7.3.2	Cutting .....	168
	7.3.2.1 Introduction .....	168
	7.3.2.2 Cutting Tools .....	169
	7.3.2.3 Portable Cutting Tools .....	170
	7.3.2.4 Cutting Equipment .....	170
7.3.3	Crushing and Grinding Operations .....	172
	7.3.3.1 Introduction .....	172
	7.3.3.2 Crushers .....	172
	7.3.3.3 Grinders .....	173
7.3.4	Droplet Formation .....	179
	7.3.4.1 Pressure Nozzles .....	179
	7.3.4.2 Rotary Nozzles .....	180
	7.3.4.3 Two-Fluid Nozzles .....	180
7.4	Size Enlargement .....	180
7.4.1	Introduction .....	180
7.4.2	Free Structuring Agglomeration .....	181
7.4.3	Pressure Agglomeration .....	183
7.5	Mixing and Forming .....	184
7.5.1	Fluid Mixing .....	184
	7.5.1.1 Agitated Tanks .....	184
	7.5.1.2 Industrial Mixers .....	187
7.5.2	Food Mixing .....	187
7.5.3	Homogenization .....	188
7.5.4	Solids Mixing .....	188
7.5.5	Forming Operations .....	189
7.5.6	Extrusion .....	190
7.6	Conveying of Solids .....	191
7.6.1	Pneumatic Conveying .....	192
7.6.2	Hydraulic Conveying .....	193
7.6.3	Mechanical Conveying .....	194
	7.6.3.1 Belt Conveyors .....	194
	7.6.3.2 Roll and Skate Wheel Conveyors .....	195
	7.6.3.3 Chain Conveyors .....	195
	7.6.3.4 Bucket Elevators .....	196
	7.6.3.5 Screw Conveyors .....	196
7.6.4	Hygienic Considerations .....	197