

Numerical Control and Computer-Aided Manufacturing

Roger S. Pressman

John E. Williams

Numerical Control and Computer-Aided Manufacturing

Roger S. Pressman
University of Bridgeport

John E. Williams
University of Connecticut

John Wiley & Sons

New York • Santa Barbara • London • Sydney • Toronto

Standards NAS-943 and NAS-955 copyright the
Aerospace Industries Association. Portions
reprinted by permission.

EIA standards RS-227A, RS-244A, RS-267A, RS-273A
and RS-274B copyright Electronic Industries
Association. Portions reprinted by permission.

Copyright © 1977, by John Wiley & Sons, Inc.

All rights reserved. Published simultaneously in Canada.

No part of this book may be reproduced by any means, nor
transmitted, nor translated into a machine language with-
out the written permission of the publisher.

Library of Congress Cataloguing in Publication Data:

Pressman, Roger S.

Numerical control and computer-aided manufacturing.

Includes bibliographical references and index.

1. Machine-tools—Numerical control. 2. Manufac-
turing processes—Data processing. I. Williams, John
Ernest, joint author. II. Title.

TJ1189.P728

621.9'02

76-23218

ISBN 0-471-01555-5

Printed in the United States of America

10 9 8 7 6 5 4 3 2 1

Numerical Control and Computer-Aided Manufacturing

Board of Advisors, Engineering

A. H-S. Ang University of Illinois	Civil Engineering—Systems and Probability
Donald S. Berry Northwestern University	Transportation Engineering
James Gere Stanford University	Civil Engineering and Applied Mechanics
J. Stuart Hunter Princeton University	Engineering Statistics
T. William Lambe R. V. Whitman Massachusetts Institute of Technology	Civil Engineering—Soil Mechanics
Perry L. McCarthy Stanford University	Environmental Engineering
Don T. Phillips Texas A & M University	Industrial Engineering
Dale Rudd University of Wisconsin	Chemical Engineering
Robert F. Steidel, Jr. University of California— Berkeley	Mechanical Engineering
R. N. White Cornell University	Civil Engineering—Structures

To

Barbara P., Mathew, and Michael

Barbara W., Lorraine, and Stephen

Preface

The manufacturing process has undergone significant change during the past 20 years. The major contribution to this change has been programmable automation, made possible by machines that can be automatically controlled to perform a variety of tasks.

From humble beginnings in the late 1940s, numerical control has progressed to the forefront of automated machine development. In little more than two decades, numerical control has evolved from simple automatic positioning machines, controlled by instructions on perforated tape, to sophisticated machine systems integrated within a computer controlled manufacturing network.

An understanding of the numerical control process requires information from many engineering disciplines. This book presents a treatment of numerical control that bridges the gap between the hardware and software elements that enable the system to function. Numerical control is traced from its foundation in control theory, through the development of the hardware components of the system, into the use of computer based elements of modern programmable, automated devices.

The initial chapters of this book present a conceptual view of a conventional numerical control device. The fundamental concepts of automatic control theory are introduced to familiarize the student with basic mathematical methods and terminology. The elements of a numerical control system are next presented as interrelated components of an overall information network. The machine control unit and system elements that perform digital control, actuation, and monitoring are considered. Finally, special considerations for the design of numerically controlled machines are discussed.

In subsequent chapters the emphasis shifts from hardware to the interface between the machine control system and the coded data that drives the machine. A discussion of numerical control input and output concentrates on the nature of the data that provides the man-machine interface.

The methods that are used to generate coded instructions for input to a programmable controller vary greatly in their level of complexity. Simple manual procedures and sophisticated computer techniques are presented to illustrate the various modes of part programming. Special mathematical

techniques, fostered by the expanding application of numerical control for the manufacture of complex components, are examined in a separate chapter.

The concluding chapters deal with numerical control optimization methods and computer-aided manufacturing. Adaptive control provides a second information path that optimizes numerical control operation by evaluation and modification of process parameters. Computer managed numerical control systems form the foundation for the latest step in the evolution of the manufacturing process—the computer based manufacturing system.

This book has been developed as a teaching tool for a subject that touches on many diverse engineering disciplines. The chapters have been arranged so that the physical elements of the numerical control system are explained before software and advanced systems are considered. Example problems are used to illustrate important concepts, and references are listed at the end of each Chapter to guide further investigation of various topics. Chapters Two to Nine contain problems for the student to solve.

Numerical Control and Computer-Aided Manufacturing is a suitable text for a one-semester course in mechanical, industrial, or manufacturing engineering at the undergraduate level. It may also be used to complement existing material in courses dealing with manufacturing processes developed for engineering technology programs. It provides a comprehensive framework for a graduate level course in programmable automation.

We acknowledge the many authors who have contributed to the numerical control literature during the past two decades. Their work and the publications, specifications, and manuals provided by numerical control manufacturers have had an important influence on the subject matter and methods of our presentation. We also thank the many contributors in industry who have provided state-of-the-art information and photographs.

Finally, we thank Barbara Williams for typing and Barbara Pressman for checking the manuscript. Both are thanked for their patience, understanding, and encouragement.

ROGER S. PRESSMAN

JOHN E. WILLIAMS

Bridgeport, Connecticut

Numerical Control and Computer-Aided Manufacturing

Contents

Chapter One	Introduction to Numerical Control	1
1.1	Man and the Machine	1
1.2	Numerical Control	2
1.3	The Numerically Controlled Machine	5
1.3.1	Positioning	5
1.3.2	Control System	7
1.3.3	Communication Media	8
1.3.4	NC Machine Configurations	9
1.4	Numerical Control Applications	10
1.4.1	Metal Cutting Machine Tools	11
1.4.2	Automated Drafting	11
1.4.3	Electronics Assembly	13
1.4.4	Quality Control/Inspection	13
1.4.5	Other Numerical Control Applications	14
1.5	Present and Future Trends	16
	References	17
Chapter Two	Control System Fundamentals	18
2.1	Control System Concepts	19
2.1.1	Automatic Control	19
2.1.2	Open Loop Control	21
2.1.3	Closed Loop Control	22
2.1.4	System Response	23
2.2	Feedback Control System Elements	23
2.2.1	The Block Diagram	24
2.3	The Transfer Function	25
2.3.1	An Example of a Transfer Function	27
2.4	Introduction to the Mathematics of Control	28
2.4.1	Control System Equations	28
2.4.2	Operator Notation—The Laplace Transform	28
2.4.3	An Example of the Use of Tabularized Transforms	30

2.4.4	<i>An Example to Illustrate the Solution of a Second Order Equation</i>	31
2.4.5	<i>Partial Fraction Expansion</i>	31
2.4.6	<i>Example—The Use of Partial Fractions</i>	32
2.4.7	<i>The Transfer Function and Operational Expressions</i>	34
2.4.8	<i>Control System Transfer Functions</i>	34
2.5	<i>System Stability</i>	37
2.5.1	<i>An Example of Dynamic Response</i>	37
2.5.2	<i>Determination of Stability Using the Root Locus Technique</i>	39
2.6	<i>A Control System Example</i>	41
2.6.1	<i>Parameters for System Response</i>	45
	<i>References</i>	47
	<i>Problems</i>	47
Chapter Three	NC Machine Control Systems	52
3.1	<i>Elements of the NC System</i>	53
3.1.1	<i>The Machine Control Unit</i>	53
3.1.2	<i>Feedback in the NC Loop</i>	55
3.1.3	<i>Position and Velocity Feedback</i>	57
3.1.4	<i>Sensitivity</i>	59
3.2	<i>Feedback Components—A General Description</i>	61
3.2.1	<i>Transducers</i>	61
3.2.2	<i>Power Amplifiers and Actuators</i>	63
3.2.3	<i>Error Signal Recognition</i>	64
3.3	<i>Positioning Control Systems</i>	65
3.3.1	<i>Velocity Control in Positioning Systems</i>	66
3.3.2	<i>Position Error</i>	66
3.4	<i>Contouring Control Systems</i>	68
3.4.1	<i>Contouring System Elements</i>	68
3.4.2	<i>Interpolation</i>	68
3.4.3	<i>Contouring Path Velocity—Additional Considerations</i>	75
3.5	<i>Differences between Positioning and Contouring Systems</i>	76
3.6	<i>Analysis of a Typical NC System</i>	77
3.6.1	<i>Command Signals and Error Detection</i>	77
3.6.2	<i>Pattern Errors in a Contouring System</i>	79
3.6.3	<i>System Performance and the Time Constant, τ</i>	82
3.6.4	<i>System Bandwidth and Cornering Error</i>	84
	<i>References</i>	87
	<i>Problems</i>	87

Chapter Four	NC System Components	91
4.1	Transducers for NC Machines	92
4.1.1	Transducer Types	92
4.1.2	Linear Transducers	92
4.1.3	Rotary Transducers	93
4.1.4	The Resolver	93
4.1.5	Encoders	94
4.1.6	Transducer Placement	96
4.1.7	Transducer Signal Processing	97
4.2	NC Actuation Systems—An Overview	98
4.3	Electromechanical Actuation Systems	98
4.3.1	The Stepping Motor	98
4.3.2	The dc Motor	99
4.3.3	Electrical Power Amplification	103
4.4	Hydraulic Actuation Systems	105
4.4.1	The Hydraulic Power Supply	105
4.4.2	Hydraulic Actuators	105
4.4.3	Hydraulic Power Amplification	108
4.5	Pneumatic Actuation Systems	110
4.5.1	The Pneumatic Power Supply	110
4.5.2	Pneumatic Actuators	111
4.5.3	Pneumatic Power Amplification	111
4.6	A Comparison of Actuation Systems	113
4.7	Basic NC Electronics	114
4.7.1	AND and OR Gates	114
4.7.2	NAND and NOR Gates	116
4.7.3	The Flip-Flop Circuit	117
4.7.4	Pulse Generation	118
4.7.5	Buffer Memory	119
4.7.6	Binary Counting Circuits	119
	References	120
	Problems	121
Chapter Five	Design Considerations for NC Machine Tools	123
5.1	Design Differences between Conventional and NC Machines	123
5.1.1	Control System Design Considerations	124
5.1.2	Mechanical Design Considerations	124
5.1.3	Overall Design Criteria	124
5.2	Lost Motion in the NC System	125

5.3	Sources of Lost Motion	127
5.3.1	Backlash	127
5.3.2	Windup in Machine Components	127
5.3.3	An Example to Illustrate Windup and Lost Motion Computation	128
5.3.4	Deflection	129
5.3.5	An Example to Demonstrate the Effect of Stiffness on Lost Motion	131
5.3.6	Friction on Machine Slides	131
5.3.7	An Example of the Stick-Slip Phenomenon	132
5.3.8	An NC Design Problem Related to Cutting Forces	133
5.4	NC Machine Tool Vibration	134
5.4.1	Machine Tool Resonance	134
5.4.2	An Example of Natural Frequency Calculation	137
5.4.3	Machine Tool Chatter	138
5.4.4	Chatter Compensation	141
5.5	Servomechanism Design Characteristics	141
5.5.1	Actuation System Response	142
5.5.2	Power Amplifier Response	143
5.5.3	Amplifier Stability	144
5.6	Tooling Specification for NC	146
5.7	Metrification and the NC System	148
	References	149
	Problems	149
Chapter Six	NC System Input and Output	152
6.1	The Information Interface	152
6.2	Types of Media	152
6.2.1	Punched Cards	153
6.2.2	Punched Tape	154
6.2.3	Magnetic Media	157
6.2.4	Computer Transmitted NC Data	158
6.3	Symbolic Codes	158
6.3.1	An Example of Binary Expansion	160
6.3.2	Binary Coded Decimal	160
6.3.3	ASCII	162
6.4	Tape Input Formats	162
6.5	Communications with the MCU	164
6.6	Basic NC Input Data	166
6.6.1	Sequence Numbers	166
6.6.2	Preparatory Functions	166

6.6.3	<i>Miscellaneous Functions</i>	166
6.6.4	<i>Tool Function</i>	168
6.6.5	<i>The Magic-3 Code</i>	170
6.6.6	<i>Examples to Illustrate the Magic-3 Code</i>	170
6.6.7	<i>Inverse Time Code</i>	171
6.7	<i>Function Specification—Some Considerations</i>	172
6.7.1	<i>Feedrate</i>	172
6.7.2	<i>Undercut Error</i>	174
6.7.3	<i>An Example to Compute Overshoot</i>	175
6.7.4	<i>Constant Surface Speed</i>	175
6.8	<i>Verification of NC Input</i>	177
6.8.1	<i>Machining Substitute Materials</i>	177
6.8.2	<i>Graphic Proofing</i>	178
	<i>References</i>	179
	<i>Problems</i>	180
Chapter Seven	Numerical Control Programming	184
7.1	<i>Manual Programming Methods</i>	184
7.1.1	<i>Coordinate System Nomenclature</i>	185
7.1.2	<i>An Example of Manually Developed Coordinate Data</i>	185
7.1.3	<i>Numerical Control Program Types</i>	186
7.1.4	<i>An Example of Point-to-Point Operations</i>	187
7.2	<i>Point-to-Point Programming Applications</i>	188
7.3	<i>Continuous Path Programming Applications</i>	189
7.3.1	<i>An Example of the Effect of Tolerance on Curve Approximation</i>	191
7.3.2	<i>Other Methods of Arc Approximation</i>	192
7.3.3	<i>Circular Interpolation</i>	193
7.3.4	<i>Parabolic Interpolation</i>	193
7.3.5	<i>Cutter Path Offset</i>	194
7.4	<i>Manual Programming for a Simple Geometry</i>	195
7.5	<i>Computer Assisted NC Programming</i>	200
7.5.1	<i>The Computerized Part Program</i>	200
7.5.2	<i>The Processor</i>	201
7.5.3	<i>The Postprocessor</i>	201
7.6	<i>A Simple Part Programming Language—SPPL</i>	202
7.6.1	<i>An Example of SPPL Geometry Statements</i>	205
7.6.2	<i>SPPL Program Translation</i>	206
7.6.3	<i>Motion and Special Statement Commands</i>	206
7.6.4	<i>An SPPL Program</i>	208
7.7	<i>The Postprocessor</i>	212

7.7.1	<i>Calculation of Deceleration Time</i>	214
7.7.2	<i>Auxiliary Postprocessor Functions</i>	214
7.8	Processor Languages in Industry—APT	216
	References	221
	Problems	221
Chapter Eight Mathematics for Numerical Control		227
8.1	Analytic Geometry in NC	228
8.1.1	<i>Line-Arc Evaluation</i>	228
8.1.2	<i>Defining an Arc</i>	231
8.2	Computer-aided Analysis	234
8.3	Contour Fitting Procedures	237
8.3.1	<i>The Mathematical Spline</i>	238
8.3.2	<i>The Parametric Spline</i>	238
8.3.3	<i>An Example of the Parametric Spline</i>	242
8.3.4	<i>Interpolation and Normals</i>	243
8.3.5	<i>An Example of Interpolation and Offset</i>	245
8.3.6	<i>NC Implementation of Splines</i>	246
8.4	Surfaces	247
8.4.1	<i>Analytic Surfaces</i>	247
8.4.2	<i>NC Implementation of Analytic Surfaces</i>	248
8.4.3	<i>An Example of the QADRIC Statement</i>	249
8.5	The Sculptured Surface	250
8.5.1	<i>Multivariable Curve Interpolation</i>	250
8.5.2	<i>The Bi-Cubic Surface Patch</i>	252
8.5.3	<i>An Example of Calculation of Matrix M</i>	254
8.5.4	<i>NC Implementation of Sculptured Surfaces</i>	255
	References	257
	Problems	257
Chapter Nine Process Optimization		260
9.1	Adaptive Control	260
9.1.1	<i>Optimizing Adaptive Control</i>	261
9.1.2	<i>AC-NC Systems</i>	262
9.2	Elements of an Adaptive NC System	264
9.2.1	<i>Constraint Correction Mode</i>	265
9.2.2	<i>Optimization Mode</i>	267
9.2.3	<i>The Steepest Ascent Procedure</i>	268
9.2.4	<i>The Sequential Simplex Search</i>	268

9.3	Sensing Methods for Adaptive Control	269
9.3.1	<i>AC Instrumentation</i>	270
9.3.2	<i>Air Gap and Interrupted Machining</i>	272
9.4	Machinability	
9.4.1	<i>Machinability Data and Numerical Control</i>	272
9.4.2	<i>Machinability Data and Adaptive Control</i>	274
9.5	Computerized Machinability Systems	275
9.5.1	<i>Mathematical Modeling Programs</i>	275
9.5.2	<i>Data Base Systems</i>	276
9.5.3	<i>Integrated Machinability Systems—EXAPT</i>	277
	References	278
	Problems	279
 Chapter Ten Computer-Aided Manufacturing		 281
10.1	The CAM Hierarchy	281
10.2	NC in Computer-Aided Manufacturing	283
10.3	Elements of the CAM System	284
10.3.1	<i>The CAM Data Base</i>	284
10.3.2	<i>Production Management</i>	285
10.3.3	<i>Manufacturing Control</i>	287
10.4	Computer Managed NC Systems	288
10.5	Computerized Numerical Control—CNC	289
10.5.1	<i>Functional Elements of CNC</i>	289
10.5.2	<i>Software Interpolation</i>	290
10.5.3	<i>Benefits of CNC</i>	291
10.6	Direct Numerical Control—DNC	292
10.6.1	<i>DNC System Configuration</i>	292
10.6.2	<i>Behind-the-Tape Reader Systems</i>	293
10.6.3	<i>DNC Systems with Special Machine Control</i>	295
10.6.4	<i>A Comparison of DNC Systems</i>	296
10.7	Programmable Machine Error Compensation	297
10.7.1	<i>Axis Calibration</i>	297
10.7.2	<i>Real Time Error Compensation</i>	299
10.7.3	<i>Maintenance Capabilities</i>	299
10.8	Monitoring in a CAM System	300
10.8.1	<i>Monitoring Requirements</i>	300
10.8.2	<i>Techniques for Signal Acquisition</i>	301
10.9	Trends in Computer-Aided Manufacturing	302
	References	303
 Index		 305

Chapter One

Introduction to Numerical Control

Man has been described as a tool using animal. Among the characteristics that distinguish him from other species is an ability to fashion complex devices that magnify or extend his own capabilities. These devices which we call machines have governed the rate of man's material progress throughout history. The evolution of the machine can be attributed to its inherent *propagating power*. Existing tools make possible the manufacture of more advanced tools which in turn serve to accelerate the evolutionary process.

1.1 Man and the Machine

The first machine tools are believed to have been developed more than 2500 years ago. These early rotary devices allowed the artisan to produce intricate circular forms from wood and other hard materials. Although the early machines extended man's ability to produce relatively complex shapes, it was not until the fourteenth century that the first elementary precision machines were developed. The mechanical weight driven clock, proposed by Giovanni DeDondi (1318–1389), became the impetus for the development of the first true machine tools, such as the screw cutting lathe. The advent of the industrial revolution greatly accelerated the evolution of the machine tool, and the development of the steam engine by James Watt in the latter half of the eighteenth century precipitated requirements for new devices and precision in metal cutting tools.

In 1798 Eli Whitney signed a contract with the U.S. Government to produce 12,000 muskets and promised that the parts of each musket would be interchangeable. The commitment required manufacturing control which had never before been attempted. Whitney and his associates designed water powered machinery to perform the forging, boring, grinding, polishing, and rolling operations at his mill in New Haven, Connecticut. Although Whitney