# BLOOMSBURY COMPANION TO SYNTAX

SILVIA LURAGHI & CLAUDIA PARODI

## The Bloomsbury Companion to **Syntax**

Edited by

Silvia Luraghi and Claudia Parodi

Bloomsbury Academic An imprint of Bloomsbury Publishing Plc

LONDON · NEW DELHI · NEW YORK · SYDNEY

#### **Bloomsbury Academic**

An imprint of Bloomsbury Publishing Plc

50 Bedford Square London WC1B 3DP UK 1385 Broadway New York NY 10018 USA

#### www.bloomsbury.com

### BLOOMSBURY and the Diana logo are trademarks of Bloomsbury Publishing Plc

First published in paperback 2015

First published 2013

© Silvia Luraghi, Claudia Parodi and Contributors, 2013, 2015

Silvia Luraghi and Claudia Parodi have asserted their right under the Copyright, Designs and Patents Act, 1988, to be identified as the Editors of this work.

All rights reserved. No part of this publication may be reproduced or transmitted in any form or by any means, electronic or mechanical, including photocopying, recording, or any information storage or retrieval system, without prior permission in writing from the publishers.

No responsibility for loss caused to any individual or organization acting on or refraining from action as a result of the material in this publication can be accepted by Bloomsbury or the author.

## British Library Cataloguing-in-Publication Data

A catalogue record for this book is available from the British Library.

ISBN: PB: 978-1-4742-3738-3 HB: 978-1-4411-2460-9 ePUB: 978-1-4411-8522-8 ePDF: 978-1-4411-9593-7

**Library of Congress Cataloging-in-Publication Data**A catalog record for this book is available from the Library of Congress.

Typeset by Newgen Imaging Systems Pvt Ltd, Chennai, India Printed and bound in Great Britain

## The Bloomsbury Companion to Syntax

## **Bloomsbury Companions**

The Bloomsbury Companion to Cognitive Linguistics, edited by Jeannette Littlemore and John R. Taylor

The Bloomsbury Companion to Lexicography, edited by Howard Jackson

The Bloomsbury Companion to M.A.K. Halliday, edited by Jonathan J. Webster

The Bloomsbury Companion to Phonetics, edited by Mark J. Jones and Rachael-Anne Knight

Continuum Companion to Discourse Analysis, edited by Ken Hyland and Brian Platridge

Available in Paperback as Bloomsbury Companion to Discourse Studies

Continuum Companion to Historical Linguistics, edited by Silvia Luraghi and Vit Bubenik

Available in Paperback as Bloomsbury Companion to Historical Linguistics

Continuum Companion to Phonology, edited by Nancy C. Kula, Bert Botma and Kuniya Nasukawa

Available in Paperback as Bloomsbury Companion to Phonology

Continuum Companion to the Philosophy of Language, edited by Manuel García-Carpintero and Max Köbel

Available in Paperback as Bloomsbury Companion to the Philosophy of Language

Continuum Companion to Second Language Acquisition, edited by Ernesto Macaro

Available in Paperback as Bloomsbury Companion to Second Language Acquisition

## Forthcoming:

Bloomsbury Companion to Stylistics, edited by Violeta Sotirova

## **Abbreviations**

1 first person
2 second person
3 third person
A adjective

A agent argument of a transitive clause or agent-like argument of

a canonical transitive verb

ABL ablative
ABS absolutive
ACC accusative
ACT active
ADJ adjective

ADV adverb, adverbial

AGR, agr agreement

AgrP agreement phrase

ALL allative

AN Adjective-Noun ANTIP antipassive AP Adjectival Phrase APPL applicative

ARG-ST Argument structure (feature)

Art Article ASP aspect

Aux Auxiliary, auxiliary constituent
B, P classes of Garifuna verb stems
BCG Berkeley Construction Grammar

BEN benefactive

C Complementizer, complementizer head

CAUS causative

C-I Conceptual Interface CIRC circumstantial CL, CLF classifier

CNP common noun phrase Context (feature) CNTXT COM comitative COMP complementizer COMPL completive COND conditional **CONN** connective COP copula

CP complementizer phrase

CVB converb

D determiner (category)
DA Distinguished argument

DAT dative

DE Definiteness Effect

DECL declarative DEF definite Dem Demonstrative Det Determiner DIR direct DIST distal DISTR distributive DO direct object

DP Determiner Phrase DTRS Daughters (feature)

DU dual DUR durative

EA external argument

EPIS epistemic

EPP Extended Projection Principle

ERG ergative

EST Extended Standard Theory

EVID evidential
EXCL exclusive
EXPL expletive
F feminine
Foc Focus

FoFC Final over Final Constraint

FPL feminine plural

FPR Focus Prominence Rule

fr Frame

FSG feminine singular

FUT future FV final vowel

G goal argument of a three-argument clause

GB Government and Binding

GEN genitive GN Genitive-Noun

HD-DTR Head daughter (feature)

HPSG Head-Driven Phrase Structure Grammar I, II classes of Chickasaw agreement markers

I inflection (Infl)

I' intermediate-level phrase headed by I (Infl)

IA internal argument
IC Independent clause
IE Indo-European
IMP imperative
IMPF imperfective
INCL inclusive

#### Abbreviations

IND indicative INDF indefinite INF infinitive

Infl inflection (category)
INFL inflection node
INS instrumental
INTR intransitive
INV inverse
IO indirect object

IP inflectional phrase
IPFV imperfective
IRR irrealis
LF logical form
LOC locative
M, Ma masculine

MP Minimalist Program
MSU Meaning shift unit
MTR Mother (feature)
N neuter, noun

N- non- (e.g. NSG: nonsingular, NPST: nonpast)
N' intermediate-level phrase headed by N

NA Noun-Adjective
n-constituent negative constituent
NEG negation, negative
NegP negation phrase
N-F non-feminine
NG Noun-Genitive

NMLZ nominalizer/nominalization

NOM nominative

NP Noun phrase; Noun-Postposition

NPST nonpast

NQA Nominal-Quantificational asymmetry

NSR Nuclear Stress Rule NTC No Tempering Conditions

O, OBJ Object
OBL oblique
OBV obviative

OS object-subject (constituent order)

OSV Object Subject Verb
OT Optimality Theory
OV Object Verb

OVS object-verb-subject (constituent order)

P passive

P patient argument of a two-argument transitive clause,

patient-like argument of canonical transitive verb, Possessor,

Preposition/Postposition

P1 first position, one-place predicate

P2 second position; two-place predicate

PART particle
PASS passive
PF perfect
PFV perfective
PG parasitic gaps
PHON Phonology (feature)

PL plural

PLD Primary Linguistic Data
PN Preposition-Noun
POS Part-of-speech
POSS possessive

PP prepositional phrase

PRED predicative PRES present PRF perfect

PRO null subject pronoun in a control clause

Pro Pronoun PROG progressive PROH prohibitive

PROX proximal/proximate

PRS present
PST past
PTC partice
PTCP participle

PURP purposive, purpose

PVF perfective

Q question: particle/marker

QUOT quotative
R Relative Clause
REC.PST recent past
RECP reciprocal
REFL reflexive

REL relative, relitivizer REM.PST remote past RES resultative

S(OV) subject object verb S(VO) Subject verb object

S sentence, single argument of canonical intransitive verb, Subject

Sa single agent role argument of an intransitive clause

SAI subject auxiliary inversion

SBCG Sign-Based Construction Grammar

SBJ subject SBJV subjunctive SEM Semantics (feature)

SG singular

SO subject-object (constituent order)

#### **Abbreviations**

SOV subject-object-verb (constituent order)

Sp single patient role argument of an intransitive clause

Spec Specifier, specifier position (X-bar theory)

SR same reference, switch reference

STAT stative SUB subordinate SV Subject Verb

SVO subject-verb-object (constituent order)

SYN Syntax (feature)

T, P, R classes of Garifuna agreement markers

T tense (category), theme argument of a three-argument clause

t trace
TDPST today past
TNS tense
TOP, Top Topic
TopP Topic Phrase

TP tense phrase transitive

TVZ Tlacolula Valley Zapotec UG Universal Grammar

UTAH Uniformity of Theta-Assignment Hypothesis

V S verb-subject (constituent order)

v light verb V verb (category)

V' intermediate-level phrase headed by V

V1 Intransitive verb

V2 Verb second, Transitive verb

V3 Verb which occurs with a prepositional phrase V4 Verb which typically occurs with a sentential object

(complementizer)

VAL Valence (feature)

VIS visible VO Verb Object VOC vocative

VOS verb-object-subject (constituent order)

vP light verb phrase VP Verb Phrase VS Verb Subject

VSO verb-subject-object (constituent order)

WCO weak cross over

X head (X-bar theory), intermediate projection X' intermediate-level phrase (X-bar theory)

X<sup>0</sup> head

XP maximal projection

XP phrase headed by X (X-bar theory), X phrase (with X = variable)

## Contributors

Mark Baker Rutgers University USA

William Croft University of New Mexico Albuquerque USA

Holger Diessel University of Jena Germany

Thórhallur Eythórsson University of Iceland Iceland

Maria Freddi University of Pavia

Italy

Maria Teresa Guasti University of Milano-Bicocca Italy

Liliane Haegeman Ghent University Belgium

Marja-Liisa Helasvuo University of Turku

Finland

Paul Hopper Carnegie Mellon University USA

Edward Keenan University of California at Los Angeles USA Leonid Kulikov Ghent University Belgium

Terje Lohndal Norwegian University of Science and Technology Norway

Giuseppe Longobardi University of Trieste Italy

Luis López University of Illinois Chicago USA

Silvia Luraghi University of Pavia Italy

Caterina Mauri University of Pavia Italy

Laura Michaelis

University of Colorado Boulder

USA

Marianne Mithun

University of California at Santa Barbara

USA

Pamela Munro

University of California at Los Angeles

**USA** 

Jairo Nunes

University of São Paulo

Brazil

#### Contributors

Francisco Ordóñez State University of New York Stony Brook

USA

Claudia Parodi University of California at Los Angeles USA

Doris Payne University of Oregon USA

Cecilia Poletto Goethe-Universität Frankfurt am Main Germany

Carlos Quicoli University of California at Los Angeles USA Luigi Rizzi University of Siena Italy

Vieri Samek-Lodovici University College London UK

Giuseppina Silvestri University of Pisa Italy

Jae Jung Song University of Otago New Zealand

Tim Stowell University of California at Los Angeles USA

## Contents

	Abbreviations	vii
	Contributors	xii
	Introduction	1
	Part I Research Methods in Syntax	
1	Hypothesis Formation William Croft	11
2	Hypothesis Testing in the Minimalist Program  Mark C. Baker	22
3	Field Methods in Syntactic Research Marianne Mithun	32
4	Corpus Methods in Syntax Maria Freddi	51
	Part II Current Issues and Research in Syntax	
5	Constituents Marja-Liisa Helasvuo	65
6	X'- Structure and Minimalism  Jairo Nunes	76
7	The Structure of NPs: Some Insights on Case, Empty Categories, and Poverty of Stimulus  Giuseppe Longobardi and Giuseppina Silvestri	88
8	Word Order Pamela Munro	118
9	Scrambling Francisco Ordóñez	143
10	On V2 Types	154

## Contents

11	Clitics Silvia Luraghi	165		
12	Changing the Subject: Shifting Notions about Subjecthood in Generative Grammar <i>Tim Stowell</i>	194		
13	Alignment and Grammatical Relations  Doris L. Payne	218		
14	Passives and Antipassives Edward L. Keenan	240		
15	Middle and Reflexive Leonid Kulikov	261		
16	Causatives: Structure, Type, and Distribution Jae Jung Song	281		
17	Coordination Caterina Mauri	292		
18	Wh-Movement Luis López	311		
19	Complementation Claudia Parodi and A. Carlos Quicoli	325		
20	Adverbial Subordination Holger Diessel	341		
21	Negation Liliane Haegeman and Terje Lohndal	354		
22	Syntactic Change Thórhallur Eythórsson	365		
23	Acquisition of Syntax Maria Teresa Guasti	389		
Part III New Directions in Syntactic Research				
24	Usage and Syntax Paul J. Hopper	405		
25	Construction Grammar and the Syntax-Semantics Interface Laura A. Michaelis	421		
26	Topic, Focus, and the Cartography of the Left Periphery  Luigi Rizzi	436		

		Contents
27	Optimality Theory as a Possible Framework for the Minimalist Program Vieri Samek-Lodovici	452
	Appendix — Resources in Syntax Maria Freddi and Silvia Luraghi	463
	Glossary	469
	Bibliography Author Index	485 523
	Subject Index	530
	Language Index	543

## Introduction

Syntax is perhaps the field of linguistics that has generated the biggest number of competing theoretical frameworks, to the effect that mutual communication is often virtually inexistent. It is therefore a big challenge to try and induce dialogue among researchers and students of different theoretical persuasions. In this book we present a collection of articles that touch on a wide range of aspects of syntactic research, with the aim to bring together different theoretical treatments of partly similar issues. In this way we offer a point of departure for those who are willing to foster intertheoretical dialogue.

The book is divided into three parts. The first part, which is focused on methodology, contains papers that discuss the way in which hypotheses are formulated and tested as well as current practices in data collection, in particular in field research and by handling electronic corpora. The second part, which constitutes the bulk of the volume, is an exhaustive collection of papers that discuss a variety of topics, including constituency and constituent structure, word order variation and special word order patterns, such as those in which certain items occur in second position in a sentence, grammatical relations, verbal voice and transitivity, coordination and subordination, negation, syntactic change and language acquisition. All these topics are treated from specific theoretical viewpoints, including formal and functional ones. In the third part we present some current approaches to syntax which we hold to be especially promising, and can provide the reader with some insight in the variety of possible directions for future research. A brief overview of the content of each chapter is given below.

In Chapters 1 and 2, "Hypothesis formation" and "Hypothesis testing in the Minimalist Program," William Croft and Mark Baker take opposite stances on the issue of how hypotheses are formed and tested when doing syntactic, or more in general linguistic research. While Croft suggests a distributional analysis as the basis for hypothesis formation, in which linguistic data play a crucial role in directing the linguist's theoretical assumptions, Baker favors an approach in which "one first considers the logical consequences of some theory that one finds appealing, and then goes on to test whether those consequences match up with observable data." Thus Croft favors a bottom up approach, while Baker suggests that a top down view is more promising. The contrast provides the reader with insights in two opposite ways of conceiving of the proper object and methodology of linguistic research.

The next two chapters, 3 "Field methods in syntactic research" by Marianne Mithun and 4 "Corpus methods in syntax" by Maria Freddi, go deeper into the issue of linguistic data, and show that the way in which they are collected is far from being only a practical matter. In particular, Mithun stresses the importance of avoiding interference from the linguist's native language when eliciting data from typologically distant languages. She shows that even widely used parallel corpora provided by translations that are commonly considered idiomatic, such as Bible translations, may not reflect actual language usage thus inducing false assumptions regarding language structure. The likelihood of certain topics to occur in spontaneous discourse has consequences on the type of grammatical constructions used by speakers and on their entrenchment in cognition. With data from her own fieldwork, Mithun illustrates a number of syntactic characteristics of Mohawk, including constituency, topic and antitopic construction, word order and subordination. She also shows how independent evidence is gained by the study of intonation, which can help isolate syntactic units. Attention for actual data and their frequency is not only crucial when describing "exotic" languages: much to the contrary, making use of wide collections of data changes one's understanding of language and syntax in general, by prompting "a novel view of syntax as inherently probabilistic," "challenging a categorical modeling of language" and replacing "grammaticality judgments with the recognition of variability, gradience and fuzzy phenomena" as Freddi puts it. Freddi further shows how research based on distribution and collocations favors a view of language that refrains from rigid separation of syntax from other linguistic levels, most notably the lexicon. This view is in line with recent approaches to constructions as instantiated in various versions of Construction Grammar (see for example Goldberg 1995, Lancaster 1987).

The second part of the book opens with three papers which view constituency form different perspectives. Chapter 5 "Constituents," by Marja-Liisa Helasvuo, takes a usage-based approach to language, whereby structure is viewed as emerging form usage in actual discourse, a process she calls "emergent constituentization." In much the same way as Mithun, Helasvuo also stresses the importance of prosody and intonation as means for singling out units in discourse. Based on data from speakers' repair practices and production of co-constructions in languages such as English, Japanese and Finnish, she further shows how researchers can observe the way in which speakers monitor the emergence of syntactic structures. Jairo Nunes discusses "X-bar Structure and Minimalism" in Chapter 6, thus following what Baker would call a typical top down approach to constituency. Under the assumption that "words combine into larger units with hierarchical structure," Nunes shows how the Minimalist Program has provided and is currently providing new insights to the issue of constituency as treated within the generative grammar framework. Chapter 7 "The Structure of NPs: Some insights on Case, empty