

SYNTAX

CRITICAL CONCEPTS IN LINGUISTICS

Edited by
ROBERT FREIDIN AND HOWARD LASNIK

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PREFACE

A theory of syntax is largely a theory about the syntactic representation of linguistic expressions. Views on how such representations are constructed have changed radically from the advent of transformational generative grammar in the 1950s to the present. In the beginning syntactic representations were constructed in two parts, one produced by a set of phrase structure rules (a phrase structure grammar), and the other resulting from the application of a set of transformational rules (the transformational component) to the output of the set of phrase structure rules. The initial formulations of phrase structure rules and transformations were relatively unconstrained (certainly compared to what developed); they served primarily as descriptive devices to account for the data of natural language syntax. As the theories of phrase structure rules and of transformations developed, their natural interdependence became clearer, so that changes in one theory directly affected the other (e.g. introducing sentential recursion in phrase structure rules leads to the transformational cycle). The two theories were in turn significantly affected by the formulation of general conditions on derivations and representations, leading ultimately to the Principles and Parameters (henceforth P&P) framework where phrase structure rules have been eliminated as a device from the theory of grammar, and transformations have been reduced to their bare essentials. The application of notions of economy for derivations and representations within the P&P framework has resulted in further simplifications in the theory of grammar, leading to the fundamental questions of the Minimalist Program (MP) concerning basic issues of language design.

The papers in this collection are concerned with the fundamental concepts, mechanisms, and analyses of transformational generative grammar. We apply the notion “fundamental” broadly to include concepts, mechanisms and analyses that might have been superseded, but which have significantly contributed to the basis of current work, as well as those of the most recent proposals. As the recent resurrection of generalized transformations stunningly illustrates, old ideas that have been discarded along the way can be resuscitated and refurbished to play a central role on current theory. Also, old analyses that have been abandoned in current work may still turn out to be correct in principle and perhaps in detail as well

PREFACE

(see H. Lasnik, *'Syntactic Structures' Revisited*, M.I.T. Press (2000) for discussion).

This collection covers the two central topics of syntactic theory: the grammatical mechanisms that produce syntactic representations and the principles of grammar that restrict either their operation or the representations they construct. The first three volumes mainly concern the former while the last three volumes primarily focus on principles of grammar that either restrict the application of rules, thereby constraining derivations, or place well-formedness constraints on the representations constructed. Volume I is devoted to the topic of phrase structure. Volumes II and III cover the topic of transformations: phrasal vs. head movement, and deletion. Volume IV deals with principles that concern the locality of movement operations and the cyclic application of rules. Volume V concerns the subtheories of Case, thematic roles, and binding. Volume VI covers control theory, economy, and its development in minimalism. These topics are obviously intrinsically interconnected, thus a chapter in one volume may also deal with a topic covered in another volume. Papers have been organized in terms of their most salient contribution. For example, Chomsky's "Remarks on nominalizations", which makes fundamental contributions to the theory of transformations as well as the theory of phrase structure, occurs in Volume I rather than the volume on transformations that covers phrasal movement. The importance of such papers for other topics will be noted in the introductions to the appropriate volumes.

The theory of transformational generative grammar was of course pioneered in the early 1950s by Noam Chomsky. For over half a century his work has continued to set the research agenda for the field. As this collection illustrates, his contributions have been constant and fundamental. Therefore we would like to acknowledge especially his kind permission to reprint so much of this important work, including material which because of space constraints had to be excerpted.

In constructing this collection we were aided by several other people who we would like to acknowledge. Carlos Otero, the series editor, has been a constant source of wisdom, encouragement and much else. Tomohiro Fujii has provided indispensable assistance, both substantive and editorial, in the preparation of these volumes. Cedric Boeckx and Jean-Roger Vergnaud have been ongoing sources of good advice. And finally, we would like to thank our colleagues whose work is collected in these volumes.

Chronological table of reprinted chapters and articles

Date	Author(s)	Title	Source	Vol.	Ch
1957	Noam Chomsky	Phrase structure	N. Chomsky, <i>Syntactic Structures</i> . The Hague: Mouton, pp. 26–33.	I	1
1957	Noam Chomsky	Limitations of phrase structure description	N. Chomsky, <i>Syntactic Structures</i> . The Hague: Mouton, pp. 34–48.	II	25
1957	Noam Chomsky	Some transformations in English	N. Chomsky, <i>Syntactic Structures</i> . The Hague: Mouton, pp. 61–84.	II	26
1965	Noam Chomsky	Deep structures and grammatical transformations	N. Chomsky, <i>Aspects of the Theory of Syntax</i> . Cambridge, MA: MIT Press, pp. 128–147.	IV	65
1966	Noam Chomsky	Excerpt from “The theory of transformational generative grammar”	N. Chomsky, <i>Topics in the Theory of Generative Grammar</i> , The Hague: Mouton, pp. 68–74.	I	2
1967	John Robert Ross	Excerpts from <i>Constraints on Variables in Syntax</i>	J. R. Ross, MIT PhD dissertation, in G. Harman (ed.) <i>On Noam Chomsky: critical essays</i> , 2nd edn, University of Massachusetts Press, 1974, pp. 165–200.	IV	56
1968	James D. McCawley	Concerning the base component of a transformational grammar	<i>Foundations of Language</i> 4: 243–269.	I	3
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1972	Joseph E. Emonds	Evidence that indirect object movement is a structure-preserving rule	<i>Foundations of Language</i> 8: 546–561.	II	32
1972	Paul M. Postal	On some rules that are not successive cyclic	<i>Linguistic Inquiry</i> 3: 211–222.	IV	58

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1973	Noam Chomsky	Excerpt from Conditions on Transformations	N. Chomsky, <i>Essays on Form and Interpretation</i> , Netherlands: Elsevier-North Holland. pp. 81–114. [§§1–7] (Originally published in S. Anderson and P. Kiparsky (eds), <i>A Festschrift for Morris Halle</i> . New York: Holt, Rinehart and Winston.)	IV	57
1976	Noam Chomsky	Excerpt from Conditions on rules of grammar	<i>Linguistic Analysis</i> 2: 308–316.	II	27
1976	Howard Lasnik	Remarks on coreference	<i>Linguistic Analysis</i> 2(1): 1–22.	V	76
1977	Noam Chomsky	On wh-movement	P. Culicover, T. Wasow and A. Akmajian (eds), <i>Formal Syntax</i> , New York: Academic Press, pp. 71–132.	II	36
1977	Jean-Roger Vergnaud	Letter to Noam Chomsky and Howard Lasnik	J.-R. Vergnaud, Letter to N. Chomsky and H. Lasnik re their ms. "Filters and control".	V	69
1977	Edwin Williams	Discourse and logical form	<i>Linguistic Inquiry</i> 8: 101–139.	III	53
1978	Robert Freidin	Cyclicity and the theory of grammar	<i>Linguistic Inquiry</i> 9: 519–549.	IV	66
1978	Jan Koster	Why subject sentences don't exist	S. J. Keyser (ed.), <i>Recent Transformational Studies in European Languages</i> , <i>Linguistic Inquiry</i> monograph #3, MIT Press, pp. 53–64.	III	40
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1978	Timothy Stowell	What was there before there was there	D. Farkas, W. Jacobsen and K. Todrys (eds), <i>Papers from the 14th Regional Meeting. Chicago Linguistic Society</i> : 458–471.	III	44
1978	Edwin Williams	Across-the-board rule application	<i>Linguistic Inquiry</i> 9: 31–43.	III	52
1980	Noam Chomsky	Excerpt from 'On binding'	<i>Linguistic Inquiry</i> 11: 18–32.	V	70
1980	Luigi Rizzi	Violations of the <i>wh</i> -island constraint and the subadjacency condition	L. Rizzi, <i>Issues in Italian Syntax</i> , Dordrecht: Foris, pp. 49–76.	IV	59

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1980	Alain Rouveret and Jean-Roger Vergnaud	Specifying reference to the subject: French causatives and conditions on representations	'Appendix A: On Case Marking', <i>Linguistic Inquiry</i> 11(1): 190–195.	V	79
1981	Noam Chomsky	Principles and parameters in syntactic theory	N. Hornstein and D. Lightfoot (eds), <i>Explanation in Linguistics: The Logical Problem of Language Acquisition</i> . Harlow, Essex: Longman, pp. 32–75.	IV	60
1981	Noam Chomsky	Excerpt from <i>Lectures on Government and Binding</i>	N. Chomsky, §3.2.3 of chapter 3, "The theory of binding", <i>Lectures on Government and Binding</i> , Dordrecht: Foris, pp. 183–198.	V	80
1981	Noam Chomsky	Excerpts from <i>Lectures on Government and Binding</i>	N. Chomsky, "LF-representation and θ -theory (1)", §2.2 of chapter 2, "The base" and "LF-representation and θ -theory (2)" §§2.5 and 2.6 of chapter 2, from <i>Lectures on Government and Binding: The Pisa Lectures</i> , Dordrecht: Foris, pp. 134–48, 92–117.	V	73
1981	Noam Chomsky	Excerpt from <i>Lectures on Government and Binding</i>	N. Chomsky, §2.4.3 of chapter 2, "Control theory" <i>Lectures on Government and Binding</i> , Dordrecht: Foris, pp. 74–79.	VI	84
1981	Robert Freidin and Howard Lasnik	Disjoint reference and <i>wh</i> -trace	<i>Linguistic Inquiry</i> 12: 39–53.	V	78
1981	Richard Kayne	Unambiguous paths	R. Kayne, <i>Connectedness and Binary Branching</i> , Dordrecht: Foris, 1984, pp. 129–163. (Originally published in R. May and J. Koster (eds), <i>Levels of Syntactic Representation</i> , Dordrecht: Foris, pp. 143–183.)	I	18
1981	Howard Lasnik	Restricting the theory of transformations: a case study	N. Hornstein and D. Lightfoot (eds), <i>Explanation in Linguistics: The Logical Problem of Language Acquisition</i> . Harlow, Essex: Longman, pp. 125–145, 272–81.	III	45
1981	Tanya Reinhart	Definite NP anaphora and c-command domains	<i>Linguistic Inquiry</i> 12(4): 605–635.	V	77
1981	Timothy Stowell	The order of complements	T. Stowell, <i>Origins of Phrase Structure</i> . Unpublished MIT dissertation, chapter 3, sections 1–2, pp. 105–125.	I	13

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1982	Ken Hale	Preliminary remarks on configurationality	<i>Proceedings of the Northeast Linguistics Society</i> 12: 86-96.	I	19
1982	C.-T. James Huang	Move wh in a language without wh movement	<i>The Linguistic Review</i> 1: 369-416.	II	37
1982	James D. McCawley	Parentheticals and discontinuous constituent structure	<i>Linguistic Inquiry</i> 13(1): 91-106.	I	21
1983	James Higginbotham	A note on phrase-markers	<i>Revue Québécoise de Linguistique</i> 13: 147-166.	I	23
1983	James Higginbotham	Logical form, binding, and nominals	<i>Linguistic Inquiry</i> 14: 395-420.	V	81
1983	Edwin S. Williams	Against small clauses	<i>Linguistic Inquiry</i> 14(2): 287-308.	I	9
1984	Mona Anderson	Prenominal genitive NPs	<i>The Linguistic Review</i> 3: 1-24.	I	6
1984	Mark R. Baltin	Extraposition rules and discontinuous constituents	<i>Linguistic Inquiry</i> 15: 157-163.	I	22
1984	Howard Lasnik and Mamoru Saito	On the nature of proper government	<i>Linguistic Inquiry</i> 15: 235-289.	IV	61
1984	Esther Torrego	On inversion in Spanish and some of its effects	<i>Linguistic Inquiry</i> 15: 103-129.	IV	67
1985	Andrew Barss and Howard Lasnik	A note on anaphora and double objects	<i>Linguistic Inquiry</i> 17: 347-354.	II	33
1986	Noam Chomsky	X-bar theory	<i>Barriers</i> , §1: 2-4.	I	5
1986	Oswaldo A. Jaeggli	Passive	<i>Linguistic Inquiry</i> 17: 587-622.	II	28
1987	Steven Abney	Introduction to <i>The English noun phrase in its sentential aspect</i>	S. Abney. Unpublished MIT dissertation, chapter 1.	I	7
1987	C.-T. James Huang	Move wh in a language without wh movement	<i>The Linguistic Review</i> 1: 369-416.	II	37
1988	Adriana Belletti and Luigi Rizzi	Notes on psych-verbs, θ -theory, and binding	R. Freidin (ed.), <i>Principles and Parameters in Comparative Grammar</i> , Cambridge, MA: MIT Press, pp. 132-162.	V	74

1988	Richard K. Larson	On the double object construction	<i>Linguistic Inquiry</i> 19(3): 335–391.	II	34
1989	M. A. Browning	ECP ≠ CED	<i>Linguistic Inquiry</i> 20: 481–491.	IV	62
1989	Ken Hale	On nonconfigurational structures	L. Maráz and P. Muysken (eds), <i>Configurationality: The Typology of Asymmetries</i> , Dordrecht: Foris, pp. 293–300.	I	20
1989	Jean-Yves Pollock	Verb movement, universal grammar and the structure of IP	<i>Linguistic Inquiry</i> 20(3): 365–424.	I	10
1989	Lisa Travis	Parameters of phrase structure	M. Baltin and A. Kroch (eds), <i>Alternative Conceptions of Phrase Structure</i> , University of Chicago Press, pp. 263–279.	I	14
1990	Guglielmo Cinque	Excerpt from Long and successive cyclic <i>wh</i> -movement	G. Cinque, <i>Types of A-bar Dependencies</i> , <i>Linguistic Inquiry</i> monograph #17, Cambridge, MA: MIT Press, §§1.1–1.4.6: pp. 1–20.	IV	68
1990	Luigi Rizzi	Opacity effects on adjunct variables	L. Rizzi, <i>Relativized Minimality</i> , MIT Press, pp. 1–27.	IV	63
1991	Noam Chomsky	Some notes on economy of derivation and representation	N. Chomsky, <i>The Minimalist Program</i> , Cambridge, MA: MIT Press, 1995, pp. 129–166. (Originally published in R. Freidin (ed.), <i>Principles and Parameters in Comparative Grammar</i> , Cambridge, MA: MIT Press.)	VI	87
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1991	Eric Hoekstra	On double objects in English and Dutch	K. Leffel and D. Bouchard (eds), <i>Views on Phrase Structure</i> , Dordrecht: Kluwer, pp. 83–95.	II	35
1991	Kyle Johnson	Object positions	<i>Natural Language and Linguistic Theory</i> 9: 577–636.	II	30
1991	Howard Lasnik and Mamoru Saito	On the subject of infinitives	H. Lasnik, <i>Minimalist Analysis</i> , Blackwell, 1999, pp. 7–24. (Originally published in L. Dobrin, L. Nichols and R. Rodriguez (eds), <i>Papers from the 27th Regional Meeting of the Chicago Linguistic Society</i> , pp. 324–343.)	II	29
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Date	Author(s)	Title	Source	Vol.	Ch
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1993	Noam Chomsky and Howard Lasnik	Binding theory	N. Chomsky and H. Lasnik, §1.4.2 of chapter 1 “The theory of principles and parameters”, in <i>The Minimalist Program</i> , MIT Press, 1995, pp. 92–110. (Originally published in J. Jacobs, A. von Stechow, W. Sternefeld and T. Vennemann (eds), <i>Syntax: An International Handbook of Contemporary Research</i> . Berlin: de Gruyter.)	V	82
1993	Noam Chomsky and Howard Lasnik	Excerpt from Case theory	N. Chomsky and H. Lasnik “The theory of principles and parameters”, in <i>The Minimalist Program</i> , Cambridge, MA: MIT Press, 1995, pp. 110–124. (Originally published in J. Jacobs, A. von Stechow, W. Sternefeld and T. Vennemann (eds), <i>Syntax: An International Handbook of Contemporary Research</i> , Berlin: de Gruyter, (§1.4.3).)	V	71
1994	Richard S. Kayne	<i>The Antisymmetry of Syntax</i> , part I	<i>Linguistic Inquiry</i> monograph #25, MIT Press, pp. 3–12.	I	15
1994	Ian Roberts	Two types of head movement in romance	D. Lightfoot and N. Hornstein (eds), <i>Verb Movement</i> , Cambridge: Cambridge University Press, pp. 207–242.	III	46
1994	Chris Wilder	Coordination, ATB and ellipsis	J.-W. Zwart (ed.), <i>Minimalism and Kayne’s Asymmetry Hypothesis</i> , Groningen, pp. 291–331.	III	54
1994	Željko Bošković	D-Structure, theta-criterion, and movement into theta-positions	<i>Linguistic Analysis</i> 24: 247–286.	V	75
1995	Michael Brody	Multiple wh-/Neg-relations and subadjacency	Michael Brody, <i>Lexico-Logical Form: A Radically Minimalist Theory</i> , <i>Linguistic Inquiry</i> monograph #27, MIT Press, pp. 45–75.	IV	64

1995	Noam Chomsky	Bare phrase structure	H. Campos and P. Kempchinsky (eds), <i>Evolution and Revolution in Linguistic Theory: Essays in Honor of Carlos Otero</i> . Georgetown University Press, pp. 51–109. <i>Linguistic Inquiry</i> 26: 347–354.	I	24
1995	Marcel den Dikken	Binding, expletives, and levels	M. Koizumi, <i>Phrase Structure in Minimalist Syntax</i> . Unpublished MIT dissertation, pp. 99–136.	V	83
1995	M. Koizumi	The split VP hypothesis	H. Lasnik, <i>Minimalist Analysis</i> , Oxford: Blackwell, 1999, pp. 151–174. (Originally published in Pensalfini and Uta (eds), <i>Papers on Minimalist Syntax</i> , MIT Working Papers in Linguistics 27, pp. 143–163.)	I	11
1995	Howard Lasnik	A note on Pseudogapping	H. Lasnik, <i>Minimalist Analysis</i> , Oxford: Blackwell, 1999, pp. 151–174. (Originally published in Pensalfini and Uta (eds), <i>Papers on Minimalist Syntax</i> , MIT Working Papers in Linguistics 27, pp. 143–163.)	III	48
1995	Howard Lasnik	Case and expletives revisited: on greed and other human failings	H. Lasnik, <i>Minimalist Analysis</i> , Oxford: Blackwell, 1999, pp. 72–96. (Originally published in <i>Linguistic Inquiry</i> 26: 615–633.)	VI	91
1995	Gereon Müller	On extraposition & successive cyclicity	U. Lutz and J. Pafel (eds), <i>On Extraction and Extraposition in German</i> , Philadelphia: John Benjamins Publishing, pp. 213–243.	III	43
1995	Yuji Takano	Predicate fronting and internal subjects	<i>Linguistic Inquiry</i> 26: 327–340.	I	8
1996	Guglielmo Cinque	The ‘antisymmetric’ programme: theoretical and typological implications	<i>Journal of Linguistics</i> 32: 447–464.	I	16
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