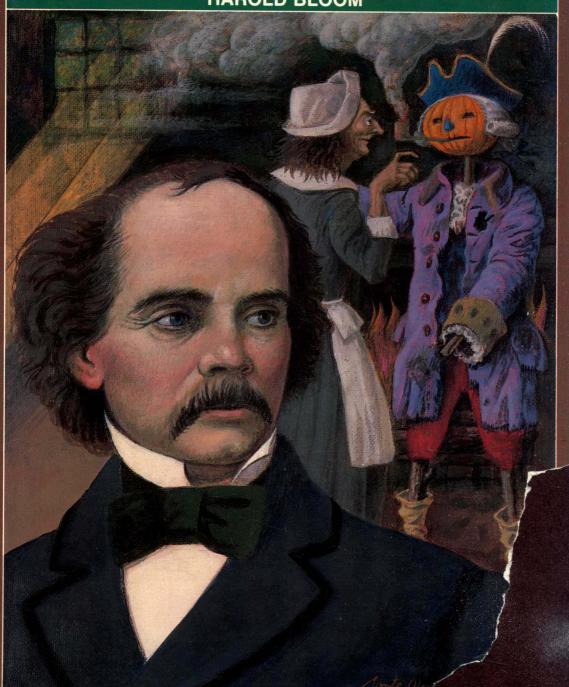
NATHANIEL HAWTHORNE

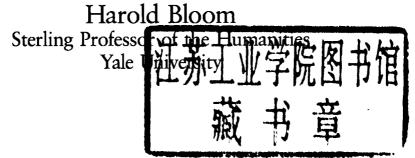
Edited and with an Introduction by HAROLD BLOOM



Modern Critical Views

NATHANIEL HAWTHORNE

Edited and with an introduction by



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Editor's Note

This book brings together what its editor considers to be the best criticism yet published upon Hawthorne, arranged here in the chronological order of its original publication. I am grateful to Marijke Rijsberman and Susan Laity for their erudition and judgment in helping to edit this volume.

The editor's introduction considers Hawthorne in the context both of Emerson's influence upon *The Scarlet Letter*, and of Henry James's ambivalent responses to the influences upon *him* of both Emerson and Hawthorne. A reading of the Emersonian strain in *The Scarlet Letter* is followed by an exegesis of Hawthorne's remarkable final tale, the more-than-ironic "Feathertop."

Clark Griffith's essay contrasting "substance words" and "shadow words" in *The House of the Seven Gables* introduces the chronological sequence, which continues with R. W. B. Lewis's influential account of "returns into time" in *The Marble Faun*. With Larzer Ziff's meditation on the ethics of Hawthorne's theory of romance in "The Custom House," we return to *The Scarlet Letter* by way of its celebrated Introduction. Complementing Ziff's study of morality and myth, the emphasis of Daniel G. Hoffman in his analysis of the "folklore of love" is on Hawthorne's personal nostalgia or dream of love in "The Maypole of Merry Mount."

We return to the novels with A. N. Kaul's deeply informed reading of *The Blithedale Romance* and the book's subtle tracing of the continuities between Puritan tradition and New England Transcendentalist utopianism. A very different emphasis, psychological rather than mythological or moral, is manifested by Frederick C. Crews in his discussion of compulsion in "Roger Malvin's Burial." Another welcome change in perspective is provided by John Caldwell Stubbs, who sees the overt comic artifice of *The House of the Seven Gables* as Hawthorne's deliberate attempt to reverse himself after the darkness of *The Scarlet Letter*. Yet another mode, the elegiac, is evoked in Nina Baym's presentation of *The Marble Faun*, which she regards as

disfigured but not destroyed by Hawthorne's prudential moralism and augmenting nihilism.

Three crucial tales—"Young Goodman Brown," "The Artist of the Beautiful," and "Rappaccini's Daughter"—are adroitly analyzed by Leo B. Levy, Sheldon W. Liebman, and Richard Brenzo, respectively, as instances of Hawthorne's astonishing artistry at fusing belief, aesthetic perception, and eros into a composite image of the American morality of romance.

Richard H. Brodhead returns us to *The Scarlet Letter* in what seems to me the most distinguished reading Hawthorne has received, a reading that teaches us to see the revisionary elements in the novel's relation to literary tradition. A similar centering upon Hawthorne's ambivalences towards narrative art is the basis for Keith Carabine's way of presenting Miles Coverdale's stance as narrator in *The Blithedale Romance*. Finally, this book comes full circle with Michael J. Colacurcio's revisionist reading of "My Kinsman, Major Molineux" as national myth, or "the matter of America." Little as the editor's introduction and Colacurcio's historically learned investigation otherwise have in common, each sees Hawthorne as deflating all American typological historiography, though presumably Colacurcio would not ascribe this strategy to an Emersonian strain in Hawthorne, as I would.

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I

Henry James's Hawthorne was published in December 1879, in London, in the English Men of Letters series. Unique among the thirty-nine volumes of that group, this was a critical study of an American by an American. Only Hawthorne seemed worthy of being an English man of letters, and only James seemed capable of being an American critic. Perhaps this context inhibited James, whose Hawthorne tends to be absurdly overpraised, or perhaps Hawthorne caused James to feel an anxiety that even George Eliot could not bring the self-exiled American to experience. Whatever the reason, James wrote a study that requires to be read between the lines, as here in its final paragraph:

He was a beautiful, natural, original genius, and his life had been singularly exempt from worldly preoccupations and vulgar efforts. It had been as pure, as simple, as unsophisticated, as his work. He had lived primarily in his domestic affections, which were of the tenderest kind; and then—without eagerness, without pretension, but with a great deal of quiet devotion—in his charming art. His work will remain; it is too original and exquisite to pass away; among the men of imagination he will always have his niche. No one has had just that vision of life, and no one has had a literary form that more successfully expressed his vision. He was not a moralist, and he was not simply a poet. The moralists are weightier, denser, richer, in a sense; the poets are more purely inconclusive and irresponsible. He combined in a singular degree the spontaneity of the imagination with a haunting care for moral problems. Man's conscience was his theme, but he saw it in the light of a creative fancy which added, out of its own substance, an interest, and, I may almost say, an importance.

Is The Scarlet Letter pure, simple, and unsophisticated? Is The Marble Faun a work neither moral nor poetic? Can we accurately assert that man's conscience, however lit by creative fancy, is Hawthorne's characteristic concern? James's vision of his American precursor is manifestly distorted by a need to misread creatively what may hover too close, indeed may shadow the narrative space that James requires for his own enterprise. In that space, something beyond shadowing troubles James. Isabel Archer has her clear affinities with Dorothea Brooke, yet her relation to Hester Prynne is even more familial, just as Millie Theale will have the lineage of The Marble Faun's Hilda ineluctably marked upon her. James's representations of women are Hawthornian in ways subtly evasive yet finally unmistakable. Yet even this influence and its consequent ambivalences do not seem to be the prime unease that weakens Iames's Hawthorne. Rather, the critical monograph is more embarrassed than it can know by James's guilt at having abandoned the American destiny, Elsewhere, James wrote to some purpose about Emerson (though not so well as his brother William did), but in Hawthorne the figure of Emerson is unrecognizable and the dialectics of New England Transcendentalism are weakly abused:

A biographer of Hawthorne might well regret that his hero had not been more mixed up with the reforming and free-thinking class, so that he might find a pretext for writing a chapter upon the state of Boston society forty years ago. A needful warrant for such regret should be, properly, that the biographer's own personal reminiscences should stretch back to that period and to the persons who animated it. This would be a guarantee of fulness of knowledge and, presumably, of kindness of tone. It is difficult to see, indeed, how the generation of which Hawthorne has given us, in Blithedale, a few portraits, should not, at this time of day, be spoken of very tenderly and sympathetically. If irony enter into the allusion, it should be of the lightest and gentlest. Certainly, for a brief and imperfect chronicler of these things, a writer just touching them as he passes, and who has not the advantage of having been a contemporary, there is only one possible tone. The compiler of these pages, though his recollections date only from a later period, has a memory of a certain number of persons who had been intimately connected, as Hawthorne was not, with the agitations of that interesting time. Something of its interest adhered to them still-something of its aroma clung to their garments; there was something about them which seemed to say that

when they were young and enthusiastic, they had been initiated into moral mysteries, they had played at a wonderful game. Their usual mark (it is true I can think of exceptions) was that they seemed excellently good. They appeared unstained by the world, unfamiliar with worldly desires and standards, and with those various forms of human depravity which flourish in some high phases of civilisation; inclined to simple and democratic ways, destitute of pretensions and affectations, of jealousies, of cynicisms, of snobbishness. This little epoch of fermentation has three or four drawbacks for the critics—drawbacks, however, that may be overlooked by a person for whom it has an interest of association. It bore, intellectually, the stamp of provincialism; it was a beginning without a fruition, a dawn without a noon; and it produced, with a single exception, no great talents. It produced a great deal of writing, but (always putting Hawthorne aside, as a contemporary but not a sharer) only one writer in whom the world at large has interested itself. The situation was summed up and transfigured in the admirable and exquisite Emerson. He expressed all that it contained, and a good deal more, doubtless, besides; he was the man of genius of the moment; he was the Transcendentalist par excellence. Emerson expressed, before all things, as was extremely natural at the hour and in the place, the value and importance of the individual, the duty of making the most of one's self, of living by one's own personal light, and carrying out one's own disposition. He reflected with beautiful irony upon the exquisite impudence of those institutions which claim to have appropriated the truth and to dole it out, in proportionate morsels, in exchange for a subscription. He talked about the beauty and dignity of life, and about every one who is born into the world being born to the whole, having an interest and a stake in the whole. He said "all that is clearly due to-day is not to lie," and a great many other things which it would be still easier to present in a ridiculous light. He insisted upon sincerity and independence and spontaneity, upon acting in harmony with one's nature, and not conforming and compromising for the sake of being more comfortable. He urged that a man should await his call, his finding the thing to do which he should really believe in doing, and not be urged by the world's opinion to do simply the world's work. "If no call should come for years, for centuries, then I know that the want of the Universe is the attestation of faith by my abstinence. . . . If I cannot work, at least I need not lie." The doctrine of the supremacy of the individual to himself, of his originality, and, as regards his own character, unique quality, must have had a great charm for people living in a society in which introspection—thanks to the want of other entertainment—played almost the part of a social resource.

The "admirable and exquisite Emerson" was "as sweet as barbed wire," to quote President Giamatti of Yale. Any reader of that great, grim, and most American of books, The Conduct of Life, ought to have known this. James's Emerson, dismissed here by the novelist as a provincial of real charm, had provoked the senior Henry James to an outburst of more authentic critical value: "O you man without a handle!" Hawthorne too, in a very different way, was a man without a handle, not less conscious and subtle an artist than the younger Henry James himself. The Scarlet Letter, in James's Hawthorne, is rightly called the novelist's masterpiece, but then is accused of "a want of reality and an abuse of the fanciful element—of a certain superficial symbolism." James was too good a reader to have indicted Hawthorne for "a want of reality," were it not that Hawthornian representation had begun too well the process of causing a Jamesian aspect of reality to appear.

П

Of the four principal figures in The Scarlet Letter, Pearl is at once the most surprising, and the largest intimation of Hawthorne's farthest imaginings. There is no indication that Hawthorne shared his friend Melville's deep interest in ancient Gnosticism, though esoteric heresies were clearly part of Hawthorne's abiding concern with witchcraft. The Gnostic Gospel of Thomas contains a remarkable mythic narrative, "The Hymn of the Pearl," that juxtaposes illuminatingly with the uncanny daughter of Hester Prynne and the Reverend Mr. Dimmesdale (In Gnostic symbolism, the pearl is identical with the spark or pneuma that is the ontological self of the adept who shares in the Gnosis, in the true knowing that surmounts mere faith. The pearl particularly represents what is best and oldest in the adept, because creation is the work of a mere demiurge, while the best part of us, that which is capable of knowing, was never made, but is one with the original Abyss, the Foremother and Forefather who is the true or alien God) When Hawthorne's Pearl passionately insists she was not made by God, we hear again the most ancient and challenging of all Western heresies: /

The old minister seated himself in an arm-chair, and made an

effort to draw Pearl betwixt his knees. But the child, unaccustomed to the touch or familiarity of any but her mother, escaped through the open window and stood on the upper step, looking like a wild, tropical bird, of rich plumage, ready to take flight into the upper air. Mr. Wilson, not a little astonished at this outbreak,—for he was a grandfatherly sort of personage, and usually a vast favorite with children,—essayed, however, to proceed with the examination.

"Pearl," said he, with great solemnity, "thou must take heed to instruction, that so, in due season, thou mayest wear in thy bosom the pearl of great price. Canst thou tell me, my child, who made thee?"

Now Pearl knew well enough who made her; for Hester Prynne, the daughter of a pious home, very soon after her talk with the child about her Heavenly Father, had begun to inform her of those truths which the human spirit, at whatever stage of immaturity, imbibes with such eager interest. Pearl, therefore, so large were the attainments of her three years' lifetime, could have borne a fair examination in the New England Primer, or the first column of the Westminster Catechism, although unacquainted with the outward form of either of those celebrated works. But that perversity, which all children have more or less of, and of which little Pearl had a tenfold portion, now, at the most inopportune moment, took thorough possession of her, and closed her lips, or impelled her to speak words amiss. After putting her finger in her mouth, with many ungracious refusals to answer good Mr. Wilson's question, the child finally announced that she had not been made at all, but had been plucked by her mother off the bush of wild roses, that grew by the prison-door.

(That Pearl, elf-child, is the romance's prime knower no reader would doubt) The subtlest relation in Hawthorne's sinuously ambiguous romance is not that between Chillingworth and Dimmesdale, let alone the inadequate ghost of the love between Hester and Dimmesdale. It is the ambivalent and persuasive mother-daughter complex in which Hester is saved both from suicidal despair and from the potential of becoming the prophetess of a feminist religion only by the extraordinary return in her daughter of everything she herself has repressed. I will venture the speculation that both Hester and Pearl are intense representations of two very different aspects of Emersonianism, Hester being a prime instance of Emerson's American religion of

self-reliance, while Pearl emerges from a deeper stratum of Emerson, from the Orphism and Gnosticism that mark the sage's first anarchic influx of power and knowledge, when he celebrated his own version of what he called, following the Swedenborgians, the terrible freedom or newness Emerson, Hawthorne's Concord walking companion, is generally judged by scholars and critics to be antithetical to Hawthorne. I doubt that judgment, since manifestly Hawthorne does not prefer the pathetic Dimmesdale and the mock-satanic Chillingworth to the self-reliant Hester and the daemonic Pearl. Henry James, like T. S. Eliot, considered Emerson to be deficient in a sense of sin, a sense obsessive in Dimmesdale and Chillingworth, alien to Pearl, and highly dialectical in Hester.

In the Gnostic mode of Pearl, the young Emerson indeed affirmed: "My heart did never counsel me to sin. . . . / I never taught it what it teaches me." This is the adept of Orphic mysteries who also wrote: "It is God in you that responds to God without, or affirms his own words trembling on the lips of another," words that "sound to you as old as yourself." The direct precursor to *The Scarlet Letter*'s Pearl is a famous moment in Emerson's "Self-Reliance," an essay surely known to Hawthorne:

I remember an answer which when quite young I was prompted to make to a valued adviser who was wont to importune me with the dear old doctrines of the church. On my saying, "What have I to do with the sacredness of traditions, if I live wholly from within?" my friend suggested,—"But these impulses may be from below, not from above." I replied, "They do not seem to me to be such; but if I am the Devil's child, I will live then from the Devil."

Call this Pearl's implicit credo, since her positive declaration is: "I have no Heavenly Father!" Even as Pearl embodies Emerson's most anarchic, antinomian strain, Hester incarnates the central impulse of "Self-Reliance." This is the emphasis of chapter 13 of the romance, "Another View of Hester," which eloquently tells us: "The scarlet letter had not done its office." In effect, Hawthorne presents her as Emerson's American precursor, and as the forerunner also of movements still working themselves through among us:

Much of the marble coldness of Hester's impression was to be attributed to the circumstance that her life had turned, in a great measure, from passion and feeling, to thought. Standing alone in the world,—alone, as to any dependence on society, and with

little Pearl to be guided and protected,-alone, and hopeless of retrieving her position, even had she not scorned to consider it desirable.—she cast away the fragments of a broken chain. The world's law was no law for her mind. It was an age in which the human intellect, newly emancipated, had taken a more active and a wider range than for many centuries before. Men of the sword had overthrown nobles and kings. Men bolder than these had overthrown and rearranged—not actually, but within the sphere of theory, which was their most real abode—the whole system of ancient prejudice, wherewith was linked much of ancient principle. Hester Prynne imbibed this spirit. She assumed a freedom of speculation, then common enough on the other side of the Atlantic, but which our forefathers, had they known of it, would have held to be a deadlier crime than that stigmatized by the scarlet letter. In her lonesome cottage, by the sea-shore, thoughts visited her, such as dared to enter no other dwelling in New England; shadowy guests, that would have been as perilous as demons to their entertainer, could they have been seen so much as knocking at her door.

It is remarkable, that persons who speculate the most boldly often conform with the most perfect quietude to the external regulations of society. The thought suffices them, without investing itself in the flesh and blood of action. So it seemed to be with Hester. Yet, had little Pearl never come to her from the spiritual world, it might have been far otherwise. Then, she might have come down to us in history, hand in hand with Ann Hutchinson, as the foundress of a religious sect. She might, in one of her phases, have been a prophetess. She might, and not improbably would, have suffered death from the stern tribunals of the period, for attempting to undermine the foundations of the Puritan establishment. But, in the education of her child, the mother's enthusiasm of thought had something to wreak itself upon. Providence, in the person of this little girl, had assigned to Hester's charge the germ and blossom of womanhood, to be cherished and developed amid a host of difficulties. Every thing was against her. The world was hostile. The child's own nature had something wrong in it, which continually betokened that she had been born amiss,—the effluence of her mother's lawless passion,—and often impelled Hester to ask, in bitterness of heart, whether it were for ill or good that the poor little creature had been born at all.

Indeed, the same dark question often rose into her mind. with reference to the whole race of womanhood. Was existence worth accepting, even to the happiest among them? As concerned her own individual existence, she had long ago decided in the negative, and dismissed the point as settled. A tendency to speculation, though it may keep woman quiet, as it does man, yet makes her sad. She discerns, it may be, such a hopeless task before her. As a first step, the whole system of society is to be torn down, and built up anew. Then, the very nature of the opposite sex, or its long hereditary habit, which has become like nature, is to be essentially modified, before woman can be allowed to assume what seems a fair and suitable position. Finally, all other difficulties being obviated, woman cannot take advantage of these preliminary reforms, until she herself shall have undergone a still mightier change; in which, perhaps, the ethereal essence, wherein she has her truest life, will be found to have evaporated. A woman never overcomes these problems by any exercise of thought. They are not to be solved, or only in one way. If her heart chance to come uppermost, they vanish. Thus, Hester Prynne, whose heart had lost its regular and healthy throb, wandered without a clew in the dark labyrinth of mind; now turned aside by an insurmountable precipice; now starting back from a deep chasm. There was wild and ghastly scenery all around her, and a home and comfort nowhere. At times, a fearful doubt strove to possess her soul, whether it were not better to send Pearl at once to heaven, and go herself to such futurity as Eternal Justice should provide.

Only the emanation of Pearl from the spiritual world has saved Hester from the martyrdom of a prophetess, which is Hawthorne's most cunning irony, since without Pearl his romance would have been transformed into a tragedy. That may be our loss aesthetically, since every reader of *The Scarlet Letter* comes to feel a great regret at Hester's unfulfilled potential. Something in us wants her to be a greater heretic even than Ann Hutchinson. Certainly we sense an unwritten book in her, a story that Hawthorne did not choose to write. But what he has written marks the true beginning of American prose fiction, the absolute point of origin from which we can trace the sequence that goes from Melville and James to Faulkner and Pynchon and that domesticates great narrative art in America.

9

Ш

Hawthorne's highest achievement is not in *The Scarlet Letter* and *The Marble Faun*, distinguished as they are, but in the best of his tales and sketches. The last of these, the extraordinary "Feathertop," sub-titled "A Moralized Legend," is as uncanny a story as Kafka's "Country Doctor" or "Hunter Gracchus," and has about it the dark aura of Hawthorne's valediction, his farewell to his own art. In its extraordinary strength at representing an order of reality that intersects our own, neither identical with the mundane nor quite transcending the way things are, "Feathertop" may be without rivals in our language.

Mother Rigby, a formidable witch, sets out to create "as lifelike a scare-crow as ever was seen," and being weary of making hobgoblins, determines to give us "something fine, beautiful, and splendid." An authentic forerunner of Picasso as sculptor, the witch chooses her materials with bravura:

The most important item of all, probably, although it made so little show, was a certain broomstick, on which Mother Rigby had taken many an airy gallop at midnight, and which now served the scarecrow by way of a spinal column, or, as the unlearned phrase it, a backbone. One of its arms was a disabled flail, which used to be wielded by Goodman Rigby, before his spouse worried him out of this troublesome world; the other, if I mistake not, was composed of the pudding-stick and a broken rung of a chair, tied loosely together at the elbow. As for its legs, the right was a hoe-handle, and the left, an undistinguished and miscellaneous stick from the wood-pile. Its lungs, stomach, and other affairs of that kind, were nothing better than a meal-bag stuffed with straw. Thus, we have made out the skeleton and entire corporosity of the scarecrow, with the exception of its head; and this was admirably supplied by a somewhat withered and shrivelled pumpkin in which Mother Rigby cut two holes for the eyes and a slit for the mouth, leaving a bluish-colored knob, in the middle, to pass for a nose. It was really quite a respectable face.

Gaudily attired, the scarecrow so charms its demiurgic creator ("The more Mother Rigby looked, the better she was pleased") that she emulates Jehovah directly, and decides to breathe life into the new Adam by thrusting her own pipe into his mouth. Once vivified, Mother Rigby's creature is urged by her to emulate Milton's Adam: "Step forth! Thou hast the world before

thee!" Hawthorne does not allow us to doubt the self-critique involved, as all romance is deliciously mocked:

In obedience to Mother Rigby's word, and extending its arm as if to reach her outstretched hand, the figure made a step forward—a kind of hitch and jerk, however, rather than a stepthen tottered, and almost lost its balance. What could the witch expect? It was nothing, after all, but a scarecrow, stuck upon two sticks. But the strong-willed old beldam scowled, and beckoned, and flung the energy of her purpose so forcibly at this poor combination of rotten wood, and musty straw, and ragged garments, that it was compelled to show itself a man, in spite of the reality of things. So it stept into the bar of sunshine. There it stood poor devil of a contrivance that it was!—with only the thinnest vesture of human similitude about it, through which was evident the stiff, ricketty, incongruous, faded, tattered, good-for-nothing patchwork of its substance, ready to sink in a heap upon the floor, as conscious of its own unworthiness to be erect. Shall I confess the truth? At its present point of vivification, the scarecrow reminds me of some of the lukewarm and abortive characters, composed of heterogeneous materials, used for the thousandth time, and never worth using, with which romancewriters (and myself, no doubt, among the rest) have so overpeopled the world of fiction.

But the critique surpasses mere writers and attacks the greatest of romancers, Jehovah himself, as Mother Rigby deliberately frightens her pathetic creature into speech. Now fully humanized, he is named Feathertop by his creator, endowed with wealth, and sent forth into the world to woo the beautiful Polly, daughter of the worshipful Judge Gookin. There is only the one catch; poor Feathertop must keep puffing at his pipe, or he will dwindle again to the elements that compose him. All goes splendidly; Feathertop is a social triumph, and well along to seducing the delicious Polly, when he is betrayed by glances in a mirror:

By and by, Feathertop paused, and throwing himself into an imposing attitude, seemed to summon the fair girl to survey his figure, and resist him longer, if she could. His star, his embroidery, his buckles, glowed, at that instant, with unutterable splendor; the picturesque hues of his attire took a richer depth of coloring; there was a gleam and polish over his whole presence,