# Basic principles of LIGAND FIELD THEORY H. L. Schläfer G. Gliemann



# Basic Principles of LIGAND FIELD THEORY

Hans L. Schläfer and Günter Gliemann

Franslated from the German by

David F. Ilten

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### **Preface**

It is not necessary to expand upon the great importance of ligand field theory in the chemistry of transition metal compounds. With the present treatment we have attempted to give an easily-readable introduction to this theory. The necessary concepts are for the most part introduced on the basis of experimental results, and we hope this will prove useful to the experimental chemist.

The book represents a revised and expanded form of lectures and problem sessions held in the physical chemical institute in Frankfurt as well as in the International Summer School in Constance (Lake Constance) in 1962 and in courses on ligand field theory held in Buckow (DDR) in 1964 and in other places.

We wish to thank Dr. G. Herzog and Dr. O. Steinborn, who kindly assisted with the reading of proofs.

Frankfurt am Main, January, 1966.

HANS LUDWIG SCHLÄFER
GÜNTER GLIEMANN

### General introduction

During the last ten years a theoretical concept, the so-called crystal field or ligand field theory, has proved of great value in discussions of the properties of transition metal compounds and especially of metal complexes. With the aid of ligand field theoretical concepts it is possible to understand a whole series of physical and chemical properties of transition metal complexes from a unified point of view, and to obtain at least semiquantitative information. On this basis the optical properties of the vast majority of coordination compounds of transition metal ions can be interpreted. Other problems, e.g. the description of magnetic properties, of stereochemical irregularities and questions of kinetic and thermodynamic stability can also be treated effectively.

It is hardly possible today to discuss the chemistry of the transition metals, as offered in general lectures on inorganic chemistry, without employing ligand field theory. It is difficult to find a better example of how useful meaningfully chosen models can be for understanding a large body of exceedingly varied experimental results. Nevertheless, only comparatively few basic concepts are required for a first qualitative understanding of the theory.

In this book an attempt will be made to offer the chemist access to the ligand field theory. We thus intentionally make no attempt to present a complete and self-contained systematic treatment. We refer to the excellent monographs by Ballhausen\* and Griffith\* as well as to numerous review articles in which those interested in a systematic presentation will find everything necessary. The books by Jørgensen\* give a good general survey, especially of the interpretation of absorption spectra of transition metal complexes. Cotton\* offers a comparable treatment of group theoretical methods.

According to the impression gained from numerous discussions with colleagues and students, and especially from the experience of introductory lectures held at the Frankfurt institute and elsewhere, understanding ligand field theory presents the chemist with certain difficulties. Not the least cause of these is a lack of familiarity with group and quantum theoretical concepts, often coupled with a lack of the necessary mathematical background. Therefore the emphasis has been placed on didactical

<sup>\*</sup> These references are listed in the bibliography at the end of the book, p. 499 ff.

questions in the following presentation. Prerequisites for understanding this book are simply a basic knowledge of complex chemistry, physical chemistry, physics and mathematics, which the student should have normally obtained by the 'Diplom' examination.

The book consists of two main parts. The first part gives a qualitative introduction to the basic concepts, using selected examples from the field of transition metal complex spectroscopy. These concepts are then used in a study of the magnetic behaviour and thermodynamic stability of complex ions in solutions, the kinetic behaviour in ligand exchange reactions, and other questions from the physical chemistry of coordination compounds. This is done almost completely without the use of mathematical formalism. Rather we have attempted to give a phenomenological presentation on the basis of experimental findings. In doing this it was not possible to avoid making certain compromises, which certainly could be open to much criticism.

The material of this first part is intended to give the reader an impression of the underlying model and of its capabilities of interpreting the properties of transition metal complexes. Among other things it is shown what concrete information can be obtained in special cases, e.g. in the identification of cis-trans isomers of [MA<sub>4</sub>B<sub>2</sub>] complexes (M =  $\rm Cr^{3+}$ ,  $\rm Co^{3+}$ ) on the basis of absorption spectra, the question of the appearance of low-spin complex ions, the connection between stereochemistry and the orbital moment contribution to the magnetic moment for  $\rm Co^{2+}$  and  $\rm Ni^{2+}$  compounds, the problem of the pronounced stability of  $\rm Co^{3+}$  and  $\rm Cr^{3+}$  complexes in ligand exchange reactions, and so on.

In the second part an introduction to the mathematical treatment is offered to those who are interested in a deeper understanding. Here we have utilized experience gained in holding seminars and problem sessions. The material of this more demanding part requires rather intensive concentration, especially from the chemist not fully acquainted with the thinking patterns of the theoretical physicist. Also, the knowledge of certain mathematical principles is essential. As an aid we have collected in an appendix important elements of matrix and determinant theory, as well as explanations of the terms operator, eigenvalue, normalization, orthogonality, etc. in condensed form.

It is recommended that the reader not familiar with the elementary basis of quantum theory should study the appropriate sections in one of the introductory texts cited before beginning the material of part B. A presentation of these additional points would have produced a book of unmanageable length. The derivation of the necessary quantum mechanical relations and group theoretical theorems is not given; neither is the pertinent literature. The second part rather attempts to explain the necessary

calculatory methods by using selected examples. The chapter on the theory of the states of free atoms and ions is followed by a section on the basic principles of group theory, in so far as these are necessary for application in ligand field theory. After the treatment of the splitting of the one-electron d states in ligand fields of various symmetries, a presentation of the methods of determining the term systems of complex ions whose central ions contain several d electrons follows. The molecular orbital method is also treated (and in the appendix the valence bond method). Sections on spin-orbit coupling as well as magnetic susceptibility follow. In all cases the problems are explained on the basis of typical examples, for which the method of calculation is presented.

Finally a collection of important original literature, arranged in order of topics, is given, which is intended to make it easier for the reader to study particular questions in more detail.

The following book was arranged so as to give the chemist an easily-readable introduction to the ligand field theory. After studying the first part he should be in a position to understand the important concepts of the theory and to have an idea of their applicability. After completing the second part the reader should be capable of grasping the quantitative side as well, at least in its elementary form, so that he can treat simple problems and can read the original literature. The material given in the review articles and monographs cited in the bibliography should then offer no further difficulties.

For the majority of the symmetries which usually appear for complexions, especially for the cubic microsymmetry realized at least approximately in the largest number of cases, (symmetry groups  $O_h$  or  $T_d$ ), the term systems for the complexes with central ion having 1 to 9 d electrons have been calculated and the matrix elements are given in the original papers (cf. bibliography). Generally, therefore the chemist today fortunately does not need to carry out calculations himself. He will, using term diagrams or tabulated matrix elements, simply obtain the parameters characterizing the ligand field, e.g. from the absorption spectra, and assign the observed bands to specific electronic transitions. Also in those cases where spin-orbit coupling plays an important rôle, e.g. in magnetic susceptibility or the g factor, the theory is so far developed that for many problems one can refer to original papers in which the needed matrix elements are given.

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#### PART A

# 1. Optical properties of transition metal complexes

In the following it is planned to present the underlying concepts of the ligand field theory on the basis of a discussion of selected absorption spectra of transition metal ions in the visible and ultraviolet regions. We have chosen this method because the interpretation of spectra represents an especially impressive example of the application of the theory. Also, such a phenomenological treatment is well suited to the experimental background of the chemist. Certainly it would have been simpler to introduce the principles of the ligand field theory by considering the magnetic moments of the transition metal complex ions. In that case one is generally interested in only the energetically lowest-lying (most stable) state of a compound—the ground state. On the other hand, a treatment of the optical properties requires the consideration of the energetically higherlying (excited) states because the maxima of the absorption bands are to the first approximation associated with transitions from the ground state to the respective excited states. It is well known that in most cases it is possible to explain magnetic properties-at least in so far as is necessary to rationalize the existence of low-spin complexes-with the help of Pauling's valence bond theory. This theory is of course familiar to the chemist. However, the valence bond theory does not provide a suitable interpretation of absorption spectra, whereas the crystal field or ligand field theory\* does. When considering magnetic properties one concerned with comparing only a single value with experiment, namely the multiplicity of the ground state and thereby the effective magnetic moment at room temperature of the compound in question. However, when one is interested in interpreting absorption spectra, the theory must provide the number and relative location of all the various absorption bands (e.g. the [Mn(H<sub>2</sub>O)<sub>6</sub>]<sup>2+</sup> ion has eight bands). Certain effects such as the splitting of energy levels accompanying a descent in symmetry are sometimes reflected directly in the visible absorption spectrum. Therefore, the range

<sup>\*</sup> Concerning the use of the terms crystal field or ligand field, cf. p. 17 (footnote).

of phenomena embraced by the theory is greater than for the case of magnetic moments.

#### 1.1 Historical survey and description of the problem

A collection of brilliantly coloured, beautifully crystallized salts of metal complexes is aesthetically pleasing to the casual observer; for the spectroscopist it presents an immediate challenge. The prefixes such as violeo-, praseo-, luteo-, purpureo-, roseo-,\* refer to the most variegated visual impressions given by such compounds. When one considers their underlying chemical structures, the remarkable colour changes which accompany a change of ligand or of steric arrangement are brought to mind. The transition metal complexes are especially well suited for investigations on the relationship between colour and composition. They show an amazing variety of colours and at the same time variations of the basic chemical structure are easily obtained. It is therefore not surprising that directly after the introduction of the coordination theory by Alfred Werner<sup>1</sup> several of his students began to study the absorption spectra of solution complexes. The results of these early investigations conducted in Werner's laboratory in Zürich are to be found only in doctoral dissertations.

Starting about 1915 spectroscopic studies were undertaken by such Japanese investigators as Shibata and co-workers<sup>2</sup> and later by Tsushida

<sup>\*</sup> These notations, which were introduced by Fremy (Ann. Chim. Phys., 35, 257 (1852)), give the colours of the appropriate complexes, e.g. [Co(NH<sub>3</sub>)<sub>5</sub>Br]<sup>2+</sup> is also called bromopurpureocobalt (III) ion. Today one occasionally uses these notations to describe classes of compounds.

Colour	Name	Structure				
green	praseo-	trans-[Co(NH <sub>3</sub> ) <sub>4</sub> Cl <sub>2</sub> ] <sup>+</sup>				
violet	violeo-	cis-[Co(NH <sub>3</sub> ) <sub>4</sub> Cl <sub>2</sub> ] <sup>+</sup>				
purple red	purpured-	[Co(NH <sub>3</sub> ) <sub>5</sub> Cl] <sup>2+</sup>				
rose	roseo-	[Co(NH <sub>3</sub> ) <sub>5</sub> H <sub>2</sub> O] <sup>3+</sup>				
yellow	luteo-	$[Cr(NH_3)_6]^{3+}$ bzw. $[Co\ en_3]^{3+}$				
vellow	croceo-	trans- $[Co(NH_3)_4(NO_2)_2]^+$				
brown	flavo-	$cis-[Co(NH_3)_4(NO_2)_2]^+$				

Cf. A. Werner: Ber. dt. chem. Ges., 40, 15 (1907) A Werner: Neuere Anschauungen auf dem Gebiet der anorganischen Chemie, revised by P. Pfeiffer, Friedr. Vieweg and Sohn, Braunschweig, 1923. R. Weinland: Einführung in die Chemie der Komplexverbindungen, 2nd edn, Ferdinand Enke Verlag, Stuttgart, 1924.

<sup>2.</sup> Y. Shibata: cf. contribution in J. Coll. Sci. imp. Univ., Tokyo, 1915 to 1921, also J. chem. Soc. Japan, 1915; Compt. Rend., 1913.

et al.<sup>3</sup>. Also, von Kiss and co-workers<sup>4</sup> and Samuel et al.<sup>5</sup> have measured numerous absorption spectra of complexes in solution. On the basis of these findings the authors attempted to derive phenomenological rules, for example, relating the number of bands to the nature of the central ion, or describing the position of the bands as a function of the nature of the ligands for complexes having similar structures. Joos and Deutschbein<sup>6</sup> and Bose and Datta<sup>7</sup> attempted to give interpretations using the theory of electrons. It was soon recognized that d electrons are important in determining the colour of transition metal ion complexes. Further, concepts of polarization as introduced by Fajans proved to be useful<sup>8</sup>.

None of these efforts, however, led to a satisfactory solution of the problem cited. Certainly not the least cause of this failure was the fact that the published spectra could not stand up to a critical examination. First of all in the years before 1940 the technique and apparatus for measuring absorption spectra had not been developed far enough to give results of the quality which can be obtained today almost effortlessly using commercial spectrophotometers. Secondly, far too little attention was paid to the state of the solution for the majority of the spectral studies on solutions. Frequently, depending upon the conditions of concentration and acidity, the most diverse ionic species can appear (consecutive equilibria between various complex species, hydrolysed ions, etc.). These species can all contribute to the absorption. That is, one cannot assume that the absorbing ion in the solution is the same ion which exists in the solid salt. Furthermore, in many cases the substances studied were not sufficiently pure; they can seldom be prepared without chemicallysimilar side products being present.

Only after about 1940 did systematic investigations of the absorption spectra of dissolved complexes take all necessary factors into account.

<sup>3.</sup> R. Tsuchida: Bull. chem. Soc. Japan, 13, 388, 436, 471 (1938); 15, 427 (1940); and other works.

A. v. Kiss and others: Acta chem. mineralog. physica (Szeged), 7, 119 (1939);
 Z. phys. Chem. A 180, 117 (1937); A 186, 239 (1940); Z. anorg. allg. Chem. 235, 407 (1937); 244, 98 (1940); 245, 355 (1940); 246, 28 (1941); other works, e.g. Acta chim. hung.

<sup>5.</sup> R. Samuel and others: *Trans. Faraday Soc.*, 31, 423 (1935); *Z. phys. Chem.*, **B 22**, 424 (1933); *Z. Phys.*, 80, 395 (1933); and other works.

G. Joos: Ann. Phys., 81, 1076 (1926); 85, 641 (1928); Ergebn. exakt. Naturw., 18, 78 (1939); Z. phys. Chem., B 20, 1 (1933); Phys. Z., 29, 117 (1928); and other works.
 O. Deutschbein: Z. Phys., 77, 489 (1932); Ann. Phys., 14, 753 (1932); 20, 828 (1934); and other works.

<sup>7.</sup> D. M. Bose and S. Datta: Z. Phys., 80, 376 (1932).

<sup>8.</sup> K. Fajons: Z. Kristallogr. Mineralogr. Petrogr., A 61, 18 (1925); A 66, 321 (1928); Naturwiss., 11, 165 (1923). K. Fajans and G. Joos: Z. Phys., 23, 1 (1924).

Of these, the work of Linhard and co-workers<sup>9</sup> on Co<sup>3+</sup> and Cr<sup>3+</sup> complexes stands out as an example of utmost precision. With this work the necessary material for a theoretical investigation became available. Because many compounds are stable only in the solid state, the spectroscopy of crystalline compounds, especially the determination of reflectance spectra of finely pulverized salts, as has been made possible by the critical and pioneering work of Kortüm<sup>19</sup>, has developed into a very important tool of the complex spectroscopist.

## 1.2. Survey of the experimental findings; absorption spectra of selected metal complexes

The absorption spectra of complexes of transition metals, with the exception of certain examples which will be treated later, have in general an appearance such as is schematically indicated in Figure A.1. In the

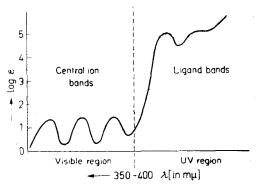


Figure A.1. Absorption spectrum of a transition metal ion complex (schematic).  $\lambda < \text{ca.350}$ –400 m $\mu$  ligand bands. (a) Charge transfer bands (Transitions: I Central ion  $\rightarrow$  ligand; II ligand  $\rightarrow$  central ion). (b) Transitions between states of the ligands. Inner-ligand transitions. e.g.  $\pi \rightarrow \pi^*$ ,  $n \rightarrow \pi^*$ ;  $\lambda >$  about 350–400 m $\mu$  central ion bands ( $d \rightarrow d$  bands).

M. Linhard and others: Z. Electrochem., 50, 224 (1944); Z. anorg. allg. Chem., 262, 328 (1950); 264, 321 (1951); 266, 49, 73 (1951); 267, 113, 121 (1951); 271, 101, 131 (1952); 278, 287 (1955); Z. phys. Chem. (Frankfurt), 5, 20 (1955); 11, 308 (1957); and additional works.

G. Kortüm and J. Vogel: Z. phys. Chem. (Frankfurt), 18, 110, 230 (1958). G. Kortüm and G. Schreyer: Angew. Chem., 67, 694 (1955); Z. Naturf., 11a, 1018 (1956). G. Kortüm: Spectrochim. Acta. Coll. spectrosc. internat. VI, 534 (1957). G. Kortüm and D. Oelkrug: Naturwiss, 23, 600 (1966).

region between 200 and  $1000 \text{ m}\mu$  (50,000–10,000 cm<sup>-1</sup>) bands of varying intensity are found. Ir the long wavelength spectral region, that is, with  $\lambda > 350$ –400 m $\mu$ , one finds one or more bands with values of the logarithm of the molar decadic extinction coefficient (log  $\varepsilon$ ) for the band maxima which lie between roughly 0 and 2. In the short wavelength portion of the spectrum, that is, for wavelengths < 350–400 m $\mu$ , intense absorption bands with log  $\varepsilon$  values of the order of 4–5 appear. They are approximately as intense as similar bands in organic dyes.

For the case of metal complexes where the central ion is not a transition metal ion, e.g.  $Al^{3+}$  or  $Zn^{2+}$ , the weak bands at long wavelengths do not appear. However, the intense absorption bands at long wavelengths remain. (Compare Figures A.2 and A.3, the spectra of  $[Al(C_2O_4)_3]^{3-}$  and

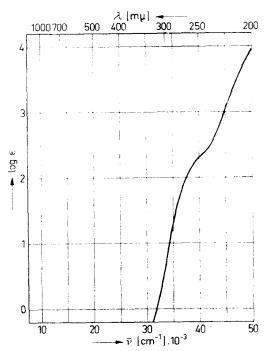


Figure A.2. Absorption spectrum of  $[Al(C_2O_4)_3]^{3-}$ .

 $[Cr(C_2O_4)_3]^{3-}$ ). The weak bands in the long wavelength region were already assigned to the d electrons of the central ion by Shibata. They originate from transitions within the d shell, so-called  $d \rightarrow d$  transitions. We shall refer to them summarily as central ion bands ( $d \rightarrow d$  bands).

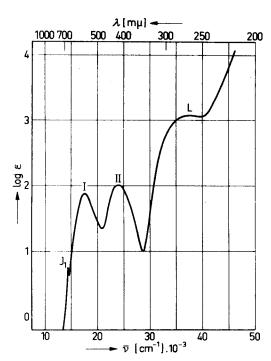


Figure A.3. Absorption spectrum of  $[Cr(C_2O_4)_3]^{3-}$ .

For complex ions with the usual ionic, dipolar- or dipolar-ionic ligands such as F<sup>-</sup>, Cl<sup>-</sup>, Br<sup>-</sup>, I<sup>-</sup>, NO<sub>3</sub>, NO<sub>2</sub>, SO<sub>4</sub><sup>-</sup>, SO<sub>3</sub><sup>-</sup>, NH<sub>3</sub>, NH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>NH<sub>2</sub> (en), CO(NH<sub>2</sub>)<sub>2</sub>, CH<sub>3</sub>CO<sub>2</sub>, C<sub>2</sub>O<sub>4</sub><sup>2</sup><sup>-</sup> (ox), H<sub>2</sub>O and ROH, the intense bands at short wavelengths are usually charge transfer bands, as has been indicated by Rabinowitsch<sup>11</sup>, Linhard<sup>12</sup> and others. One usually is able to observe only the general sharp rise of these bands (and not the fine structure). Absorption of light in this spectral region is accompanied by an electron transfer from the central ion to the ligand, an idea first applied by Franck and Scheibe<sup>13</sup> to the interpretation of the absorption spectra of free halogens in aqueous solutions. For the case of the free halogens Cl<sup>-</sup>, Br<sup>-</sup>, and I<sup>-</sup> the electron transfer probably takes

E. Rabinowitsch: Rev. mod. Phys., 14, 112 (1942); cf. also L. E. Orgel: Q. Rev. chem. Soc., 8, 422 (1954).

M. Linhard and others: Z. anorg. allg. Chem., 262, 328 (1950); 266, 49 (1951); and additional works.

J. Franck and G. Scheibe: Z. phys. Chem., A 139, 22 (1928).
 J. Franck and F. Haber: Sitz. Ber. preuss. Akad. Wiss., phys.-math. K1., 1931, 250.

place from the halogen ion to a water molecule of the hydrate sphere\*.

For chloro-, bromo-, and iodo-complexes, the most intense absorption bands of the inner complex halogen ions appear in the short wavelength spectral region. These bands generally have a form similar to that of free halogen ions, but have undergone a red shift. Similar facts are true for the complex ions formed with the other ligands which were discussed earlier. For the case of chromium(III) trisethylenediamine molecules one finds in the u.v. the band of the inner-complex ethylenediamine molecule. This, however, appears at longer wavelengths as compared to the band of the free ethylenediamine molecule. It is in general observed that the absorption of the free ligand, after it has been complexed, undergoes a small red shift.

In Figure A.4 the absorption bands of various free ions and molecules which frequently function as complex ligands are presented. (Compare the band of the free oxalate ion with the u.v. absorption of  $[Cr(C_2O_4)_3]^{3-}$  or  $[Al(C_2O_4)_3]^{3-}$ , Figures A.2 and A.3.)

We shall refer to the intense u.v. absorption bands as ligand bands. Besides the charge-transfer bands of the ligand, other bands, such as those of complexes with organic ligands having  $\pi$ -electron systems, can appear. When studying pyridine complexes, one observes the u.v. absorption band of the inner complex of pyridine, which has undergone only a slight shift to longer wavelengths in comparison to that of free pyridine (Figure A.5). Frequently traces of the vibrational fine structure of the pyridine band are found in the spectra of pyridine complexes (Figure A.9). This is a case of  $\pi \to \pi^*$  transitions (inner ligand transitions) of the pyridine molecule which are modified slightly by the polarizing influence of the central ion as the complex is formed. In certain cases it is also to be expected that electron transfers between essentially ligand states can appear.

$$X^{-}(H_{2}O) + hv_{1} \rightarrow X^{2}P_{1/2}(H_{2}O^{-})$$
  
 $X^{-}(H_{2}O) + hv_{2} \rightarrow X^{2}P_{3/2}(H_{2}O^{-})$ 

 $\Delta\bar{v}$  for the free halogen atoms I, Br, and Cl is 7600, 3600 and 880cm<sup>-1</sup> respectively. Schiebe, Fromherz and Menschick (*Z. Phys. Chem.*, Haber Band, 22(1928); *Z. Elektrochem.*, 34, 497 (1928); *Z. Phys. Chem.*, B5, 355 (1929); *Z. Phys. Chem.*, B7, 439 (1930); B3 I (1929) found the following separations of the band maxima for aqueous alkali halogenide solutions: for I<sup>-</sup> 7300 cm<sup>-1</sup>, for Br<sup>-1</sup> 2000 cm<sup>-1</sup>, for Cl<sup>-</sup> only one band which can be interpreted as being the superposition of two maxima of the same height lying close to one another. In recent years these suggestions have been refined. (R. Platzman and J. Franck, *Farkas Memorial Volume*, Weizman Press, Jerusalem 1952; *Z. Physik*, 138, 411 (1954)).

†Complexes having central ions of lower valence are an exception, e.g. the tris-dipyridyl compounds described by Herzog and coworkers.  $[Vdip]^+$ ,  $[Vdip_3]$ ,  $[Vdip_3]^-$  (dip =  $\alpha$ ,  $\alpha'$  dipyridyl).

<sup>\*</sup> Interpretation of the spectra of dissolved halogen ions  $X^-$  as charge-transfer spectra is supported by the fact that in these spectra in general two intense bands are found. Their difference in wave-number  $\Delta \bar{v}$  corresponds approximately to that of the ground doublet  ${}^2P_{1/2} - {}^2P_{1/2}$  of the free halogen atoms equation