

# CALCULUS

WITH ANALYTIC GEOMETRY  
THIRD EDITION

ROBERT ELLIS    DENNY GULICK



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WITH ANALYTIC GEOMETRY  
THIRD EDITION

ROBERT ELLIS DENNY GULICK

University of Maryland  
at College Park

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福州大學  
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# To Rosemarie and Mark

## To Frances, David, Barbara, and Sharon

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# PREFACE

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Like its predecessor, the Third Edition of *Calculus with Analytic Geometry* contains all the topics that normally constitute a course in calculus of one and several variables. It is suitable for sequences taught in three semesters or in four or five quarters. In the three-semester case, the first semester will usually include the introductory chapter (Chapter 1), the three chapters on limits and derivatives (Chapters 2–4), and the initial chapter on integrals (Chapter 5). The second semester would then include the rest of the discussion of integration (Chapters 6–8) and some combination of the chapters on series (Chapter 9), conic sections (Chapter 10), and the introduction to vectors and vector-valued functions (Chapters 11 and 12). The third semester would include the remainder of those chapters, along with the material on calculus of several variables (Chapters 13 and 14) and Chapter 15, which includes the theorems of Green and Stokes as well as the Divergence Theorem. Finally, an optional chapter on differential equations can be covered in whole or in part during the second or third semester.

The revisions in the Third Edition have been made with the benefit of comments from many users of the previous editions, as well as from our experience in teaching from those editions. The most noteworthy changes are the following:

- Cumulative Review Exercises now conclude Chapters 3 through 15, thereby providing continuous reinforcement of major concepts from the preceding chapters.
- Riemann sums, fundamental to applications of the integral, are now presented along with the initial presentation of the integral, in Chapter 5.
- Derivations of formulas for applications of the integral have been altered so as to be more intuitive.
- The complete single variable discussion of area is now placed in Chapter 5, in order to give added emphasis to the concept.
- We have used an algorithmic approach for the bisection and Newton-Raphson methods, because of the potential use of calculators and computers in calculus. In addition, we have increased the number and variety of exercises for which the Newton-Raphson method is appropriate.
- We have streamlined the introductory discussion of Taylor's Theorem at the outset of Chapter 9, thereby facilitating progress toward the topics of sequences and series.

- Finally, a selection of computer-drawn figures appears in Chapter 13 to help students visualize surfaces that are not easily drawn by hand.

Although we develop the material in the order that we have found pedagogically most effective, instructors will have considerable flexibility in choosing topics. Chapter 1 (which includes a section on trigonometry, so that trigonometric functions can serve as examples throughout the book) is preliminary and can be covered quickly if the student's preparation is sufficient. With a little care, applications of the integral (Chapter 8) can be discussed before techniques of integration (Chapter 7). Sequences and series (Chapter 9) can be studied any time after Chapter 8, conic sections (Chapter 10) any time after Chapter 4, and differential equations (Chapter 16) any time after Chapter 7.

Whenever possible, we use geometric and intuitive motivation to introduce concepts and results, so that students may readily absorb the carefully worded definitions and theorems that follow. The topical development, in which we employ numerous worked examples and almost 900 illustrations, aims for clarity and precision without overburdening the reader with formalism. In keeping with this goal, we have proved most theorems of first-year calculus in the main body of the text but have placed the more difficult proofs in the Appendix. In the chapters on calculus of several variables we have proved selected theorems that aid comprehension of the material.

Exercises appear both at the ends of sections and, for review, at the end of each chapter. Each set begins with a full complement of routine exercises to provide practice in using the ideas and methods presented in the text. These are followed by applied problems and by other exercises of a more challenging nature (identified with an asterisk). To supplement the usual problems from physics and engineering, we have included many from business, economics, biology, chemistry, and other disciplines, as well as a smaller number of exercises suitable for solution on a calculator (indicated by the symbol  $\blacksquare$ ). In addition, Chapters 3–15 each end with a collection of cumulative review exercises, which are intended to reinforce the main ideas of the previous chapters. In the interest of accuracy every exercise has been completely worked by each of the authors. Answers to odd-numbered exercises (except those requiring longer explanations) appear at the back of the book.

Throughout the book, statements of definitions, theorems, lemmas, and corollaries, as well as important formulas, are highlighted with tints for easy identification. Numbering is consecutive throughout each chapter for definitions and theorems, and consecutive within each section for examples and formulas. We use the symbol ■ to signal the end of a proof and □ for the end of the solution to an example.

Lists of Key Terms and Expressions, Key Formulas, and Key Theorems appear at the end of each chapter. On the endpapers we have assembled important formulas and results that the student will want to have handy, both for course review and for reference in later studies. Pronunciation of difficult terms and names is shown in footnotes on the pages where they first appear.

We are very grateful to many people who have helped us in a variety of ways as we prepared the various editions of this book. Our thanks go to reviewers Daniel D. Anderson (*University of Iowa*), Raymond J. Cannon, Jr. (*Baylor University*), Douglas Crawford (*College of San Mateo*), Arthur Crummer (*University of Florida*), Robert M. Dieffenbach (*Miami University, Ohio*), J. R. Dorroh (*Louisiana State University*), Daniel Drucker (*Wayne State University*), Bruce Edwards (*University of Florida*), Murray Eisenberg (*University of Massachusetts*), Charles H. Franke (*Seton Hall University*), Robert Gold (*Ohio State University at Columbus*), Jack Goldberg (*University of Michigan*), Stuart Goldenberg (*California Polytechnic University, San Luis Obispo*), Robert B. Hughes (*Boise State University*), Richard Koch (*University of Oregon*), J. D. Konhauser (*Macalester College*), Theodore Laetsch (*University of Arizona*), Peter Lindstrom (*Genessee Community College*), David J. Lutzer (*Miami University, Ohio*), Hugh B. Maynard (*University of Texas at San Antonio*), Peter Nyikos (*University of Southern California*), Jack Robertson (*Washington State University*), M. M. Subramaniam (*Pennsylvania State University, Delaware Campus*), John Thorpe (*State University of New York at Stonybrook*), Mark S. Ubelhor (*Scott Community College*), Abraham Weinstein (*Nassau Community College*), and Paul Zorn (*St. Olaf College*). Many of our colleagues at the University of Maryland have made contributions to the original writing of this book and to the revisions; we wish to express our appreciation to William Adams, Stuart Antman, Douglas Arnold, Joseph Auslander, Kenneth Berg, Ellen Correl, Jerome Dancis, Gertrude Ehrlich, Craig Evans, Seymour Goldberg, Jacob Goldhaber, Paul Green, Frances Gulick, Bert Hubbard, James Hummel, Nelson Markley, James Owings, Jona-

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*Robert Ellis • Denny Gulick*

# TO THE READER

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When you begin to study calculus, you will find that you have encountered many of its concepts and techniques before. Calculus makes extensive use of plane geometry and algebra, two branches of mathematics with which you are already familiar. However, added to these is a third ingredient, which may be new to you: the notion of limit and of limiting processes. From the idea of limit arise the two principal concepts that form the nucleus of calculus; these are the derivative and the integral.

The derivative can be thought of as a rate of change, and this interpretation has many applications. For example, we may use the derivative to find the velocity of an object, such as a rocket, or to determine the maximum and minimum values of a function. In fact, the derivative provides so much information about the behavior of functions that it greatly simplifies graphing them. Because of its broad applicability, the derivative is as important in such disciplines as physics, engineering, economics, and biology as it is in pure mathematics.

The definition of the integral is motivated by the familiar notion of area. Although the methods of plane geometry enable us to calculate the areas of polygons, they do not provide ways of finding the areas of plane regions whose boundaries are curves other than circles. By means of the integral we can find the areas of many such regions. We will also use it to calculate volumes, centers of gravity, lengths of curves, work, and hydrostatic force.

The derivative and the integral have found many diverse uses. The following list, taken from the examples and exercises in this book, illustrates the variety of fields in which these powerful concepts are employed.

	<i>Section</i>
Windpipe pressure during a cough	4.5
Cost of insulating an attic floor	4.5
Blood resistance in vascular branching	4.5
Surface area of a cell in a beehive	4.5
Buffon's needle problem	5.4
Growth of a paramecium population	6.3
Magnitude of an earthquake	6.4
Dating of a lunar rock sample	6.5
Amount of an anesthetic needed during an operation	6.5

	<i>Section</i>
Mass of binary stars	7.1
Pareto's Law of distribution of income	7.6
Volume of the great pyramid of Cheops	8.1
Force of water on an earth-filled dam	8.8
Harmonics of a stringed instrument	9.2
Multiplier effect in economics	9.4
Location of the source of a sound	10.3
Rated speed of a banked curve	12.5
Kepler's Laws of planetary motion	12.7
Escape velocity from the earth's gravitational field	12.7
Analysis of a rainbow	13.3
Effect of taxation on production of a commodity	13.3
Law of conservation of energy	15.3
Electric field produced by a charged telephone wire	15.6
Terminal speed of a falling object	16.4
Motion of a spring	16.7

The concepts basic to calculus can be traced, in uncrystallized form, to the time of the ancient Greeks. However, it was only in the sixteenth and early seventeenth centuries that mathematicians developed refined techniques for determining tangents to curves and areas of plane regions. These mathematicians and their ingenious techniques set the stage for Isaac Newton (1642–1727) and Gottfried Leibniz (1646–1716), who are usually credited with the “invention” of calculus because they codified the techniques of calculus and put them into a general setting; moreover, they recognized the importance of the fact that finding derivatives and finding integrals are inverse processes.

During the next 150 years calculus matured bit by bit, and by the middle of the nineteenth century it had become, mathematically, much as we know it today. Thus the definitions and theorems presented in this book were all known a century ago. What is newer is the great diversity of applications, with which we will try to acquaint you throughout the book.

*Robert Ellis • Denny Gulick*

# CONTENTS

---

<b>1</b>	<b>FUNCTIONS</b>	<b>1</b>	<b>4</b>	<b>APPLICATIONS OF THE DERIVATIVE</b>	<b>175</b>
1.1	The Real Numbers	1	4.1	Maximum and Minimum Values	175
1.2	Points and Lines in the Plane	8	4.2	The Mean Value Theorem	183
1.3	Functions	17	4.3	Applications of the Mean Value Theorem	186
1.4	Graphs	25	4.4	The First and Second Derivative Tests	192
1.5	Aids to Graphing	30	4.5	Application of Extreme Values	198
1.6	Combining Functions	37	4.6	Concavity and Inflection Points	209
1.7	Trigonometric Functions	42	4.7	Limits at Infinity	215
<b>2</b>	<b>LIMITS AND CONTINUITY</b>	<b>53</b>	4.8	Graphing	222
2.1	Definition of Limit	53	<b>5</b>	<b>THE INTEGRAL</b>	<b>233</b>
2.2	Examples of Limits	61	5.1	Preparation for the Definite Integral	234
2.3	Basic Limit Theorems	68	5.2	The Definite Integral	243
2.4	One-Sided Limits	80	5.3	Special Properties of the Definite Integral	253
2.5	Continuity	88	5.4	The Fundamental Theorem of Calculus	260
2.6	The Intermediate Value Theorem	95	5.5	Indefinite Integrals and Integration Rules	271
<b>3</b>	<b>DERIVATIVES</b>	<b>103</b>	5.6	Integration by Substitution	278
3.1	Tangent Lines	103	5.7	The Logarithm as an Integral	286
3.2	The Derivative	112	5.8	Another Look at Area	295
3.3	Differentiable Functions and Differentiability on Intervals	118	5.9	Who Invented Calculus?	302
3.4	Derivatives of Combinations of Functions	124	<b>6</b>	<b>INVERSE FUNCTIONS</b>	<b>307</b>
3.5	The Chain Rule	136	6.1	Inverse Functions	307
3.6	Higher Derivatives	146	6.2	Continuity and Differentiability of Inverse Functions	314
3.7	Implicit Differentiation	150	6.3	The Natural Exponential Function	318
3.8	Related Rates	156	6.4	General Exponential and Logarithm Functions	325
3.9	Tangent Line Approximations and the Differential	164	6.5	Exponential Growth and Decay	335
			6.6	The Inverse Trigonometric Functions	342
			6.7	Hyperbolic Functions	353
			6.8	L'Hôpital's Rule	357



**7** TECHNIQUES  
OF INTEGRATION 371

7.1	Integration by Parts	372
7.2	Trigonometric Integrals	380
7.3	Trigonometric Substitutions	390
7.4	Partial Fractions	397
7.5	The Trapezoidal Rule and Simpson's Rule	407
7.6	Improper Integrals	415

**8** APPLICATIONS  
OF THE INTEGRAL 426

8.1	Volumes: The Cross-Sectional Method	427
8.2	Volumes: The Shell Method	434
8.3	Lengths of Curves	438
8.4	Lengths of Curves Defined Parametrically	443
8.5	Surface Area	448
8.6	Work	453
8.7	Moments and Centers of Gravity	459
8.8	Hydrostatic Force	468
8.9	Polar Coordinates	473
8.10	Area in Polar Coordinates	480

**9** SEQUENCES  
AND SERIES 489

9.1	Polynomial Approximation and Taylor's Theorem	489
9.2	Sequences	496
9.3	Convergence Properties of Sequences	505
9.4	Infinite Series	511
9.5	Nonnegative Series: The Integral Test and the Comparison Tests	522
9.6	Nonnegative Series: The Ratio Test and the Root Test	529
9.7	Alternating Series and Absolute Convergence	533
9.8	Power Series	540
9.9	Taylor Series	553
9.10	Binomial Series	562

**10** CONIC SECTIONS 572

10.1	The Parabola	573
10.2	The Ellipse	580
10.3	The Hyperbola	588
10.4	Rotation of Axes	595
10.5	A Unified Description of Conic Sections	599

**11** VECTORS,  
LINES, AND PLANES 609

11.1	Cartesian Coordinates in Space	609
11.2	Vectors in Space	613
11.3	The Dot Product	625
11.4	The Cross Product and Triple Products	632
11.5	Lines in Space	638
11.6	Planes in Space	644

**12** VECTOR-VALUED  
FUNCTIONS 654

12.1	Definitions and Examples	654
12.2	Limits and Continuity of Vector-Valued Functions	661
12.3	Derivatives and Integrals of Vector-Valued Functions	666
12.4	Space Curves and Their Lengths	679
12.5	Tangents and Normals to Curves	688
12.6	Curvature	697
12.7	Kepler's Laws of Motion	703

**13** PARTIAL DERIVATIVES 714

13.1	Functions of Several Variables	714
13.2	Limits and Continuity	726
13.3	Partial Derivatives	734
13.4	The Chain Rule	748
13.5	Directional Derivatives	758
13.6	The Gradient	761
13.7	Tangent Plane Approximations and Differentials	768
13.8	Extreme Values	773
13.9	Lagrange Multipliers	782

**14** MULTIPLE INTEGRALS 795

<b>14.1</b>	Double Integrals	795
<b>14.2</b>	Double Integrals in Polar Coordinates	810
<b>14.3</b>	Surface Area	817
<b>14.4</b>	Triple Integrals	821
<b>14.5</b>	Triple Integrals in Cylindrical Coordinates	832
<b>14.6</b>	Triple Integrals in Spherical Coordinates	838
<b>14.7</b>	Moments and Centers of Gravity	846
<b>14.8</b>	Change of Variables in Multiple Integrals	852

**15** CALCULUS OF VECTOR FIELDS 866

<b>15.1</b>	Vector Fields	866
<b>15.2</b>	Line Integrals	877
<b>15.3</b>	The Fundamental Theorem of Line Integrals	889
<b>15.4</b>	Green's Theorem	895
<b>15.5</b>	Surface Integrals	904
<b>15.6</b>	Integrals over Oriented Surfaces	909
<b>15.7</b>	Stokes's Theorem	919
<b>15.8</b>	The Divergence Theorem	927

**16** DIFFERENTIAL EQUATIONS 936

<b>16.1</b>	Basic Notions in Differential Equations	936
<b>16.2</b>	Separable Differential Equations	940
<b>16.3</b>	Exact Differential Equations	944
<b>16.4</b>	Linear First-Order Differential Equations	948
<b>16.5</b>	Homogeneous Second-Order Linear Differential Equations	952
<b>16.6</b>	Nonhomogeneous Second-Order Linear Differential Equations	958
<b>16.7</b>	The Motion of a Spring	963
<b>16.8</b>	Series Solutions of Differential Equations	968
<b>16.9</b>	Autonomous Differential Equations	971

APPENDIX: PROOFS OF SELECTED THEOREMS	A-1
---------------------------------------	-----

TABLES	
Trigonometric Functions	A-21
Exponential Functions $e^x$ and $e^{-x}$	A-23
Natural Logarithms	A-24

TABLE OF INTEGRALS	A-25
--------------------	------

ANSWERS TO ODD-NUMBERED EXERCISES	A-30
-----------------------------------	------

INDEX TO SYMBOLS	A-73
------------------	------

INDEX	A-74
-------	------

# 1

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# FUNCTIONS

In this chapter we will review the basic properties of real numbers, introduce the concept of function, and discuss different types of functions. If you are already familiar with most of the definitions and concepts given, we suggest that you read Chapter 1 quickly and proceed to Chapter 2.

## 1.1 THE REAL NUMBERS

Real numbers, their properties, and their relationships are basic to calculus. Therefore we begin with a description of some important properties of real numbers.

### Types of Real Numbers and the Real Number Line

The best known real numbers are the **integers**:

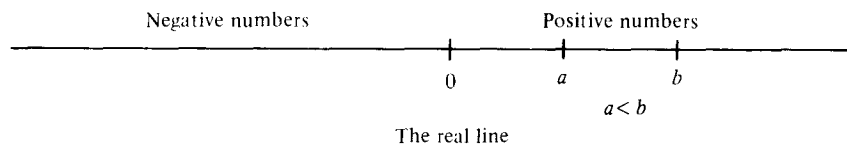
$$0, \pm 1, \pm 2, \pm 3, \dots$$

From the integers we derive the **rational numbers**. These are the real numbers that can be written in the form  $p/q$ , where  $p$  and  $q$  are integers and  $q \neq 0$ . Thus  $\frac{48}{37}$ ,  $-17$ , and  $-1.41$  (which is equal to  $-\frac{141}{100}$ ) are rational numbers. Any real number that is not rational is called an **irrational number**. Examples of irrational numbers are  $\pi$  and  $\sqrt{2}$ . (See Exercise 84 at the end of this section for a proof that  $\sqrt{2}$  is irrational.)

There is an order  $<$  on the real numbers. If  $a \neq b$ , then either  $a < b$  or  $a > b$ . For example,  $5 < 7$  and  $-1 > -2$ . If  $a$  is less than or equal to  $b$ , we write  $a \leq b$ . If

$a$  is greater than or equal to  $b$ , we write  $a \geq b$ . For example,  $x^2 \geq 0$  for any real number  $x$ . We say that  $a$  is **positive** if  $a > 0$  and **negative** if  $a < 0$ . If  $a \geq 0$ , we say that  $a$  is **nonnegative**.

The real numbers can be represented as points on a horizontal line in such a way that if  $a < b$ , then the point on the line corresponding to the number  $a$  lies to the left of the point on the line corresponding to the number  $b$  (Figure 1.1).

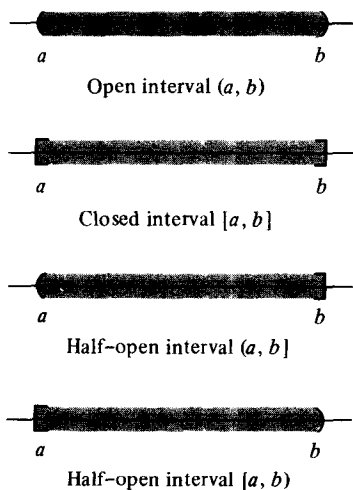


**FIGURE 1.1**

Such a line is called the **real number line**, or **real line**. We think of the real numbers as points on the real line, and *vice versa*. Thus we say that the negative numbers lie to the left of 0 and the positive numbers lie to the right of 0.

**Intervals**

Certain sets of real numbers, called **intervals**, appear with great frequency in calculus. They can be grouped into nine categories:



**FIGURE 1.2**

Name	Notation	Description
Open interval	$(a, b)$	all $x$ such that $a < x < b$
Closed interval	$[a, b]$	all $x$ such that $a \leq x \leq b$
Half-open interval	$(a, b]$	all $x$ such that $a < x \leq b$
Half-open interval	$[a, b)$	all $x$ such that $a \leq x < b$
Open interval	$(a, \infty)$	all $x$ such that $a < x$
Open interval	$(-\infty, a)$	all $x$ such that $x < a$
Closed interval	$[a, \infty)$	all $x$ such that $a \leq x$
Closed interval	$(-\infty, a]$	all $x$ such that $x \leq a$
The real line	$(-\infty, \infty)$	all real numbers

Intervals of the form  $(a, b)$ ,  $[a, b]$ ,  $(a, b]$ , and  $[a, b)$  are **bounded intervals**, and  $a$  and  $b$  are the **endpoints** of each of these intervals. Figure 1.2 shows the four types of bounded intervals. Intervals of the form  $(a, \infty)$ ,  $(-\infty, a)$ ,  $[a, \infty)$ ,  $(-\infty, a]$ , and  $(-\infty, \infty)$  are **unbounded intervals**, and  $a$  is the **endpoint** of each of the first four of these intervals. A number that is in an interval but is not an endpoint of the interval is called an **interior point** of the interval.

**Caution:** The symbols  $\infty$  and  $-\infty$  used above are called “infinity” and “minus infinity,” respectively. They do not represent numbers.

Notice that  $(b, b)$ ,  $(b, b]$ , and  $[b, b)$  contain no numbers. More generally,  $(a, b)$ ,  $(a, b]$ , and  $[a, b)$  contain no numbers if  $b \leq a$ . Whenever we write  $(a, b)$ ,  $(a, b]$ , or



$[a, b)$ , we make the implicit assumption that  $a < b$ . Likewise, we write  $[a, b]$  only when  $a \leq b$ .

## Inequalities and Their Properties

Statements such as  $a < b$ ,  $a \leq b$ ,  $a > b$ , and  $a \geq b$  are called **inequalities**. We list several basic laws for inequalities. In what follows  $a, b, c$ , and  $d$  are assumed to be real numbers.

Trichotomy: Either  $a < b$ , or  $a > b$ , or  $a = b$ ,  
and only one of these holds for any given  $a$  and  $b$ . (1)

Transitivity: If  $a < b$  and  $b < c$ , then  $a < c$ . (2)

Additivity: If  $a < b$  and  $c < d$ , then  $a + c < b + d$ . (3)

Positive multiplicativity: If  $a < b$  and  $c > 0$ , then  $ac < bc$ . (4)

Negative multiplicativity: If  $a < b$  and  $c < 0$ , then  $ac > bc$ . (5)

Replacing  $<$  by  $\leq$  and  $>$  by  $\geq$  in laws (2)–(5) yields four new laws for inequalities, which we will also find useful.

The word “trichotomy” in (1) means a threefold division. The trichotomy law states that any two numbers  $a$  and  $b$  are related in exactly one of the three ways listed in (1). For example, given the two numbers 3.1416 and  $\pi$ , we have either  $3.1416 < \pi$ ,  $3.1416 = \pi$ , or  $3.1416 > \pi$ . (The last is actually correct.)

For simplicity of notation, two inequalities are sometimes combined. For example, if  $a \leq b$  and  $b \leq c$ , then we can write  $a \leq b \leq c$ .

**Caution:** The multiplication laws, (4) and (5), must be carefully observed. To illustrate their use, we will present several examples. In each, the problem is to “solve an inequality,” which means to find all real numbers that satisfy the inequality.

**Example 1** Solve the inequality  $1/x < 3$ .

**Solution** First we observe that 0 cannot be a solution because division by 0 is impossible. Next we multiply through by  $x$  to eliminate  $x$  from the denominator. For positive  $x$ , (4) yields  $1 < 3x$  or  $\frac{1}{3} < x$ . Thus the numbers in  $(\frac{1}{3}, \infty)$  constitute one part of the solution of the given inequality. For negative  $x$ , (5) yields  $1 > 3x$ , or  $\frac{1}{3} > x$ . Since the last inequality is satisfied by all  $x < 0$ , a second part of the solution consists of all  $x$  in  $(-\infty, 0)$ . Therefore the complete solution consists of all numbers in the interval  $(-\infty, 0)$  and all numbers in the interval  $(\frac{1}{3}, \infty)$ .  $\square$

When the solution of an inequality forms only one interval, we will write only that interval as the solution. Moreover, if the solution of an inequality consists of more than one interval, we will refer to the solution as the **union** of these intervals. Thus the solution of the inequality  $1/x < 3$  in Example 1 consists of the union of the intervals  $(-\infty, 0)$  and  $(\frac{1}{3}, \infty)$ .



Then we deduce the sign of  $(x - 1)(x - 3)/(x + 2)$  for various values of  $x$ , and determine where it is positive. From Figure 1.3 we see that the solution of the given inequality is the union of the intervals  $(-2, 1)$  and  $(3, \infty)$ .  $\square$

If we had wished to solve the inequality

$$\frac{(x - 1)(x - 3)}{x + 2} \leq 0$$

we would have used the same diagram, but at the end we would have selected the union of those intervals on which  $(x - 1)(x - 3)/(x + 2)$  is nonpositive, namely  $(-\infty, -2)$  and  $[1, 3]$ .

In Section 2.6 we will discuss a second method of solving inequalities that uses results from calculus.

### Absolute Value

The **distance** between  $a$  and  $b$  on the real line is either  $a - b$  or  $b - a$ , whichever is nonnegative (Figure 1.4). Likewise, the distance between 0 and  $b$  is either  $b - 0 = b$  or  $0 - b = -b$ , whichever is nonnegative. The distance between a point on the real line and 0 is the basis for the definition of the absolute value of a number.



FIGURE 1.4

### DEFINITION 1.1

The **absolute value** of any real number  $b$  is  $b$  if  $b \geq 0$  and is  $-b$  if  $b < 0$ . The absolute value of  $b$  is denoted  $|b|$ .

For example,  $|6| = 6$ ,  $|0| = 0$ ,  $|-5| = -(-5) = 5$ , and  $|8 - 17| = |-9| = -(-9) = 9$ . Notice that  $|b|$  is the larger of  $b$  and  $-b$ , whichever is nonnegative. Geometrically,  $|b|$  is the distance between 0 and  $b$ . More generally,  $|a - b|$  is the distance between the numbers  $a$  and  $b$ .

We will use the following properties of absolute value in the remainder of this book:

$$|-a| = |a|, \quad \text{and} \quad |a - b| = |b - a| \quad (6)$$

$$|ab| = |a||b|, \quad \text{and} \quad |b^2| = |b|^2 \quad (7)$$

$$-|b| \leq b \leq |b| \quad (8)$$

$$|a + b| \leq |a| + |b| \quad (9)$$

$$|a - b| \geq ||a| - |b|| \quad (10)$$

Except for (9) and (10), these properties follow directly from Definition 1.1. We will verify (9) and leave (10) as an exercise. To verify (9), we first use (8):

$$\begin{aligned} -a &\leq |a| \quad \text{and} \quad a \leq |a| \\ -b &\leq |b| \quad \text{and} \quad b \leq |b| \end{aligned}$$

Adding these inequalities vertically yields

$$-(a + b) = -a - b \leq |a| + |b| \quad \text{and} \quad a + b \leq |a| + |b|$$

Since  $|a + b|$  is the larger of  $a + b$  and  $-(a + b)$ , it follows that

$$|a + b| \leq |a| + |b|$$

Next we show that if  $b > 0$ , then

$$|x| < b \quad \text{if and only if} \quad -b < x < b \quad (11)$$

To verify (11) we notice that  $|x| < b$  means that

$$\text{if } x \geq 0, \quad \text{then } x < b$$

and

$$\text{if } x < 0, \quad \text{then } -x < b, \text{ or equivalently, } -b < x$$

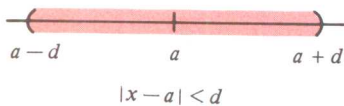
From (11) we see that the solution of the inequality  $|x| < b$  is the open interval  $(-b, b)$ . Statements analogous to (11) pertain to inequalities of the form  $|x| \leq b$ ,  $|x| > b$ , and  $|x| \geq b$ .

**Example 4** Solve the inequality  $|x - 1| < 3$ .

**Solution** By (11) the given inequality is equivalent to

$$-3 < x - 1 < 3$$

or equivalently,  $-2 < x < 4$ . Thus the solution is  $(-2, 4)$ .  $\square$



**FIGURE 1.5**

Geometrically,  $|x - 1| < 3$  means that the distance between  $x$  and 1 is less than 3. More generally,  $|x - a| < d$  means the distance between  $x$  and  $a$  is less than  $d$ . Thus  $|x - a| < d$  if and only if  $x$  lies in the interval  $(a - d, a + d)$  (Figure 1.5).

**Example 5** Find all values of  $x$  such that  $|x - 7| \geq 9$ .

**Solution** Here we wish to find the values of  $x$  whose distance from 7 is no less than 9. Thus the required values of  $x$  are those in the union of the intervals  $(-\infty, -2]$  and  $[16, \infty)$ .  $\square$

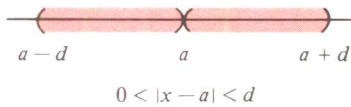
**Example 6** Find all values of  $x$  such that  $0 < |x - a| < d$ , where  $a$  is any number and  $d$  is any positive number.



**Solution** The double inequality  $0 < |x - a| < d$  means that

$$0 < |x - a| \quad \text{and} \quad |x - a| < d$$

From  $0 < |x - a|$  we know that  $x \neq a$ . By our comments above, the values of  $x$  satisfying  $|x - a| < d$  lie in the interval  $(a - d, a + d)$ . These two observations give the complete solution, which is the union of the intervals  $(a - d, a)$  and  $(a, a + d)$  (Figure 1.6).  $\square$



**FIGURE 1.6**

This concludes our discussion of real numbers, inequalities, and absolute values. The concepts and rules we have given will play an important part in our study of calculus.

## EXERCISES 1.1

In Exercises 1–4 determine whether  $a < b$  or  $a > b$ .

- $a = \frac{4}{9}, b = \frac{7}{16}$
- $a = -\frac{1}{7}, b = -0.142857$
- $a = \pi^2, b = 9.8$
- $a = (3.2)^2, b = 10$
- Use the fact that  $(\sqrt{2})^2 = 2$  to determine whether  $\sqrt{2} < 1.41, \sqrt{2} = 1.41$ , or  $\sqrt{2} > 1.41$ .
- Use the fact that  $(\sqrt{11})^2 = 11$  to determine whether  $\sqrt{11} < 3.3, \sqrt{11} = 3.3$ , or  $\sqrt{11} > 3.3$ .

In Exercises 7–14 state whether the interval is open, half-open, or closed and whether it is bounded or unbounded. Then sketch the interval on the real line.

- $[-4, 5]$
- $(-2, -1)$
- $(-\infty, 3)$
- $[\frac{3}{2}, \frac{5}{2})$
- $[0, \infty)$
- $(5, 7)$
- $(-\infty, -1]$
- $[-\frac{1}{2}, \frac{1}{2}]$

In Exercises 15–18 write the union of the two intervals as a single interval.

- $(-3, 2)$  and  $[1, 4)$
- $(-\infty, 0]$  and  $[0, 3)$
- $(1, 3)$  and  $(2, \infty)$
- $(-\infty, \frac{1}{2}]$  and  $(0, \infty)$

In Exercises 19–38 solve the inequality.

- $-6x - 2 > 5$
- $4 - 3x \geq 7$
- $-1 \leq 2x - 3 < 4$
- $-0.1 < 3x + 4 < 0.1$
- $(x - 1)(x + \frac{1}{2}) \geq 0$
- $(x - 1)(x - 2)(x - 3) \leq 0$
- $x(x - \frac{2}{3})(x + \frac{1}{3}) < 0$
- $\frac{x}{(x - 1)(x + 2)} > 0$
- $\frac{(2x - 1)^2}{(x + 1)(x + 3)} \geq 0$
- $\frac{(2x - 3)(4x + 1)}{x - 2} \leq 0$
- $4x^3 - 6x^2 \leq 0$
- $3x^2 - 2x - 1 \geq 0$
- $8x - \frac{1}{x^2} > 0$
- $8x + \frac{1}{x^2} < 0$

- $\frac{4x(x^2 - 6)}{x^2 - 4} < 0$
- $\frac{2x(x^2 - 3)}{(x^2 + 1)^3} \geq 0$
- $\frac{t^2 + t - 2}{(t^2 - 1)^3} \geq 0$
- $\frac{t^2 - 2t - 3}{t^2 - 8t + 15} > 0$
- $\frac{2 - x}{\sqrt{9 - 6x}} > 0$
- $\frac{2x^2 - 1}{(1 - x^2)^{1/2}} < 0$

In Exercises 39–42 solve the inequality.

- $\frac{1}{x + 1} > \frac{3}{2}$  (*Hint:* Write the inequality as  $1/(x + 1) - 3/2 > 0$ , and then write the left side as a single fraction.)
- $\frac{1}{3 - x} < -2$
- $\frac{x + 1}{x - 1} \leq \frac{1}{2}$
- $\frac{2 - 5x}{3 - 4x} \geq -2$

In Exercises 43–46 evaluate the expression.

- $-|-3|$
- $44. |-\sqrt{2}|^2$
- $45. |-5| + |5|$
- $46. |-5| - |5|$

In Exercises 47–58 solve the equation.

- $|x| = 1$
- $|x| = \pi$
- $|x - 1| = 2$
- $|2x - \frac{1}{2}| = \frac{1}{2}$
- $|6x + 5| = 0$
- $|3 - 4x| = 2$
- $|x| = |x|^2$
- $|x| = |1 - x|$
- $|x + 1|^2 + 3|x + 1| - 4 = 0$
- $|x - 2|^2 - |x - 2| = 6$
- $|x + 4| = |x - 4|$
- $|x - 1| = |2x + 1|$

In Exercises 59–70 solve the inequality.

- $|x - 2| < 1$
- $|x - 4| < 0.1$
- $|x + 1| < 0.01$
- $|x + \frac{1}{2}| \leq 2$