The Portable FAULKNER

Revised and Expanded Edition

The Portable

FAULKNER

Revised and Expanded Edition

Edited by Malcolm Cowley



PENGUIN BOOKS

Penguin Books Ltd, Harmondsworth,
Middlesex, England
Penguin Books, 40 West 23rd Street,
New York, New York 10010, U.S.A.
Penguin Books Australia Ltd, Ringwood,
Victoria, Australia
Penguin Books Canada Limited, 2801 John Street,
Markham, Ontario, Canada L3R 1B4
Penguin Books (N.Z.) Ltd, 182–190 Wairau Road,
Auckland 10, New Zealand

First published in the United States of America by The Viking Press 1946 Revised edition published 1967 Reprinted 1967, 1968 (three times), 1969 (twice), 1970, 1971, 1972 (twice), 1974 (twice), 1975, 1976 Published in Penguin Books 1977 Reprinted 1978, 1979, 1980, 1981, 1983, 1984, 1985

Copyright 1946 by The Viking Press, Inc.
Copyright © The Viking Press, Inc., 1966, 1967
Copyright © renewed The Viking Press, Inc., 1974
Copyright 1929, 1931, 1932, 1934, 1935, 1938, 1942, 1950, 1951 by
William Faulkner. Copyright 1931, 1939, 1940, 1946 by Random
House, Inc. Copyright 1930, 1934, 1942 by The Curtis Publishing
Company. Copyright © renewed William Faulkner, 1956, 1957, 1958,
1959, 1961. Copyright © renewed The Curtis Publishing Co., 1958.
Copyright © renewed Estelle Faulkner and Jill Faulkner Summers,
1963, 1965, 1966.
All rights reserved

LIBRARY OF CONGRESS CATALOGING IN PUBLICATION DATA Faulkner, William, 1897–1962.
The portable Faulkner.
Includes index.
I. Cowley, Malcolm, 1898– II. Title.
[ps3511.886a6 1977] 813'.5'2 77-12068
ISBN 0 14 015.018 8

Printed in the United States of America by Kingsport Press, Inc., Kingsport, Tennessee Set in Linotype Caledonia

Permission to reproduce the William Faulkner writings in this volume must be obtained from Random House, Inc., 201 East 50th Street, New York, New York 10022.

An earlier version of Part III of the Introduction appeared in the Sewanee Review as part of an essay entitled "William Faulkner's Legend of the South."

Except in the United States of America, this book is sold subject to the condition that it shall not, by way of trade or otherwise, be lent, re-sold, hired out, or otherwise circulated without the publisher's prior consent in any form of binding or cover other than that in which it is published and without a similar condition including this condition being imposed on the subsequent purchaser

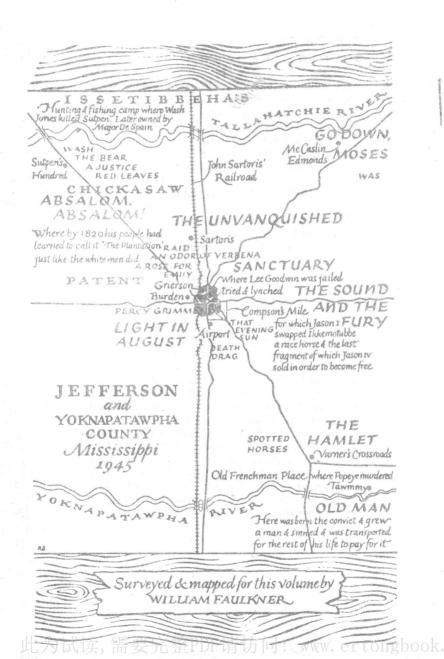
THE PORTABLE FAULKNER

Each volume in The Viking Portable Library either presents a representative selection from the works of a single outstanding writer or offers a comprehensive anthology on a special subject. Averaging 700 pages in length and designed for compactness and readability, these books fill a need not met by other compilations. All are edited by distinguished authorities, who have written introductory essays and included much other helpful material.

"The Viking Portables have done more for good reading and good writers than anything that has come along since I can remember."

-Arthur Mizener

Malcolm Cowley was born near Balsano, Pennsylvania, in 1898. During the 1920s, in Paris, he was closely associated with the expatriate American writers, whom he studied in his most famous book, Exile's Return. After the Genteel Tradition: American Writers Since 1910 (1937) and The Literary Situation (1954) confirmed his reputation as an outstanding critic, and Blue Juniata (1929) and Dry Season (1941) brought him recognition as one of America's most interesting and important modern poets. Think Back on Us, a collection of writings from the 1930s, appeared in 1967, and A Second Flowering: Works and Days of the Lost Generation appeared in 1973. His most recent work, -And I Worked at the Writer's Trade: Chapters of Literary History, 1918-1978 (1978), deals with situations and problems in the lives of American writers since the First World War.



INTRODUCTION .

I wrote this introduction in the autumn of 1945, at a time when Faulkner's books were little read and often disparaged. He had a few enthusiastic defenders, but no one, so it seemed to me then, had more than distantly suggested the scope and force and interdependence of his work as a whole. I was writing to overcome a general misconception, and that explains why, at various points, my emphasis was different from what it would be today. Yet I find it difficult to change what I said, except in the comparatively simple matter of bringing facts up to date. The original text was written with a good deal of advice from Faulkner himself. It has some historical value, and I prefer to reprint it with a very few revisions, while saving my comments for the end.

was born to New Albary Maistight on September 1807. He was the African bardens the African

When the war was over—the other war—William Faulkner went back to Oxford, Mississippi. He had served in the Royal Air Force in 1918. Now he was home again and not at home, or at least not able to accept the postwar world. He was writing poems, most of them worthless, and dozens of immature but violent and effective stories, while at the same time he was brooding over his own situation and the decline of the South. Slowly the brood-

viii Introduction

ing thoughts arranged themselves into the whole interconnected pattern that would form the substance of his novels.

The pattern was based on what he saw in Oxford or remembered from his childhood; on scraps of family tradition (the Falkners, as they spelled the name, had played their part in the history of the state); on kitchen dialogues between the black cook and her amiable husband; on Saturday-afternoon gossip in Courthouse Square; on stories told by men in overalls squatting on their heels while they passed around a fruit jar full of white corn liquor; on all the sources familiar to a small-town Mississippi boy-but the whole of it was elaborated, transformed, given convulsive life by his emotions; until by simple intensity of feeling the figures in it became a little more than human, became heroic or diabolical, became symbols of the old South, of war and reconstruction, of commerce and machinery destroying the standards of the past. There in Oxford, Faulkner performed a labor of imagination that has not been equaled in our time, and a double labor: first, to invent a Mississippi county that was like a mythical kingdom, but was complete and living in all its details; second, to make his story of Yoknapatawpha County stand as a parable or legend of all the Deep South.

For this double task, Faulkner was better equipped by talent and background than he was by schooling. He was born in New Albany, Mississippi, on September 25, 1897; he was the oldest of four brothers. The family soon moved to Oxford, where he attended the public school, but without being graduated from high school. For a year or two after the war, he was a student at the University of Mississippi, where veterans could then matriculate without a high-school diploma, but he neglected his classroom work and left early in the second year. He had less of a formal education than any other good writer of his time, except Hart Crane—less even than Heming-

way, who never went to college, but who learned to speak several languages and studied writing in Paris from the best masters. Faulkner taught himself, largely, as he

says, by "undirected and uncorrelated reading."

Among the authors either mentioned or echoed in his early stories and poems are Keats, Balzac, Flaubert, Swinburne, Verlaine, Mallarmé, Wilde, Housman, Joyce, Eliot, Sherwood Anderson, and E. E. Cummings, with fainter suggestions of Hemingway (looking at trout in a river), Dos Passos (in the spelling of compound words), and Scott Fitzgerald. The poems he wrote in those days were wholly derivative, but his prose from the beginning was a form of poetry, and in spite of the echoes it was always his own. He traveled less than any of his writing contemporaries. There was a lonely year spent in New York as salesclerk in a bookstore; there were six months in New Orleans, where he lived near Sherwood Anderson and met the literary crowd-he even satirized them in a bad early novel, Mosquitoes—and then six months in Italy and Paris, where he did not make friends on the Left Bank. Except for writing assignments in Hollywood, the rest of his life has been spent in the town where he grew up, less than forty miles from his birthplace.

Although Oxford, Mississippi, is the seat of a university, it is even less of a literary center than was Salem, Massachusetts, during Hawthorne's early years as a writer; and Faulkner himself has shown an even greater dislike than Hawthorne for literary society. His novels are the books of a man who broods about literature, but doesn't often discuss it with his friends; there is no ease about them, no feeling that they come from a background of taste refined by argument and of opinions held in common. They make me think of a passage from Henry

James's little book on Hawthorne:

The best things come, as a general thing, from the talents that are members of a group; every man works better when he has companions working in the same line,

x Introduction

and yielding to the stimulus of suggestion, comparison, emulation. Great things of course have been done by solitary workers; but they have usually been done with double the pains they would have cost if they had been produced in more genial circumstances. The solitary worker loses the profit of example and discussion; he is apt to make awkward experiments; he is in the nature of the case more or less of an empiric. The empiric may, as I say, be treated by the world as an expert; but the drawbacks and discomforts of empiricism remain to him, and are in fact increased by the suspicion that is mingled with his gratitude, of a want in the public taste of a sense of the proportion of things.

Like Hawthorne, Faulkner is a solitary worker by choice, and he has done great things not only with double the pains to himself that they might have cost if produced in more genial circumstances, but sometimes also with double the pains to the reader. Two or three of his books as a whole and many of them in part are awkward experiments. All of them are full of overblown words like "imponderable," "immortal," "immutable," and "immemorial" that he would have used with more discretion, or not at all, if he had followed Hemingway's example and served an apprenticeship to an older writer. He is a most uncertain judge of his own work, and he has no reason to believe that the world's judgment of it is any more to be trusted; indeed, there is no American author who would be justified in feeling more suspicion of "a want in the public taste of a sense of the proportion of things." His early novels, when not condemned, were overpraised for the wrong reasons; his later and in many ways better novels have been ridiculed or simply neglected; and in 1945 all his seventeen books were effectively out of print, with some of them unobtainable in the secondhand bookshops.1

¹ I have let this paragraph stand, with the one that follows, as an accurate picture of Faulkner's reputation in 1945.

Even his warm admirers, of whom there are many—no author has a higher standing among his fellow novelistshave shown a rather vague idea of what he is trying to do; and Faulkner himself has never explained. He holds a curious attitude toward the public that appears to be lofty indifference (as in the one preface he wrote, for the Modern Library edition of Sanctuary), but really comes closer to being a mixture of skittery distrust and pure unconsciousness that the public exists. He doesn't furnish information or correct misstatements about himself (most of the biographical sketches that deal with him are full of preposterous errors). He doesn't care which way his name is spelled in the records, with or without the "u"-"Either way suits me," he says. Once he has finished a book, he is apparently not concerned with the question of how it will be presented, to what sort of audience, and sometimes he doesn't bother to keep a private copy of it. He said in a letter, "I think I have written a lot and sent it off to print before I actually realized strangers might read it." Others might say that Faulkner, at least in those early days, was not so much composing stories for the public as telling them to himself-like a lonely child in his imaginary world, but also like a writer of genius.

II

Faulkner's mythical kingdom is a county in northern Mississippi, on the border between the sand hills covered with scrubby pine and the black earth of the river bottoms. Except for the storekeepers, mechanics, and professional men who live in Jefferson, the county seat, all the inhabitants are farmers or woodsmen. Except for a little lumber, their only commercial product is baled cotton for the Memphis market. A few of them live in big plantation houses, the relics of another age, and more of them in substantial wooden farmhouses; but still more of

them are tenants, no better housed than slaves on good plantations before the Civil War. Yoknapatawpha County—"William Faulkner, sole owner and proprietor," as he inscribed on one of the maps he drew—has a population of 15,611 persons scattered over 2400 square miles. It sometimes seems to me that every house or hovel has been described in one of Faulkner's novels, and that all the people of the imaginary county, black and white, townsmen, farmers, and housewives, have played their

parts in one connected story.

He has so far [1945] written nine books wholly concerned with Yoknapatawpha County and its people, who also appear in parts of three others and in thirty or more uncollected stories. Sartoris was the first of the books to be published, in the spring of 1929; it is a romantic and partly unconvincing novel, but with many fine scenes in it, such as the hero's visit to a family of independent pinehill farmers; and it states most of the themes that the author would later develop at length. The Sound and the Fury, published six months later, recounts the going-topieces of the Compson family, and it was the first of Faulkner's novels to be widely discussed. The books that followed, in the Yoknapatawpha series, are As I Lay Dying (1930), about the death and burial of Addie Bundren; Sanctuary (1931), for a long time the most popular of his novels; Light in August (1932), in some ways the best; Absalom, Absalom! (1936), about Colonel Sutpen and his ambition to found a family; The Unvanquished (1938), a cycle of stories about the Sartoris dynasty; The Wild Palms (1939), half of which deals with a convict from back in the pine hills; The Hamlet (1940), a first novel about the Snopes clan, with others to follow; and Go Down, Moses (1942), in which Faulkner's principal theme is the relation between whites and Negroes. There are also many Yoknapatawpha stories in These 13 (1931) and Doctor Martino (1934), besides other stories privately printed (like Miss Zilphia Gant, 1932) or published in magazines and still to be collected or used as episodes in novels.2

Just as Balzac, who may have inspired the series, divided his Comédie Humaine into "Scenes of Parisian Life," "Scenes of Provincial Life," "Scenes of Private Life," so Faulkner might divide his work into a number of cycles: one about the planters and their descendants, one about the townspeople of Jefferson, one about the poor whites, one about the Indians, and one about the Negroes. Or again, if he adopted a division by families, there would be the Compson-Sartoris saga, the continuing Snopes saga, the McCaslin saga, dealing with the white and black descendants of Carothers McCaslin, and the Ratliff-Bundren saga, devoted to the backwoods farmers of Frenchman's Bend. All the cycles or sagas are closely interconnected; it is as if each new book was a chord or segment of a total situation always existing in the author's mind. Sometimes a short story is the sequel to an earlier novel. For example, we read in Sartoris that Byron Snopes stole a packet of letters from Narcissa Benbow; and in "There Was a Queen," a story published five years later, we learn how Narcissa got the letters back again. Sometimes, on the other hand, a novel contains the sequel to a

That was the tally in 1945. With one exception, all the books that Faulkner published after that year are concerned with Yoknapatawpha County. The exception is A Fable (1954), about a reincarnated Christ in the First World War. The Yoknapatawpha books, eight in number, are Intruder in the Dust (1948), about a lynching that is averted by a seventy-year-old spinster and a pair of boys; Knight's Gambit (1949), recounting the adventures in detection of Gavin Stevens; Collected Stories of William Faulkner (1950), containing all the stories in These 13 and Doctor Martino as well as several not previously collected; Requiem for a Nun (1951), a three-act drama, with narrative prologues to each act, about the later life of Ten ele Drake; Big Woods (1955), a cycle of hunting stories, some of them revised from chapters of Go Down, Moses; The Town (1957), second volume in the Snopes trilogy; The Mansion (1959), concluding the trilogy; and The Reivers, published a month before Faulkner's death on July 6, 1962. In all, sixteen of his books belong to the Yoknapatawpha cycle, as well as half of another book (The Wild Palms) and it is hard to count how many

story; and we discover from an incidental reference in The Sound and the Fury that the Negro woman whose terror of death was portrayed in "That Evening Sun" had indeed been murdered and her body left in a ditch for the vultures. Sometimes an episode has a more complicated history. Thus, in the first chapter of Sanctuary, we hear about the Old Frenchman place, a ruined mansion near which the people of the neighborhood had been "digging with secret and sporadic optimism for gold which the builder was reputed to have buried somewhere about the place when Grant came through the country on his Vicksburg campaign." Later this digging for gold served as the subject of a story published in the Saturday Evening Post: "Lizards in Jamshyd's Courtyard." Still later the story was completely rewritten and became the last chapter of The Hamlet.3

As one book leads into another, the author sometimes falls into inconsistencies of detail. There is a sewing-machine agent named V. K. Suratt who appears in Sartoris and some of the stories written at about the same time. When we reach The Hamlet, his name has changed to Ratliff, although his character remains the same (and his age, too, for all the twenty years that separate the backgrounds of the two novels). Henry Armstid is a likable figure in As I Lay Dying and Light in August; in The Hamlet he is mean and half-demented. His wife, whose character remains consistent, is called Lula in one book and Martha in another; in the third she is nameless. There is an Indian chief named Doom who appears in several stories: he starts as the father of Issetibeha (in "Red Leaves") and ends as his nephew (in "A Justice"). The mansion called Sutpen's Hundred was built of brick at the beginning of Absalom, Absalom! but at the end of the novel it is all wood and inflammable except for the chim-

⁸ The Old Frenchman place was built in the 1830s by Louis Grenier, as Faulkner tells us in the prologue to the first act of Requiem for a Nun (1951).

of them are afterthoughts rather than oversights.

All his books in the Yoknapatawpha cycle are part of the same living pattern. It is this pattern, and not the printed volumes in which part of it is recorded, that is Faulkner's real achievement. Its existence helps to explain one feature of his work: that each novel, each long or short story, seems to reveal more than it states explicitly and to have a subject bigger than itself. All the separate works are like blocks of marble from the same quarry: they show the veins and faults of the mother rock. Or else -to use a rather strained figure—they are like wooden planks that were cut, not from a log, but from a still living tree. The planks are planed and chiseled into their final shapes, but the tree itself heals over the wound and continues to grow. Faulkner is incapable of telling the same story twice without adding new details. In the present volume I wanted to use part of The Sound and the Fury, the novel that deals with the fall of the Compson family. I thought that the last part of the book would be most effective as a separate episode, but still it depended too much on what had gone before. Faulkner offered to write a very brief introduction that would explain the relations of the characters. What he finally sent me is the much longer passage printed at the end of the volume: a genealogy of the Compsons from their first arrival in America. Whereas the novel is confined (except for memories) to a period of eighteen years ending on Easter Sunday, 1928, the genealogy goes back to the battle of Culloden in 1745, and forward to the year 1943, when Jason, last of the Compson males, has sold the family mansion, and Sister Caddy has last been heard of as the mistress of a German general. The novel that Faulkner wrote about the Compsons had long ago been given what seemed its final shape, but the pattern or body of legend behind the noveland behind his other books-was still developing.

xvi Introduction

Although the pattern is presented in terms of a single Mississippi county, it can be extended to the Deep South as a whole; and Faulkner always seems conscious of its wider application. He might have been thinking of his own novels when he described the ledgers in the commissary of the McCaslin plantation, in Go Down, Moses. They recorded, he says, "that slow trickle of molasses and meal and meat, of shoes and straw hats and overalls, of plowlines and collars and heelbolts and clevises, which returned each fall as cotton"-in a sense they were local and limited; but they were also "the continuation of that record which two hundred years had not been enough to complete and another hundred would not be enough to discharge: that chronicle which was a whole land in miniature, which multiplied and compounded was the entire South."

III

"Tell about the South," says Quentin Compson's roommate at Harvard, a Canadian named Shreve McCannon who is curious about the unknown region beyond the Ohio. "What's it like there?" he asks. "What do they do there? Why do they live there? Why do they live at all?" And Quentin, whose background is a little like that of Faulkner himself and who sometimes seems to speak for him—Quentin answers, "You can't understand it. You would have to be born there." Nevertheless, he tells a long and violent story that reveals something essential in the history of the Deep South, which is not so much a region as it is, in Quentin's mind, an incomplete and frustrated nation trying to relive its legendary past.

The story he tells—I am trying to summarize the plot of Absalom, Absalom!—is that of a mountain boy named Thomas Sutpen whose family drifted into the Virginia lowlands, where his father found odd jobs on a plantation. One day the father sent him with a message to the big

house, but he was turned away at the door by a black man in livery. Puzzled and humiliated, the mountain boy was seized upon by the lifelong ambition to which he would afterward refer as "the design." He too would own a plantation with slaves and a live ied butler; he would build a mansion as big as any of those in the Tidewater; and he would have a son to inherit his wealth.

A dozen years later Sutpen appeared in the frontier town of Jefferson, where he managed to obtain a hundred square miles of land from the Chickasaws. With the help of twenty wild Negroes from the jungle and a French architect, he set about building the largest house in northern Mississippi, using timbers from the forest and bricks that his Negroes molded and baked on the spot; it was as if the mansion, Sutpen's Hundred, had been literally torn from the soil. Only one man in Jefferson-he was Ouentin's grandfather, General Compson-ever learned how and where Sutpen had acquired his slaves. He had shipped to Haiti from Virginia, worked as an overseer on a sugar plantation, and married the rich planter's daughter. who had borne him a son. Then, finding that his wife had Negro blood, he had simply put her away, with her child and her fortune, while keeping the twenty slaves as a sort of indemnity. He explained to General Compson, in the stilted speech he had taught himself as appropriate to his new role of Southern gentleman, that she could not be "adjunctive to the forwarding of the design."

"Jesus, the South is fine, isn't it," Shreve McCannon says. "It's better than the theatre, isn't it. It's better than Ben Hur, isn't it. No wonder you have to come away now

and then, isn't it."

In Jefferson he married again, Quentin continues. This time Sutpen's wife belonged to a pious family of the neighborhood and she bore him two children, Henry and Judith. He became the biggest cotton planter in Yoknapatawpha County, and it seemed that his "design" had already been fulfilled. At this moment, however, Henry

came home from the University of Mississippi with an older and worldlier new friend, Charles Bon, who was in reality Sutpen's son by his first marriage. Charles became engaged to Judith. Sutpen learned his identity and, without making a sign of recognition, ordered him from the house. Henry, who refused to believe that Charles was his half-brother, renounced his birthright and followed him to New Orleans. In 1861 all the male Sutpens went off to war, and all survived four years of fighting. Then, in the spring of 1865, Charles suddenly decided to marry Judith, even though he was certain by now that she was his half-sister. Henry rode beside him all the way back to Sutpen's Hundred, but tried to stop him at the gate, killed him when he insisted on going ahead with his plan, told Judith what he had done, and disappeared.

"The South," Shreve McCannon says as he listens to the story. "The South. Jesus. No wonder you folks all outlive yourselves by years and years." And Quentin says, remembering his own sister with whom (or with a false notion of whom) he was in love—just as Charles Bon, and Henry too, were in love with Judith—"I am older at

twenty than a lot of people who have died."

But Quentin's story of the Deep South does not end with the war. Colonel Sutpen came home, he says, to find his wife dead, his son a fugitive, his slaves dispersed (they had run away before they were freed by the Union army), and most of his land about to be seized for debt. Still determined to carry out "the design," he did not pause for breath before undertaking to restore his house and plantation as nearly as possible to what they had been. The effort failed; Sutpen lost most of his land and was reduced to keeping a crossroads store. Now in his sixties, he tried again to beget a son; but his wife's younger sister, Miss Rosa Coldfield, was outraged by his proposal ("Let's try it," he seems to have said, though his words are not directly repeated—"and if it's a boy we'll get married"); and later poor Milly Jones, whom he se-