## Spoken and written language

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#### About the author

#### M. A. K. Halliday

Michael Halliday was born in Leeds, England, in 1925. He took his BA at London University in Chinese language and literature, then studied linguistics as a graduate student, first in China (Peking University and Lingnan University, Canton) and then at Cambridge, where he received his PhD in 1955.

After holding appointments at Cambridge and Edinburgh he went to University College London in 1963, as Director of the Communi-



cation Research Centre. There he directed two research projects, one in the Linguistic Properties of Scientific English and the other in Linguistics and English Teaching; the latter produced Breakthrough to Literacy for lower primary schools and Language in Use for secondary schools. In 1965 he was appointed concurrently Professor of General Linguistics, with responsibility for building up a new department in this subject. He remained at University College London until the end of 1970. From 1973 to 1975 he was Professor of Linguistics at the University of Illinois, Chicago Circle. At the beginning of 1976 he became Head of the new Department of Linguistics at the University of Sydney, from which he recently retired. He was consultant to the Curriculum Development Centre's Language Development Project 1976–78 and subsequently a member of the Project Review and Advisory Panel.

He taught on the Linguistic Society of America's summer Linguistic Institutes in 1964 (Indiana), 1966 (UCLA), and 1973 (Michigan), and was elected to honorary membership of the Society in 1978. He has held visiting professorships at Yale, Brown, UC. Irvine, and the University of Nairobi; and in 1972-73 was a Fellow of the Centre for Advanced Study in the Behavioral Sciences at Stanford, California. In 1969 he was awarded an honorary doctorate at the University of Nancy, France; and in 1981 he received the David H.Russell Award for Distinguished Research in the Teaching of English from the National Council of Teachers of English (USA).

His current research interests are the semantics and grammar of

modern English; language development in early childhood; text linguistics and register variation; educational applications of linguistics; and artificial intelligence, in which he is associated with the 'Penman' project at the Information Sciences Institute, University of Southern California.

Among his publications are:

- The Language of the Chinese 'Secret History of the Mongols', Publications of the Philological Society, Vol. 17 (Blackwell, Oxford, 1959)
- (With Angus McIntosh & Peter Strevens) The Linguistic Sciences and Language Teaching (Longman, London, 1964).
- Intonation and Grammar in British English (Mouton, The Hague, 1967).
- 'Notes on transitivity and theme in English—Parts 1-3', Journal of Linguistics, vol. 3, 1967 and vol. 4, 1968.
- A Course in Spoken English: Intonation (Oxford University Press, London, 1970).
- Explorations in the Functions of Language (Edward Arnold, London, 1973).
- Learning How to Mean: Explorations in the Development of Language (Edward Arnold, London, 1975).
- (With Ruqaiya Hasan) Cohesion in English (Longman, London, 1976).
- System and Function in Language, edited by Gunther Kress (Oxford University Press, London, 1976).
- Language as Social Semiotic: The Social Interpretation of Language and Meaning (Edward Arnold, London, 1978).
- (With J. R. Martin) (eds.) Readings in Systemic Linguistics (Batsford, London, 1981).
- An Introduction to Functional Grammar (Edward Arnold, London, 1985).

#### **Foreword**

In a sense, educational interest in language is not new. Studies of rhetoric and of grammar go back as far as the Greeks; in the English-speaking countries, studies of the classical languages, and more recently of English itself, have had a well established place in educational practice. Moreover, a number of the issues which have aroused the most passionate debates about how to develop language abilities have tended to remain, resurfacing at various points in history in somewhat different formulations perhaps, but nonetheless still there, and still lively.

Of these issues, probably the most lively has been that concerning the extent to which explicit knowledge about language on the part of the learner is a desirable or a useful thing. But the manner in which discussion about this issue has been conducted has often been allowed to obscure other and bigger questions: questions, for example, both about the nature of language as an aspect of human experience, and about language as a resource of fundamental importance in the building of human experience. The tendency in much of the western intellectual tradition has been to dissociate language and experience, in such a way that language is seen as rather neutral, merely serving to 'carry' the fruits of experience. Whereas in this view language is seen as a kind of 'conduit', subservient to experience in various ways, an alternative view, as propounded in the books in this series, would argue that language is itself not only a part of experience, but intimately involved in the manner in which we construct and organise experience. As such, it is never neutral, but deeply implicated in building meaning. One's notions concerning how to teach about language will differ quite markedly, depending upon the view one adopts concerning language and experience. In fact, though discussions concerning teaching about language can sometimes be interesting, in practice many such discussions have proved theoretically ill-founded and barren, serving merely to perpetuate a number of unhelpful myths about language.

The most serious and confusing of these myths are those which would suggest we can dissociate language from meaning — form from function, or form from 'content'. Where such myths apply, teaching about language becomes a matter of teaching about 'language rules' — normally grammatical rules — and as history has demonstrated over the years, such teaching rapidly degenerates into the arid pursuit of parts of speech and the parsing of isolated sentences. Meaning, and the critical role of

language in the building of meaning, are simply overlooked, and the kinds of knowledge about language made available to the learner are of a very limited kind.

The volumes in this series of monographs devoted to language education in my view provide a much better basis upon which to address questions related to the teaching about language than has been the case anywhere in the English-speaking world for some time now. I make this claim for several reasons, one of the most important being that the series never sought directly to establish a model for teaching about language at all. On the contrary, it sought to establish a principled model of language, which, once properly articulated, allows us to address many questions of an educational nature, including those to do with teaching about language. To use Halliday's term (1978), such a model sees language primarily as a 'social semiotic', and as a resource for meaning, centrally involved in the processes by which human beings negotiate, construct and change the nature of social experience. While the series certainly does not claim to have had the last word on these and related subjects, I believe it does do much to set a new educational agenda one which enables us to look closely at the role of language both in living and in learning: one which, moreover, provides a basis upon which to decide those kinds of teaching and learning about language which may make a legitimate contribution to the development of the learner.

I have said that arguments to do with teaching about language have been around for a long time: certainly as long as the two hundred years of white settlement in Australia. In fact, coincidentally, just as the first settlers were taking up their enforced residence in the Australian colony of New South Wales, Lindley Murray was preparing his English Grammar (1795), which, though not the only volume produced on the subject in the eighteenth century, was certainly the best. Hundreds of school grammars that were to appear in Britain and Australia for the next century at least, were to draw very heavily upon what Murray had written. The parts of speech, parsing and sentence analysis, the latter as propounded by Morell (an influential inspector of schools in England), were the principal elements in the teaching about language in the Australian colonies, much as they were in England throughout the century. By the 1860s and 1870s the Professor of Classics and Logic at Sydney University, Charles Badham, who had arrived from England in 1867, publicly disagreed with the examining authorities in New South Wales concerning the teaching of grammar. To the contemporary reader there is a surprising modernity about many of his objections, most notably his strongly held conviction that successful control of one's language is learned less as a matter of committing to memory the parts of speech and the principles of parsing, than as a matter of frequent opportunity for use.

Historically, the study by which issues of use had been most effectively addressed had been that of rhetoric, in itself quite old in the English-speaking tradition, dating back at least to the sixteenth century. Rhetorical studies flourished in the eighteenth century, the best known works on the subject being George Campbell's *The Philosophy of Rhetoric* (1776), and Hugh Blair's *Lectures on Rhetoric and Belles Lettres* (1783), while in the nineteenth century Richard Whately published his work, *Elements of Rhetoric* (1828). As the nineteenth century proceeded, scholarly work on rhetoric declined, as was testified by the markedly

inferior but nonetheless influential works of Alexander Bain (English Composition and Rhetoric, 1866; Revised version, 1887). Bain, in fact, did much to corrupt and destroy the older rhetorical traditions, primarily because he lost sight of the need for a basic concern with meaning in language. Bain's was the century of romanticism after all: on the one hand, Matthew Arnold was extolling the civilising influence of English literature in the development of children; on the other hand, there was a tendency towards suspicion, even contempt, for those who wanted to take a scholarly look at the linguistic organisation of texts, and at the ways in which they were structured for the building of meaning. In 1921, Ballard (who was an expert witness before the Newbolt Enquiry on the teaching of English), wrote a book called Teaching the Mother Tongue, in which he noted among other things, that unfortunately in England at least rhetorical studies had become associated with what were thought to be rather shallow devices for persuasion and argument. The disinclination to take seriously the study of the rhetorical organisation of texts gave rise to a surprisingly unhelpful tradition for the teaching of literature, which is with us yet in many places: 'civilising' it might be, but it was not to be the object of systematic study, for such study would in some ill-defined way threaten or devalue the work of literature itself.

A grammarian like Murray had never been in doubt about the relationship of grammar and rhetoric. As he examined it, grammar was concerned with the syntax of the written English sentence: it was not concerned with the study of 'style', about which he wrote a short appendix in his original grammar, where his debt to the major rhetoricians of the period was apparent. Rhetorical studies, especially as discussed by Campbell for instance, did address questions of 'style', always from the standpoint of a recognition of the close relationship of language to the socially created purpose in using language. In fact, the general model of language as discussed by Campbell bore some relationship to the model taken up in this series, most notably in its commitment to register.

The notion of register proposes a very intimate relationship of text to context: indeed, so intimate is that relationship, it is asserted, that the one can only be interpreted by reference to the other. Meaning is realised in language (in the form of text), which is thus shaped or patterned in response to the context of situation in which it is used. To study language then, is to concentrate upon exploring how it is systematically patterned towards important social ends. The linguistic theory adopted here is that of systemic linguistics. Such a linguistic theory is itself also a social theory, for it proposes firstly, that it is in the nature of human behaviour to build reality and/or experience through complex semiotic processes, and secondly, that the principal semiotic system available to humans is their language. In this sense, to study language is to explore some of the most important and pervasive of the processes by which human beings build their world.

I originally developed the volumes in this series as the basis of two major off campus courses in Language Education taught in the Master's degree program at Deakin University, Victoria, Australia. To the best of my knowledge, such courses, which are designed primarily for teachers and teacher educators, are the first of their kind in the world, and while they actually appeared in the mid 1980s, they emerge from work in language education which has been going on in Australia for

some time. This included the national Language Development Project. to which Michael Halliday was consultant, and whose work I co-ordinated throughout its second, productive phase. (This major project was initiated by the Commonwealth Government's Curriculum Development Centre. Canberra, in the 1970s, and involved the co-operation of curriculum development teams from all Australian states in developing language curriculum materials. Its work was not completed because of political changes which caused the activities of the Curriculum Development Centre to be wound down.) In the 1980s a number of conferences have been held fairly regularly in different parts of Australia, all of them variously exploring aspects of language education, and leading to the publication of a number of conference reports. They include: Frances Christie (ed.), Language and the Social Construction of Experience (Deakin University, 1983); Brendan Bartlett and John Carr (eds.), Language in Education Workshop: a Report of Proceedings (Centre for Research and Learning, Brisbane C.A.E., Mount Gravatt Campus, Brisbane, 1984); Rugaiya Hasan (ed.), Discourse on Discourse (Applied Linguistics Association of Australia, Occasional Papers, Number 7. 1985); Clare Painter and J.R. Martin (eds.), Writing to Mean: Teaching Genres across the Curriculum (Applied Linguistics Association of Australia, Occasional Papers, Number 9, 1986); Linda Gerot, Jane Oldenburg and Theo Van Leeuwen (eds.), Language and Socialisation: Home and School (in preparation). All these activities have contributed to the building of a climate of opinion and a tradition of thinking about language which made possible the development of the volumes in this series.

While it is true that the developing tradition of language education which these volumes represent does, as I have noted, take up some of the concerns of the older rhetorical studies, it nonetheless also looks forward, pointing to ways of examining language which were not available in earlier times. For example, the notion of language as a social semiotic, and its associated conception of experience or reality as socially built and constantly subject to processes of transformation, finds very much better expression today than would have been possible before, though obviously much more requires to be said about this than can be dealt with in these volumes. In addition, a functionally driven view of language is now available, currently most completely articulated in Halliday's An Introduction to Functional Grammar (1985), which offers ways of understanding the English language in a manner that Murray's Grammar could not have done.

Murray's Grammar confined itself to considerations of the syntax of the written English sentence. It did not have anything of use to say about spoken language, as opposed to written language, and, equally, it provided no basis upon which to explore a unit other than the sentence, whether that be the paragraph, or, even more importantly, the total text. The preoccupation with the written sentence neglected the pre-eminent position being accorded to the written word by Murray's time, leading to disastrous consequences since, because of the diminished value accorded to spoken language, especially in educational practices. In Murray's work, the lack of a direct relationship between the study of grammar on the one hand, and that of 'style', on the other hand, was, as I have already noted, to be attributed to his view that it was the rhetorician who addressed wider questions relating to the text. In the tradition in

which he worked, in fact, grammar looked at syntactic rules divorced from considerations of meaning or social purpose.

By contrast, Halliday's approach to grammar has a number of real strengths, the first of which is the fact that its basis is semantic, not syntactic: that is to say, it is a semantically driven grammar, which, while not denying that certain principles of syntax do apply, seeks to consider and identify the role of various linguistic items in any text in terms of their function in building meaning. It is for this reason that its practices for interpreting and labelling various linguistic items and groupings are functionally based, not syntactically based. There is in other words, no dissociation of 'grammar' on the one hand and 'semantics' or meaning on the other. A second strength of Halliday's approach is that it is not uniquely interested in written language, being instead committed to the study of both the spoken and written modes, and to an explanation of the differences between the two, in such a way that each is illuminated because of its contrast with the other. A third and final strength of the systemic functional grammar is that it permits useful movement across the text, addressing the manner in which linguistic patternings are built up for the construction of the overall text in its particular 'genre', shaped as it is in response to the context of situation which gave rise to it.

Halliday's functional grammar lies behind all ten volumes in this series, though one other volume, by Michael Christie, called Aboriginal perspectives on experience and learning: the role of language in Aboriginal Education, draws upon somewhat different if still compatible perspectives in educational and language theory to develop its arguments. The latter volume, is available directly from Deakin University. In varying ways, the volumes in this series provide a helpful introduction to much that is more fully dealt with in Halliday's Grammar, and I commend the series to the reader who wants to develop some sense of the ways such a body of linguistic theory can be applied to educational questions. A version of the grammar specifically designed for teacher education remains to be written, and while I cherish ambitions to begin work on such a version soon, I am aware that others have similar ambitions — in itself a most desirable development.

While I have just suggested that the reader who picks up any of the volumes in this series should find ways to apply systemic linguistic theory to educational theory, I want to argue, however, that what is offered here is more than merely a course in applied linguistics, legitimate though such a course might be. Rather, I want to claim that this is a course in educational linguistics, a term of importance because it places linguistic study firmly at the heart of educational enquiry. While it is true that a great deal of linguistic research of the past, where it did not interpret language in terms of interactive, social processes, or where it was not grounded in a concern for meaning, has had little of relevance to offer education, socially relevant traditions of linguistics like that from which systemics is derived, do have a lot to contribute. How that contribution should be articulated is quite properly a matter of development in partnership between educationists, teachers and linguists, and a great deal has yet to be done to achieve such articulation.

I believe that work in Australia currently is making a major contribution to the development of a vigorous educational linguistics, not all of it of course in a systemic framework. I would note here the important work of such people as J.R. Martin, Joan Rothery, Suzanne Eggins and Peter Wignell of the University of Sydney, investigating children's writing development; the innovatory work of Brian Gray and his colleagues a few years ago in developing language programs for Aboriginal children in central Australia, and more recently his work with other groups in Canberra; the recent work of Beth Graham, Michael Christie and Stephen Harris, all of the Northern Territory Department of Education, in developing language programs for Aboriginal children; the important work of John Carr and his colleagues of the Queensland Department of Education in developing new perspectives upon language in the various language curriculum guidelines they have prepared for their state; the contributions of Jenny Hammond of the University of Wollongong, New South Wales, in her research into language development in schools, as well as the various programs in which she teaches; research being undertaken by Ruqaiya Hasan and Carmel Cloran of Macquarie University, Sydney, into children's language learning styles in the transition years from home to school; investigations by Linda Gerot, also of Macquarie University, into classroom discourse in the secondary school, across a number of different subjects; and the work of Pam Gilbert of James Cook University, Townsville, in Queensland, whose interests are both in writing in the secondary school, and in language and gender.

The signs are that a coherent educational linguistics is beginning to appear around the world, and I note with pleasure the appearance of two new and valuable international journals: Language and Education, edited by David Corson of Massey University, New Zealand, and Linguistics in Education, edited by David Bloome, of the University of Massachusetts. Both are committed to the development of an educational linguistics, to which many traditions of study, linguistic, semiotic and sociological, will no doubt make an important contribution. Such an educational linguistics is long overdue, and in what are politically difficult times. I suggest such a study can make a major contribution to the pursuit of educational equality of opportunity, and to attacking the wider social problems of equity and justice. Language is a political institution: those who are wise in its ways, capable of using it to shape and serve important personal and social goals, will be the ones who are 'empowered' (to use a fashionable word): able, that is, not merely to participate effectively in the world, but able also to act upon it, in the sense that they can strive for significant social change. Looked at in these terms, provision of appropriate language education programs is a profoundly important matter, both in ensuring equality of educational opportunity, and in helping to develop those who are able and willing to take an effective role in democratic processes of all kinds.

One of the most encouraging measures of the potential value of the perspectives open to teachers taking up an educational linguistics of the kind offered in these monographs, has been the variety of teachers attracted to the courses of which they form a part, and the ways in which these teachers have used what they have learned in undertaking research papers for the award of the master's degree. They include, for example, secondary teachers of physics, social science, geography and English, specialists in teaching English as a second language to migrants and specialists in teaching English to Aboriginal people, primary school teachers, a nurse educator, teachers of illiterate adults, and language

curriculum consultants, as well as a number of teacher educators with specialist responsibilities in teaching language education. For many of these people the perspectives offered by an educational linguistics are both new and challenging, causing them to review and change aspects of their teaching practices in various ways. Coming to terms with a semantically driven grammar is in itself quite demanding, while there is often considerable effort involved to bring to conscious awareness the ways in which we use language for the realisation of different meanings. But the effort is plainly worth it, principally because of the added sense of control and direction it can give teachers interested to work at fostering and developing students who are independent and confident in using language for the achievement of various goals. Those people for whom these books have proved helpful, tend to say that they have achieved a stronger and richer appreciation of language and how it works than they had before; that because they know considerably more about language themselves, they are able to intervene much more effectively in directing and guiding those whom they teach; that because they have a better sense of the relationship of language and 'content' than they had before, they can better guide their students into control of the 'content' of the various subjects for which they are responsible; and finally, that because they have an improved sense of how to direct language learning, they are able to institute new assessment policies. negotiating, defining and clarifying realistic goals for their students. By any standards, these are considerable achievements.

As I draw this Foreword to a close, I should perhaps note for the reader's benefit the manner in which students doing course work with me are asked to read the monographs in this series, though I should stress that the books were deliberately designed to be picked up and read in any order one likes. In the first of the two semester courses, called Language and Learning, students are asked to read the following volumes in the order given:

Frances Christie - Language education Clare Painter - Learning the mother tongue M.A.K. Halliday & Ruqaiya Hasan - Language, context, and text: aspects of language in a social-semiotic perspective J.L. Lemke - Using language in the classroom then either.

M.A.K. Halliday - Spoken and written language

Rugaiya Hasan - Linguistics, language, and verbal art.

The following four volumes, together with the one by Michael Christie, mentioned above, belong to the second course called Sociocultural Aspects of Language and Education, and they may be read by the students in any order they like, though only three of the five need be selected for close study:

David Butt - Talking and thinking: the patterns of

Gunther Kress - Linguistic processes in sociocultural practice J.R. Martin - Factual writing: exploring and challenging social reality

Cate Poynton – Language and gender: making the difference

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### **Preface**

We live in what is called a 'literate society', which means that a reasonably large proportion of older children and adults in the community use language in a written as well as in a spoken form. They have learnt to read and write. Speaking and listening come naturally, unless one is born deaf; they also have to be learnt, of course, but—like walking and running—they are learnt young and without benefit of instruction. To get to read and write, however, one is usually taught; this is one step, perhaps the most important step, in the process of education. Reading and writing are associated with educated practice from the start.

Writing and speaking are not just alternative ways of doing the same things; rather, they are ways of doing different things. Writing evolves when language has to take on new functions in society. These tend to be the prestigious functions, those associated with learning, religion, government, and trade.

Partly because of its association with the sources of authority and power—but partly also because it is nearer the surface of our consciousness—writing tends to steal the linguistic limelight. For most literate people, 'language' means written language. We talk about how letters are pronounced, instead of, more accurately, how sounds are written down. We say our language is 'not phonetic', meaning that the spoken forms do not accord with the writing system—whereas in fact it is the written forms that do not accord with the sound system. Even the notion of a 'word' is tied to the written mode. And if something is written down, we are much more likely to believe it. It is no wonder that, as teachers, we tend to assume that written language is the only respectable medium through which to learn.

But speech came first, by some millions of years; and it comes first in our life history as individuals. We may have learnt to read and write, but we still go on talking and listening; and we still go on learning by talking and listening. The fact that we are less conscious of the processes of speech does not make them any the less important. We achieve different goals by means of spoken and written language; but neither has any superior value over the other.

Much of the time, of course, we are doing a bit of both, without any very clear boundary between them. And I would like you to approach this book rather in that spirit. It had to be written, because Deakin University wanted it in book form and not on tape; also it contains a lot of figures and diagrams, as well as tables that may need to be consulted over and over again, which is one of the things that writing is particularly good for. But I was saying it to myself all the time as I wrote it; and if there are any passages in it that seem to be difficult, I recommend reading them aloud. Readers read differently, of course, just as writers write differently; but I think that for some people, at least, the meaning will stand out more clearly once the text is 'heard' in spoken form.

I would like to thank Mr Ding Zhaozhang for his kindness in writing the Chinese characters for me; his calligraphic skill is greatly appreciated. I am extremely grateful to Deakin University for the trouble they have taken, and to the Series Editor, Frances Christie for her patient prodding and constructive advice. It is fashionable these days to talk about 'intertextuality'; this text is to be thought of as in dialogue with the other texts in the series, the whole lot together trying to say something about language as the basis of human development.

M. A. K. Halliday

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