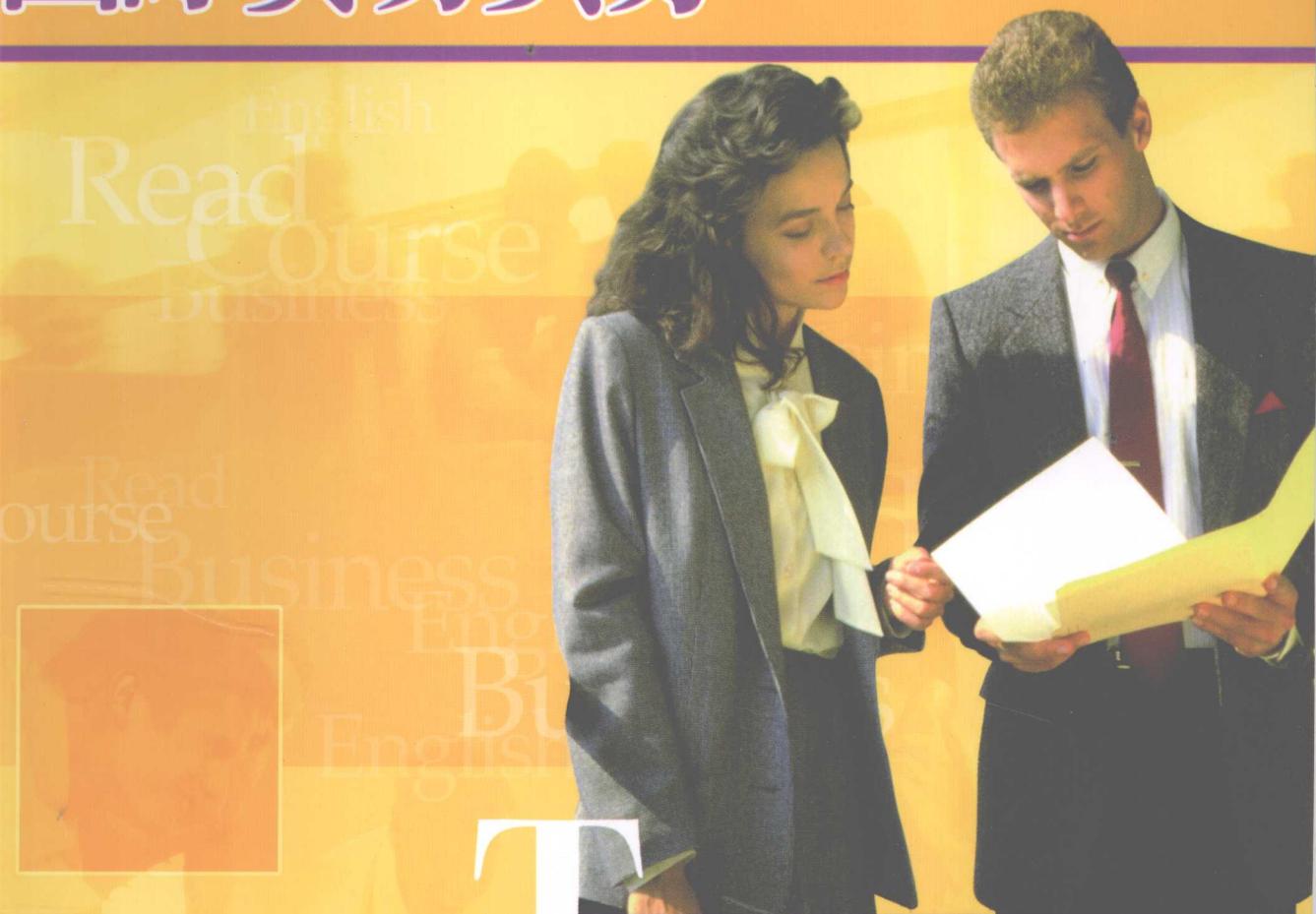


21世纪商务英语系列教材

国际贸易实务



International

Trade Practice

丁静辉 杜国荣 /主编



北京大学出版社
PEKING UNIVERSITY PRESS

21世纪商务英语系列教材

国际贸易实务

International Trade Practice

总主编 蒋 磊
主 编 丁静辉 杜国荣
副主编 崔向红 李 凌 邢春丽
编 者 张雪梅 邢 琰 蒋 磊 丁静辉
杜国荣 崔向红 李 凌 邢春丽



北京大学出版社
PEKING UNIVERSITY PRESS

图书在版编目(CIP)数据

国际贸易实务 / 丁静辉, 杜国荣主编. —北京: 北京大学出版社, 2007.12

(21世纪商务英语系列教材)

ISBN 978-7-301-12703-2

I. 国… II. ①丁… ②杜… III. 国际贸易-贸易实务-高等学校-教材-英文
IV. F740.4

中国版本图书馆 CIP 数据核字(2007)第 135276 号

书 名: 国际贸易实务

著作责任者: 丁静辉 杜国荣 主编

责任编辑: 李颖

标准书号: ISBN 978-7-301-12703-2/H·1832

出版发行: 北京大学出版社

地 址: 北京市海淀区成府路 205 号 100871

网 址: <http://www.pup.cn>

电 话: 邮购部 62752015 发行部 62750672 编辑部 62767347 出版部 62754962

电子邮箱: zbing@pup.pku.edu.cn

印 刷 者: 北京大学印刷厂

经 销 者: 新华书店

787 毫米×1092 毫米 16 开本 17 印张 400 千字

2007 年 12 月第 1 版 2007 年 12 月第 1 次印刷

定 价: 30.00 元

未经许可,不得以任何方式复制或抄袭本书之部分或全部内容。

版权所有,侵权必究 举报电话: 010-62752024

电子邮箱: fd@pup.pku.edu.cn



《21世纪商务英语系列教材》编审委员会

(按姓氏笔画为序)

王立非 (对外经济贸易大学 英语学院院长)

王晓红 (中央财经大学 外国语学院院长)

王晓群 (上海财经大学 外语系主任)

邓 海 (西南财经大学 语言文化学院院长)

田海龙 (天津商业大学 外国语学院院长)

许德金 (对外经济贸易大学 英语学院通用英语系主任)

林 立 (首都经济贸易大学 外语系主任)

修月祯 (北京第二外国语学院 国际经济贸易学院副院长)

黄振华 (中央财经大学 外国语学院副院长)

Acknowledgements

We are indebted, mainly for the reading selections, to many sources. We have put forth the fullest effort to trace each and every source, and their origins and our acknowledgements are indicated within the book. However, a small number of copyright materials remain uncredited because the original copyright holders could not be located, or we were unable to establish communication with them. It may be the case that some unintentional omissions have occurred in the employment of some copyright materials. We are grateful to these authors and sources, and we apologize for not being able to extend our acknowledgements in detail. For any questions concerning copyrights and permissions, please contact

Copyrights Department
Peking University Press
Beijing, 100871
P. R. China
Telephone: +86 10 62752036
Fax: +86 10 62556201
Email: xiena@pup.pku.edu.cn

We are much obliged for any information concerned and will make necessary arrangements for the appropriate settlement of any possible copyright issue.



前言

融入经济全球化大潮中的中国同世界各国的经济合作和贸易往来日益增加。中国已成为世界排名第三的贸易大国,国际贸易在国民经济中正在发挥着越来越大的作用。快速运行的全球经济和日益频繁的对外经济贸易都呼唤既有娴熟的外语语言技能、又有商贸专业知识的复合型人才。这种需求是单纯的语言型外语专业或外语能力水平单一的专业教育所不能满足的。在全球经济互动的形势下,“专业+英语”或“英语+专业”的复合型人才将在未来的竞争中处于优势,商务英语或经贸英语作为以国际商务为语言背景的应用性学科,已成为一门备受人们青睐的热门专业。目前国内许多大学实行了“英语+商务专业”的办学方针和复合型人才培养模式;同样,也有许多大学将其专业教学计划细化为“专业主干课程+英语”的课程体系,实行双语教学。不管是“商务英语”或“经贸英语”还是其他专业课程试行的“双语教学”,其根本教学目标是相同的,即增强学生直接使用英语从事国际经贸的涉外能力、适应能力以及国际商务实战能力。商务人员希望通过对“商务英语”这一学科的学习提高英语的实际运用能力;英语专业的学生希望通过对该学科的学习,熟悉和掌握基本的商务专业知识。同样,财经专业的学生渴望通过“双语教学”模式同时提高其专业水平和英语水平,以及直接使用英语从事相关专业。为了满足国际贸易迅猛发展的新形势需要,我们编写了这套英文版《商务英语》系列教材,《国际贸易实务》是这套商务英语系列书中的专业核心教材之一。

《国际贸易实务》是一门主要研究国际商品交换具体过程的学科,也是一门实践性很强的综合性应用学科;它既是国际商务学科体系中的一门基础课程,又是商务英语专业的骨干支撑课程。该课程的主要任务是,针对国际贸易的特点和要求,从实践的角度,分析研究与国际贸易有关的国际惯例和国际商品交换过程的各种实际运作,以便掌握从事国际贸易的“生意经”,学会在进出口业务中,既能正确贯彻我国对外贸易的方针政策和经营意图,确保最佳经济效益,又能按国际规范办事。本书以进出口贸易(主要是货物贸易)

的主要业务环节为主线,系统介绍了各环节的操作规程和国际惯例。全书采用英文编写,旨在使学生在国际经贸英语的语言环境中直接、系统地学习国际贸易专业的基本理论和知识,了解不同人文背景下商贸活动的规范以及具体操作实务,并通过对国际经贸知识的学习,强化商务英语这一专门用途英语的技能,掌握商务领域的英语术语、文体和语言特点,从而提高学习者用英语分析和处理商贸业务的能力。基于这种理念,本教材在编写设计时特别注意把握好商贸活动主题的涵盖面、商贸知识的系统性与完整性,以及语言技能与商贸知识的平衡,力求体现语言技能的训练与商贸知识的学习融为一体,以期达到使选用本教材的学生及相关人员在英语应用能力及商贸专业知识方面均获得提高,实现复合型人才的培养目标。

❖ **教材结构安排:**全书共 14 章,涵盖国际贸易概述、国际贸易形式、国际贸易实务、国际贸易术语、国际销售合同、商品术语(产品的名称、质量和数量、包装和唛头)、进出口单证、国际货物运输、国际货运保险、国际贸易支付、保险、检验、索赔、不可抗力和仲裁、海外营销机构、国际商务、关贸协定与 WTO 的基本知识等内容,全面系统地介绍了国际贸易的基本环节和操作规范。

❖ **章节体例安排:**

学习要点(Focal Learning):每章开始的学习目标,简明扼要的概括出本章节的知识点,使学习者在开始学习之前对本章节有个全面的概括性了解,明确重点、难点,便于有的放矢地学习。

生词(Lead-in Vocabulary):课文中出现的主要生词,以帮助学习者排除课文学习的困难,学习掌握一些词汇的常用的意义和用法。

课文:以国贸业务环节为主线分别介绍各环节的操作规程和国际惯例,使学生在学完课文后对专业术语、英语相关表达方式和专业知识有个全面而清楚的了解。此内容均选自较为权威的书籍和刊物。

常用词组、专业术语及注释:针对相关专业词组和术语以及较难句子的翻译和解释,以帮助学生理解各种专业术语的表达方法,使学生在正确理解文章含义的基础上,学习专业知识。

实践练习(Practical Drills):包括问题讨论、案例分析和角色扮演。贸易实务的学习必须有实际案例作分析。结合各章节的知识点,精心挑选了进出口业务中的经典实际案例来进行分析,可以使学生将知识与实际联系起来,更为客观和理性地处理进出口业务中的问题,从而学到了“活”知识。

拓展练习(Extended Activities):为使学生真正把所学的知识灵活地运用到专业实践中,每章后面都附有针对性的练习,帮助学生巩固基本词汇、专业术语和相关专业基础知识,强化学生对专业知识点的理解和运用。

❖ **本书具有以下特点:**

1. 英语和专业知识融为一体:本教材兼有英语语言能力训练与商务谈判专业知识的介绍双重任务。在内容安排上给学生提供了充足的语言材料和相关情景,同时又以清晰的专业理论讲解为基础,使学生在掌握了理论知识的基础上,学习词汇和句型,力求达到学以致用目的。

2. 结构合理,体系完整新颖:本教材强调核心技能培养的渗透性,按照教学流程设计编写体例,循序渐进、潜移默化地培养学生的专业核心技能和语言实际运用能力。教学活动设计充分体现讲练结合原则、任务教学原则、师生互动原则和实践性原则。

3. 案例丰富实践性强:本教材突出“案例导向”和“实践导向”的编写思路。各章都有具体的案例分析和实践活动,增强了可读性、实务性和可操作性。全方位、多功能地培养学生综合素质。

4. 针对性强、适用面广:本教材既可作为高等院校“商务英语或经贸英语”专业学生等复合型英语专业英语教材,亦可供国际贸易、国际营销、工商管理、国际商务、国际企业管理、国际金融等专业本、专科生、非英语专业硕士研究生等专业方向的学生作为双语教材使用。本教材对相关专业的成人教育和职业培训的学生以及经贸、金融、国际商务从业人员强化国际商务英语能力具有一定的借鉴价值。

5. 英语表述简明易懂、选材新颖:每章课文后配有相关知识点的强化训练和练习并辅以参考答案。

本册书参编人员具体分工如下:

李 凌 第1—2章

张雪梅 第3—4章

蒋 磊 邢春丽 第5—6章

杜国荣 第7—8章

崔向红 第9—10章

邢 琰 第11章及附录

丁静辉 第12—14章及练习答案

本书的出版得到了北京大学出版社的鼎力支持和热情帮助,在此我们表

Contents

Chapter 1 An Overview of the International Trade	1
Section I Reasons for the International Trade	2
1. Resources Reasons	2
2. Economic Reasons	2
3. Other Reasons	4
Section II The International Trade Policy	5
1. Gains from Free Trade	5
2. Different Means of Protection	6
Section III The History and Role of the Chinese International Trade	9
1. The Period between 1950—1976	9
2. The Period after 1976	10
Practical Drills	12
❖ Case Study—Favorable Balance of Trade vs. Unfavorable Balance of Trade	12
❖ Role Play—Establishing Business Relations	13
Extended Activities	14
Chapter 2 The International Trade Forms	17
Section I Selling Agent, Distribution, Consignment and International Subcontracting	18
1. Selling Agent	18
2. Distribution	18
3. Consignment	18
4. International Subcontracting	19
Section II Tender	19
1. Invitation for Bids	20
2. Submission of Bids	21

Section III International Counter-trade	22
1. Barter Trade	23
2. Compensation Agreement	23
3. Buy Back	24
4. Counter-purchase	24
Section IV International Technology Transfer and International Technology Licensing	25
1. International Technology Transfer	25
2. International Technology Licensing	25
Practical Drills	27
❖ Case Study—Confirming Offer	28
❖ Role Play—Tender and Biding	28
Extended Activities	29
Chapter 3 General Introduction to International Trade Practices	32
Section I The Definition of Export Transaction	33
1. Reasons for Exporting	33
2. Definition of Exporting	33
Section II The Conduct of Export Transaction	34
1. Preparation for Exporting	34
2. Business Negotiation	35
3. Implementation of Contract	35
4. Settlement of Disputes	36
Section III The Parties and Means in the Export Transaction	37
1. Basic Parties in the Export Transaction	37
2. Other Major Parties and Means in the Export Transaction	37
Practical Drills	39
❖ Case Study—Compensation Trade	40
❖ Role Play—Talking with a Potential Client	40
Extended Activities	41
Chapter 4 International Trade Terms	45
Section I Brief Explanations of Trade Terms	46
1. International Trade Terms	46
2. International Rules and Practices on Trade Terms	47

Section II Contents of Trade Terms	48
1. An Overview of the Structure of Incoterms 2000	48
2. A Brief Explanation of Terms in Incoterms 2000	49
3. Comparison between FCA/CPT/CIP and the Traditional FOB/CFR/CIF	53
Section III Expression and Choice of Trade Terms	53
1. Expression of Trade Terms	54
2. Choice of Trade Terms	54
Practical Drills	56
❖ Case Study 1—FOB (Incoterms 2000)	56
❖ Case Study 2—Why to Send Shipping Advice?	56
❖ Role Play—Price Negotiation	57
Extended Activities	57
Chapter 5 International Sales Contract	61
Section I Definition and Contents of International Sales Contracts	62
1. Definition of International Sales Contracts	62
2. Contents of a Sales Contract	63
3. Major Terms of a Sales Contract	64
Section II Formation of International Sales Contract	66
1. Inquiry	67
2. Offer	67
3. Counter-offer	68
4. Acceptance	69
5. Signing of the Contract	69
Section III Implementation of the Contract	70
1. Cargo Readiness	71
2. Examination of L/C and Amendment to L/C	71
Practical Drills	74
❖ Case Study 1—Offer	74
❖ Case Study 2—Acceptance	75
❖ Role Play—On Terms and Conditions of the Contract	75
Extended Activities	77
1. Pro Forma Invoice	100
2. Commercial Invoice	101
3. Quality Certificate	101

Chapter 6 Terms of Commodity	81
Section I Name of Commodity	82
Section II Quality of Commodity	83
1. Sales by Seller's Sample and Sales by Buyer's Sample	83
2. Sales by Description	84
Section III Quantities of Commodity	85
1. Units of Measurement	85
2. Calculation of Weight	85
Section IV Packing of Commodity	86
1. Outer Packing	86
2. Inner Packing	88
Section V Marking of Commodity	89
1. Shipping Marks	89
2. Indicative and Warning Marks	90
3. Additional Marks	91
Practical Drills	92
❖ Case Study—Dealing with Cartons Damaged	92
❖ Role Play—Packing	93
Extended Activities	94
Chapter 7 Export and Import Documentations	96
Section I Role and Requirements of Documentation	97
1. Role of Documentation	97
2. Requirements for Documentation	97
Section II Official Documents	98
1. Export License	98
2. Import License and Foreign Authorization	99
3. Certificate of Origin	99
4. Certificate of Inspection	99
5. Consular Invoice	100
6. Customs Invoice	100
Section III Commercial Documents	100
1. Pro Forma Invoice	100
2. Commercial Invoice	101
3. Quality Certificate	101

4. Weight Certificate	102
Section IV Financial Documents	102
1. Application Form for International Money Transfer	102
2. Drafts	103
3. Application for Documentary Letter of Credit	104
4. Letter of Credit	104
Section V Transportation Documents	104
1. Bill of Lading	105
2. Shipping Note	105
3. Packing List	105
4. Rail Consignment Note	106
5. Road Consignment Note	106
6. Air Waybill	107
7. Combined Transport Document	107
8. Arrival Notification	107
Section VI Insurance Documents	107
1. Insurance Policy	108
2. Insurance Certificate	108
3. Open Policy	108
Section VII Specimens	109
1. Import and Export Licenses	109
2. Certificate of Origin	110
3. Certificate of Inspection	111
4. Pro Forma Invoice	112
5. Commercial Invoice	113
6. Draft (Bill of Exchange)	114
7. Bill of Lading	115
8. Packing List	116
9. Insurance Policy/Certificate	117
10. Customs Declaration Form	118
Practical Drills	121
❖ Case Study—The Advising Bank’s Refusal	121
❖ Role Play—Talking about Credit Card	122
Extended Activities	123

Chapter 8 International Cargo Transportation	126
Section I Ocean Freight	127
1. Conference Shipping	127
2. Non-conference Line Vessels	128
3. Tramp Ships	128
4. Chartered Ships	129
Section II Air Transport	130
1. Scheduled Airlines	130
2. Chartered Carriers	130
3. Consolidated Consignments	131
Section III Other Means of International Cargo Transportation	131
1. Road Transport	131
2. Rail Transport	131
3. Inland Waterway Transport	132
4. Containerization	132
5. Palletization	132
6. Pipelines	133
7. International Multimodal Transport	133
Practical Drills	135
❖ Case Study—What Would the Exporter Do?	135
❖ Role Play—Late Delivery	135
Extended Activities	136
Chapter 9 International Cargo Transportation Insurance	138
Section I Fundamental Concepts in the International Cargo Insurance	139
1. Parties Involved	139
2. Insured Amount	140
3. Premium	140
4. Insurance Policy	140
Section II Marine Transportation Insurance	141
1. Risks Covered by Marine Insurance	141
2. Losses Covered by Marine Insurance	141
3. Expenses Incurred for the Rescue of Insured Cargo	142
4. Major Categories of General Insurance Coverage	142
5. Procedures of Marine Insurance	143
Section III Insurance of Land, Air and Postal Transportation	145
1. Insurance Coverage for Land Transportation	145
2. Insurance Coverage for Air Transportation	145
3. Parcel Post Insurance	146

Practical Drills	147
❖ Case Study—Refusal of Compensation	147
❖ Role Play—An Exporter Discusses Insurance of an Order with a Buyer	148
Extended Activities	149
Chapter 10 International Trade Payment	152
Section I Payment Instruments of International Trade	153
1. Bill of Exchange	153
2. Promissory Notes	154
3. Cheques	154
Section II Methods of Payment	155
1. Remittance	155
2. Collection	157
3. Letter of Credit	159
4. Banker's Letter of Guarantee	161
Section III Terms of Payment in the Sales Contract	161
1. Payment by Remittance	161
2. Payment by Collection	162
3. Payment by the Letter of Credit	162
Practical Drills	163
❖ Case Study 1—A FOB Contract	164
❖ Case Study 2—Letter of Credit	164
❖ Role Play—Decision on Mode of Payment	166
Extended Activities	166
Chapter 11 Inspection, Force Majeure, Claim and Arbitration	170
Section I Commodity Inspection	171
1. Necessity of Commodity Inspection	171
2. Time and Place of Inspection	171
3. Inspection Agency and Certificate	173
Section II Force Majeure	173
1. Concept of Force Majeure	173
2. Consequences of Force Majeure	174
Section III Claim	174
1. The Definition of Claim	174
2. Settlement of Claim	175
Section IV Arbitration	175
1. Procedures of Arbitration	175
2. Cost of Arbitration	176

Practical Drills	178
❖ Case Study—Arbitration of Dispute	178
❖ Role Play—Damage	179
Extended Activities	179
Chapter 12 Marketing Organizations Abroad	182
Section I Agency Arrangements	183
1. Self-employed Agents Abroad	183
2. The Nature of the Contract of Agency	183
3. The Agent's Authority	184
4. Rights and Obligations of the Agent and the Principal	185
5. Special Types of the Agents	186
Section II Branch Offices and Subsidiaries Abroad	188
1. General Understanding of Branch Offices and Subsidiaries Abroad	188
2. Dealings between Branch Offices Abroad	189
3. Subsidiary Companies Abroad	189
Section III Sole Distribution Agreements, Licensing Agreements and	
Franchising	190
1. Sole Distribution Agreements	191
2. Licensing Agreements	193
3. Franchising	193
Practical Drills	195
❖ Case Study—Sole Agency Agreement	195
❖ Role Play—Joint Venture	197
Extended Activities	197
Chapter 13 E-commerce	200
Section I E-commerce and Its Historical Development	201
1. E-commerce	201
2. Historical Development of E-commerce	201
Section II Major Categories of E-commerce	202
1. Business-to-business (B2B) E-commerce	202
2. Business-to-consumer E-commerce	202
3. Consumer-to-consumer E-commerce	202
4. Consumer-to-business E-commerce	203
5. Government-to-citizen E-commerce	203
6. Government-to-business E-commerce	203
Section III Business Process and Models of E-commerce	203