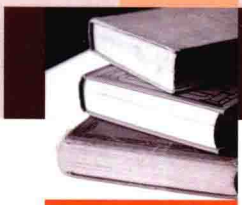


原版现代语言学丛书

ENGLISH SYNTAX AND ARGUMENTATION

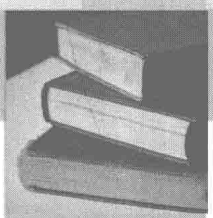
(FOURTH EDITION)

英语句法与论证

(第四版)

Bas Aarts

上海外语教育出版社
外教社 SHANGHAI FOREIGN LANGUAGE EDUCATION PRESS
www.sflep.com



原版现代语言学丛书

ENGLISH SYNTAX AND ARGUMENTATION

(FOURTH EDITION)

英语句法与论证

(第四版)

Bas Aarts

上海外语教育出版社
外教社 SHANGHAI FOREIGN LANGUAGE EDUCATION PRESS

图书在版编目（CIP）数据

英语句法与论证：第四版/（英）巴斯·阿尔茨（Bas Aarts）著.
—上海：上海外语教育出版社，2016
（原版现代语言学丛书）
ISBN 978-7-5446-4518-8

I. ①英… II. ①巴… III. ①英语—句法—研究 IV. ①H314.3

中国版本图书馆CIP数据核字（2016）第229524号

图字：09-2015-1040号

© Bas Aarts 1997, 2001, 2008, 2013
First published in English by Palgrave Macmillan, a division of Macmillan Publishers Limited under the title *English Syntax and Argumentation (4th Edition)*. This edition has been reprinted under license from Palgrave Macmillan. The author has asserted his right to be identified as the author of this Work.
For copyright reasons this edition is not for sale outside of the mainland part of China.

本书由Palgrave Macmillan授权上海外语教育出版社有限公司出版。
仅供在中华人民共和国境内（香港、澳门、台湾除外）销售。

出版发行：上海外语教育出版社
（上海外国语大学内） 邮编：200083
电　　话：021-65425300（总机）
电子邮箱：bookinfo@sflep.com.cn
网　　址：http://www.sflep.com.cn　http://www.sflep.com
责任编辑：奚玲燕

印　　刷：上海叶大印务发展有限公司
开　　本：700×1000　1/16　印张 22.5　字数 580千字
版　　次：2017年2月第1版　2017年2月第1次印刷
印　　数：2 100册

书　　号：ISBN 978-7-5446-4518-8 / H · 2074
定　　价：60.00元

本版图书如有印装质量问题，可向本社调换

出版说明

语言学研究是外教社学术出版的重要领域之一。外教社坚持原创与引进并举，近年来陆续引进出版了《语言与教育百科全书》（第2版）、《语言与语言学百科全书》（第2版）、“牛津应用语言学丛书”、“牛津语言学入门丛书”、“语用学研究前沿丛书”等国外语言学研究的一流成果，广受赞誉，为我国语言学的教学研究和改革提供了宝贵的启示。

为了帮助广大语言学初学者更好地掌握语言学的最新成果和研究热点，外教社特从Palgrave出版社遴选引进了这套权威、实用的“原版现代语言学丛书”，其中不少分册都是几经修订的最新版。

本套丛书对当代语言学和相关学科的基本知识做了全面简介，涉及语用学、语义学、音系学、句法理论、交际障碍等研究领域，语言简明，举例丰富，注重与学习者的互动，设置了练习题（部分含答案）、扩展阅读等辅助学习的内容。丛书既可作为语言学入门教材在高校课堂上使用，也可供感兴趣的读者自学阅读，具有很强的可读性和实用性。

相信本套丛书的引进将进一步满足广大语言学专业师生对权威、实用的语言学教材及课外阅读资料的需求，推动我国语言学教学和科研事业的长远发展。

English Syntax and Argumentation

Fourth Edition

Bas Aarts

此为试读,需要完整PDF请访问: www.ertongbook.com

© Bas Aarts 1997, 2001, 2008, 2013

All rights reserved. No reproduction, copy or transmission of this publication may be made without written permission.

No paragraph of this publication may be reproduced, copied or transmitted save with written permission or in accordance with the provisions of the Copyright, Designs and Patents Act 1988, or under the terms of any licence permitting limited copying issued by the Copyright Licensing Agency, Saffron House, 6-10 Kirby Street, London EC1N 8TS.

Any person who does any unauthorized act in relation to this publication may be liable to criminal prosecution and civil claims for damages.

The author has asserted his right to be identified as the author of this work in accordance with the Copyright, Designs and Patents Act 1988.

First edition 1997
Second edition 2001
Third edition 2008
Fourth edition published 2013 by
PALGRAVE MACMILLAN

Palgrave Macmillan in the UK is an imprint of Macmillan Publishers Limited, registered in England, company number 785998, of Houndmills, Basingstoke, Hampshire RG21 6XS.

Palgrave Macmillan in the US is a division of St Martin's Press LLC, 175 Fifth Avenue, New York, NY 10010.

Palgrave Macmillan is the global academic imprint of the above companies and has companies and representatives throughout the world.

Palgrave® and Macmillan® are registered trademarks in the United States, the United Kingdom, Europe and other countries.

To my family and friends

Preface to the First Edition

This book grew out of a need for an introductory text that teaches students not only English syntax but also the basics of argumentation. It is inspired by current Chomskyan theory, but it is not an introduction to it. However, having worked their way through this book, students should be able to progress to a more advanced study of syntax, descriptive or theoretical.

I would like to thank the following people for having read an earlier version of the book or parts of it: Flor Aarts (who also helped correcting proofs), Valerie Adams, Judith Broadbent, Dick Hudson, Gunther Kaltenböck, Andrew Spencer, and the students who took part in the Modern English Language seminar at University College London.

Special thanks are due to Noël Burton-Roberts for his advice, extensive comments and support. Naturally, all blunders, bloopers and other blemishes are entirely due to me.

Preface to the Second Edition

This new edition is a completely revised and corrected version of the first edition. The most obvious change is that Chapter 7 of the first edition (on X-bar syntax) has been split into two, giving more prominence to clauses in a new Chapter 8 (entitled 'More on Clauses'). In addition, the book contains many new exercises, which are now graded in terms of level of difficulty. I am grateful to colleagues and students who used the first edition of this book, and gave me very valuable feedback preparing the present edition. In particular, I would like to thank Kersti Börjars, Ilse Depraetere, Nik Gisborne, Sebastian Hoffman, Hans-Martin Lehmann, Magnus Ljung, Gergana Popova, Mariangela Spinillo and Gunnel Tottie, as well as students at UCL, the Universidad de La Laguna, the University of Sofia, and the University of Zürich.

Preface to the Third Edition

This new edition incorporates a number of corrections and changes in terminology. For example, I now use *determinative* as a form label, rather than *determiner*. I have added a new chapter on grammatical indeterminacy which has been informed by my research on syntactic gradience (Aarts 2007a). Furthermore, I have reorganised Chapter 15 (formerly Chapter 14) by moving one of the case studies to the new Chapter 14, and by adding a new case study. I have also written a number of new exercises, while removing others that didn't 'work'.

I am again extremely grateful to a number of colleagues for sending me corrections and suggestions for changes. Among them are Dong-hwan An (who translated the second and third editions into Korean), Gunnar Bergh, Myong-Hi Chai and three anonymous readers. For advice, comments, suggestions and corrections I'm very grateful to Maggie Tallerman, the new editor for the Modern Linguistics series, to Sonya Barker at Palgrave Macmillan, as well as to my copy editor Penny Simmons. Finally, I would like to thank my students at UCL, past and present, who have always been a tremendous pleasure to teach, and students from elsewhere who have written to me with corrections and comments.

Preface to the Fourth Edition

I'm delighted that this book now appears in a fourth edition and continues to appeal to teachers and students of English syntax.

In this edition I have added a chapter on tense, aspect and mood, since these topics were not covered in detail in the earlier editions of the book. Other parts of the book have been updated, reorganised, and partially rewritten in places. I have also added new exercises.

I'm grateful to colleagues and students who have sent me corrections, to my editors Kitty van Boxel, Anna Reeve and Aléta Bezuidenhout at Palgrave Macmillan for their help and advice, and to Maggie Tallerman for her expert input. I'm also grateful to the *Camden New Journal* and the LINGIST List for permission to use extracts from their publication.

此为试读,需要完整PDF请访问: www.ertongbook.com

Contents

Preface to the First Edition x
Preface to the Second Edition xi
Preface to the Third Edition xii
Preface to the Fourth Edition xiii

Part I Function and Form

1 **Introduction** 3
Key Concepts 7

2 **Function** 8
2.1 Subject and Predicate 8
2.2 Predicator 15
2.3 Direct Object 15
2.4 Indirect Object 19
2.5 Adjunct 21
Key Concepts 22
Exercises 22
Further Reading 24

3 **Form: Words, Word Classes and Phrases** 25
3.1 The notion 'word' 25
3.2 Nouns and determinatives 26
3.3 Adjectives 32
3.4 Verbs 35
3.5 Prepositions 44
3.6 Adverbs 45
3.7 Conjunctions 46
3.8 Interjections 49
Key Concepts 49
Exercises 50
Further Reading 52

4 **More on Form: Clauses and Sentences** 54
4.1 Clauses and clause hierarchies 54
4.2 The rank scale 57
4.3 Clause types 59
4.3.1 Declarative clauses 59
4.3.2 Interrogative clauses 60
4.3.3 Imperative clauses 61
4.3.4 Exclamative clauses 62

4.3.5 The pragmatics of the clause types 62
4.4 More on tree diagrams 64
Key Concepts 68
Exercises 68
Further Reading 70

5 The Function–Form Interface 71
5.1 Function–form relationships 71
5.2 Realisations of the Subject 72
5.3 Realisation of the Predicate and Predicator 75
5.4 Realisations of the Direct Object 75
5.5 Realisations of the Indirect Object 79
5.6 Realisations of Adjuncts 80
Key Concepts 86
Exercises 87
Further Reading 88

Part II Elaboration

6 Predicates, Arguments and Thematic Roles 91
6.1 Predicates and arguments 91
6.2 Thematic roles 94
6.3 Grammatical functions and thematic roles 97
6.4 Selectional restrictions 97
6.5 Three levels of description 98
Key Concepts 99
Exercises 99
Further Reading 101

7 Cross-Categorial Generalisations: X-Bar Syntax 103
7.1 Heads, Complements and Specifiers 103
7.2 Adjuncts 112
7.3 Cross-categorial generalisations 119
7.4 Subcategorisation 121
7.4.1 Subcategorisation versus argument/thematic structure 123
Key Concepts 124
Exercises 124
Further Reading 127

8 More on Clauses 129
8.1 The I-node 129
8.2 Subordinate clauses 134
8.2.1 Clauses functioning as Direct Object, Subject and Adjunct 134
8.2.2 Clauses functioning as Complements within phrases 136
8.2.3 Clauses functioning as Adjuncts within NPs 137

Key Concepts 139
Exercises 139
Further Reading 140

9 Movement 141
9.1 Verb Movement: aspectual auxiliaries 141
9.2 NP-Movement: passive 148
9.3 NP-Movement: Subject-to-Subject Raising 153
9.4 Movement in interrogative sentences: Subject–Auxiliary Inversion 155
9.5 Wh-Movement 158
9.6 The structure of sentences containing one or more auxiliaries 162
Key Concepts 164
Exercises 164
Further Reading 166

10 Tense, Aspect and Mood 167
10.1 Time and tense 167
10.1.1 The present tense 167
10.1.1.1 Uses of the present tense 168
10.1.2 The past tense 169
10.1.2.1 Uses of the past tense 169
10.1.3 Ways of referring to future time 170
10.2 Aspectuality and aspect 171
10.2.1 Progressive aspect 171
10.2.1.1 The progressive construction 171
10.2.1.2 Uses of the progressive 172
10.2.2 Perfect aspect 172
10.2.2.1 The perfect construction 172
10.2.2.2 Uses of the present perfect 173
10.3 Modality and mood 174
10.3.1 Different types of modality 174
10.3.2 The core modals 175
10.3.2.1 The morpho-syntactic characteristics of the core modals 175
10.3.2.2 Meanings expressed by the core modals 176
10.3.3 Other ways of expressing modality 178
Key Concepts 179
Exercises 179
Further Reading 180

Part III Argumentation

11 Syntactic Argumentation 183
11.1 The art of argumentation 183
11.2 Economy of description: Linguistically Significant Generalisations and Occam's Razor 186
11.2.1 Linguistically Significant Generalisations 186
11.2.2 Occam's Razor 188

11.2.2.1 Verb–preposition constructions 189
11.2.2.2 Achieving economy in the domain of functional terminology 193
11.3 Further constraints on description: elegance and independent justifications 195
11.3.1 Elegance of description 195
11.3.2 Independent justification 198
11.4 Evaluating analyses 200
Key Concepts 201
Exercises 201
Further Reading 203

12 Constituency: Movement and Substitution 205
12.1 The Movement Test 206
12.1.1 Movements to the left 207
12.1.1.1 Topicalisation 207
12.1.1.2 VP-Preposing 208
12.1.1.3 *Though*-Movement 213
12.1.2 Movements to the right 214
12.1.2.1 Heavy-NP-Shift 214
12.1.2.2 Extraposition of Subject clauses 215
12.1.2.3 Extraposition from NP 215
12.2 Substitution 217
12.2.1 Substitution of nominal projections: NP and N′ 217
12.2.2 Substitution of verbal projections: VP and V′ 223
Key Concepts 229
Exercises 229
Further Reading 233

13 Constituency: Some Additional Tests 234
13.1 The Coordination Test 234
13.2 The Cleft and Pseudocleft Test 237
13.3 The Insertion Test 239
13.4 The Constituent Response Test 240
13.5 The Somewhere Else Test 241
13.6 The Meaning Test 243
13.7 A case study: the *Naked Pizza Eating*-construction 243
13.8 Some caveats regarding the tests 246
Key Concepts 248
Exercises 248
Further Reading 251

14 Predicates and Arguments Revisited 252
14.1 Establishing argumenthood 252
14.1.1 Meaning 253
14.1.2 Dummy elements and idiom chunks 255
14.1.3 Passivisation 256
14.2 Two further types of verb + NP + *to*-infinitive construction: *persuade* and *want* 257

14.2.1 *Persuade* 257
14.2.2 *Want* 259
14.2.3 Overview 260
14.3 Concluding remarks 262
Key Concepts 262
Exercises 262
Further Reading 264

Part IV Application

15 Grammatical Indeterminacy 267
15.1 Category boundaries and gradience 267
15.2 Subsective gradience 268
15.2.1 Nouns 268
15.2.2 Adjectives 269
15.2.3 Verbs 270
15.2.4 Prepositions 270
15.3 Intersective gradience 271
15.3.1 Word classes: adjective or adverb? 272
15.3.2 Word classes: verb or noun? 272
15.3.3 Phrases: adjective phrase or prepositional phrase? 274
15.3.4 Constructional gradience 275
15.4 Concluding remarks 276
Key Concepts 277
Exercises 277
Further Reading 278

16 Case Studies 279
16.1 Negated modal auxiliaries 279
16.2 Noun phrase structure 283
16.2.1 *A lot of books* 283
16.2.2 *A giant of a man* 287
16.3 Verb complementation 293
16.3.1 Pattern 1: V + *to*-infinitive 294
16.3.2 Pattern 2: V + NP + *to*-infinitive constructions involving *allow* 298
16.3.3 Pattern 3: V + NP + {NP/AP/PP} 302
16.4 Subordinating conjunctions and prepositions 306
16.5 Concluding remarks 307
Key Concepts 308
Exercises 308
Further Reading 309

Glossary 311
Reference Works: Dictionaries, Encyclopedias, Grammars and Other Publications on the English Language 323
Bibliography 329
Index 333

Part I
Function and Form

此为试读,需要完整PDF请访问: www.ertongbook.com