## Advanced Introduction to

# INTERNATIONAL ENVIRONMENTAL LAW

Ellen Hey



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# International Environmental Law

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### **Preface**

The book in your hands has the character of a long essay that attempts to illustrate and assess where international environmental law stands at the beginning of the twenty-first century. It focuses on themes that play a role in international environmental law discourse, instead of providing a systematic overview of international environmental regimes.

The text is indebted to the work of many of my colleagues who research and write in the area of international environmental law, even if this is not reflected in the text because it lacks references to their work. I thank my colleagues who work in the area of international environmental law for their many valuable publications and much cherished opportunities for discussion. I am particularly indebted to Jutta Brunnée, Jonas Ebbesson and Louis Kotzé who generously gave me their time by reading through the whole draft manuscript and offering their thoughts on how it could be improved. Alessandra Arcuri equally generously gave me her time and thoughts with regard to the parts of the text related to the relationship between international environmental law and international trade and investment law. Thanks a million Jutta, Jonas, Louis and Alessandra. Needless to say the usual disclaimer applies.

The exclusion of references from the text is related to the publisher's request to limit them. I have perhaps taken this request to the extreme by including references only to what I consider to be important texts, by non-lawyers or bodies, that have influenced how we think about the protection and conservation of the environment. The choice was also made to omit full references to relevant instruments and cases in the text. Instead, information about relevant instruments is found in the table of instruments, while documents that are more difficult to find, such as resolutions of international bodies, are parenthesized in the text. Moreover, acronyms for treaty instruments are included in the table of instruments, not the table of abbreviations. Full references to case citations are included in the table of cases.

I thank the Erasmus School of Law, of Erasmus University Rotterdam, for enabling me to take a number of sabbaticals and the Faculty of Law, of the University of New South Wales, for accommodating me during these sabbaticals. It was during two of these sabbaticals that most parts of this text were written.

Finally, Ben Booth, of Edward Elgar, thank you for your never ending tolerance regarding the ever forward moving deadline and for your encouragement throughout.

## **Abbreviations**

ACCC Aarhus Convention Compliance Committee

ACHPR African Commission on Human and People's Rights

ASEAN Association of Southeast Asian Nations

BAT best available technology
BEP best environmental practices
BIT bilateral investment treaty

CAO Compliance Advisor/Ombudsman

CBNRM community-based natural resource management

CDM Clean Development Mechanism CERs certified emission reductions

CFCs chlorofluorocarbons

CMP conference of the parties acting as the meeting of the

parties

CO<sub>2</sub> carbon dioxide

COP conference of the parties

CSD Commission on Sustainable Development

DRC Democratic Republic of Congo EAF Ecosystem Approach to Fisheries

EBRD European Bank for Reconstruction and Development ECOSOC Economic and Social Council of the United Nations

ECtHR European Court of Human Rights

EEZ exclusive economic zone

EIA environmental impact assessment

FAO Food and Agriculture Organization of the United

Nations

FIT feed-in-tariff

FSC Forest Stewardship Council GEF Global Environment Facility

GESAMP Joint Group of Experts on the Scientific Aspects of

Marine Environmental Protection

GHG greenhouse gas

IAComHR Inter-American Commission on Human Rights

IACtHR Inter-American Court of Human Rights

IADB Inter-American Development Bank IAEA International Atomic Energy Agency

IBRD International Bank for Reconstruction and Development

ICJ International Court of Justice

ICSID International Centre for the Settlement of Investment

Disputes

IDA International Development Agency
 IDBs international development banks
 IFC International Finance Corporation
 ILA International Law Association
 ILC International Law Commission
 ILO International Labour Organization
 IMO International Maritime Organization

INDCs intended nationally determined contributions IPCC Inter-governmental Panel on Climate Change

IPT international peoples' tribunal ISF International Shipping Federation

ISO International Organization for Standardization ITLOS International Tribunal for the Law of the Sea IUCN International Union for Conservation of Nature IUPN International Union for the Protection of Nature

IWC International Whaling Commission

KAZA Kavango Zambezi Transfrontier Conservation Area

LMOs living modified organisms

MA Millennium Ecosystem Assessment
MDGs Millennium Development Goals
MEA multilateral environmental agreement

MIGA Multilateral Investment Guarantee Agency

MOP meeting of the parties

MOU memorandum of understanding

MPAs marine protected areas

MRV measurement, reporting and verification

MSC Marine Stewardship Council
MSY maximum sustainable yield
NGO non-governmental organization
NIEO New International Economic Order

OECD Organisation for Economic Cooperation and

Development

OP&Ps Operational Policies and Procedures
PCA Permanent Court of Arbitration

PCF Prototype Carbon Fund POPs persistent organic pollutants

**PVC** polyvinyl chloride **RFMO** regional fisheries management organization Conference on Sustainable Development Rio+20 SADC Southern African Development Community Sustainable Development Goals SDGs SEA strategic environmental assessment UNCED United Nations Conference on Environment and Development/Rio Conference United Nations Conference on the Human UNCHE Environment/Stockholm Conference UNDP United Nations Development Programme **UNECE** United Nations Economic Commission for Europe UNEP United Nations Environment Programme UNESCO United Nations Educational, Scientific and Cultural Organization **UNGA** United Nations General Assembly United Nations Industrial Development Organization UNIDO USSR Union of Soviet Socialist Republics WBIP World Bank Inspection Panel WCED World Commission on Environment and Development

World Meteorological Organization

World Trade Organization World Wide Fund for Nature

WMO

WTO

WWF

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## 1 Setting the scene

#### 1.1 Introduction

This chapter sets the scene and its first section provides a rough sketch of the types of problems that contemporary international environmental law seeks to address and of the complex institutions that constitute it. Accordingly, this first section considers three questions: what does international environmental law deal with, who makes it and where do we find it? The remainder of the chapter considers issues of terminology, and sets out the aims and outline of the book.

## 1.2 The what, who and where of contemporary international environmental law

### 1.2.1 What does international environmental law deal with?

International environmental law aims to address the negative impacts that humans have on the environment with the objective of protecting and conserving the environment. Human impacts on the environment derive from the myriad of activities that we engage in, including the introduction of substances into the environment and the taking of elements from the environment for direct human use or for developing products that are useful to humans. Think of, respectively, the introduction of chemicals into the environment through the use of pesticides in agriculture or as a result of hydraulic fracturing (fracking) in the process of mining shale gas; the taking of fish or other wild animals for human consumption or as hunting trophies; and the use of timber as building material or minerals in industrial processes.

Human life depends on natural processes such as the pollination of plants by insects, the purification of water by wetlands and the protective shield that the ozone layer provides against an overdose of ultraviolet sunlight. While some of the benefits that nature offers can be provided by technological developments, relevant technologies may not be affordable everywhere and may themselves give rise to new environmental problems. Waste water treatment technology provides an example. While primary treatment – to remove solids and grease by way of mechanical treatment – is relatively affordable, secondary treatment – to remove in particular organic material by way of microbial activity – and especially tertiary treatment – to remove among other things chemicals and nutrients by way of a variety of processes – are more expensive. Moreover, each of these phases of waste water treatment results in residues which need to be made fit for reuse or safely disposed of.

In addition to the human uses referred to above, humans also value the environment for its role in cultural and spiritual experiences. Think of, for example, the role nature plays in literature, movies and paintings as well as in our experience of the outdoors and the use of water in religious ceremonies. These experiences may prompt reflection on geological time and the fact that humans have only been around for a fraction of that time, even if human behaviour is impacting the Earth's systems, such as the climate system. Such ponderings may lead to the conclusion that the Earth's systems are worthy of protection in their own right. While thoughts of this nature may be on the minds of many who engage in the development and implementation of international environmental law, they find little explicit reflection in international environmental law. On the contrary, international environmental law reflects an anthropocentric approach to the protection and preservation of the environment, rather than an eco-centric approach. In other words, emphasis is on instrumental and human centric reasons for protecting and conserving the environment.

Perhaps the most striking element of contemporary international law is its focus on global interdependencies. This process has been fostered by our increased understanding of the Earth's systems, such as the climatic and oceanic systems, pointing to complex linkages within and among these systems and the linkages between these systems and human activities. A pertinent example of a set of interdependencies that international environmental law seeks to address is the following: greenhouse gas (GHG) emissions, resulting from a host of human activities, cause climate change which in turn causes sea level rise, excessive rain and flooding in some regions and extreme drought in other areas. The fact that our economic system spans the globe and creates interdependencies also has contributed to the emergence of international

environmental law. Think of the fact that tropical rainforests provide inputs for medicinal products appreciated across the globe, resulting in calls to protect these forests. Economic incentives, moreover, foster global trade in, for example, oil and coal, agricultural products, chemicals, waste and genetically modified organisms. The generation, transportation and use of these products may harm the environment. In addition, due to contemporary communication facilities individuals and groups across the globe are ever more interconnected and engaged with each other, leading to transnational movements of, for example, indigenous peoples or environmental groups. These groups, aware of global interdependencies, demand that their concerns be addressed by international environmental law. Global interdependencies thus point to the complex linkages between natural systems, between social systems and among social and natural systems.

Despite these global interdependencies a considerable amount of contemporary international environmental law is concerned with bilateral relationships or with issues that involve relatively smaller groups of states. Pertinent examples are treaties that deal with international rivers or transboundary nature conservation areas. In addition, it is important to realize that the consequences of environmental harm manifest themselves at the most local of levels. Think of the farmer who experiences drought, the population of small island states who may see their island flooded, or the members of a local community who due to irresponsible logging or dumping of hazardous wastes see their livelihood and sometimes lives endangered. Moreover, action to address environmental problems ultimately depends on the decisions of individuals and groups in local situations.

### 1.2.2 Who makes international environmental law?

International environmental law is not only developed and implemented by states, but also by international organizations, individuals and groups in society as well as the non-governmental organizations (NGOs) and private sector actors. Treaties, or agreements between states, and international customary law, are prominent sources of international environmental law, yet their content may be co-determined by non-state actors. In addition, principles, standards and rules also emanate from international organizations, private sector actors, NGOs, sub-state actors and cooperative initiatives involving these actors as well as states. Non-state actors, moreover, engage in the application of these principles, standards and rules in specific situations and