



# Power Electronic Converters and Systems

Frontiers and applications

Edited by  
Andrzej M. Trzynadlowski

# Power Electronic Converters and Systems

Frontiers and applications

Edited by  
Andrzej M. Trzynadlowski

The Institution of Engineering and Technology

Published by The Institution of Engineering and Technology, London, United Kingdom

The Institution of Engineering and Technology is registered as a Charity in England & Wales (no. 211014) and Scotland (no. SC038698).

© The Institution of Engineering and Technology 2016

First published 2015

This publication is copyright under the Berne Convention and the Universal Copyright Convention. All rights reserved. Apart from any fair dealing for the purposes of research or private study, or criticism or review, as permitted under the Copyright, Designs and Patents Act 1988, this publication may be reproduced, stored or transmitted, in any form or by any means, only with the prior permission in writing of the publishers, or in the case of reprographic reproduction in accordance with the terms of licences issued by the Copyright Licensing Agency. Enquiries concerning reproduction outside those terms should be sent to the publisher at the undermentioned address:

The Institution of Engineering and Technology  
Michael Faraday House  
Six Hills Way, Stevenage  
Herts, SG1 2AY, United Kingdom

[www.theiet.org](http://www.theiet.org)

While the author and publisher believe that the information and guidance given in this work are correct, all parties must rely upon their own skill and judgement when making use of them. Neither the author nor publisher assumes any liability to anyone for any loss or damage caused by any error or omission in the work, whether such an error or omission is the result of negligence or any other cause. Any and all such liability is disclaimed.

The moral rights of the author to be identified as author of this work have been asserted by him in accordance with the Copyright, Designs and Patents Act 1988.

### **British Library Cataloguing in Publication Data**

A catalogue record for this product is available from the British Library

**ISBN 978-1-84919-826-4 (hardback)**

**ISBN 978-1-84919-827-1 (PDF)**

Typeset in India by MPS Limited

Printed in the UK by CPI Group (UK) Ltd, Croydon

**IET POWER AND ENERGY SERIES 74**

# **Power Electronic Converters and Systems**

## Other volumes in this series:

- Volume 1 **Power Circuit Breaker Theory and Design** C.H. Flurscheim (Editor)
- Volume 4 **Industrial Microwave Heating** A.C. Metaxas and R.J. Meredith
- Volume 7 **Insulators for High Voltages** J.S.T. Looms
- Volume 8 **Variable Frequency AC Motor Drive Systems** D. Finney
- Volume 10 **SF<sub>6</sub> Switchgear** H.M. Ryan and G.R. Jones
- Volume 11 **Conduction and Induction Heating** E.J. Davies
- Volume 13 **Statistical Techniques for High Voltage Engineering** W. Hauschild and W. Mosch
- Volume 14 **Uninterruptible Power Supplies** J. Platts and J.D. St Aubyn (Editors)
- Volume 15 **Digital Protection for Power Systems** A.T. Johns and S.K. Salman
- Volume 16 **Electricity Economics and Planning** T.W. Berrie
- Volume 18 **Vacuum Switchgear** A. Greenwood
- Volume 19 **Electrical Safety: a guide to causes and prevention of hazards** J. Maxwell Adams
- Volume 21 **Electricity Distribution Network Design, 2nd Edition** E. Lakervi and E.J. Holmes
- Volume 22 **Artificial Intelligence Techniques in Power Systems** K. Warwick, A.O. Ekwue and R. Aggarwal (Editors)
- Volume 24 **Power System Commissioning and Maintenance Practice** K. Harker
- Volume 25 **Engineers' Handbook of Industrial Microwave Heating** R.J. Meredith
- Volume 26 **Small Electric Motors** H. Moczala *et al.*
- Volume 27 **AC-DC Power System Analysis** J. Arrillaga and B.C. Smith
- Volume 29 **High Voltage Direct Current Transmission, 2nd Edition** J. Arrillaga
- Volume 30 **Flexible AC Transmission Systems (FACTS)** Y-H. Song (Editor)
- Volume 31 **Embedded generation** N. Jenkins *et al.*
- Volume 32 **High Voltage Engineering and Testing, 2nd Edition** H.M. Ryan (Editor)
- Volume 33 **Overvoltage Protection of Low-Voltage Systems, Revised Edition** P. Hasse
- Volume 36 **Voltage Quality in Electrical Power Systems** J. Schlabbach *et al.*
- Volume 37 **Electrical Steels for Rotating Machines** P. Beckley
- Volume 38 **The Electric Car: Development and future of battery, hybrid and fuel-cell cars** M. Westbrook
- Volume 39 **Power Systems Electromagnetic Transients Simulation** J. Arrillaga and N. Watson
- Volume 40 **Advances in High Voltage Engineering** M. Haddad and D. Warne
- Volume 41 **Electrical Operation of Electrostatic Precipitators** K. Parker
- Volume 43 **Thermal Power Plant Simulation and Control** D. Flynn
- Volume 44 **Economic Evaluation of Projects in the Electricity Supply Industry** H. Khatib
- Volume 45 **Propulsion Systems for Hybrid Vehicles** J. Miller
- Volume 46 **Distribution Switchgear** S. Stewart
- Volume 47 **Protection of Electricity Distribution Networks, 2nd Edition** J. Gers and E. Holmes
- Volume 48 **Wood Pole Overhead Lines** B. Wareing
- Volume 49 **Electric Fuses, 3rd Edition** A. Wright and G. Newbery
- Volume 50 **Wind Power Integration: Connection and system operational aspects** B. Fox *et al.*
- Volume 51 **Short Circuit Currents** J. Schlabbach
- Volume 52 **Nuclear Power** J. Wood
- Volume 53 **Condition Assessment of High Voltage Insulation in Power System Equipment** R.E. James and Q. Su
- Volume 55 **Local Energy: Distributed generation of heat and power** J. Wood
- Volume 56 **Condition Monitoring of Rotating Electrical Machines** P. Tavner, L. Ran, J. Penman and H. Sedding
- Volume 57 **The Control Techniques Drives and Controls Handbook, 2nd Edition** B. Drury
- Volume 58 **Lightning Protection** V. Cooray (Editor)
- Volume 59 **Ultracapacitor Applications** J.M. Miller
- Volume 62 **Lightning Electromagnetics** V. Cooray
- Volume 63 **Energy Storage for Power Systems, 2nd Edition** A. Ter-Gazarian
- Volume 65 **Protection of Electricity Distribution Networks, 3rd Edition** J. Gers
- Volume 66 **High Voltage Engineering Testing, 3rd Edition** H. Ryan (Editor)
- Volume 67 **Multicore Simulation of Power System Transients** F.M. Uriate
- Volume 68 **Distribution System Analysis and Automation** J. Gers
- Volume 69 **The Lightning Flash, 2nd Edition** V. Cooray (Editor)
- Volume 70 **Economic Evaluation of Projects in the Electricity Supply Industry, 3rd Edition** H. Khatib
- Volume 74 **Power Electronic Converters and Systems: Frontiers and applications** Andrzej M. Trzynadlowski (Editor)
- Volume 76 **Power System Stability: Modelling, analysis and control** B. Om P. Malik
- Volume 78 **Numerical Analysis of Power System Transients and Dynamics** A. Ametani (Editor)
- Volume 79 **Vehicle-to-Grid: Linking electric vehicles to the smart grid** J. Lu and J. Hossain (Editors)
- Volume 905 **Power system protection, 4 volumes**

*This book is dedicated to those countless researchers and engineers around the world who diligently strive to maintain the high rate of progress in modern power electronics*



---

## Preface

---

The era of modern power electronics began in the late 1950s when the silicon-controlled rectifier (SCR) was developed by General Electric Corporation. Most of the early applications of SCRs involved electric drives. In recent decades, power electronic converters spread to the electric grid, distributed generation systems, renewable energy sources, transportation, and a variety of industrial processes. Today's power electronics is sustaining a robust growth.

This book is intended as a reference for professionals who are already familiar with the fundamentals of power electronics. Consequently, in contrast to typical textbooks, no coverage of basic principles of electric power conditioning is provided. It is assumed that Readers do not need explanation of such terms as the rectifier, inverter, chopper, or pulse width modulation.

The content of the book is mostly focused on recent advances in power electronic converters and systems, but the technological progress in the area of the associated semiconductor devices cannot be overlooked. The traditional silicon-based semiconductor power switches, such as thyristors or IGBTs, are reaching limits of their highly impressive operating parameters and characteristics. However, a new era of the so-called wide bandgap (WBG) semiconductor devices has already begun, promising revolutionary enhancement of the existing power electronic circuits. The most advanced WBG technology is that of silicon carbide devices, which are described in details in Chapter 1.

Most of the first part of the book deals with those power electronic converters, which thanks to their unique properties, enjoy currently high interest of researchers. Thus, the subjects of Chapters 2–7 include multilevel, multi-input, modular, matrix, soft switching, and Z-source converters. Switching power supplies, explained in Chapter 8, provide high-quality power to electronic devices, including the ubiquitous laptops, tablets, and smart phones. Chapter 9 describes “smart” power electronic modules, which combine power and control circuits in the same package.

The second part of the book describes the most common applications of modern power electronics systems. Electric drives with synchronous and induction motors have always been in the mainstream of power electronics. Photovoltaic and most of the wind energy sources are interfaced with the power grid through power electronic converters. Recently, battery-fed electric cars, with a power electronic inverter driving an ac motor, have been gaining popularity. Hybrid cars, in which sophisticated gearing links an electric drive system with an internal combustion engine, have already entered the mainstream of automobile markets. Shipboard



power systems progressively employ power electronics. Those topics are covered in Chapters 10–15.

Modern power grids increasingly use power electronic systems for energy conversion, control of the power flow, and stability enhancement. Integration of the renewable energy sources through distributed generation and microgrids would not be possible without those systems. The ubiquitous data and communication centers require uninterruptable power supplies to prevent catastrophic information loss due to power outages and disturbances. Wireless power transfer allows remote energizing of battery-fed devices and vehicles. All the sophisticated power electronic systems need correspondingly advanced control methods. Chapters 16–20 deal with the aforementioned issues.

The Editor wants to express his deep gratitude to the forty-four contributing Authors, all accomplished specialists in various areas of power electronics and its applications. Their collective expertise and efforts, supported by the most helpful Publisher's personnel, made this book a highly valuable source of engineering knowledge.

A.M. TRZYNADLOWSKI

---

# Contents

---

<b>Preface</b>	<b>xvii</b>
<b>Part I Converters</b>	<b>1</b>
<b>1 Semiconductor power devices</b>	<b>3</b>
1.1 Introduction	3
1.2 High-voltage SiC power devices	4
1.2.1 Characterization of 15 kV SiC N-IGBTs	4
1.2.2 Characterization of 10 kV SiC MOSFETs	9
1.3 Low-voltage SiC devices and its characteristics	11
1.3.1 Low-voltage gate drive design	11
1.3.2 Common-mode current minimization	12
1.4 Characterization of 1,200 V, 100 A SiC MOSFET	12
1.4.1 1,200 V, 100 A SiC MOSFET device characterization without complementary device of the half-bridge module	12
1.4.2 1,200 V, 100 A SiC MOSFET device characterization with complementary device of the half-bridge module	12
1.4.3 Hard-switching characterization of 1,700 V SiC MOSFET [11]	14
1.4.4 Performance comparison of MOSFET and IGBT	16
1.4.5 Gate drive design and characterization of 1,200 V/45 A infineon SiC JFET module [12]	23
1.4.6 SiC super-junction transistor characteristics	24
1.5 Zero voltage switching characterization of 12 kV SiC [14]	25
1.5.1 ZVS turn-on characteristics	26
1.5.2 ZVS turn-off characteristics	28
1.6 All SiC-based SST	34
1.7 Summary	39
Acknowledgements	41
References	41
<b>2 Multilevel converters</b>	<b>43</b>
2.1 Introduction	43
2.2 Basic concepts of multilevel converters	43
2.2.1 One-branch converter	44
2.2.2 Two branches, “H-bridge” converter	46
2.3 Electronic switches to implement the converters	47

2.3.1	NPC converter	47
2.3.2	FC converter	49
2.3.3	CHB converter	49
2.3.4	Combined topologies	53
2.4	Three-phase multilevel converters	54
2.4.1	Phase-to-phase and phase-to-neutral voltages	55
2.4.2	Space vector representation	56
2.5	Modulation strategies for multilevel converters	60
2.5.1	Voltage levels-based algorithms	61
2.5.2	Space vector-based algorithms	69
	References	72
	Further reading	73
<b>3</b>	<b>Multi-input converters</b>	<b>75</b>
3.1	Introduction	75
3.2	Realizing multi-input converter topologies	76
3.3	Multi-port converters	87
3.3.1	Synthesis of multi-port converters by extending multi-input topologies	87
3.3.2	Multi-port converters with dc link	89
3.3.3	Ac link multi-port power converters	92
3.4	Applications of multi-port power converters	97
3.4.1	Multi-port power converters for renewable energy systems	99
3.4.2	Application of multi-input converters in micro-grids	101
3.4.3	Multi-port converters for vehicular power systems	103
3.5	Summary	106
	References	106
<b>4</b>	<b>Modular converters</b>	<b>111</b>
4.1	Introduction	111
4.2	Modular converter topologies and description	115
4.2.1	MMCC arrangements and SM topologies	116
4.2.2	Basic modular multilevel cascade converters	121
4.3	Control strategies	125
4.3.1	Voltage-balancing control	125
4.3.2	Circulating current control	128
4.4	Modulation techniques	130
4.4.1	High switching frequency techniques	130
4.4.2	Low switching frequency techniques	132
4.5	Operational issues in MMCCs	134
4.5.1	Fault-tolerant operation	134
4.5.2	Floating DC capacitor pre-charging procedures	136
4.6	Main applications	136
	Acknowledgements	138
	References	138

<b>5</b>	<b>Matrix converters</b>	<b>147</b>
5.1	Introduction	147
5.2	Direct matrix converter	147
5.2.1	Circuit topology	147
5.2.2	Modulation techniques	147
5.3	Indirect matrix converter	159
5.3.1	Circuit topology	161
5.3.2	Modulation techniques	162
5.4	Technological issues of MCs	165
5.5	MC versus voltage back-to-back converter	167
5.6	Summary	167
	References	168
<b>6</b>	<b>Soft-switching converters</b>	<b>169</b>
6.1	Resonant converters	169
6.1.1	Second-order resonant converters	169
6.1.2	Resonant converters with three or more resonating elements	178
6.2	Quasi-resonant converters	181
6.2.1	Example 1 – half-wave ZCS-QRC	183
6.2.2	Example 2 – full-wave ZCS-QRC	185
6.2.3	Example 3 – half-wave ZVS-QRC	186
6.2.4	Example 4 – full-wave ZVS-QRC	188
6.2.5	The effect of parasitic oscillations in QRCs	189
6.3	Multi-resonant converters	190
6.4	Quasi-square-wave converters	191
6.5	Other types of ZVS and ZCS converters	196
	References	200
<b>7</b>	<b>Z-source converters</b>	<b>205</b>
7.1	Introduction	205
7.1.1	General overview	205
7.1.2	Basic principles	207
7.1.3	Modeling and control	209
7.2	Categories of impedance source power converters based on conversion functionality	215
7.2.1	DC–DC converter topologies	215
7.2.2	DC–AC inverter topologies	222
7.2.3	AC–AC converter topologies	226
7.2.4	AC–DC converter topologies	227
7.3	Impedance source network topologies	227
7.3.1	Non-transformer based	229
7.3.2	With transformer or magnetic coupling	233
7.4	Conclusions	236
	References	237

<b>8</b>	<b>Switching power supplies</b>	<b>245</b>
8.1	Introduction	245
8.2	Non-isolated converters topologies	246
8.2.1	Buck converter	246
8.2.2	Boost converter	249
8.2.3	Buck–boost converter	251
8.2.4	Integrated buck and boost converter	252
8.2.5	Power factor correction	253
8.3	Isolated converters topologies	254
8.3.1	Flyback converter	254
8.3.2	Forward converter	255
8.3.3	Half-bridge converter	256
8.3.4	Full-bridge converter	258
8.3.5	Rectifiers	259
8.4	Parasitics in DC–DC converters	262
8.5	Continuous and discontinuous conduction modes	264
8.6	Synchronous rectification	264
8.7	Bidirectional converters	265
8.8	Interleaving	266
8.9	Control principles	268
	Further reading	272
<b>9</b>	<b>Smart power electronic modules</b>	<b>273</b>
9.1	History	273
9.2	Technology background	276
9.2.1	IGBT device technologies and their performance	277
9.2.2	Gate driver technology	280
9.2.3	Packaging technologies	282
9.3	Basic usage	284
9.3.1	Protection	284
9.3.2	Bootstrap power supply	285
9.3.3	Digital interface	287
9.4	Reliability	287
9.5	Variety of products	288
9.6	Future usage and emerging solutions	290
9.6.1	Grid interface with multiple power modules	290
9.6.2	Matrix converter with SPM devices	295
9.6.3	Multilevel converter with SPM devices	296
9.6.4	A different direct converter with SPM devices	298
9.7	Conclusion	306
	References	306
<b>Part II</b>	<b>Applications</b>	<b>311</b>
<b>10</b>	<b>Permanent magnet synchronous motor drives</b>	<b>313</b>
10.1	Introduction	313
10.2	Trends in sensorless control of PMSM	314

10.2.1	Key factors for sensorless controls evaluation	315
10.2.2	A glance to HF injection methods	316
10.2.3	A HF sensorless technique for IPM and PMASR motors	318
10.2.4	A HF injection method for surface-mounted permanent magnet motors	319
10.2.5	A glance to extended EMF-based methods	320
10.2.6	Sliding mode observers for the extended EMF	321
10.3	Trends in MPC of PMSM	322
10.3.1	Key factors for MPC	323
10.3.2	Direct torque and flux control	323
10.3.3	An MPC method for IPM motors	324
10.3.4	A perspective on centralised MPC-based structures	325
10.4	Some hints about energy efficiency in PMSM drives	326
10.5	Final considerations	327
	Acknowledgements	328
	References	328
<b>11</b>	<b>Induction motor drives</b>	<b>333</b>
11.1	Induction motors	333
11.2	IM model	334
11.3	Variable frequency drives	335
11.3.1	Scalar control	335
11.3.2	Field-oriented control	335
11.3.3	Direct torque control	336
11.4	DTC schemes	336
11.4.1	Space vector modulation DTC	336
11.4.2	Feedback linearization and sliding mode DTC	337
11.4.3	Intelligent DTC schemes	340
11.5	IM speed estimation with Kalman filtering	342
11.5.1	High-speed operation	343
11.5.2	Low-speed operation	344
11.5.3	Implementation considerations	346
11.6	Switched reluctance sensorless drives	348
	References	349
<b>12</b>	<b>Wind energy systems</b>	<b>351</b>
12.1	Introduction	351
12.1.1	Overview of the wind energy technology	351
12.1.2	Types of WT rotors	352
12.1.3	Generators for WTs and standard configurations of WECSs	354
12.2	Power electronic interfaces for variable speed WTs	361
12.2.1	Conventional power electronic building blocks	363
12.2.2	Common PEC topologies for WTs	366
12.2.3	Emerging PEC topologies for WTs	369
12.2.4	PEC topologies for high power WTs	371

12.3	Control algorithms for PECs	374
12.3.1	Maximum power point tracking	375
12.3.2	Control for DC/DC boost converters	377
12.3.3	Control for IS converters	378
12.3.4	Field oriented control	380
12.3.5	Direct torque control-space vector modulated	382
12.3.6	Voltage oriented control	382
12.3.7	Direct power control-space vector modulated	384
12.3.8	Single-phase grid converter control	385
12.3.9	Control for stand-alone mode of operation	386
	Acknowledgements	388
	References	388
<b>13</b>	<b>Photovoltaic energy systems</b>	<b>395</b>
13.1	Introduction	395
13.1.1	Brief overview of photovoltaic generation	395
13.1.2	PV inverter circuit	395
13.1.3	Centralized PV plant	397
13.2	The technologies	399
13.2.1	State-of-the-art technologies	399
13.2.2	Reliability	404
13.3	The grid interface	409
13.3.1	Basic control of real and reactive power in a two-bus power system	409
13.4	The standards	414
13.4.1	Protection	417
13.4.2	Islanding	417
13.4.3	Power quality	419
13.4.4	Ancillary services	420
13.5	The field measurements	422
13.5.1	Intermittence in solar field results	422
13.5.2	LVRT test results of the 500 kW RX series	422
13.6	Summary and conclusions	423
	Acknowledgements	424
	References	424
<b>14</b>	<b>Automotive energy systems</b>	<b>425</b>
14.1	Electric vehicle batteries	425
14.1.1	Introduction	425
14.2	EV charging	440
14.2.1	Plugged charging	440
14.3	Wireless charging	456
14.3.1	Introduction	456
14.3.2	Necessity of compensation for wireless charging	458
14.3.3	Analysis of series-series topology	461
14.3.4	Analysis of series-parallel topology	461

14.3.5	Peak efficiency of series-series and series-parallel topology	462
14.3.6	Control strategies for SS and SP topology	463
14.3.7	Advantages of EV wireless charging	464
	References	464
<b>15</b>	<b>Shipboard power systems</b>	<b>469</b>
15.1	Shipboard power system topologies	469
15.2	Shipboard propulsion drives	472
15.2.1	Voltage link systems	474
15.2.2	Current link systems	477
15.2.3	Direct AC-AC conversion – cycloconverters	478
15.3	Power quality requirements in shipboard systems	479
15.4	Harmonic mitigation in shipboard systems	483
15.4.1	Harmonic cancellation	483
15.4.2	Reactive harmonic suppressors	485
15.4.3	Active filters	489
15.4.4	Case study	492
15.5	Frequency variation and converter control	495
15.6	Concepts for future shipboard power systems	495
15.6.1	Power electronics building block	495
15.6.2	Medium voltage DC integrated power system	496
	Acknowledgements	498
	References	498
<b>16</b>	<b>Converters in power grid</b>	<b>501</b>
16.1	Introduction	501
16.2	Power converter topologies	501
16.2.1	AC-DC converters	502
16.2.2	AC phase controllers	505
16.2.3	AC pulse width modulated controllers	505
16.2.4	Modular multilevel converter	508
16.3	Application examples of power converters in power grid	512
16.3.1	Shunt compensation	512
16.3.2	Series compensation	515
16.3.3	Shunt-series compensation	521
16.3.4	Series-series compensation	523
16.3.5	High-voltage direct current transmission	524
16.3.6	Low-frequency high-voltage ac transmission	526
16.3.7	Solid-state power transformer	528
16.4	Summary	529
	References	530
<b>17</b>	<b>Distributed generation and microgrids</b>	<b>535</b>
17.1	Introduction	535
17.2	Distribution generators	536



17.2.1	Examples of DGs	536
17.2.2	Technical impacts due to DG	541
17.2.3	IEEE1547	544
17.3	Microgrid	545
17.3.1	DC and AC microgrids	546
17.3.2	Stand-alone microgrids	546
17.3.3	Grid-tied microgrids	546
17.3.4	Centralized control	547
17.3.5	Conventional droop control method	547
17.3.6	Local control	550
17.3.7	Multifunctionalities	551
	References	553
<b>18</b>	<b>Uninterruptible power supplies</b>	<b>557</b>
18.1	Introduction	557
18.2	Topologies	557
18.2.1	On-line UPS systems	557
18.2.2	Off-Line UPS	558
18.2.3	Line-interactive UPS	560
18.2.4	Delta conversion UPS	561
18.2.5	Tri-mode UPS	561
18.2.6	Rotary UPS	562
18.2.7	Hybrid static and rotary UPS	563
18.2.8	Flywheels	564
18.2.9	DC UPS for pulse load with power leveling	565
18.2.10	Redundant bus	566
18.3	Controls for UPS systems	566
18.4	Applications	571
18.4.1	Desktop personal computers	572
18.4.2	Industrial systems	572
18.4.3	Data centers	572
18.4.4	Medical equipment	572
	References	573
<b>19</b>	<b>Wireless power transfer</b>	<b>577</b>
19.1	Introduction	577
19.2	Basic principles and two fundamental concepts of WPT	579
19.2.1	Basic principles	579
19.2.2	Two fundamental concepts	580
19.3	Different forms of WPT systems	582
19.3.1	Two-coil systems	582
19.3.2	Three-coil systems	583
19.3.3	Four-coil systems	584
19.3.4	WPT systems with relay and domino resonators	585