

# GENOMIC AND PRECISION MEDICINE PRIMARY CARE

**THIRD** EDITION

Edited by **SEAN P. DAVID** 

Series Editors

GEOFFREY S. GINSBURG HUNTINGTON F. WILLARD



# Genomic and Precision Medicine

Primary Care

## **Third Edition**

Edited by

Sean P. David

Stanford University School of Medicine, Stanford, CA, United States

**Series Editors** 

Geoffrey S. Ginsburg

Duke University, Durham, NC, United States

Huntington F. Willard

Marine Biological Laboratory, Woods Hole, MA, United States; University of Chicago, Chicago, IL, United States





Academic Press is an imprint of Elsevier
125 London Wall, London EC2Y 5AS, United Kingdom
525 B Street, Suite 1800, San Diego, CA 92101-4495, United States
50 Hampshire Street, 5th Floor, Cambridge, MA 02139, United States
The Boulevard, Langford Lane, Kidlington, Oxford OX5 1GB, United Kingdom

Copyright © 2017 Elsevier Inc. All rights reserved.

Chapter 3 Copyright © 2017 Published by Elsevier Inc.

No part of this publication may be reproduced or transmitted in any form or by any means, electronic or mechanical, including photocopying, recording, or any information storage and retrieval system, without permission in writing from the publisher. Details on how to seek permission, further information about the Publisher's permissions policies and our arrangements with organizations such as the Copyright Clearance Center and the Copyright Licensing Agency, can be found at our website: www.elsevier.com/permissions.

This book and the individual contributions contained in it are protected under copyright by the Publisher (other than as may be noted herein).

#### Notices

Knowledge and best practice in this field are constantly changing. As new research and experience broaden our understanding, changes in research methods, professional practices, or medical treatment may become necessary.

Practitioners and researchers must always rely on their own experience and knowledge in evaluating and using any information, methods, compounds, or experiments described herein. In using such information or methods they should be mindful of their own safety and the safety of others, including parties for whom they have a professional responsibility.

To the fullest extent of the law, neither the Publisher nor the authors, contributors, or editors, assume any liability for any injury and/or damage to persons or property as a matter of products liability, negligence or otherwise, or from any use or operation of any methods, products, instructions, or ideas contained in the material herein.

#### **British Library Cataloguing-in-Publication Data**

A catalogue record for this book is available from the British Library

#### Library of Congress Cataloging-in-Publication Data

A catalog record for this book is available from the Library of Congress

ISBN: 978-0-12-800685-6

For Information on all Academic Press publications visit our website at https://www.elsevier.com/books-and-journals





Working together to grow libraries in developing countries

www.elsevier.com • www.bookaid.org

Publisher: Mica Haley

Acquisition Editor: Peter Linsley
Editorial Project Manager: Lisa Eppich
Production Project Manager: Edward Taylor

Designer: Matthew Limbert

Typeset by MPS Limited, Chennai, India

## Genomic and Precision Medicine

## **List of Contributors**

- **Themistocles L. Assimes** Stanford University School of Medicine, Stanford, CA, United States
- Ehab Billatos Boston University School of Medicine, Boston, MA, United States
- Maria Esperanza Bregendahl Stanford University School of Medicine, Stanford, CA, United States
- Maria Chahrour University of Texas Southwestern Medical Center, Dallas, TX, United States
- Carly Conran NorthShore University HealthSystem, Evanston, IL, United States
- Damien C. Croteau-Chonka Harvard Medical School, Boston, MA, United States
- Jose C. Florez Massachusetts General Hospital, Boston, MA, United States
- Yaron B. Gesthalter Boston University School of Medicine, Boston, MA, United States
- Susanne B. Haga Duke University School of Medicine, Durham, NC, United States
- Robert A. Hegele University of Western Ontario, London, ON, Canada
- **J. Kevin Hicks** DeBartolo Family Personalized Medicine Institute, Moffitt Cancer Center, Tampa, FL, United States
- Jean Jenkins National Institutes of Health, National Human Genome Research Institute, Bethesda, MD, United States
- **Samuel G. Johnson** Virginia Commonwealth University School of Pharmacy, Washington, DC, United States
- Hasmeena Kathuria Boston University School of Medicine, Boston, MA, United States
- Roland P. Kuiper Radboud University Medical Centre, Nijmegen, The Netherlands, Princess Máxima Center for Pediatric Onolocy, Nijmegen, The Netherlands
- Matthew B. Lanktree McMaster University, Hamilton, ON, Canada
- Wennuan Liu NorthShore University HealthSystem, Evanston, IL, United States
- Paul K. Marcom Duke University School of Medicine, Durham, NC, United States
- Michael J. McGeachie Harvard Medical School, Boston, MA, United States
- **Howard L. McLeod** DeBartolo Family Personalized Medicine Institute, Moffitt Cancer Center, Tampa, FL, United States

- **Thomas Morgan** Novartis Institutes for Biomedical Research, Cambridge, MA, United States
- Patricia B. Munroe Queen Mary University of London, London, United Kingdom
- Rong Na NorthShore University HealthSystem, Evanston, IL, United States
- Lori A. Orlando Duke University, Durham, NC, United States
- Latha Palaniappan Stanford University School of Medicine, Stanford, CA, United States
- Keyur Patel Toronto General Hospital, Toronto, ON, Canada; Toronto University, Toronto, ON, Canada
- **Laura Lyman Rodriguez** National Institutes of Health, National Human Genome Research Institute, Bethesda, MD, United States
- Akanksha Saxena University of Texas Southwestern Medical Center, Dallas, TX, United States
- Nicholas A. Shackel University of New South Wales, Sydney, NSW, Australia; Ingham Institute, Liverpool, NSW, Australia; Liverpool Hospital, Liverpool, NSW, Australia
- Thomas Tu Universitätsklinikum Heidelberg, Heidelberg, Germany
- Miriam S. Udler Massachusetts General Hospital, Boston, MA, United States
- **Ad Geurts van Kessel** Radboud University Medical Centre, Nijmegen, The Netherlands
- Helen R. Warren Queen Mary University of London, London, United Kingdom
- Scott T. Weiss Harvard Medical School, Boston, MA, United States
- Robbert D.A. Weren Radboud University Medical Centre, Nijmegen, The Netherlands
- Jianfeng Xu NorthShore University HealthSystem, Evanston, IL, United States

## **Preface**

From the time of completion of the Second Edition of "Genomic and Personalized Medicine" until today, the broad field of genomic medicine has advanced from a period of rapid discovery from genome-wide association studies to—according to the National Human Genome Research Institute's Eric Green, M.D.—enhancing the understanding of the biology of many diseases and has entered into a phase of advancing the science of medicine with an ultimate endpoint of improving the effectiveness of health care. Although many evidence gaps remain, such as the need to demonstrate clinical validity and clinical utility for most disease associated genetic variants and pharmacogenomics, the potential of "precision medicine" to vastly improve the efficacy of treatments for cancer, neurological diseases, preventive medicine, and reducing health disparities was deemed substantial enough for President Obama to launch the "Precision Medicine Initiative" in his 2015 State-of-the-Union Address. However, as the field of medicine stands poised to advance genomic medicine on many fronts, ensuring that the entire population of patients can benefit from innovations in an evidence-based fashion will rely on partnerships between primary care physicians and clinical genetics professionals. The present text addresses a continuum of domains of genomic medicine that are germane to the primary care of patients and the scope of primary care grounded in family history taking and appropriate referrals, such as the continuing education of all health professionals, what genetic and genomic testing and precision treatments are presently available, and what is on the horizon for a wide range of conditions and population health challenges. It is our hope that primary care providers will, as a result of using this text, develop transdisciplinary thinking and begin to share a common language and sense of partnerships with clinical genetics professionals as we continue to forge this new frontier together for the ultimate goal of improved health, healthcare and more evidence-based, personalized, and patient-centered medicine for the 21st century.

The present, "Primary Care" volume is one of a series of texts tailored to clinicians from a range of medical specialties and academic disciplines. As genomic medicine transitions from a research aspiration to an integral component of personalized health care, the role of primary care physicians and allied health professionals is becoming paramount in the goal of leveraging genomic knowledge to better care for diverse populations. We, therefore, have sought to cover a sample of topics that are essential for building a foundation of general knowledge that we hope will guide primary care clinicians, educators, and

healthcare institutions in advancing translation into practice. The range of topics are not comprehensive, but do provide entry-level content for a range of major health concerns that are equally useful for students, residents, attending physicians, other primary care health professionals, healthcare organizations, and policy makers.

The preface to the previous volume asserted that "We stand at the dawn of a profound change in science and medicine's predictive nature and in our understanding of the biological underpinnings of health and disease" but noted "grand challenges" to implementation of precision medicine from the potential to exaggerate health disparities to the need for educating the healthcare workforce and developing frameworks for aligning appropriate delivery models with good evidence and appropriate economic incentives. We have attempted to address many of these grand challenges, which align with the National Human Genome Research Institute's Grand Challenges II (genomics to health) and III (genomics to society) in forward-thinking chapters spanning multiple domains including:

- The role of primary care clinicians in genomic medicine and frameworks for integration with primary care redesign and clinical implementation science
- Genetic screening and diagnostic testing for rare diseases from preconception to neonates and throughout the life span
- Family history and its application to health risk assessment and predictive genetic testing
- Educational strategies for genomic medicine in primary care
- Policy, ethical, and societal considerations
- Current precision medicine treatments and future directions in research for common diseases (cancer, cardiovascular disease, hypertension, diabetes and metabolic syndrome, and autism spectrum disorder)

These topics represent only a fraction of the many diseases and thousands of type of genetic tests and clinical scenarios that are rapidly expanding in number. As Francis Collins envisioned in 2003, with increasing knowledge about the role of genetics in disease risk prediction, "many primary care physicians will become practitioners of genomic medicine, having to explain complex statistical risk information to healthy individuals who are seeking to enhance their chances of staying well. This will require substantial advances in the understanding of genetics by a wide range of clinicians." This prediction was prescient given the burgeoning research output of genetic studies and the availability of direct-to-consumer genetic testing and diminishing costs of next generation sequencing. We hope this text provides utility to all of us who practice primary care and prevention as vital stakeholders poised to learn together to build a more patient-centered, evidence-based, and personalized healthcare experience for all patients.

## **Contents**

Preface		XIII
1.	Genomic Medicine in Primary Care	
	Samuel G. Johnson	
	References	16
2.	Overview of Policy, Ethical, and Social Considerations in Genomic and Personalized Medicine	
	Susanne B. Haga	
	Abbreviations	19
	Introduction	20
	Issues in Genetics and Genomics Research	20
	Under-Represented and Vulnerable Populations	20
	Biobanking	22
	Returning Research Results	23
	Familial Implications	25
	Issues Related to Integration of Genomic Medicine Applications	
	in Healthcare	26
	Oversight	26
	Secondary (Incidental) Findings	27
	Direct-to-Consumer (DTC) Genetic Tests	27
	Access/Reimbursement	28
	Genetic Discrimination	29
	Health Professional Education	31
	Conclusion	31
	References	32
3.	Educational Issues and Strategies for Genomic Medicine	
	Jean Jenkins and Laura Lyman Rodriguez	
	Introduction	45
	Gaps in Current Healthcare Professional Literacy	46
	Educational Opportunities and Resources to Address	
	Genomic Literacy Gaps	48

	What Do Healthcare Providers Need to Understand to Implement	
	Genomic Healthcare?	48
	Ethical, Policy, and Social Considerations	53
	Resources Available	54
	Conclusion	55
	Glossary Terms	56
	References	56
4.	Genetic Testing for Rare and Undiagnosed Diseases	
	Thomas Morgan	
	Introduction	59
	Genotype-Phenotype Causation	60
	Genetic Testing Theory	61
	Importance of Individual Patient Characteristics in Genetic Test	()
	Interpretation	62 62
	Clinical Rationale for Genetic Testing	62
	Types of Genetic Testing Potential Indications for Clinical Genome/Exome Sequencing (CGES)	64
	General Indications	64
	The Acutely III Infant	65
	Multiple Congenital Anomalies	65
	Developmental Delay/Intellectual Disability/Autistic Spectrum	
	Disorders	65
	Other Rare Disorders and Syndromes	66
	Preimplantation and Prenatal Rare Disease Diagnosis	67
	Process of Genetic Testing	68
	Undiagnosed Diseases Network	70
	Conclusion	71
	References	71
5.	Health Risk Assessments, Family Health History, and Predictive Genetic/Pharmacogenetic Testing	
	Maria Esperanza Bregendahl, Lori A. Orlando and Latha Palaniappan	
	Introduction	75
	Health Risk Assessments and Family Health History	77
	Collecting Family Health History with Electronic Health Record	77
	Challenges in Implementing Evidence-Based Guidelines	79
	Conclusion	85
	References	85
•	Dhawnagaganatics and Dhawnagaganamics	
6.	Pharmacogenetics and Pharmacogenomics	
	J. Kevin Hicks and Howard L. McLeod	
	Introduction	89
	Molecular Diagnostics for Optimizing Drug Therapy	91

Contents	vii
Drug Metabolism	94
Genetic Polymorphisms of Drug Targets	96
Global Health Applications	99
Application in Drug Development	99
Challenges Going Forward	100
Websites of Use	102
References	103
Hypertension	
Patricia B. Munroe and Helen R. Warren	
Abbreviations	109
Introduction	110
Blood Pressure Gene Discovery	111
Large-scale European GWAS Meta-analyses	111
GWAS of Non-European Ancestries	113
Candidate Gene Studies	113
Longitudinal Data, Gene-Lifestyle Interactions and Multi-trait Analyses	114
Bespoke Genotyping Arrays	114
Rare Genetic Variants and BP	115
New BP Genes and Molecular Mechanisms	117
BP Variants and Association with Other Traits and Outcomes	118
Conclusion	123
Acknowledgments	124
References	124
Coronary Artery Disease and Myocardial Infarction	
Themistocles L. Assimes	
Introduction	127
Pathophysiology of CAD and its Major Complications	128
The Genetic Basis of CAD	129
Evidence of Heritability	129
Population Attributable Risk versus Heritability	130
Linkage and Candidate Gene Association Studies	131
The Genome-Wide Association Study (GWAS) Era	131
Heritability Revisited	142 142
Mechanistic Insights of Newly Identified Loci	142
Relationship of GWAS Susceptibility Loci of CAD with Traditional Risk Factors	142
The Arterial Wall as a Risk Factor for CAD	143
Susceptibility to Plaque Development versus Plaque Rupture and/or	173
Atherothrombosis	143
Pathway Analyses	146
Mendelian Randomization Studies in CAD	148
Genetic Risk Prediction in CAD	150
Gene-Gene and Gene-Environment Interactions	151

7.

8.

### viii Contents

	Whole Exome Sequencing Association Studies of CAD	153
	Conclusion and Future Directions	153
	Glossary Terms, Acronyms, Abbreviations References	155 156
	References	150
9.	Lung Cancer	
٥.	Yaron B. Gesthalter, Ehab Billatos and Hasmeena Kathuria	
	Introduction	165
	Early Diagnosis/Screening of Lung Cancer	166
	Smoking, Lung Cancer, and Genetics	166
	Identifying Smokers at Risk	167
	Future Directions	168
	Classification and Prognosis	169 169
	Histological Classification of Lung Tumors and TNM Staging Molecular Classification and Prognostic Value of	109
	Lung Cancer Genomics	170
	Future Directions	171
	Pathogenesis and Treatment of Lung Cancer	172
	Molecular Alterations in Lung Cancer	172
	Oncogenic Pathway Signatures	174
	Molecularly Targeted Therapy to Reduce Lung Cancer Mortality	175
	Conclusion	176
	Glossary	177
	References	178
10.	Breast Cancer	
	Paul K. Marcom	
	Introduction	181
	Germline Genetic Predisposition	182
	Early-stage Breast Cancer Management	184
	Development of Molecular Guided Management	184
	Defining the Intrinsic Breast Cancer Subtypes	185
	Comprehensive Molecular Analysis: The Cancer Genome	
	Anatomy Project	186
	Gene Expression Profiles for Clinical Management	186
	Advanced Metastatic Disease Management	190
	Conclusion	191
	References	192
11.	Colorectal Cancer	
	Roland P. Kuiper, Robbert D.A. Weren and Ad Geurts van Kessel	
	Abbreviations	195
	Introduction	196
	Genetics of Colorectal Cancer	196

		Contents 13
	Genetics of Colorectal-Cancer-Associated Syndromes Nonpolyposis Colorectal Cancer Syndromes Autosomal-Dominant Polyposis-Associated Syndromes Autosomal Recessive Polyposis-Associated Syndromes Other CRC-Associated Syndromes Epimutations and Hereditary Colorectal Cancer Genome-Wide Association Studies Novel Colorectal Cancer Predisposing Genes Perspectives Acknowledgments Glossary Terms References	197 198 199 201 201 202 203 204 205 206
12.	Prostate Cancer	
	Wennuan Liu, Rong Na, Carly Conran and Jianfeng Xu	
	Introduction Germline Genetics of Prostate Cancer Discoveries of Inherited Variants of Prostate Cancer Translational and Clinical Research Somatic Genetics of Prostate Cancer Major Types of Somatic Genetic Alterations Translational and Clinical Research Epigenetics of Prostate Cancer Epigenetic Mechanisms Translational and Clinical Research Genomic Profiling of RNA in Prostate Cancer Translational and Clinical Research Limitations and Future Directions The Pyramid Model for Personalized Cancer Care Conclusions References	211 212 212 214 215 216 219 220 220 222 222 223 224 225
13.	Asthma Michael J. McGeachie, Damien C. Croteau-Chonka and Scott T. Weiss	
	Introduction Asthma: Basic Pathobiology Predisposition (Genetic and Nongenetic) to Asthma Genome-Wide Association Studies of Asthma Asthma Genomics Pharmacogenetics Genomic Prediction in Asthma Conclusions Acknowledgments References	231 233 233 234 236 239 239 239

#### x Contents

14.	Diabetes
	Miriam S. Udler and Jose C. Florez

Introduction Epidemiology and Genetics Epidemiology of Type 2 Diabetes and its Complications Heritability of Type 2 Diabetes The Search for Genetic Determinants of Type 2 Diabetes Early Studies Genome-Wide Association Studies Exome Array and Next-Generation Sequencing Insights Gained from Genetic Studies in Type 2 Diabetes From Genetic Association to Function Discovery of Novel Pathways Focus on β-Cell Function Hyperglycemia and T2D are not Equivalent Support for Prior Epidemiological Observations Genetic Prediction is not Yet Practical Pharmacogenetics is in Its Infancy Conclusions and Future Directions	245 246 246 247 247 248 257 264 266 267 268 269 270 271
References	272
15. Metabolic Syndrome	
Matthew B. Lanktree and Robert A. Hegele	
Introduction Defining Metabolic Syndrome Pathophysiology of Metabolic Syndrome Heritability of Metabolic Syndrome Monogenic Models of Metabolic Syndrome Lipodystrophy Monogenic Diseases of Obesity and Insulin Resistance Genetics of Common Metabolic Syndrome Linkage Analysis Candidate Gene-Association Studies Genome-Wide Association Studies Risk-Score Analysis Finding the Missing Heritability The "Thrifty-Gene" Hypothesis Clinical Implications to Genetic Findings in Metabolic Syndrome Conclusion Acknowledgments Glossary	283 284 285 286 287 287 289 291 291 291 292 293 293 295 295 295 296

16.	Autism Spectrum Disorder	
	Akanksha Saxena and Maria Chahrour	
	Introduction Clinical Overview The Complex Genetics of ASD Chromosomal Abnormalities and Copy Number Variants Syndromic Genes Rare Single Nucleotide Variants, De Novo and Inherited Genomics and Diagnosis in ASD Conclusion References	301 302 302 303 305 307 310 312
17.	Viral Hepatitis	
	Thomas Tu, Keyur Patel and Nicholas A. Shackel	
	Abbreviations Introduction The Hepatitis Viruses Genetic Predisposition to Viral Hepatitis Acute Viral Hepatitis Factors Affecting the Postexposure Clinical Outcome of Hepatitis Infection Postexposure Outcomes in HAV Infection Postexposure Outcomes in HBV Infection Postexposure Outcomes in HCV Infection Postexposure Outcomes in HCV Infection Postexposure Outcomes in HDV and HEV Infections Chronic Hepatitis Factors Affecting Liver Disease Progression Disease Progression in Chronic HBV Infection Disease Progression in Chronic HCV Infection	317 318 318 321 323 323 324 325 325 326 328 329
	Hepatitis Virus-Associated HCC Treatment of Hepatitis Virus Infection Treatment of Chronic HBV Infection Treatment of Chronic HCV Infection Future Impact of Genomics and Personalized Medicine Conclusion References	329 331 331 332 332 333 334
Index	<b>«</b>	341

## Chapter 1

# Genomic Medicine in Primary Care

Samuel G. Johnson

Virginia Commonwealth University School of Pharmacy, Washington, DC, United States

Chapter Outline

References

16

The last two decades has seen unprecedented genomic discovery and major clinical advances in the management of common diseases including cardiovascular disease and cancer [1]. In the fast-paced practice environment with limited time to stay current with new medical literature, many primary care physicians may not highly prioritize continuing education about genomic medicine in particular. Moreover, many primary care physicians express little confidence in their ability to make clinical decisions when genetic or genomic information is involved. This challenge is not unique to primary care; as new medical discoveries often outpace an individual specialist practitioner's ability to master it as well. Even so, primary care physicians now have better resources to help them incorporate new medical knowledge, including genomic medicine, into practice [1]. Emphasis on generalist practice principles, especially the value of maintaining a broad knowledge base, spurs many to stay current with new literature while prioritizing what is most important to their patients' health. This may manifest as reliance on clinical practice guidelines in addition to cultivation of networks of trusted colleagues (through both informal "curbside" and formal consultations). With the rise of genomic medicine, these networks will increasingly include geneticists, genetic counselors, informaticists, and pharmacists.

Knowledge learned through such patient-centered interactions contributes to innovation in systems design that streamlines management of complex medical information. Such clinical decision support systems are increasingly being introduced into electronic health records across North America, and provide just-in-time alerts to front-line clinicians for many issues; including, adverse drug interactions or overdue health maintenance interventions. Efforts are already underway within leading health systems to incorporate genomic data into the electronic records in a similar fashion, in order to create systems to help manage large quantities of genomic information [2]. Since it is also important to prepare the future primary care physician workforce for this innovation, primary care residencies may also include more focus on genomics education and training [3].

The potential benefits of genomic medicine are many and include improved disease-risk assessment as well as precise selection of drug therapy. Potential detriments include provider and patient anxiety, the unnecessary and expensive tests and procedures that might follow from a genomic result, and many scenarios where current scientific understanding fails to ascertain actionable results [4]. Further, despite rapid advances in understanding the genetic architecture of many diseases, translational research that demonstrates outcome improvement from this knowledge has lagged. The full risk-benefit ratio is thus unknown for almost all genomic tests, particularly for long-term clinical outcomes. Since primary care practice fosters a culture of evidence-based medicine that seeks to maximize health benefits and minimize unnecessary harms to patients, primary care physicians may be reluctant to integrate genomics into clinical practice. While certain genomic tests have been better studied than others—for example, variants in the BRCA1/2 genes which have proven implications for the risk assessment and management of hereditary breast and ovarian cancer, and pharmacogenetic considerations for efficacy and safety on the labels of more than 130 medications including clopidogrel, warfarin, and citalogram [5]—developing an evidence base for most genomic tests comparable to what is known about BRCA testing, for example, will require decades of research in large populations. Pending such research; however, primary care physicians may still make clinical decisions to benefit individual patients despite an underdeveloped evidence base.

Upon the completion of the Human Genome Project in 2003 and on the 50th anniversary of Watson and Crick's landmark discovery of the double-helical nature of DNA [6], then National Human Genome Research Institute Director Francis Collins, M.D., Ph.D. (now Director for the National Institutes of Health) envisioned a blueprint for research in the genomic era and a series of Grand Challenges (Table 1.1) to guide the translation of genomic knowledge to enhance understanding of biological mechanisms of disease (Grand Challenge I), genomics to health (Grand Challenge II), and genomics to society (Grand Challenge III). Rapid advances in Grand Challenge I rapidly ensued over the following decade. From 2005 to 2012, the number of genome-wide association studies (GWAS) increased exponentially with 1,350 publications in 2012 [7]. More than 150 GWAS markers have been associated with common diseases including cancer, type 2 diabetes mellitus, dyslipidemia, multiple sclerosis, nicotine dependence, and psoriasis, there are also dozens of GWAS hits associated