MODERN STUDIES IN EUROPEAN LAW





THE EUROPEAN UNION'S EXTERNAL ACTION IN TIMES OF CRISIS

EDITED BY

PIET EECKHOUT AND MANUEL LOPEZ-ESCUDERO

B L O O M S B U R Y

The European Union's External Action in Times of Crisis

Edited by Piet Eeckhout and Manuel López-Escudero



Hart Publishing

An imprint of Bloomsbury Publishing Plc

Hart Publishing Ltd Kemp House Chawley Park Cumnor Hill Oxford OX2 9PH UK Bloomsbury Publishing Plc 50 Bedford Square London WC1B 3DP UK

www.hartpub.co.uk www.bloomsbury.com

Published in North America (US and Canada) by Hart Publishing c/o International Specialized Book Services 920 NE 58th Avenue, Suite 300 Portland, OR 97213-3786 USA

www.isbs.com

HART PUBLISHING, the Hart/Stag logo, BLOOMSBURY and the Diana logo are trademarks of Bloomsbury Publishing Plc

First published 2016

© The editors

The editors have asserted their right under the Copyright, Designs and Patents Act 1988 to be identified as Authors of this work.

All rights reserved. No part of this publication may be reproduced or transmitted in any form or by any means, electronic or mechanical, including photocopying, recording, or any information storage or retrieval system, without prior permission in writing from the publishers.

While every care has been taken to ensure the accuracy of this work, no responsibility for loss or damage occasioned to any person acting or refraining from action as a result of any statement in it can be accepted by the authors, editors or publishers.

All UK Government legislation and other public sector information used in the work is Crown Copyright ©.

All House of Lords and House of Commons information used in the work is Parliamentary Copyright ©.

This information is reused under the terms of the Open Government Licence v3.0 (http://www.nationalarchives.gov.uk/doc/open-government-licence/version/3) except where otherwise stated.

All Eur-lex material used in the work is © European Union, http://eur-lex.europa.eu/, 1998–2015.

British Library Cataloguing-in-Publication Data

A catalogue record for this book is available from the British Library.

ISBN: HB: 978-1-50990-055-8 ePDF: 978-1-50990-056-5

ePub:

Library of Congress Cataloging-in-Publication Data

978-1-50990-057-2

Names: Eeckhout, Piet, editor. | López-Escudero, Manuel, editor.

Title: The European Union's external action in times of crisis / Edited by Piet Eeckhout and Manuel López-Escudero.

Description: Portland, Oregon: Hart Publishing, 2016. Series: Modern studies in European law; 63
Includes bibliographical references and index.

Identifiers: LCCN 2016028880 (print) | LCCN 2016029334 (ebook) | ISBN 9781509900558 (hardback : alk. paper) | ISBN 9781509900572 (Epub)

Subjects: LCSH: International and municipal law—European Union countries. | European Union countries—Foreign relations—Law and legislation. | European Union. | International cooperation—European Union countries.

Classification: LCC KJE5057 .E925 2016 (print) | LCC KJE5057 (ebook) | DDC 341.242/2—dc23

LC record available at https://lccn.loc.gov/2016028880

Series: Series Modern Studies in European Law, volume 63

Typeset by Compuscript Ltd, Shannon Printed and bound in Great Britain by CPI Group (UK) Ltd, Croydon CR0 4YY

THE EUROPEAN UNION'S EXTERNAL ACTION IN TIMES OF CRISIS

The Lisbon Treaty modified the legal framework of EU external action, and these innovations must be applied in a period of deep economic and financial crisis interacting with other more specific crises affecting the EU's external activities. This volume investigates the recent institutional and substantive developments in EU external relations law and practice in this context of multiple crises for the EU. The economic and financial crisis has a major impact on EU external action, but other crises too affect this sensitive area of the EU's activity and the book takes them into account. For instance, there is a crisis in the relationship between EU law and international law after the ECJ judgment in the *Kadi* case. In addition to exploring these questions, the volume also examines questions of legitimacy in fields such as foreign investment protection and arbitration. Representing the output of a powerful research team composed of leading scholars in the field this comprehensive collection will appeal to both an expert and non-expert readership.

Volume 63 in the Series Modern Studies in European Law

Modern Studies in European Law Recent titles in this series:

The European Court of Justice and External Relations: Constitutional Challenges

Edited by Marise Cremona and Anne Thies

A Critique of Codification Leone Niglia

Protecting Vulnerable Groups: The European Human Rights Framework

Edited by Francesca Ippolito and Sara Iglesias Sanchez

EU International Relations Law Second Edition

Panos Koutrakos

Fundamental Rights in the EU: A Matter for Two Courts Edited by Sonia Morano-Foadi and Lucy Vickers

What Form of Government for the European Union and the Eurozone? Federico Fabbrini, Ernst Hirsch Ballin and Han Somsen

The UK and European Human Rights: A Strained Relationship?

Edited by Katja S Ziegler, Elizabeth Wicks and Loveday Hodson

The European Union in International Organisations and Global Governance:

Recent Developments

Edited by Christine Kaddous

Nudge and the Law: What Can EU Law Learn From Behavioural Sciences? Edited by Alberto Alemanno and Anne-Lise Sibony

> Fundamental Rights in EU Internal Market Legislation Vasiliki Kosta

Uniformity of Customs Administration in the European Union Kathrin Limbach

The Impact of Union Citizenship on the EU's Market Freedoms

Alina Tryfonidou

Equal Citizenship and Its Limits in EU Law Päivi Johanna Neuvonen

European Law on Unfair Commercial Practices and Contract Law Mateja Durovic

> For the complete list of titles in this series, see 'Modern Studies in European Law' link at www.hartpub.co.uk/books/series.asp

List of Abbreviations

ACC Arms Control Coalition

ACP African, Caribbean, and Pacific Group of States

ACTA Anti-Counterfeiting Trade Agreement

AEO Authorised Economic Operator

AETR Accord Européen sur les Transports Routiers

AFET Committee on Foreign Affairs (European Parliament)

AFSJ Area of Freedom, Security and Justice

AGRI Agriculture and Fisheries Council

AMISOM African Union's Mission in Somalia

ASA Association and Stabilisation Agreement

ASEAN Association of Southeast Asian Nations

ATA US-EU Air Transport Agreement

ATAA Air Transport Association of America

ATT Arms Trade Treaty

AU African Union

BCBS Basel Committee on Banking Supervision

BIS Bank for International Settlements

BITs Bilateral investment treaties

BOs Broadcasting organisations

BRICs Brazil, the Russian Federation, India, China

BTSD Board on Trade and Sustainable Development

BTWC Biological and Toxin Weapons Convention

CAAT Campaign Against Arms Trade

CARICOM Caribbean Community

CARIFORUM (The Forum of the Caribbean Group of African, Caribbean and Pacific

(ACP) States)

CCP Common Commercial Policy

viii List of Abbreviations

CEAS Common European Asylum System

CEPS Centre for European Policy Studies

CETA Comprehensive Economic and Trade Agreement EU-Canada

CFI Former Court of First Instance (now General Court)

CFSP Common Foreign and Security Policy

CITES Convention on International Trade in Endangered Species of Wild

Fauna and Flora

CJEU Court of Justice of the European Union

CMFB Committee on Monetary Financial and Balance of Payments Statistics

COHOM Working Party on Human Rights (Council of the European Union)

CONUN United Nations Working Party (Council of the European Union)

COPs Conference of Parties (Multilateral environmental agreements)

CSCE Conference on Security and Co-operation in Europe

CSDP Common Security and Defence Policy

DCFTA Deep and Comprehensive Free Trade Agreements

DG Directorate General

DHS United States Department of Homeland Security

DSB Dispute Settlement Body

EBRD European Bank for Reconstruction and Development

EC European Community

ECB European Central Bank

ECFR European Council on Foreign Relations

ECHR European Convention on Human Rights

ECJ European Court of Justice (European Union)

ECtHR European Court of Human Rights

ECOSOC United Nations Economic and Social Council

ECOWAS Economic Community of West African States

ECSC European Coal and Steel Community

EDPS European Data Protection Supervisor

EEA European Economic Area

EEC European Economic Community

EEAS European External Action Service

EFC Economic and Financial Committee

EFSF European Financial Stability Facility

EFSM European Financial Stabilisation Mechanism

EFTA European Free Trade Association

EGF European Gendarmerie Force

ELFAA European Low Fares Airline Association

EMA Euro-Mediterranean Association

EMDCs Emerging markets and developing countries

EMU Economic and Monetary Union

ENP European Neighbourhood Policy

EP European Parliament

EPA European Partnership Agreements

ERM Exchange Rate Mechanism

ERTA European Road Transport Agreement

ESA Eastern and Southern African (ESA) European Partnership Agreement

ESM European Stability Mechanism

ESS European Security Strategy

ETS Greenhouse gas emissions trading schemes

EU European Union

EUKFTA European Union-Korea Free Trade Agreement

EUNAVFOR European Union Naval Force

EUPOL European Union Police Mission in Afghanistan

EUPM European Union Police Mission

EURIMF EU Representatives to the IMF

EURODAD European Network on Debt and Development

EUSEC European Union Mission to provide advice and assistance for security

sector reform in the Democratic Republic of the Congo

EUSFTA European Union-Singapore Free Trade Agreement

EUSRs European Union Special Representatives

EWG Eurogroup Working Group

x List of Abbreviations

FAC Foreign Affairs Council

FAFA European Union-United Nations Financial and Administrative

Framework Agreement

FAO Food and Agriculture Organization (of the UN)

FDI Foreign Direct Investment

FRA Fundamental Rights Agency (European Union)

FREMP Working Party on Fundamental Rights, Citizens' Rights and Free

Movement of Persons (Council of the European Union)

FRONTEX European Agency for the Management of Operational Cooperation at

the External Borders

FSAP Financial Sector Assessment Program

FSB Financial Stability Board

FSJ Area of Freedom, Security and Justice

FTA Free Trade Agreement

GATS General Agreement on Trade in Services

GATT General Agreement on Tariffs and Trade

GCC Gulf Cooperation Council

GDP Gross Domestic Product

GIs Geographical indications

GPA WTO Agreement on Government Procurement

G-77 The Group of 77

GRIP Groupe d'Information et Recherche sur la Paix et la Sécurité

GRULAC Group of Latin American and Caribbean States

GSP + Enhanced preferences

HCHR High Commissioner for Human Rights

HR High Representative of the European Union for Foreign Affairs and

Security Policy

HRC Human Rights Council

IAEA International Atomic Energy Agency

IANSA International Action Network on Small Arms

IATA International Air Transport Association

IBA International Bar Association

Internet Corporation for Assigned Names and Numbers **ICANN**

ICAO International Civil Aviation Organisation

ICC International Chamber of Commerce

ICSID International Centre for Settlement of Investment Disputes

IEC International Electrotechnical Commission

Internet Engineering Task Force IETF

IFAD International Fund for Agricultural Development

International Humanitarian Law IHL

International Law Commission ILC

ILO International Labour Organization

IMCO Committee on the Internal Market and Consumer Protection

(European Parliament)

IMF International Monetary Fund

IMO International Maritime Organization

Committee on International Trade (European Parliament) INTA

International Organizations IOs

International Organization of Securities Commissions IOSCO

Intellectual Property Rights **IPRs**

ISDS Investor-state dispute settlement (tribunals)

ISO International Organization for Standardization

ISOC Internet Society

ISP Internet service providers

Justice and Home Affairs JHA

Committee on Legal Affairs (European Parliament) **IURI**

Protocol for the Protection of the Mediterranean Sea Against Pollution LBS

from Land-based Sources and Activities

LIBE Committee on Civil Liberties, Justice and Home Affairs (European

Parliament)

LTTE Liberation Tigers of Tamil Eelam

International Convention for the Prevention of Pollution from Ships MARPOL

Millennium Development Goals MDGs

MEAs Multilateral environmental agreements

xii List of Abbreviations

MEPs Members of the European Parliament

MFA Macro-Financial Assistance

MFF Multiannual Financial Framework

MFN Most Favoured Nation

MISCA International Support Mission to the Central African Republic

MONUC United Nations Mission in the Democratic Republic of the Congo

MOPs Meeting of Parties (Multilateral environmental agreements)

MOU Memorandum of Understanding

MS Member States

NAFTA North American Free Trade Agreement

NATO North Atlantic Treaty Organization

NGOs Non-Governmental Organizations

NTBs Non-tariff barriers

OAS Organization of American States

OCHA United Nations Office for the Coordination of Humanitarian Affairs

ODSG OCHA Donor Support Group

OECD Organisation for Economic Cooperation and Development

OIC Organisation Islamic Cooperation

OPCW Organisation for the Prohibition of Chemical Weapons

OSCE Organisation for Security and Co-operation in Europe

PCA Partnership and Cooperation Agreement

PDRK People's Democratic Republic of Korea (North Korea)

PNR Passenger Name Record

PPP Purchasing Power Parity

PSC Political and Security Committee

QMV Qualified Majority Voting

REIO Regional Economic Integration Organisation

RELEX Working Party of Foreign Relations Counsellors (Council of the

European Union)

RTA Regional Trade Agreements

SAA Stabilisation and Association Agreements

SALW Small Arms and Light Weapons

SC United Nations Security Council

SCIMF Sub-committee on IMF matters and related issues

SCM Agreement on Subsidies and Countervailing Measures

SDGs Sustainable Development Goals

SDR Special Drawing Right

SGP Stability and Growth Pact

SIPRI Stockholm International Peace Research Institute

SMEs Small and Medium Enterprises

SPSs Sanitary and Phytosanitary Measures

SWIFT Society for Worldwide Interbank Financial Telecommunication

TBTs Technical Barriers to Trade

TEC Treaty establishing the European Community

TEEC Treaty establishing the European Economic Community

TEU Treaty of the European Union

TFEU Treaty on the Functioning of the European Union

TRIPS Trade-Related Aspects of Intellectual Property Rights

TTIP Transatlantic Trade and Investment Partnership EU-US

UDHR Universal Declaration of Human Rights

UN United Nations

UNAMA United Nations Assistance Mission in Afghanistan

UNCITRAL United Nations Commission on International Trade Law

UNCLOS United Nations Convention on the Law of the Sea

UNCTAD United Nations Conference on Trade and Development

UNDP United Nations Development Programme

UNECE United Nations Economic Commission for Europe

UNEP United Nations Environment Programme

UNESCO United Nations Educational, Scientific and Cultural Organization

UNFCCC United Nations Framework Convention on Climate Change

UNFPA United Nations Population Fund

UNHCR United Nations High Commissioner for Refugees

xiv List of Abbreviations

UNGA United Nations General Assembly

UNICEF United Nations Children's Fund

UNMIBH United Nations Mission in Bosnia-Herzegovina

UNPOS United Nations Political Office for Somalia

UNROCA United Nations Register of Conventional Arms

UNRWA United Nations Relief and Works Agency for Palestine Refugees in the

Near East

UNSC United Nations Security Council

UPR Universal Periodic Review

WCO World Customs Organization

WCT WIPO Copyright Treaty

WFP World Food Programme

WFSA World Forum on the Future of Sport Shooting Activities

WHO World Health Organization

WIPO World Intellectual Property Organisation

WPPT WIPO Performances and Phonograms Treaty

WTO World Trade Organization

List of Contributors

Steven Blockmans, Senior Research Fellow, Centre for European Policy Studies (Brussels), Professor of EU External Relations Law and Governance, University of Amsterdam, and member of the governing board of the Centre for the Law of EU External Relations (CLEER), The Hague.

Enzo Cannizzaro, Professor of International and European Law, University of Rome 'La Sapienza'.

Dominique Carreau, Professor Emeritus of Public International Law, Université Paris-I Panthéon-Sorbonne.

Fernando Castillo de la Torre, Legal Adviser, Legal Service, European Commission.

Anna-Luise Chané, Research Fellow and PhD Candidate, Leuven Centre for Global Governance Studies—Institute for International Law, Katholieke Universiteit Leuven.

Javier Diez-Hochleitner, Professor of Public International Law, Autónoma University of Madrid.

Piet Eeckhout, Professor of EU Law, University College London.

Ricardo Gosalbo-Bono, Director in the Legal Service of the Council of the EU and Professor of Law at the Vrije Universiteit Brussel (VUB).

Christine Kaddous, Professor of EU Law, University of Geneva, Jean Monnet Chair ad personam, Director of the Centre d'études juridiques européennes, Jean Monnet Centre of Excellence of the University of Geneva.

Manuel López-Escudero, Professor of EU Law and Public International Law, University of Granada, Legal Secretary of the Court of Justice of the European Union, Luxembourg, and Co-editor of the Revista de Derecho Comunitario Europeo.

José Rafael Marín Aís, PhD Lecturer in Public International Law and European Union Law, University of Granada.

Inmaculada Marrero Rocha, Tenured Professor of International Relations, University of Granada.

José Martín y Pérez de Nanclares, Professor of Public International Law, University of Salamanca. Currently Head of the International Law Division at the Spanish Ministry of Foreign Affairs and Cooperation.

xvi List of Contributors

Frederik Naert, Member of the Legal Service of the Council of the EU and an affiliated senior researcher at the Katholieke Universiteit Leuven.

Ricardo Passos, Director of the External Relations Team at the Legal Service of the European Parliament.

Carmela Pérez Bernáldez, Senior Lecturer in Public International Law, University of Granada.

Javier Roldán Barbero, Professor of Public International Law, University of Granada.

Antonio Segura Serrano, Tenured Professor of Public International Law, University of Granada.

Ramses A Wessel, Professor of International and European Institutional Law, Centre for European Studies, University of Twente, The Netherlands and member of the governing board of the Centre for the Law of EU External Relations (CLEER), The Hague.

Jan Wouters, Professor of EU Law and International Law and Jean Monnet Chair ad personam, Director, Leuven Centre for Global Governance Studies—Institute for International Law, KU Leuven and Visiting Professor, College of Europe (Bruges), Sciences Po (Paris) and Luiss (Rome).

Contents

	of Abbreviations
	of Contributorsxv
Tab	le of Casesxvii
	Part I: Introduction
Intr	oduction3
	Eeckhout and Manuel López-Escudero
	Part II: The EU Institutional System for External Action at Work
1.	The Reluctant (Lisbon) Treaty and Its Implementation
	in the Practice of the Council
2.	The External Powers of the European Parliament85 Ricardo Passos
3.	The Court of Justice and External Competences After
	Lisbon: Some Reflections on the Latest Case Law
	Fernando Castillo de la Torre
	Part III: International Law as Law of the EU
4.	The Integration of Public International Law in EU
	Law: Analytical and Normative Questions
5.	Customary International Law and the European Union
<i>5.</i>	from the Perspective of Article 3(5) TEU205 Eleftheria Neframi
6.	The Legal Status and Influence of Decisions of International
	Organisations and Other Bodies in the European Union223
	Ramses A Wessel and Steven Blockmans
7	Ramses A Wessel and Steven Blockmans
7.	Ramses A Wessel and Steven Blockmans The Relationship of the Member States' International
7.	Ramses A Wessel and Steven Blockmans The Relationship of the Member States' International Agreements with the EU
	Ramses A Wessel and Steven Blockmans The Relationship of the Member States' International Agreements with the EU
7. 8.	Ramses A Wessel and Steven Blockmans The Relationship of the Member States' International Agreements with the EU
	Ramses A Wessel and Steven Blockmans The Relationship of the Member States' International Agreements with the EU

Part IV: Membership, Representation and Performance of the European Union in International Organisations

9.	Brussels Meets Westphalia: The European Union and the United Nations
10.	The Consistency of the European Union's Human Rights Policy at the United Nations
11.	The European Union in the International Monetary and Financial System
12.	EU-IMF Relations: The Long Way to a Single EU Chair in the IMF
	Part V: Substantive Areas of the EU External Action
13.	The Transformation of the EU Common Commercial Policy429 Christine Kaddous
14.	The European Union Facing Investment Arbitration
15.	From External Policy to Free Trade: The EU-Singapore Free Trade Agreement
16.	The External Dimension of the Area of Freedom, Security and Justice: An Essential Part of the European Union's External Action
17.	The EU Antiterrorist Sanctions
18.	The European Union's Arms Trade Control and European Civil Society