

Biological Nomenclature

Second edition

Charles Jeffrey

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For the Systematics Association

Foreword by

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Edward Arnold

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To
Arthur Allman Bullock
kind mentor in matters nomenclatural
and contributor to a better Botanical Code

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Foreword

Although scientific names are very widely used by the biological community and many others in non-biological fields, few people, apart from professional taxonomists, have more than a passing understanding of the principles governing biological nomenclature. The official Codes of Nomenclature are forbidding documents and daunting to use without special training or guidance. It is largely for these reasons that various approaches have been made to the Systematics Association in recent years to sponsor the production of a relatively simple guide to the principles of biological nomenclature and the workings of the various Codes.

The Council of the Systematics Association willingly agreed to sponsor such a work and was fortunate in being able to persuade Mr. C. Jeffrey, of the Herbarium, Royal Botanic Gardens, Kew, to prepare a suitable text. Mr. Jeffrey's book is a lucid and highly readable account of the subject and he is to be warmly congratulated on providing an eminently practical guide to a highly complex field. In addition, his first chapter, which outlines the general context of systematics, is one of the clearest expositions available, and the glossary/index will be widely consulted.

In sponsoring this work the Systematics Association is confident that Mr. Jeffrey's book will go a long way towards clarifying one of the most intimidating areas of biological systematics and will be a major contribution to communication and understanding between biologists in many disciplines.

V. H. Heywood
formerly President, Systematics Association
1973

Preface

The purpose of this handbook is to provide a practical guide to the use of the nomenclatural parts of taxonomic literature, to promote understanding of the problems, principles and practice of biological nomenclature and to act as an introduction to the Codes of Nomenclature themselves. It is not intended to be used as a substitute for the Codes and the interpretation of any provision of any Code is in no way to be taken as authoritative or definitive. Every effort has been made, however, to ensure factual accuracy and to present what were at the time of writing nomenclaturally orthodox views. Even so, since the Codes are subject to modification, it is inevitable that a few of the details will in time become obsolete. This is especially likely in the fields of virology and bacteriology; the first has as yet no definitive Code of Nomenclature, and a new edition of the Bacteriological Code will be published within the next two years. The Zoological and especially the Botanical Codes are unlikely to be subjected to much alteration, and general principles are in all cases unlikely to be changed.

To the following, who kindly read through the draft text, I am grateful for corrections and helpful suggestions: G. C. Ainsworth, R. K. Brummitt, J. S. L. Gilmour, J. Lewis, S. P. Lapage, K. McKenzie, R. J. Pankhurst, P. H. A. Sneath, B. T. Styles, P. Whitehead and P. F. Yeo. To J. G. Sheals and J. D. Turton I am indebted for helpful advice and comments. John Lewis I wish also to thank for general help and for liaison with the Systematics Association and the Institute of Biology. Responsibility for all errors and omissions remains, however, entirely mine. To A. J. Boyce I am indebted for duplication and distribution of the draft text. Finally, my best thanks are given to my colleague, Mrs. J. S. Page, for typing my manuscript, for reading the proofs and for eliminating much that was obscure, verbose, repetitive and tedious.

C. J.

Kew
1973

Preface to the Second Edition

Since the first edition was published in 1973, the following developments in nomenclature have occurred. A summary of modifications to the Zoological Code made since the 16th International Congress of Zoology in 1963 has been published in *Bull. Zool. Nomencl.*, **31**: 77–101 (1974) and **32**: 65 (1975); an International Botanical Congress in Leningrad in June 1975 has made some small amendments to the Botanical Code; a radically revised Bacteriological Code has been published (Dec. 1975); revised rules of viral nomenclature have been accepted by the International Committee on the Taxonomy of Viruses and published in *J. Gen. Virol.*, **31**: 463–470 (1976); and guidelines for the naming of plant varieties, approved by the Council of the Union for the Protection of New Varieties of Plants (UPOV) in Oct. 1973, have been published in *Plant Varieties and Seeds Gazette*, **109**: 1–3 (1974). Amendments to the text have been made where necessary to accommodate these changes. Opportunity has also been taken to amend certain passages and entries to the glossary that reviewers and others have shown to be inaccurate or unclear.

In addition to the persons mentioned in the original preface, I am also indebted to the following for helpful discussion and advice: F. Fenner, J. L. Melnick, J. W. B. Nye, R. V. Melville, D. Heppell, E. G. Voss, and my colleague F. G. Davies.

C. J.

Kew
1976

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* NOTE

Certain additional information, supplementing but not essential to the main thesis, is given in a section 'Notes to the Text' (p. 51). The small 'superior' figures (¹, ², . . .) given in the main text refer to the corresponding numbers of these notes.

1

The Systematic Background

1.1 Systematics

The earth is unique among the planets we know in supporting a vast array of *living organisms* of the most diverse kinds. Together with the non-living components of their environment with which they inter-react they have produced and maintain the planetary *ecosphere*. Man himself is a part of the *ecosphere* and his survival depends upon its continued operation. We are more directly dependent upon some living organisms—e.g. the major food crops and the species of commercial fisheries—than others, but all are important as components of the *ecosphere*, and have become the objects of study of the field of human endeavour known as *biology*.

One of the first tasks of biology was to make meaningful generalizations about living organisms so that useful knowledge could be passed on from person to person and human behaviour regulated in its light. Early in human history it was found useful to know in advance, for example, what animals were dangerous, what were good to hunt for food, what plants were poisonous and so on. It was soon noticed that living organisms possessed certain consistent features by which they could be reliably identified and sorted into constantly and recognizably distinct groups. Properties like dangerousness, edibility and poisonousness could thus be reliably inferred and the possibly unpleasant consequences of a trial and error approach avoided.

The refinement of this process of recognition and grouping into the scientific study of the diversity of living organisms has given rise to the branch of biology known as *systematics*.¹ The task of systematics is to produce systems of classification which best express the various degrees of overall similarity between living organisms. Such systems are used in biology for the storage, retrieval and communication of information and for the making of reliable predictions and generalizations. They are based on as broad as possible study of the variation of living organisms and aim to establish groups, the members of which possess the largest number of common features and exhibit therefore the greatest overall similarity.

The possibility of constructing such systems, of course, depends upon the occurrence of different features associated in definite combinations in different living organisms. If features all varied independently of one another, then each feature considered would produce a different way of

grouping organisms and no one grouping based on greatest overall similarity would be possible. However, this is not so and it is possible to construct systematic groupings that are based on multiple correlations of common features and which reflect greatest overall similarity. This is in general a result of the fact that all living organisms are related to one another to a greater or lesser degree by way of evolutionary descent, and it is this evolutionary relationship that makes possible the establishment of meaningful systematic groupings.

1.2 Classification and nomenclature

Two major fields of systematics are *classification* and *nomenclature*. Classification is the process of establishing and defining systematic groups.² The systematic groups so produced are known as *taxa* (singular, *taxon*). Nomenclature is the allocation of names to the taxa so produced. In carrying out their researches, systematists first complete their classificatory work. Only when they are sure they have achieved, on the basis of the information available, the best possible systematic arrangement of the organisms they have studied, do they begin to ascertain the correct names for the taxa they have established. In other words, classification precedes naming, and nomenclature is to this extent independent of classification. Nevertheless, it is necessary first to consider certain aspects of the classification of living organisms which are essential to the understanding of the way in which they are named.

1.3 The taxonomic hierarchy

If we study the living organisms existing in a particular place at a particular time, we find that they occur as series of similar individuals showing certain common features. Such series of recognizably similar individuals, recognizably distinct from other such series, are in general what the systematists call *species*. In sexually reproducing organisms it is also found, in general, that individuals of a species are inter-fertile with one another but reproductively isolated from individuals of other species. When species are compared with one another, it is found convenient to group together those with most features in common into larger, more inclusive taxa which are called *genera*. Genera are in their turn grouped likewise into yet more inclusive taxa called *families*, and so on. Such an arrangement of taxa into an ascending series of ever-increasing inclusiveness forms what is known as an *hierarchical*³ *system* of classification. In an hierarchical system we start at the bottom with individuals and end up at the top with one all-embracing

Table 1 The categories of the taxonomic hierarchy

This shows the categories of the taxonomic hierarchy usually employed in Botany, Bacteriology and Zoology. They are given their recognized Latin names (often anglicized as in the right-hand column) and are arranged in the relative order in which they must be employed. The most important categories are given in CAPITALS, those seldom used are enclosed in parentheses (Divisio). The categories *Divisio* and *Subdivisio* of the Botanical and Bacteriological Codes correspond to, and are used in place of, the categories *Phylum* and *Subphylum* respectively of zoological usage

Botanical	Bacteriological	Zoological	English Equivalent
REGNUM	REGNUM	REGNUM	Kingdom
		Subregnum	Subkingdom
		(Superphylum)	Superphylum
DIVISIO	(Divisio)	PHYLUM	Division/Phylum
Subdivisio	(Subdivisio)	Subphylum	Subdivision/ Subphylum
		Superclassis	Superclass
CLASSIS	CLASSIS	CLASSIS	Class
Subclassis	(Subclassis)	Subclassis	Subclass
		Infraclassis	Infraclass
(Superordo)		Superordo	Superorder
ORDO	ORDO	ORDO	Order
(Subordo)	(Subordo)	Subordo	Suborder
		Infraordo	Infraorder
		Superfamilia	Superfamily
FAMILIA	FAMILIA	FAMILIA	Family
Subfamilia	(Subfamilia)	Subfamilia	Subfamily
		(Supertribus)	Supertribe
Tribus	Tribus	Tribus	Tribe
Subtribus	(Subtribus)	Subtribus	Subtribe
GENUS	GENUS	GENUS	Genus
Subgenus	(Subgenus)	Subgenus	Subgenus
Sectio			Section
Subsectio			Subsection
Series			Series
Subseries			Subseries
SPECIES	SPECIES	SPECIES	Species
Subspecies	(Subspecies)	Subspecies	Subspecies
	(=Varietas)		
Varietas			Variety
(Subvarietas)			Subvariety
Forma			Form
(Subforma)			Subform

taxon. In between we have various taxa of organisms at different levels of the hierarchy, each of which is subordinate to one and only one immediately higher taxon and each of which (except the lowest) includes one or more subordinate lower taxa.

The arrangement of taxa into an hierarchical system had its origin in the logical theory of classification. It functions primarily as an aid to memory, but it also has a biological basis, in so far as the various levels in the hierarchy can be said to reflect different degrees of evolutionary divergence. The number of levels in the hierarchy, needed conveniently to accommodate the variation of the living world, has none the less been decided quite arbitrarily as a result of practical experience over the past two hundred years. Those generally employed are shown in Table 1. Additional levels may be employed if required. The levels are given conventional names and arranged in a conventional order which must be strictly adhered to. The framework thus formed is known as the *taxonomic hierarchy*. The different levels are known as *taxonomic ranks*. All such taxa as stand at any given level (or rank) in the hierarchy are said to belong to the same *taxonomic category*.

The taxonomic hierarchy can be envisaged as a series of containers, with adjacent walls and bases, placed one inside another, and differing only in height. The containers themselves then represent the taxonomic categories. The levels of the roofs of the containers represent the taxonomic ranks. The contents of the containers—the groups of organisms we place in them—represent the taxa. This analogy also makes it easier to appreciate that taxonomic categories and ranks are purely abstract concepts. It is the taxa—groups consisting ultimately of individual living organisms—that alone have any concrete basis.⁴ Thus all the primroses form a taxon which is considered to be of specific rank and is therefore assigned to the category species. This taxon is the species known as *Primula vulgaris*. Similarly, *Primula* is a genus, a taxon of generic rank, assigned to the category genus; and *Primulaceae* is a family, a taxon of family rank, assigned to the category family.⁵

2

Names and Codes

2.1 The purpose of names

A name is merely a conventional symbol or cipher, which serves as a means of reference and avoids the need for continuous use of a cumbersome descriptive phrase. The purpose of names is to act as vehicles of communication. Like the ciphers of any code, names can effectively fulfil this function only if they are understood by, and have the same meanings for, all who use the code. Names, however communicated, should immediately and unequivocally call to mind the concepts intended by the transmitter of the names. This is a fundamental principle of nomenclature and it is the most important criterion by which the efficiency of any system of nomenclature can be judged. It implies that names must be unambiguous and universal.

2.2 Codes of nomenclature

Common names of living organisms in vernacular languages are, in general,⁶ so far from meeting these conditions that they are quite unsatisfactory for use in biological nomenclature. Quite apart from the multiplicity of languages, many using different alphabets, even within a single language the same name is often used in different senses to denote different kinds of organisms, or the same kind of organism is known by more than one name. Biological nomenclature tries to avoid such defects, and for this reason sets of rules called *Codes of Nomenclature* have been drawn up. The formation and use of the scientific names of organisms classified as animals are governed by the International Code of Zoological Nomenclature (ICZN); of those classified as plants (including fungi) by the International Code of Botanical Nomenclature (ICBN); and of those classified as bacteria (including actinomycetes) by the International Code of Nomenclature of Bacteria (ICNB).

The three codes differ in approach and format but the operative core of each consists of a series of numbered *rules* or *articles*, some of which are supplemented by *recommendations*. The provisions of rules are mandatory and must be followed whenever names are given or employed. Recommendations deal with subsidiary points and indicate the best procedure to be followed. Names contrary to a recommendation may not be rejected on that count, but they are not examples to be followed. The rules of the Codes

do not, of course, have any legal status in national or international law. Their enforcement depends solely on the voluntary agreement of systematists to observe their provisions. The only sanctions that can be employed against those who do not are disapproval by their colleagues and disregard of their work. Nevertheless, non-observance of the provisions of the Codes can lead only to instability of nomenclature. All systematists should therefore understand the provisions of the appropriate Code and follow them even if, personally, they disagree with some of them. This does not preclude the proposal of modifications or exceptions to the rules through the appropriate established procedure.

2.3 Modification of the codes

The Botanical Code may be modified only by a decision of a plenary session of an International Botanical Congress on a resolution made by the Nomenclature Section of the Congress. Permanent Nomenclature Committees are elected by a Congress and are established under the auspices of the International Association for Plant Taxonomy to deal with various nomenclatural matters referred to them. Of these, the Editorial Committee is charged with the preparation and publication of the Code in conformity with the decisions adopted by a Congress. Proposals for modification of the Code must be submitted to the Nomenclature Section of a Congress and are voted on in accordance with a set procedure.

The Bacteriological Code may be modified only by action of the International Committee on Systematic Bacteriology⁷ on proposals made to it by its Judicial Commission. The Judicial Commission is elected from the membership of the International Committee and is responsible through an Editorial Board for the editing and production of the Code. Proposals for modification of the Code should be submitted to the Editorial Secretary of the International Committee, who is also Secretary of the Judicial Commission.

The Zoological Code may be modified only by an International Congress of Zoology, or recognized equivalent (currently the Division of Zoology of the International Union of Biological Sciences, at a General Assembly of the International Union of Biological Sciences) to which an International Congress of Zoology has delegated such powers, acting on a recommendation from the International Commission on Zoological Nomenclature presented through and approved by the Section on Nomenclature of the Congress or recognized equivalent. The Code is prepared on behalf of the International Commission by an Editorial Committee appointed by the Congress or recognized equivalent. Proposals for the modification of the

Code should be submitted to the Secretary of the International Commission at least one year in advance of the next International Congress or recognized equivalent. The Zoological Code may also be provisionally modified between Congresses (or their equivalents) by means of *declarations* of the International Commission on Zoological Nomenclature (see §5.16, p. 25).

3

Scientific Names

3.1 Alphabet and language

The Codes of Nomenclature differ in detail but certain basic features are common to all three. To be universal, scientific names must be written in the same alphabet and the same language. The Codes of Nomenclature require that all scientific names be *Latin* in form, written in the Latin alphabet and subject to the rules of Latin grammar.⁸ The scientific names of living organisms are therefore Latin or are treated as Latin, even if, as is often the case, they are derived from other languages. The Codes also lay down a number of conventions which must be observed in the formation and use of scientific names so that uniformity is as far as possible ensured.

3.2 Names of taxa above the rank of genus

The names of taxa above the rank of genus consist of one term only and are therefore called *uninomial*, *uninominal* or *unitary*. They are plural nouns (or adjectives used as nouns) and are written with a capital initial letter. So that the rank of a taxon may be apparent from its name, in many cases the Codes stipulate a standardized ending for the names of all taxa of a given taxonomic rank. For example, under the Botanical Code, the names of plant families must end in *-aceae*,⁹ while under the Zoological Code, the names of animal families must end in *-idae*. Such standardized endings as are required by the Codes are listed in Table 2. It should be noted that the names of taxa above the rank of *Superfamilia* are not governed by the Zoological Code (see Table 1).

3.3 Names of genera

The names of genera are also uninomial. They are singular nouns written with a capital initial letter, e.g. *Primula*, *Felis*, *Agaricus*, *Bacillus*.

3.4 Names of taxa intermediate in rank between genus and species

Under the Bacteriological and Zoological Codes, only one category of such taxa—the subgenus—is recognized. The names of subgenera under these