The Quest for Certainty:

A Study of the Relation of Knowledge and Action

John Dewey

新闻学与传播学经典丛书・英文原版系列

The Quest for Certainty: A Study of the Relation of Knowledge and Action

追求确定性: 知识与行为的关系研究

> John Dewey [美] 约翰・杜威

著

中国传媒大学出版社

The Quest for Certainty: A Study of the Relation of Knowledge and Action

追求确定性:知识与行为的关系研究

John Dewey

[美]约翰·杜威著

中国传媒大学出版社

图书在版编目 (CIP) 数据

追求确定性:知识与行为的关系研究(英文版)/(美)杜威著.

一北京:中国传媒大学出版社,2016.3

(新闻学与传播学经典从书·英文原版系列)

ISBN 978-7-5657-1653-9

- I.① 追…
- Ⅱ.① 杜…
- Ⅲ.① 认识一关系一实践一研究一美国
- IV. 1 B023 2 B712.51

中国版本图书馆 CIP 数据核字 (2016) 第 047714 号

新闻学与传播学经典丛书·英文原版系列

The Quest for Certainty: A Study of the Relation of Knowledge and Action

追求确定性: 知识与行为的关系研究

著 者 John Dewey ([美]约翰・杜威) 著

策划编辑 司马兰 姜颖昳

责任编辑 司马兰 姜颖昳

责任印制 曹 辉

出版人 王巧林

出版发行 中国传媒大学出版社

社 址 北京市朝阳区定福庄东街1号 邮编: 100024

电 话 010-65450532 或 65450528 传真: 010-65779405

网 址 http://www.cucp.com.cn

经 销 全国新华书店

印 刷 北京艺堂印刷有限公司

开 本 880mm×1230mm 1/32

印 张 10.25

印 次 2016年3月第1版 2016年3月第1次印刷

书 号 ISBN 978-7-5657-1653-9/B・1653

定 价 45.00 元

版权所有 翻印必究 印装错误 负责调换

出版说明

"新闻学与传播学经典丛书·英文原版系列",选取了在新闻学与传播学历史上具有里程碑意义的大师经典名作,如传播学"四大奠基人"哈罗德·拉斯韦尔、保罗·拉扎斯菲尔德等,及加布里埃尔·塔尔德、罗伯特·帕克、哈罗德·伊尼斯、马歇尔·麦克卢汉、库尔特·卢因、卡尔·霍夫兰等这些学界耳熟能详的名家佳作。这些是传播学与新闻学的奠基之作,也是现代新闻学与传播学发展的基础。许多名作都多次再版,影响深远,历久不衰,成为新闻学与传播学的经典。此套丛书采用英文原版出版,希望读者能读到原注原味的著作。

随着中国高等教育的教学改革。下大师年已不满足于仅仅阅读国外图书的翻译版,他们迫切希望能读到原版图书。希望能采用国外英文原版图书进行教学,从而保证如讲授的知识体系的完整性、系统性、科学性和文字描绘的准确性。此套丛书的出版便是满足了这种需求,同时可使学生在专业技术方面尽快掌握本学科相应的外语词汇,并了解先进国家的学术发展方向。

本系列在引进英文原版图书的同时,将目录译为中文,作为对原版 的一种导读,供读者阅读时参考。

从事经典著作的出版,需要出版人付出不懈的努力,我们自知本套 丛书也许会有很多缺陷,虚心接受读者提出的批评和建议。

目 录

1. 逃避危险	1
2. 哲学对于常性的寻求	24
3. 权威冲突	47
4. 接受艺术与控制艺术	72
5. 观念在工作中	106
6. 观念的发挥	138
7. 理智权威的所在	168
8. 智力的归化	193
9. 方法至上	221
10. 善的构成	252
11. 哥白尼式的革命	285

CONTENTS

CHAPTE	12	PAGE
1	ESCAPE FROM PERIL	I
II	PHILOSOPHY'S SEARCH FOR THE IMMU-	
	TABLE	24
III	CONFLICT OF AUTHORITIES	47
IV	THE ART OF ACCEPTANCE AND THE ART OF	
	CONTROL	72
\mathbf{v}	IDEAS AT WORK	106
VI	THE PLAY OF IDEAS	138
VII	THE SEAT OF INTELLECTUAL AUTHORITY .	168
VIII	THE NATURALIZATION OF INTELLIGENCE .	193
IX	THE SUPREMACY OF METHOD	22 I
X	THE CONSTRUCTION OF GOOD	252
VI	THE CODEDNICAN DEVOLUTION	285

CHAPTER I

ESCAPE FROM PERIL

Man who lives in a world of hazards is compelled to seek for security. He has sought to attain it in two ways. One of them began with an attempt to propitiate the powers which environ him and determine his destiny. It expressed itself in supplication, sacrifice, ceremonial rite and magical cult. In time these crude methods were largely displaced. The sacrifice of a contrite heart was esteemed more pleasing than that of bulls and oxen; the inner attitude of reverence and devotion more desirable than external ceremonies. If man could not conquer destiny he could willingly ally himself with it; putting his will, even in sore affliction, on the side of the powers which dispense fortune, he could escape defeat and might triumph in the midst of destruction.

The other course is to invent arts and by their means turn the powers of nature to account; man constructs a fortress out of the very conditions and forces which threaten him. He builds shelters, weaves garments, makes flame his friend instead of his enemy, and grows into the complicated arts of associated living. This is the method of changing the world through action, as the other is the method of changing the self in emotion and idea. It is a commentary on the slight control man has obtained over himself by means of control over nature, that the method of action has been felt to manifest dangerous pride, even defiance of the powers which be. People of old wavered between thinking arts to be the gift of the gods and to be an invasion of their prerogatives. Both versions testify to the sense

of something extraordinary in the arts, something either superhuman or unnatural. The souls who have predicted that by means of the arts man might establish a kingdom of order, justice and beauty through mastery of nature's energies and laws have been few and little heeded.

Men have been glad enough to enjoy the fruits of such arts as they possess, and in recent centuries have increasingly devoted themselves to their multiplication. But this effort has been conjoined with a profound distrust of the arts as a method of dealing with the serious perils of life. Doubt as to the truth of this statement will be dispelled if one considers the disesteem in which the idea of practice has been held. Philosophers have celebrated the method of change in personal ideas, and religious teachers that of change in the affections of the heart. These conversions have been prized on their own account, and only incidentally because of a change in action which would ensue. The latter has been esteemed as an evidence of the change in thought and sentiment, not as a method of transforming the scene of life. The places in which the use of the arts has effected actual objective transformation have been regarded as inferior, if not base, and the activities connected with them as menial. The disparagement attending the idea of the material has seized upon them. The honorable quality associated with the idea of the "spiritual" has been reserved for change in inner attitudes.

The depreciation of action, of doing and making, has been cultivated by philosophers. But while philosophers have perpetuated the derogation by formulating and justifying it, they did not originate it. They glorified their own office without doubt in placing theory so much above practice. But independently of their attitude, many things conspired to the same effect. Work has been onerous, toilsome, associated with a primeval curse. It has been done under compulsion and the

pressure of necessity, while intellectual activity is associated with leisure. On account of the unpleasantness of practical activity, as much of it as possible has been put upon slaves and serfs. Thus the social dishonor in which this class was held was extended to the work they do. There is also the age-long association of knowing and thinking with immaterial and spiritual principles, and of the arts, of all practical activity in doing and making, with matter. For work is done with the body, by means of mechanical appliances and is directed upon material things. The disrepute which has attended the thought of material things in comparison with immaterial thought has been transferred to everything associated with practice.

One might continue in this strain. The natural history of conceptions about work and the arts if it were traced through a succession of peoples and cultures would be instructive. But all that is needed for our purpose is to raise the question: Why this invidious discrimination? A very little reflection shows that the suggestions which have been offered by way of explanation themselves need to be explained. Ideas derived from social castes and emotional revulsions are hardly reasons to be offered in justification of a belief, although they may have a bearing on its causation. Contempt for matter and bodies and glorification of the immaterial are affairs which are not self-explanatory. And, as we shall be at some pains to show later in the discussion, the idea which connects thinking and knowing with some principle or force that is wholly separate from connection with physical things will not stand examination, especially since the whole-hearted adoption of experimental method in the natural sciences.

The questions suggested have far-reaching issues. What is the cause and the import of the sharp division between theory and practice? Why should the latter be disesteemed along with matter and the body? What has been the effect upon the

various modes in which action is manifested: industry, politics, the fine arts, and upon morals conceived of as overt activity having consequences, instead of as mere inner personal attitude? How has the separation of intellect from action affected the theory of knowledge? What has been in particular the effect upon the conception and course of philosophy? What forces are at work to break down the division? What would the effect be if the divorce were annulled, and knowing and doing were brought into intrinsic connection with one another? What revisions of the traditional theory of mind, thought and knowing would be required, and what change in the idea of the office of philosophy would be demanded? What modifications would ensue in the disciplines which are concerned with the various phases of human activity?

These questions form the theme of this book, and indicate the nature of the problems to be discussed. In this opening chapter we shall consider especially some historic grounds for the elevation of knowledge above making and doing. This phase of the discussion will disclose that exaltation of pure intellect and its activity above practical affairs is fundamentally connected with the quest for a certainty which shall be absolute and unshakeable. The distinctive characteristic of practical activity, one which is so inherent that it cannot be eliminated, is the uncertainty which attends it. Of it we are compelled to say: Act, but act at your peril. Judgment and belief regarding actions to be performed can never attain more than a precarious probability. Through thought, however, it has seemed that men might escape from the perils of uncertainty.

Practical activity deals with individualized and unique situations which are never exactly duplicable and about which, accordingly, no complete assurance is possible. All activity, moreover, involves change. The intellect, however, according to the traditional doctrine, may grasp universal Being, and

Being which is universal is fixed and immutable. Wherever there is practical activity we human beings are involved as partakers in the issue. All the fear, disesteem and lack of confidence which gather about the thought of ourselves, cluster also about the thought of the actions in which we are partners. Man's distrust of himself has caused him to desire to get beyond and above himself; in pure knowledge he has thought he could attain this self-transcendence.

There is no need to expatiate upon the risk which attends overt action. The burden of proverbs and wise saws is that the best laid plans of men as of mice gang agley. Fortune rather than our own intent and act determines eventual success and failure. The pathos of unfulfilled expectation, the tragedy of defeated purpose and ideals, the catastrophes of accident, are the commonplaces of all comment on the human scene. We survey conditions, make the wisest choice we can; we act, and we must trust the rest to fate, fortune or providence. Moralists tell us to look to the end when we act and then inform us that the end is always uncertain. Judging, planning, choice, no matter how thoroughly conducted, and action no matter how prudently executed, never are the sole determinants of any outcome. Alien and indifferent natural forces, unforeseeable conditions enter in and have a decisive voice. The more important the issue, the greater is their say as to the ulterior event.

Hence men have longed to find a realm in which there is an activity which is not overt and which has no external consequences. "Safety first" has played a large rôle in effecting a preference for knowing over doing and making. With those to whom the process of pure thinking is congenial and who have the leisure and the aptitude to pursue their preference, the happiness attending knowing is unalloyed; it is not entangled in the risks which overt action cannot escape. Thought has been alleged to be a purely inner activity, intrinsic to mind alone;

and according to traditional classic doctrine, "mind" is complete and self-sufficient in itself. Overt action may follow upon its operations but in an external way, a way not intrinsic to its completion. Since rational activity is complete within itself it needs no external manifestation. Failure and frustration are attributed to the accidents of an alien, intractable and inferior realm of existence. The outer lot of thought is cast in a world external to it, but one which in no way injures the supremacy and completeness of thought and knowledge in their intrinsic natures.

Thus the arts by which man attains such practical security as is possible of achievement are looked down upon. The security they provide is relative, ever incomplete, at the risk of untoward circumstance. The multiplication of arts may even be bemoaned as a source of new dangers. Each of them demands its own measures of protection. Each one in its operation brings with it new and unexpected consequences having perils for which we are not prepared. The quest for certainty is a quest for a peace which is assured, an object which is unqualified by risk and the shadow of fear which action casts. For it is not uncertainty per se which men dislike, but the fact that uncertainty involves us in peril of evils. Uncertainty that affected only the detail of consequences to be experienced provided they had a warrant of being enjoyable would have no sting. It would bring the zest of adventure and the spice of variety. Quest for complete certainty can be fulfilled in pure knowing alone. Such is the verdict of our most enduring philosophic tradition.

While the tradition has, as we shall see later, found its way into all themes and subjects, and determines the form of current problems and conclusions regarding mind and knowledge, it may be doubted whether if we were suddenly released from the burden of tradition, we should, on the basis of present

experience take the disparaging view of practice and the exalted view of knowledge apart from action which tradition dictates. For man, in spite of the new perils in which the machinery of his new arts of production and transportation have involved him, has learned to play with sources of danger. He even seeks them out, weary of the routine of a too sheltered life. The enormous change taking place in the position of women is itself, for example, a commentary on a change of attitude toward the value of protection as an end in itself. We have attained, at least subconsciously, a certain feeling of confidence; a feeling that control of the main conditions of fortune is to an appreciable degree passing into our own hands. We live surrounded with the protection of thousands of arts and we have devised schemes of insurance which mitigate and distribute the evils which accrue. Barring the fears which war leaves in its train, it is perhaps a safe speculation that if contemporary western man were completely deprived of all the old beliefs about knowledge and actions he would assume, with a fair degree of confidence, that it lies within his power to achieve a reasonable degree of security in life.

This suggestion is speculative. Acceptance of it is not needed by the argument. It has its value as an indication of the earlier conditions in which a felt need for assurance was the dominant emotion. For primitive men had none of the elaborate arts of protection and use which we now enjoy and no confidence in his own powers when they were reinforced by appliances of art. He lived under conditions in which he was extraordinarily exposed to peril, and at the same time he was without the means of defense which are to-day matters of course. Most of our simplest tools and utensils did not exist; there was no accurate foresight; men faced the forces of nature in a state of nakedness which was more than physical; save under unusually benign conditions he was beset with dangers that knew

no remission. In consequence, mystery attended experiences of good and evil; they could not be traced to their natural causes and they seemed to be the dispensations, the gifts and the inflictions, of powers beyond possibility of control. The precarious crises of birth, puberty, illness, death, war, famine, plague, the uncertainties of the hunt, the vicissitudes of climate and the great seasonal changes, kept imagination occupied with the uncertain. Any scene or object that was implicated in any conspicuous tragedy or triumph, in no matter how accidental a way, got a peculiar significance. It was seized upon as a harbinger of good or as an omen of evil. Accordingly, some things were cherished as means of encompassing safety just as a good artisan to-day looks after his tools; others were feared and shunned because of their potencies for harm.

As a drowning man is said to grasp at a straw, so men who lacked the instruments and skills developed in later days, snatched at whatever, by any stretch of imagination, could be regarded as a source of help in time of trouble. The attention, interest and care which now go to acquiring skill in the use of appliances and to the invention of means for better service of ends, were devoted to noting omens, making irrelevant prognostications, performing ritualistic ceremonies and manipulating objects possessed of magical power over natural events. In such an atmosphere primitive religion was born and fostered. Rather this atmosphere was the religious disposition.

Search for alliance with means which might promote prosperity and which would afford defense against hostile powers was constant. While this attitude was most marked in connection with the recurrent crises of life, yet the boundary line between these crucial affairs with their extraordinary risks and everyday acts was shadowy. The acts that related to commonplace things and everyday occupations were usually accompanied, for good measure of security, by ritual acts. The mak-

ing of a weapon, the molding of a bowl, the weaving of a mat, the sowing of seed, the reaping of a harvest, required acts different in kind to the technical skills employed. These other acts had a special solemnity and were thought necessary in order to ensure the success of the practical operations used.

While it is difficult to avoid the use of the word supernatural, we must avoid the meaning the word has for us. As long as there was no defined area of the natural, that which is over and beyond the natural can have no significance. The distinction, as anthropological students have pointed out, was between ordinary and extraordinary; between the prosaic, usual run of events and the crucial incident or irruption which determined the direction which the average and expected course of events took. But the two realms were in no way sharply demarcated from each other. There was a no-man's land, a vague territory, in which they overlapped. At any moment the extraordinary might invade the commonplace and either wreck it or clothe it with some surprising glory. The use of ordinary things under critical conditions was fraught with inexplicable potentialities of good and evil.

The two dominant conceptions, cultural categories one might call them, which grew and flourished under such circumstances were those of the holy and the fortunate, with their opposites, the profane and the unlucky. As with the idea of the supernatural, meanings are not to be assigned on the basis of present usage. Everything which was charged with some extraordinary potency for benefit or injury was holy; holiness meant necessity for being approached with ceremonial scruples. The holy thing, whether place, object, person or ritual appliance, has its sinister face; "to be handled with care" is written upon it. From it there issues the command: Noli me tangere. Tabus, a whole set of prohibitions and injunctions, gather about it. It is capable of transmitting its mysterious potency to other

things. To secure the favor of the holy is to be on the road to success, while any conspicuous success is proof of the favor of some overshadowing power—a fact which politicians of all ages have known how to utilize. Because of its surcharge of power, ambivalent in quality, the holy has to be approached not only with scruples but in an attitude of subjection. There are rites of purification, humiliation, fasting and prayer which are preconditions of securing its favor.

The holy is the bearer of blessing or fortune. But a difference early developed between the ideas of the holy and the lucky, because of the different dispositions in which each was to be approached. A lucky object is something to be used. It is to be manipulated rather than approached with awe. It calls for incantations, spells, divinations rather than for supplication and humiliation. Moreover, the lucky thing tends to be a concrete and tangible object, while the holy one is not usually definitely localized; it is the more potent in the degree in which its habitation and form are vague. The lucky object is subject to pressure, at a pinch to coercion, to scolding and punishment. It might be discarded if it failed to bring luck. There developed a certain element of mastery in its use, in distinction from the dependence and subjection which remained the proper attitude toward the holy. Thus there was a kind of rhythm of domination and submission, of imprecation and supplication, of utilization and communion.

Such statements give, of course, a one-sided picture. Men at all times have gone about many things in a matter-of-fact way and have had their daily enjoyments. Even in the ceremonies of which we have spoken there entered the ordinary love of the dramatic as well as the desire for repetition, once routine is established. Primitive man early developed some tools and some modes of skill. With them went prosaic knowledge of the properties of ordinary things. But these beliefs were sur-

rounded by others of an imaginative and emotional type, and were more or less submerged in the latter. Moreover, prestige attached to the latter. Just because some beliefs were matter-of-fact they did not have the weight and authority that belong to those about the extraordinary and unaccountable. We find the same phenomenon repeated to-day wherever religious beliefs have marked vitality.

Prosaic beliefs about verifiable facts, beliefs backed up by evidence of the senses and by useful fruits, had little glamour and prestige compared with the vogue of objects of rite and ceremony. Hence the things forming their subject-matter were felt to be lower in rank. Familiarity breeds a sense of equality if not of contempt. We deem ourselves on a par with things we daily administer. It is a truism to say that objects regarded with awe have perforce a superior status. Herein is the source of the fundamental dualism of human attention and regard. The distinction between the two attitudes of everyday control and dependence on something superior was finally generalized intellectually. It took effect in the conception of two distinct realms. The inferior was that in which man could foresee and in which he had instruments and arts by which he might expect a reasonable degree of control. The superior was that of occurrences so uncontrollable that they testified to the presence and operation of powers beyond the scope of everyday and mundane things.

The philosophical tradition regarding knowledge and practice, the immaterial or spiritual and the material, was not original and primitive. It had for its background the state of culture which has been sketched. It developed in a social atmosphere in which the division of the ordinary and extraordinary was domesticated. Philosophy reflected upon it and gave it a rational formulation and justification. The bodies of information that corresponded to the everyday arts, the store of