Progress in Allergy

Immunity and Concomitant Immunity in Infectious Diseases

31

Volume Editor P. Kallós

Series Editors K. Ishizaka, Baltimore, Md. P. Kallós, Helsingborg B.H. Waksman, New York, N.Y. A.L. de Weck, Bern



Immunity and Concomitant Immunity in Infectious Diseases

Volume Editor Paul Kallós, Helsingborg

Contributors

D. Befus,, Hamilton, Ont.; J. Bienenstock, Hamilton, Ont.; A. Capron, Lille; M. Capron, Lille; J.-P. Dessaint, Lille; C. L. Diggs, Washington, D. C.; S. B. Halstead, Honolulu, Hawaii; A. Haque, Lille; E. E. E. Jarrett, Glasgow; J. Mauël, Epalinges; H. R. P. Miller, Edinburgh

14 figures and 13 tables, 1982





Progress in Allergy

- Vol. 28: Differentiated Lymphocyte Functions. A.L. de Weck, Bern (ed.) X + 286 p., 47 fig., 26 tab., 1981. ISBN 3-8055-1834-X
- Vol. 29: Ontogeny of the Immune System. B. H. Waksman, New York, N.Y. (ed.) XII + 268 p., 10 fig., 18 tab., 1981. ISBN 3-8055-2434-X
- Vol. 30: Recent Trends in Allergen and Complement Research. P. Kallós, Helsingborg (ed.)
 XIV + 234 p., 56 fig., 32 tab., 1982. ISBN 3-8055-2580-X

National Library of Medicine, Cataloging in Publication

Immunity and concomitant immunity in infectious diseases

Volume editor, Paul Kallós; contributors, D. Befus . . . [et al.] – Basel; New York: Karger 1982

(Progress in allergy; v. 31)

1. Communicable Disease - immunology. I. Befus, D. II. Kallós, Paul III. Series

W1 PR666F v. 31 [WC 1001332]

ISBN 3-8055-3464-7

Drug Dosage

The authors and the publisher have exerted every effort to ensure that drug selection and dosage set forth in this text are in accord with current recommendations and practice at the time of publication. However, in view of ongoing research, changes in government regulations, and the constant flow of information relating to drug therapy and drug reactions, the reader is urged to check the package insert for each drug for any change in indications and dosage and for added warnings and precautions. This is particularly important when the recommended agent is a new and/or infrequently employed drug.

All rights reserved

No part of this publication may be translated into other languages, reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying, recording, microcopying, or by any information storage and retrieval system, without permission in writing from the publisher.

© Copyright 1982 by S. Karger AG, P.O. Box, CH-4009 Basel (Switzerland) Printed in Switzerland by Emmentaler Druck AG, 3550 Langnau ISBN 3-8055-3464-7 Immunity and Concomitant Immunity in Infectious Diseases

Progress in Allergy

Vol. 31

Series Editors

Kimishige Ishizaka, Baltimore, Md.: Paul Kallós, Helsingborg; Byron H. Waksman, New York, N.Y., and Alain L. de Weck, Bern



S. Karger · Basel · München · Paris · London · New York · Sydney

Introduction

Paul Kallós



Clemens von Pirquet 1874–1929



Paul Ehrlich 1854–1915

The present volume is the first of our series entirely devoted to infectious diseases. This gives me the opportunity to remind our readers that the concept of allergy, as brought forward by *Clemens von Pirquet* [6, 8], originated from his meticulous observations of the course of infectious processes, e.g. that of the first vaccination and revaccination against smallpox. He concluded that 'Vaccinated individuals react to the vaccinelymph, syphilitics to the virus of syphilis, sufferers of tuberculosis to tuberculin, those injected with a foreign serum to that serum, in a different manner, than individuals who had no previous contact with these agents. However, they are far from being insensitive. It can only be stated that their reactivity has been changed. To denote the general concept of specifically changed reactivity I propose the term *allergy*. "Allos" indicates the

Kallós

deviation from the normal behavior, as in the terms allorhythmia and allotropy.' Moreover, he stated that 'the allergic state is not identical with hypersensitivity, but should unite the great complex of phenomena which we presently denote as "immune reactions." Immunity is then a secondary concept and should be limited to processes which lead to full protection of the organism.' It seems to be important to stress that von Pirquet realized that in an organism fully and specifically protected from the pathogenic and toxic effects of a microorganism, the same pathogen is able to elicit immediate wheal and erythema, Arthus- or tuberculin-type inflammatory reactions, processes which are presently denoted 'immunopathologic' and play such an important role, for example, in parasitic diseases that are the main subject of the present volume. von Pirquet also realized that anaphylaxis, serum sickness and hay fever are allergic disorders, i.e. due to an acquired specific change of reactivity of the organism against the eliciting agent. He assumed that antibodies, such as precipitins, are causatively involved in the pathomechanism of allergic processes.

von Pirquet's main concern was the fate of the reactive organism. The aim of his great contemporary, Paul Ehrlich, was to explore the function of 'the final biological unit: the cell' especially the 'finest chemistry of cell life'. He summarized and conceptualized his achievements and ideas regarding some cellular events connected with infection and immunity in his Nobel lecture [2]. He postulated that 'partial functions of cells' can only be influenced by agents having chemical affinity to the particular cell. Cells are equipped with an array of chemical structures on their surface, denoted 'side chains' or 'receptors'. Nutrients, toxins, pharmacologically active compounds or microorganisms also possess 'definite chemical structures' and are able to interact with cells possessing receptors which are complementary to them, 'fit one another like lock and key.' Antibodies are preformed cell receptors, antigenic agents stimulate the cells bearing specifically corresponding receptors, to generate them in excess and shed them into the circulation. In other words, Ehrlich launched the selective theory of antibody formation. Moreover, the receptor theory explained the specific and selective affinities and actions of pathogenic microorganisms, toxins and other biologically active agents. The assumption that pathogenic microorganisms must possess specific receptors, led Ehrlich and his coworkers to the discovery of some features of the nature of the interplay between the immunized host and the specific pathogen. Experimental animals (monkeys or mice) infected with a defined strain of trypanosomes developed immunity within 14 days and the parasites disappeared from

Introduction XI

their circulation. After a couple of weeks the animals fell ill again and trypanosomes appeared in their blood. The serum of such animals in relapse was able (i) in vitro to agglutinate and kill trypanosomes of the strain used for the original inoculation, and (ii) in vivo to protect naive animals against the pathogenic effect of the original strain. The serum had, however, no effect against the trypanosomes of the 'relapse strain'. Ehrlich assumed that a number of trypanosomes of the original strain, which withstood the immune attack were able to change the structure of their receptors and, therefore, the antibodies against the original antigenic structure could no longer affect them, they were 'serum resistant'. He stated that 'it is evident from this that the original strain and the relapse strain are not identical, that they must possess two different functional groups (receptors). We have here, therefore, a typical case of an immunologically evoked disappearance of a receptor, with the formation of an entirely new type of receptor. Whether one wishes to describe this change as a mutation or as a variation is surely of small importance, the main point is that it can be induced... and is inheritable.'

Ehrlich's interest in parasite diseases was evoked by the engagement of his friend Robert Koch in the fight against the devastating epidemics, affecting man and domestic animals in Africa. This situation is still prevalent.

I hope that these glimpses into the past show that the genius of *von Pirquet* and *Ehrlich* foreshadowed much of the development in these areas of research. The contributions to the present volume show that progress during the past decade widened our knowledge to an extent nobody could expect, not even the imagination of the great pioneers.

In his contribution to the present volume *Mauël* provides an overview of the 'Effector and escape mechanisms in host-parasite relationships'. The array of humoral and cellular effector mechanisms, such as the protective role of different antibody classes, micro- and macrophages, eosinophils, lymphocytes and their interactions which each other and with the complement system, are highlighted. The esacape mechanisms of parasites, such as antigenic variation, as first demonstrated by *Ehrlich*, and the acquisition of host antigenic determinants or those derived from the normal microbial flora of the host, moreover the recently discovered modulation of the immune response of the host by the invading pathogen, are also discussed. In most instances the first encounter between host and parasite takes place on mucosal surfaces. Therefore, it is of great importance that *Befus* and *Bienenstock* discuss in depth the particular conditions relevant for suscepti-

Kallós

bility or resistance and the adaptive responses of the host and the parasite at this site. In both reviews the importance of the genetic make up of the host for the outcome of the infection is emphasized. Recent observations regarding the functional heterogeneity of macrophages are of great interest in this respect [cf. 3, 5, 9]. Befus and Bienenstock stress that protective vaccination against certain parasites is ineffective, perhaps impossible, and that in such cases the best possibilities for symbiosis of host and parasite ought to be determined and established. The life cycle of the parasites outside and within their definitive host is quite complicated. Within the host their development and migration expose different tissues to an array of biologically and immunologically active products. Mauël and Befus and Bienenstock provide in their reviews all pertinent data, which are necessary for the understanding of the interactions of host and parasite.

Jarrett and Miller highlight in their contribution the production and activities of IgE in helminth (mainly nematodes and cestodes) infections. They point out that 'helminth infections are unique in stimulating the production of substantial amounts of IgE'. Helminth allergens, probably glycoproteins of low molecular weight, are the most potent polyclonal activators of IgE production. There ist no doubt that helminth-specific IgE antibodies are protective; bound to Fc receptors on eosinophils and macrophages IgE antibodies mediate parasite killing through releasing toxic products from eosinophils and macrophages (e.g. major basic protein of eosinophils and toxic oxygen radicals). Reaction of mast-cell-bound IgE with the corresponding parasite allergen initiates the release of histamine, SRS-A and eosinotactic peptides, which play an important role in worm expulsion. Helminths also produce and release compounds, which are able to degranulate mast cells and basophils independently of IgE. According to Jarrett and Miller these mast cell activators are at least as potent as 48/80 and their activity results in symptoms which 'mimic' type I allergic reactions. Thus, in helminth-infected subjects specific allergic and pseudoallergic [1] processes occur simultaneously. Further research work concerning the molecular biology of these processes will undoubtedly yield important results for the understanding of the regulation of IgE production and the pathomechanism of type I allergic reactions [cf. 4].

The importance of IgE-dependent cell-mediated destruction of helminths (mainly schistosomes) is further stressed in the contribution by *Capron*, *Dessaint* and *Haque*. Their meticulous research work has conclusively shown that 'anaphylactic antibody' (IgE, in rats also IgG2a and in mice IgG1) dependent killing of parasites by eosinophils and macrophages

Introduction XIII

(the role of neutrophils is uncertain) is the main protective mechanism against schistosomula. Schistosomula elicit in men and experimental animals a 'dramatic production of anaphylactic antibodies', which are protective against reinfection. Further development of unaffected schistosomula to adult worms (male and female) can occur and they can live, mate and produce a great number of eggs in the host protected against reinfection, the classical example for 'concomitant immunity' [10]. Capron et al. thoroughly discuss the complex immunologic and immunopathologic processes induced by schistosomes. They stress that parasite destruction is mainly effected by IgE bearing eosinophil cells and macrophages in vitro as well as in vivo, whereas lymphocytes do not participate in this process. Capron et al. emphasize the general importance of the demonstration of IgE-Fc receptors on the surface of eosinophils and macrophages.

Schistosomiasis is an important model disease, since Warren [11] demonstrated 25 years ago that mice infected with the free living form of Schistosoma mansoni, the miracidium, develop a disease very similar to that of man. Miracidia penetrate the skin, develop to schistosomula, which migrate through the lungs to the portal and splenic vessels and develop to male and female adult worms. These mate and produce a great number of eggs (a worm pair of S. mansoni about 300/day). Warren showed that about 50% of the eggs are excreted through the gut, the remaining embryonate. The embryo secretes different antigenic products, among others, enzymes (which enable the eggs to pass through the wall of the gut). These antigens induce a vigorous type IV allergic reactivity resulting in granuloma formation around the eggs. The eggs are then destroyed and the granulomas coalesce to fibrotic foci. This granulomatous process is at the bottom of the hepato- and splenomegaly, leading to portal hypertension and esophageal varices, characteristic for S. mansoni infection. Here again, a defence mechanism leads to tissue damage, to an 'immunopathologic' process. The cellular and molecular mechanisms involved have been clarified by Warren and recently reviewed by him [11].

The present state of immunological research on African trypanosomes is reviewed in the present volume by *C. L. Diggs*. Tremendous progress has been achieved since the days of *Ehrlich*. His fundamental observation concerning the development of 'serum resistance' of trypanosomes and their evasion of the immune response of the host, due to antigenic variation, has been amply confirmed. The variable antigens (receptors) are, according to *Diggs*, glycoproteins of 45,000–90,000 daltons molecular weight, which are 'represented as a loosely connected surface coat outside

Kallós XIV

the plasma membrane', it is tempting to say that they are true 'side chains'. The molecular mechanisms of antigenic variation, the cellular requirements of the immune response and the immunopathology of trypanosome diseases are thoroughly discussed by *Diggs*. According to him the achievements of the past decade give hope that 'a major impact on the incidence of these protozoan diseases that currently inflict an enormous burden on humankind' can be made in the not too distant future. *Diggs* outlines the avenues of research, which might possibly lead to the development of a protective vaccine.

The last contribution in the present volume by *S. B. Halstead* deals with the immune enhancement of viral infections. As the author points out 'this review probes the domain of virus replication in vivo. It does so by exploring for the first time the growing literature on the enhancement of viral infection or the enhancement of viral disease by antibody or in immune host systems', The experimental data and clinical observations presented in this review are of very great importance and will certainly 'stimulate discussion and a badly needed critical reevaluation of present day clichés of cellular pathogenesis'.

It was planned to include in this volume a review of malaria immunology, a most important and rapidly growing area of research. Unfortunately, the reviewer Dr. *Anil Jayawardena* of the Yale University, died suddenly of a heart attack at the age of 32 years. He was one of the leading workers in the field of malaria immunology. His untimely death is a great loss.

Finally, I would like to express the deep-felt thanks of the editors to all contributors and to the publisher, Dr. *Thomas Karger*, for their understanding and cooperation.

References

- Dukor, P.; Kallós, P.; Schlumberger, H.D.; West, G.B.: PAR-Pseudo-allergic reactions. Involvement of drugs and chemicals, vol. 1–3 (Karger, Basel 1980–1982).
- 2 Ehrlich, P.: On partial functions of the cell (Nobel lecture, delivered in Stockholm, December 11, 1908); in The Collected Papers of Paul Ehrlich, vol. 3, pp. 183–194 (Pergamon Press, London 1960).
- 3 Forster, O.; Landy, M.: Heterogeneity of mononuclear phagocytes (Academic Press, New York 1981).
- 4 Ishizaka, K.; Kallós, P.; Waksman, B.H.; de Weck, A.L.: Regulation of the IgE antibody response. Prog. Allergy, vol. 32 (Karger, Basel 1982).
- 5 Nelson, D. S.: Immunobiology of the macrophage (Academic Press, New York 1976).
- 6 Pirquet, C. von: Allergie. Münch. med. Wschr. ii: 1457–1459 (1906).

Introduction XV

7 Pirquet, C. von: Klinische Studien über Vakzination und vakzinale Allergie (Deuticke, Wien 1907).

- 8 Pirquet, C. von; Schick, B.: Die Serumkrankheit (Deuticke, Wien 1905).
- 9 Skamene, E.; Kongshavn, P.A.L.; Landy, M.: Genetic control of natural resistance to infection and malignancy (Academic Press, New York 1980).
- 10 Smithers, S. R.; Terry, R. J.: Naturally acquired resistance to experimental infection of S. mansoni in the rhesus monkey (Macaca mulatta). Parasitology 55: 701–710 (1965).
- Warren, K.S.: The secret of immunopathogenesis of schistosomiasis. In vivo models. Immunol. Rev. 61: 189–213 (1982).

Contents

Introduction P. Kallós, Helsingborg	IX
1. Hanos, Helsingsong	
Effector and Escape Mechanisms i	n Host-Parasite Relationships
Jacques Mauël, Epalinges	
Introduction	
Natural (Innate) and Acquired Immunity	
Genetic Aspects of Host Resistance again	
Genetic Control of Innate and Acquired I	
Humoral and Cellular Effector Mechanism	ms of Resistance: General Comments.
Humoral Factors as Effectors of Innate Resista	nce
Toxicity of Serum for Parasites	
Correlation between Serum Toxicity for P	Parasites in vitro, and Infectivity
Mechanisms of Serum Toxicity	
Humoral Factors as Effectors of Acquired Resi	stance
Effector Mechanisms of Resistance Bas	ed on the Binding of Antibodies to
Critical Parasite Surface Structures	
Antibody-Dependent, Complement-Med	iated Parasite Lysis , 14
Opsonization and Phagocytosis	
Antibody- and Complement-Dependent I	
Effector Mechanisms in the Gut	
Effector Mechanisms of Resistance not Involving	ng Antibodies 25
Contact Cytolysis by T Lymphocytes	
Phagocytosis and Related Events	
Effector Mechanisms of Natural Killer	
Parasite Escape Mechanisms	
Immunodepression by Parasites	
Antigonic Variation	10

Contents	VI
Antigenic Disguise Other Surface Phenomena Intracellular Escape Mechanisms Summary and Conclusions References	46 47 50 53 55
Factors Involved in Symbiosis and Host Resistance at the Mucosa-Parasite Interface	
Dean Befus; John Bienenstock, Hamilton, Ont	76
I. Introduction	77
II. Parasite Antigens in Symbiosis	78
A. Parasite Antigens	79
1. Nematodes	80
2. Platyhelminths	83
Protozoa B. Uptake and Processing of Antigens at Mucosal Sites	85 86
Antigen Uptake Antigen Uptake	86
2. Antigen Processing	89
III. Host Responses to Mucosal Parasitic Infection	90
A. Humoral Responses	90
1. IgA	90
IgE. Complement in Mucosal Resistance	95 100
Complement in Mucosai Resistance Acute Phase Reactions of Inflammation	101
B. Cellular Responses	103
1. Lymphocytes	103
2. Mast Cells and Basophils	110
3. Eosinophils	116
 Macrophages Goblet Cells and Mucus Secretion 	118 121
6. Paneth Cells	123
IV. Overview of Mucosal Protective Mechanisms in Parasitic Infections	124
V. Symbiosis: Under Threat of Host Resistance	127
A. Concomitant Immunity	127
B. Antigenic Variation	128
C. Immunodepression D. Immunological Unresponsiveness at Mucosal Sites	128 130
VI. Mucosal Immunopathology Induced by Parasitic Infections	130
VII. Vaccination against Mucosal Parasitic Infections	132
VIII. Conclusions	133
Taxonomic Glossary	134
Acknowledgements	135
References	1.55

Contents	VII
Production and Activities of IgE in Helminth Infection	
Ellen E. E. Jarrett; Hugh R. P. Miller, Glasgow/Edinburgh	178
Introduction	179
Immediate Allergic Phenomena in Worm Parasite Infections. A Historical Perspec-	
tive of Events before the Discovery of IgE.	179
Occurrence of Type I Allergic Reactions	179
Diagnostic Skin Tests	182
Early Observations on Mechanisms of Allergic Reactivity	183
Parasite-Specific IgE	185
Specific IgE Antibody in Helminth Infections	185
Worm Allergens	189
Mast Cell Degranulators and Histamine Sensitization in Helminth Infections	191
Parasite-Non-Specific IgE	193
Potentiated Reagin Response	193
Elevation of Total IgE	195
Mechanism of Non-Specific IgE Stimulation in Helminth Infections	199
Requirement for T Cells	199
Factors Produced by T Cells	200
Development and Role of IgE B Cells	202
Mechanisms in the Mouse	203
Role of Parasite-Specific IgE in Protective Immunity to Parasites	205
Mast Cells and Basophils in the Parasitized Host	205
Occurrence and Consequence of Local Allergic Reactions	207
IgE and Antibody-Dependent Cell-Mediated Cytotoxicity	209
Role of Parasite-Non-Specific IgE	211
Concluding Remarks	215
Acknowledgements	217
References	218
Antibody-Dependent Cell-Mediated Cytotoxicity against Parasites	
André Capron; Jean-Paul Dessaint; Azizul Haque; Monique Capron, Lille	224
Anare Capron, Jean-Faut Dessaint, Azizui Haque, Monique Capron, Lille	234
Introduction	234
Cell Populations Involved in ADCC against Parasites	235
ADCC in Schistosome Infection	236
Neutrophil-Mediated Killing	237
Antibody-Dependent Eosinophil-Mediated Cytotoxicity	237
Antibody-Dependent Macrophage-Mediated Cytotoxicity	243
ADCC in Nematode Infections	249
Eosinophil-Mediated Killing of Nematodes	250
Neutrophil-Mediated Killing	253
Macrophage-Mediated Killing	254
ADCC in Other Parasite Infections	255
Evidence for ADCC as a Mechanism of Defence against Parasites	256

Contents	VIII
Conclusion	
Immunological Research on African Trypanosomiasis	
Carter L. Diggs, Washington, D. C	. 268
Introduction	. 268
The Diseases and the Parasites	. 269
Antigenic Composition	
Antigenic Variation	
Variant Antigens	
Nonvariant Antigens	
Cellular Requirements for Induction of Protective Immunity.	
Expression of the Immune Response	
Polyclonality and Macroglobulinemia	
Immunosuppression	
The Role of Specific Cellular Effectors	
Reactivity of Antibodies with Trypanosomes in vitro	. 285
Immunopathology	. 287
Protective Immunity	. 288
Prospects for Immunization against African Trypanosomes	
Conclusions	
Acknowledgments	
References	. 293
Immune Enhancement of Viral Infection	
Scott B. Halstead, Honolulu, Hawaii	301
I. Introduction	301
II. Antibody-Mediated Enhancement of Viral Infection	303
A. In vitro Observations	303
1. Mammalian Mononuclear Phagocytes	303
2. Macrophage-Like Cell Lines	
3. Avian Mononuclear Phagocytes	
4. Non-Macrophage Cell Lines	
B. In vivo Observations	
Experimental Animals Man	
III. Under-Neutralization.	
IV. Enhancement of Virus Infection in 'Immune' Leukocytes.	
V. Discussion	
Acknowledgements	
References	

Prog. Allergy, vol.31, pp. 1-75 (Karger, Basel 1982)

Effector and Escape Mechanisms in Host-Parasite Relationships

Jacques Mauël

WHO Immunology Research and Training Centre, Institut de Biochimie, Epalinges, Switzerland

Contents

Introduction	2
Natural (Innate) and Acquired Immunity	2
	4
Genetic Aspects of Host Resistance against Parasitic Infections	
Genetic Control of Innate and Acquired Resistance: the <i>Leishmania</i> Model	5
Humoral and Cellular Effector Mechanisms of Resistance: General Comments	6
Humoral Factors as Effectors of Innate Resistance	7
Toxicity of Serum for Parasites	7
Correlation between Serum Toxicity for Parasites in vitro, and Infectivity	7
Mechanisms of Serum Toxicity	8
Humoral Factors as Effectors of Acquired Resistance	12
Effector Mechanisms of Resistance Based on the Binding of Antibodies to	
Critical Parasite Surface Structures	13
Antibody-Dependent, Complement-Mediated Parasite Lysis	14
Opsonization and Phagocytosis	15
Antibody- and Complement-Dependent Leukocyte Cytotoxicity	18
Effector Mechanisms in the Gut	22
Effector Mechanisms of Resistance not Involving Antibodies	25
Contact Cytolysis by T Lymphocytes	26
Phagocytosis and Related Events	28
Effector Mechanisms of Natural Killer Cells: Soluble Factors Acting at a	
Distance?	33
Parasite Escape Mechanisms	36
Immunodepression by Parasites	37
Antigenic Variation	40
	46
Antigenic Disguise	
Other Surface Phenomena	47
Intracellular Escape Mechanisms	50
Summary and Conclusions	53
References	55