# APPLIED FREQUENCY-DOMAIN ELECTROMAGNETICS

ROBERT PAKNYS





WILEY

# APPLIED FREQUENCY-DOMAIN ELECTROMAGNETICS

**Robert Paknys** 

Concordia University, Montreal, Canada



This edition first published 2016. © 2016 John Wiley & Sons Ltd

Registered office

John Wiley & Sons Ltd, The Atrium, Southern Gate, Chichester, West Sussex, PO19 8SQ, United Kingdom

For details of our global editorial offices, for customer services and for information about how to apply for permission to reuse the copyright material in this book please see our website at www.wiley.com.

The right of the author to be identified as the author of this work has been asserted in accordance with the Copyright, Designs and Patents Act 1988.

All rights reserved. No part of this publication may be reproduced, stored in a retrieval system, or transmitted, in any form or by any means, electronic, mechanical, photocopying, recording or otherwise, except as permitted by the UK Copyright, Designs and Patents Act 1988, without the prior permission of the publisher.

Wiley also publishes its books in a variety of electronic formats. Some content that appears in print may not be available in electronic books.

Designations used by companies to distinguish their products are often claimed as trademarks. All brand names and product names used in this book are trade names, service marks, trademarks or registered trademarks of their respective owners. The publisher is not associated with any product or vendor mentioned in this book.

Limit of Liability/Disclaimer of Warranty: While the publisher and author have used their best efforts in preparing this book, they make no representations or warranties with respect to the accuracy or completeness of the contents of this book and specifically disclaim any implied warranties of merchantability or fitness for a particular purpose. It is sold on the understanding that the publisher is not engaged in rendering professional services and neither the publisher nor the author shall be liable for damages arising herefrom. If professional advice or other expert assistance is required, the services of a competent professional should be sought.

Library of Congress Cataloging-in-Publication Data

Names: Paknys, Robert, author.

Title: Applied frequency-domain electromagnetics / Robert Paknys.

Description: Hoboken, New Jersey: John Wiley & Sons, 2016. | Includes bibliographical references and index.

Identifiers: LCCN 2016003736 (print) | LCCN 2016009152 (ebook) | ISBN 9781118940563 (cloth) | ISBN 9781118940556 (pdf) | ISBN 9781118940549

(epub)

Subjects: LCSH: Electromagnetic waves. | Electromagnetism—Mathematics. Classification: LCC QC670 .P35 2016 (print) | LCC QC670 (ebook) | DDC 537–dc23

LC record available at http://lccn.loc.gov/2016003736

A catalogue record for this book is available from the British Library.

Set in 9/11pt, TimesLTStd by SPi Global, Chennai, India. Printed and bound in Singapore by Markono Print Media Pte Ltd



### About the Author

Robert Paknys was born in Montreal, Canada. He received the BEng degree from McGill University in 1979, and the MSc and PhD degrees from Ohio State University in 1982 and 1985, respectively, all in electrical engineering.

He was an assistant professor at Clarkson University during 1985-1987 and an engineer at MPB Technologies during 1987-1989. He joined Concordia University in 1989 as a faculty member in electrical and computer engineering, and is a professor. He has served as a consultant for the government and industry.

He was a visiting professor at the University of Auckland in 1996, the University of Houston in 2004 and the Ecole Polytechnique de Montreal in 2010.

Professor Paknys is a registered professional engineer, a member of CNC-URSI Commission B, a senior member of the IEEE, and a past associate editor for the *IEEE Transactions on Antennas and Propagation*.

### Preface

The technologies related to electromagnetic waves go back to Hertz, Marconi and the radar systems of World War II. The knowledge gained during those eras propelled the subsequent development of microwave and satellite communications and the ubiquitous wireless technology of today. Understanding electromagnetic scattering is pivotal in the applications of radar target identification, underground geophysical probing as well as security applications such as airport scanners and seeing through walls. Computational electromagnetic modelling is a key element in the design of commercial and military aircraft, and navy ships, where the placement of dozens of collocated antennas must be carefully considered, so that intersystem interference can be mitigated.

Researchers behind these and other advances in technology need to understand both the classical theory of electromagnetics and modern techniques for solving Maxwell's equations. To this end, this book provides a graduate-level treatment of selected topics. Chapters 1 and 2 present background material on Maxwell's equations, plane waves and rigorous and approximate boundary conditions. Chapter 3 develops solutions for rectangular, cylindrical and dielectric waveguides and resonators. In Chapter 4, some crucial theorems, principles and potential theory are explained in detail. Chapter 5 presents the solutions to some canonical problems that have an exact solution, such as the cylinder, wedge and sphere. Chapter 6 describes the method of moments. Chapter 7 covers the finite element method. Chapter 8 is about the uniform geometrical theory of diffraction, and Chapter 9 covers physical optics and the physical theory of diffraction. Chapters 10–12 are about Green's functions and their applications.

Analytical methods provide physical insights that are valuable in the design process and the invention of new devices. The separation of variables method is applied to waveguides, cylinders, wedges and other canonical shapes. Asymptotic methods address the evaluation of integrals, as well as diffraction theory. Green's function concepts are presented in the two-dimensional (2D) scalar and three-dimensional (3D) dyadic forms, and their interpretation is given in relation to the surface equivalence principle.

Numerical methods are indispensable as they allow us to solve highly arbitrary and realistic problems that the purely analytical techniques cannot. The method of moments and the finite element method are described in dedicated chapters. The level of presentation allows the reader to immediately begin applying the methods to some problems of moderate complexity. It also provides an explanation of the underlying theory so that its capabilities and limitations can be understood. This has value as it helps one make informed decisions when using modern CAD tools.

Often, in the preliminary stages of research, it is very useful to investigate field behaviour by using 2D problems. This way, it is often possible to greatly simplify the problem while still retaining the essential characteristics of the fields. It is also a good way to learn the subject, as it minimizes the mathematical complexity and makes the field solutions easier to physically interpret. The book emphasizes a 2D approach, however, where appropriate, 3D is also used.

The book is aimed at graduate students and engineers in industry and R&D labs. The minimum assumed background is an undergraduate course in waves and transmission lines. The first three chapters aim to put all readers on an equal footing – thereby readers with diverse backgrounds and levels of

xx Preface

familiarity are accommodated. The coverage is intended to assist research students who are beginning to explore the current engineering literature, as well as more experienced researchers who need to learn about new topics.

The way people look for relevant literature has changed dramatically in the past 20 years. For this reason, no attempt has been made to compile a comprehensive list of references, which in any case would be prone to rapid obsolescence. Rather, each chapter contains a small list of references that should help readers proceed and find the key books and papers that address their specific interests. Many fine works have been omitted, and should any authors feel slighted, I offer my apologies in advance.

The topics are not necessarily arranged by the subject category, but in the order that they are most easily learned and applied. Some topics are revisited at a gradually increasing depth. For instance, waveguides are in Chapters 3 and 4, and the surface equivalence principle is in Chapters 4 and 10.

The homework problems have been developed with an intention to provide motivation and opportunities for practice, as well as revealing new concepts. There are problems for review purposes, for analytical development and for programming.

For both analytical and numerical techniques, it is a rewarding step to generate numerical results. The computer-oriented homework problems allow the reader to apply numerical techniques. Some of the problems involve minor modifications of existing programs instead of coding from scratch. Therefore, larger amounts of material and more ambitious problems can be covered in a given time. Many other problems involve little or no computer work, so instructors can choose to opt out of the computation-oriented format or else solve some of the problems with their own code.

The supporting code is written in Fortran 90, which is widely used in computational science and high-performance computing. Well-tested subroutines are provided for special functions, diffraction coefficients, root finding, numerical integration and matrix manipulations. The Netlib repository is extensively used. In this book, the object-oriented capability of Fortran 90 has been used to develop easy-to-use interfaces that hide the complexity of large subroutines.

Computing and plotting can be done with public-domain software that is available under Linux, Windows and Mac OS X. It is assumed that the reader has some prior experience with a programming or scripting language, but not necessarily Fortran 90. Appendix F summarizes the essentials, so that the reader can begin computational work with little difficulty.

R. Paknys Montreal September 2015

## Acknowledgements

I would like to thank a few colleagues for their help, encouragement and friendship over the years: Dr Amy R. Pinchuk, Infield Scientific Inc.; Professors Chris Trueman, Concordia University; Ayhan Altintas, Bilkent University; Michael J. Neve, University of Auckland; David R. Jackson and Donald R. Wilton, University of Houston; Jean-Jacques Laurin, Ecole Polytechnique de Montreal; and Derek McNamara, University of Ottawa. Particular appreciation goes to Professor Jackson for sharing his knowledge of Riemann surfaces, leaky waves and periodic structures.

Going back to my early years, I would like to acknowledge some inspiring professors. Their imparted knowledge and wisdom have served me well to this day. At McGill: Professors G. L. d'Ombrain, G. W. Farnell, E. L. Adler, C. W. Bradley, J. E. Turner, R. Vermes, and G. Bach; at Ohio State: Professors C. H. (Buck) Walter, R. G. Kouyoumjian, W. D. Burnside, P. H. Pathak, N. Wang, R. J. Marhefka, J. H. Richmond, E. H. Newman, B. A. Munk, and (visiting professor) R. E. Collin. A few, regrettably, are deceased – nevertheless their ideas live on.

I would like to thank our students. Their helpful feedback led to many improvements in this book. Most importantly, without them, there would have been no course and no book.

Finally I would like to thank a few people who helped transform my ideas into a book; in particular, Anna Smart and Sandra Grayson of the Wiley editorial staff, and Lincy Priya, the project manager at SPi Global.

# Contents

About the Author			xvii
Prefa	xix		
Ackn	owledger	ments	xxi
1	Backgr	round	1
1.1	Field L		1
1.2	Propert	ties of Materials	2
1.3	Types	of Currents	3
1.4	-	tors, Inductors	4
1.5	Differe	ential Form	6
1.6	Time-F	Harmonic Fields	7
1.7	Sufficie	ent Conditions	8
1.8		tic Currents, Duality	9
1.9	Poyntii	ng's Theorem	10
1.10	Lorent	z Reciprocity Theorem	12
1.11	Friis ar	nd Radar Equations	13
1.12		ototic Techniques	15
1.13	Further	r Reading	16
	Refere	nces	1.7
	Problems		17
2	Trans	verse Electromagnetic Waves	20
2.1	Introdu	action	20
2.2	Plane Waves		21
	2.2.1	Lossy Medium	23
	2.2.2	Polarization	26
2.3	Oblique Plane Waves		27
2.4	Plane-	Wave Reflection and Transmission	28
	2.4.1	Perpendicular Polarization	29
	2.4.2	Parallel Polarization	31
	2.4.3	The Brewster Angle	32
	2.4.4	Total Internal Reflection	33
2.5	Multilaver Slah		

viii Contents

2.6	Impedance Boundary Condition	37
	2.6.1 Penetrable Boundary	37
	2.6.2 Impenetrable Boundary	39
2.7	Transmission Lines	43
	2.7.1 Characteristic Impedance	46
	2.7.2 LC Ladder	46
	2.7.3 Small Losses	49
	2.7.4 Transmission Line Parameters	51
	2.7.5 Microstrip, Stripline and Coplanar Lines	52
	2.7.6 Reflection and Transmission on a Transmission Line	55
2.0	2.7.7 Physical Meaning of $Z_0$	57
2.8	Transverse Equivalent Network	59
2.9	Absorbers	60
2.10	Phase and Group Velocity	61
2.11	Further Reading	64
	References	64
	Problems	64
3	Waveguides and Resonators	69
3.1	Separation of Variables	69
3.2	Rectangular Waveguide	71
	3.2.1 Dominant TE <sub>10</sub> Mode	74
	3.2.2 Fourier Series of Modes	76
3.3	Cylindrical Waves	78
3.4	Circular Waveguide	79
	3.4.1 Coaxial Line	81
3.5	Waveguide Excitation	82
3.6	2D Waveguides	83
	3.6.1 Parallel-Plate Waveguide	83
	3.6.2 Dielectric Slab on PEC Ground	85
	3.6.3 Dielectric Slab on PMC Ground	90
	3.6.4 Ungrounded Dielectric Slab	91
3.7	Transverse Resonance Method	92
3.8	Other Waveguide Types	95
	3.8.1 Ridge Waveguide	95
	3.8.2 Finline	97
3.9	Waveguide Discontinuities	99
	3.9.1 Irises and Posts	99
	3.9.2 Waveguide Step	102
3.10		102
	3.10.1 H-Plane Step	102
	3.10.2 Inductive Iris	106
3.11		109
	3.11.1 Rectangular Cavity Q	110
	3.11.2 Cylindrical Cavity Resonator	113
	3.11.3 Cylindrical Cavity Q	113
	3.11.4 Dielectric Resonator	113
3.12		116
0.00	3.12.1 Material Perturbation	116
	3.12.2 Geometry Perturbation	119
	The state of the s	117

ontents		
onienis		

ix

3.13	Further Reading References Problems	121 121 122
4 4.1 4.2 4.3	Potentials, Concepts and Theorems Vector Potentials A and F Hertz Potentials Vector Potentials and Boundary Conditions $4.3.1  A_z \ and \ F_z$	129 129 134 135 135
4.4 4.5 4.6	4.3.2 Hybrid Modes, $A_y$ and $F_y$ Uniqueness Theorem Radiation Condition Image Theory	138 142 144 144
4.7 4.8 4.9	Physical Optics Surface Equivalent Love's Equivalent	146 147 151
4.10 4.11 4.12 4.13	Induction Equivalent Volume Equivalent Radiation by Planar Sources 2D Sources and Fields	153 154 156 157
	4.13.1 z-Directed Source 4.13.2 Transverse Source 4.13.3 Radiation Integrals	157 158 158
4.14 4.15 4.16	4.13.4 2D and 3D Potentials  Derivation of Vector Potential Integral  Solution Without Using Potentials  Further Reading	159 160 162 164
	References Problems	164 164
5 5.1	Canonical Problems  Cylinder  5.1.1 Plane Wave Incidence  5.1.2 Line Source Incidence  5.1.3 TE Slot  5.1.4 TM Dielectric Cylinder	169 169 169 172 174
5.2	Wedge 5.2.1 TM Case 5.2.2 TE Case	175 176 178
5.3	The Relation Between 2D and 3D Solutions 5.3.1 Magnetic Dipole on a Cylinder 5.3.2 Electric Dipole Near a Wedge 5.3.3 Reciprocity-Based Solutions	179 180 182 183
5.4	Spherical Waves 5.4.1 Scattering by a Sphere Method of Stationary Phase	184 186 190
5.6	Further Reading References Problems	190 192 193 193

X Contents

6	Method of Moments	198
6.1	Introduction	198
6.2	General Concepts	198
	6.2.1 Point Matching	199
	6.2.2 Galerkin's Method	199
	6.2.3 Fredholm Integral Equation	200
6.3	2D Conducting Strip	201
	6.3.1 TM Case	201
	6.3.2 TE Case	204
	6.3.3 Self-Impedance Term for the TE Strip	206
	6.3.4 Other Source Types	207
6.4	2D Thin Wire MoM	209
	6.4.1 One Wire	209
	6.4.2 Wire Array	210
6.5	Periodic 2D Wire Array	212
010	6.5.1 Poisson Summation	212
	6.5.2 Scattering Formulation	213
	6.5.3 Numerical Considerations	215
6.6	3D Thin Wire MoM	216
0.0	6.6.1 The Scattering Problem	
	6.6.2 A Reciprocal Equivalent	217
	6.6.3 The Antenna Problem	218
	6.6.4 Numerical Considerations	219
6.7	EFIE and MFIE	221
6.8	Internal Resonances	221
6.9	PMCHWT Formulation	223
6.10	Basis Functions	224
0.10		225
		226
	6.10.2 Surfaces	226
6.11	6.10.3 Volumes	227
6.11	Further Reading	227
	References	228
	Problems	228
7	Finite Element Method	233
7.1	Introduction	233
7.2	Laplace's Equation	233
7.3	Piecewise-Planar Potential	234
7.4	Stored Energy	236
7.5	Connection of Elements	236
7.6	Energy Minimization	239
7.7	Natural Boundary Conditions	240
7.8	Capacitance and Inductance	243
7.9	Computer Program	244
7.10	Poisson's Equation	246
7.11	Scalar Wave Equation	249
7.12	Galerkin's Method	253
	7.12.1 Discussion	254
	7.12.2 Variational Method	256
7.13	Vector Wave Equation	250

Contents xi

7.14	Other Element Types	257
	7.14.1 Node-Based Elements	257
	7.14.2 Spurious Modes	259
	7.14.3 Edge-Based Elements	259
7.15	Radiating Structures	261
	7.15.1 Absorbing Boundary Condition	261
	7.15.2 Artificial Absorber	263
	7.15.3 Boundary Element Method	263
7.16	Further Reading	264
	References	264
	Problems	265
8	Uniform Theory of Diffraction	268
8.1	Fermat's Principle	268
8.2	2D Fields	269
	8.2.1 Reflection	269
	8.2.2 Wedge Diffraction	270
	8.2.3 Some Rules for Wedge Diffraction	273
	8.2.4 Behaviour Near ISB	275
8.3	Scattering and GTD	276
8.4	3D Fields	278
	8.4.1 Slot Antenna on a Finite Ground Plane	280
	8.4.2 Monopole Antenna on a Finite Ground Plane	282
	8.4.3 Astigmatic Fields	283
	8.4.4 Reflection	284
	8.4.5 Edge Diffraction	285
	8.4.6 Curved Edge	286
	8.4.7 Monopole on a Disc	286
8.5	Curved Surface Reflection	288
	8.5.1 2D Reflection	288
	8.5.2 3D Reflection	289
8.6	Curved Wedge Face	291
8.7	Non-Metallic Wedge	291
8.8	Slope Diffraction	292
8.9	Double Diffraction	293
8.10	GTD Equivalent Edge Currents	294
8.11	Surface Ray Diffraction	297
	8.11.1 Scattering	297
	8.11.2 Radiation	299
	8.11.3 Coupling	302
	8.11.4 2D and 3D Radiation	304
8.12	Further Reading	306
	References	306
	Problems	307
9	Physical Theory of Diffrantia	
9.1	Physical Theory of Diffraction PO and an Edge	317
9.1	Asymptotic Evaluation	317
1.2	9.2.1 PO Endpoint Correction	318
	2.2.1 I O Enupoint Correction	321

xii Contents

	9.2.2 Relationship Between PTD and GTD	322
	9.2.3 General Formulas	322
9.3	Reflector Antenna	323
	9.3.1 PO Part	324
	9.3.2 PTD Part	325
9.4	RCS of a Disc	327
	9.4.1 PO Part	327
	9.4.2 PTD Part	328
9.5	PTD Equivalent Edge Currents	330
9.6	Further Reading	331
	References	331
	Problems	331
10	Scalar and Dyadic Green's Functions	335
10.1	Impulse Response	335
10.2	Green's Function for A	337
10.3	2D Field Solutions Using Green's Functions	338
	10.3.1 2D TM Fields	339
	10.3.2 2D TE Fields	340
	10.3.3 Free-Space Interpretation	340
	10.3.4 Special Green's Functions	341
10.4	3D Dyadic Green's Functions	342
10.5	Some Dyadic Identities	343
10.6	Solution Using a Dyadic Green's Function	344
10.7	Symmetry Property of G	345
10.8	Interpretation of the Radiation Integrals	346
10.9	Free Space Dyadic Green's Function	347
10.10	Dyadic Green's Function Singularity	348
	10.10.1 Derivation of Equation (10.71)	349
10.11	Dielectric Rod	350
	10.11.1 Numerical Considerations	351
10.12	Further Reading	352
	References	352
	Problems	352
11	Green's Functions Construction I	355
11.1	Sturm-Liouville Problem	355
11.2	Green's Second Identity	356
11.3	Hermitian Property	356
11.4	Particular Solution	357
11.5	Properties of the Green's Function	357
11.6	UT Method	358
	11.6.1 Independent Solutions of the SLP	361
11.7	Discrete and Continuous Spectra	362
	11.7.1 Complete Set of Eigenfunctions	363
	11.7.2 Another Representation of $\delta(x - x')$	364
	11.7.3 A Discrete Spectrum of Eigenfunctions	364
	11.7.4 A Continuous Spectrum of Eigenfunctions	366
	The state of the s	200

Contents xiii

11.8	Generalized Separation of Variables	368
	11.8.1 Reduction to 2D	372
	11.8.2 Relation Between 2D and 3D	375
11.9	Further Reading	376
	References	376
	Problems	376
12	Green's Function Construction II	381
12.1	Sommerfeld Integrals	381
12.2	The Function $\kappa(\nu) = \sqrt{k^2 - \nu^2}$	383
12.3	The Transformation $\nu = k \sin w$	385
12.4	Saddle Point Method	387
1,201	12.4.1 First-Order Saddle Point	389
	12.4.2 Pole Near Saddle Point	392
12.5	SDP Branch Cuts	393
12.6	Grounded Dielectric Slab	395
	12.6.1 Saddle Point Evaluation of G <sub>s</sub>	397
	12.6.2 Surface and Leaky Waves	400
	12.6.3 TE Case	402
	12.6.4 Summary	403
12.7	Half Space	403
	12.7.1 Asymptotic Evaluation	406
	12.7.2 Vertical Electric Dipole	409
12.8	Circular Cylinder	411
	12.8.1 Creeping Waves	414
	12.8.2 Residue Series	417
	12.8.3 Other Boundary Conditions	418
12.9	Strip Grating on a Dielectric Slab	419
	12.9.1 Spectral Domain	421
	12.9.2 Floquet Harmonics	422
	12.9.3 Reflection	425
	12.9.4 Discussion	428
	12.9.5 Other Related Cases	430
12.10	Further Reading	430
	References	431
	Problems	431
A	Constants and Formulas	435
A.1	Constants	435
A.2	Definitions	435
A.3	Trigonometry	436
A.4	The Impulse Function	437
	Reference	437
В	Coordinates and Vector Calculus	438
B.1	Coordinate Transformations	438
B.2	Volume and Surface Elements	438
B.3	Vector Derivatives	440

xiv

B.4	Vector	Identities	441
B.5	Integral Relations		
	B.5.1	Green's Identities	443
	B.5.2	Helmholtz's Theorem	444
	Refere	nce	444
C	Bessel	's Differential Equation	445
C.1		Functions	445
C.2	Roots	of $H_{\nu_p}^{(1,2)}(x) = 0$	448
C.3	Integra		448
C.4	5	gonality	449
C.5	Recurs	sion Relations	449
C.6		a Function	449
C.7	Wrons		450
C.8		cal Bessel Functions	451
	Refere	ences	452
D		dre's Differential Equation	453
D.I		dre Functions	453
D.2		iated Legendre Functions	454
D.3		gonality	454
D.4	Recursion Relations		455
D.5	Spherical Form		455
	Refere	nce	455
E		lex Variables	456
E.1		ue Calculus	456
E.2	Branch Cuts		457
	Refere	ences	458
F		ilers and Programming	459
F.1		g Started	459
	F.1.1		460
	F.1.2	Running Windows	461
F1.0	F.1.3	Running OS X	461
F.2	Fortra		461
	F.2.1	External Subprograms	462
	F.2.2	Internal Subprograms	463
	F.2.3	Modules	463
		Shared Data	464
	F.2.5 F.2.6	Integer, Real and Complex Numbers	465
	F.2.7	Arrays	465
	F.2.7	Input/Output Format Statement	466
F.3		468	
4 .01	F.3.1	on the OS  Redirection and Pipes	468
	F.3.2	Crash Messages	468
	F.3.3	Object Files	469
	F.3.4	Libraries	469
	F.3.5	Paths and Dots	470
			470

Cont	tents	XV
F.4	Plotting	470
F.5	Further Reading	471
	References	471
G	Numerical Methods	473
G.I	Numerical Integration	473
G.2	Root Finding	476
G.3	Matrix Equations	478
G.4	Matrix Eigenvalues	480
G.5	Bessel Functions	480
G.6	Legendre Polynomials	481
	References	481
Н	Software Provided	483
Inde	ex	485