**SECOND** EDITION

# CORNEAL CROSS-LINKING

EDITORS | Farhad Hafezi | J. Bradley Randleman

ASSOCIATE EDITOR | Sumitra S. Khandelwal

**SLACK Incorporated** 

# CORNEAL CROSS-LINKING

#### **Editors**

## Farhad Hafezi, MD, PhD

Research Group Leader, Laboratory for Ocular Cell Biology, Center for Applied Biotechnology and Molecular Medicine, University of Zürich, Zürich, Switzerland Clinical Professor of Ophthalmology, Roski Eye Institute University of Southern California, Los Angeles, California Professor of Ophthalmology, University of Geneva, Geneva, Switzerland Medical Director, ELZA Institute, Dietikon/Zürich, Switzerland

## J. Bradley Randleman, MD

Professor, Department of Ophthalmology, Keck School of Medicine Director, Cornea, External Disease and Refractive Surgery Roski Eye Institute, University of Southern California, Los Angeles, California Editor-in-Chief, *Journal of Refractive Surgery* 

#### Associate Editor

#### Sumitra S. Khandelwal, MD

Assistant Professor of Ophthalmology Medical Director, Lions Eye Bank of Texas Baylor College of Medicine, Cullen Eye Institute Houston, Texas



#### www.Healio.com/books

ISBN: 978-1-63091-210-9

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Original cover photograph taken by Nikos Dimitriadis.

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SLACK Incorporated uses a review process to evaluate submitted material. Prior to publication, educators or clinicians provide important feedback on the content that we publish. We welcome feedback on this work.

Published by:

SLACK Incorporated 6900 Grove Road

6900 Grove Road

Thorofare, NJ 08086 USA Telephone: 856-848-1000 Fax: 856-848-6091 www.Healio.com/books

Contact SLACK Incorporated for more information about other books in this field or about the availability of our books from distributors outside the United States.

Library of Congress Cataloging-in-Publication Data

Names: Hafezi, Farhad, 1967- editor. | Randleman, J. Bradley (James Bradley),

1970- editor. | Khandelwal, Sumitra, editor.

Title: Corneal cross-linking / editors, Farhad Hafezi, J. Bradley Randleman ;

associate editor, Sumitra Khandelwal.

Other titles: Corneal collagen cross linking.

Description: Second edition. | Thorofare, NJ: SLACK Incorporated, [2017] |

Previous edition Corneal collagen cross linking / edited by Farhad Hafezi,

J. Bradley Randleman. 2013.  $\mid$  Preceded by Corneal collagen cross linking /

edited by Farhad Hafezi, J. Bradley Randleman. c2013. | Includes

bibliographical references and index.

Identifiers: LCCN 2017010948 ISBN 9781630912109 (hardcover : alk. paper) |

ISBN 9781630912116 (epub) | ISBN 9781630912123 (web)

Subjects: | MESH: Corneal Diseases--therapy | Ophthalmologic Surgical

 $Procedures--methods \mid Cornea--physiopathology \mid Collagen--metabolism$ 

Classification: LCC RE336 | NLM WW 168 | DDC 617.7/19--dc23 LC record available at

https://lccn.loc.gov/2017010948

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Printed in the United States of America.

Last digit is print number: 10 9 8 7 6 5 4 3 2 1



## CORNEAL CROSS-LINKING

### **DEDICATION**

This book is dedicated to my beloved wife, Nikki; Team Hafezi (Leilah Alina, Lilian Malaya, and Lola Alexandra); and my parents, Alina and Abolfazl. Your love and support mean everything to me.

Farhad Hafezi, MD, PhD

This second edition is dedicated to the same people who supported me through the first edition: my darling Claudia, who has blessed me with her love and support throughout the years; my wonderful children, Taylor, Casey, and Mia, who have grown into the beautiful young women they are today; and my mother, Patricia, who left in body before the first edition, but remains with me in spirit always.

J. Bradley Randleman, MD

#### **ABOUT THE EDITORS**

Farhad Hafezi, MD, PhD, was born in 1967 and grew up in Fribourg, Switzerland. He studied medicine in Fribourg and Berne. Farhad Hafezi fluently speaks German (mother tongue), English, French, Polish, and Farsi. He is married to Nikki Hafezi and has three daughters, Leilah Alina (2008), Lilian Malaya (2010), and Lola Alexandra (2016).

Professor Hafezi's clinical focus are the cornea and complication management after complicated refractive laser surgery. Being part of the team around Theo Seiler that translated cross-linking from experimental research into the clinical application, he was instrumental in implementing corneal cross-linking technology in ophthalmology.

From 2010 to 2014, Farhad Hafezi was Professor and Chairman of the Department of Ophthalmology of the Geneva University Hospitals, Geneva, Switzerland. Currently, Dr. Hafezi holds the following positions: Professor at the Faculty of Medicine, University of Geneva, Switzerland; Clinical Professor of Ophthalmology, Department of Ophthalmology USC Los Angeles, USA; Medical Director, The ELZA Institute, Zürich, Switzerland; Research Group Leader, Center for Applied Biotechnology and Molecular Medicine (CABMM), University of Zürich, Switzerland; and Visiting Professor, Faculty of Medicine, University of Wenzhou, China.

As of March 2017, Professor Hafezi has published more than 160 scientific articles, his scientific work has been cited more than 5700 times, his total impact factor is 510, and his h-index is 39.

He is an Associate Editor for the *Journal of Refractive Surgery* and is on the Editorial Boards of *TVST*, the *International Journal of Keratoconus and Ectatic Corneal Disease*, *Eye and Vision* and the *Iranian Journal of Ophthalmology*. Professor Hafezi is also a member of the Advisory Board of "Global ONE," the educational network of the American Academy of Ophthalmology (AAO).

Professor Hafezi has received 22 national and international awards and distinctions, both for his scientific work and for the development of new technologies (innovation awards). Awards include, among others, the ARVO Foundation/Carl Camras Translational Research Award (USA) 2014, as well as the highest distinctions in ophthalmology from Switzerland and Belgium.

In 2014, Professor Hafezi was voted by peers onto the "PowerList," a list of the 100 most influential international personalities in ophthalmology. In April 2016, he was again voted onto the PowerList.

For more information, please visit: https://en.wikipedia.org/wiki/Farhad\_Hafezi or http://www.elza-institute.com

J. Bradley Randleman, MD, is Professor of Ophthalmology at the Keck School of Medicine of USC and Director of the Cornea & Refractive Surgery Service at the USC Roski Eye Institute in Los Angeles, California. A widely respected cornea specialist, his areas of expertise include: corneal and intraocular refractive surgical procedures including LASIK and premium laser-assisted cataract and IOL surgery, complicated cataract surgery, and the management of corneal ectatic disorders. His primary research focuses on identification and management of corneal ectatic diseases including keratoconus and postoperative ectasia after LASIK, and the avoidance, diagnosis, and management of refractive surgical complications.

Dr. Randleman received his BA degree from Columbia College at Columbia University in New York City, his M.D. degree from Texas Tech University School of Medicine in Lubbock, Texas, where he was elected to the Alpha Omega Alpha medical honor society in his junior year, followed by his Ophthalmology residency at Emory University in Atlanta, Georgia. Dr. Randleman joined the Emory faculty and served as Assistant Residency Director for two years while also completing a fellowship in Cornea/External Disease, and Refractive Surgery at Emory University. He served as Director of the Emory Corneal Fellowship program until taking over as Director of the Cornea Service.

Dr. Randleman has been awarded the Claus Dohlman Fellow Award, the inaugural Binkhorst Young Ophthalmologist Award from the American Society of Cataract and Refractive Surgery, the Kritzinger Memorial Award, the Inaugural ISRS Recognition Award, the Secretariat Award, Achievement Award, and Senior Achievement Award from the American Academy of Ophthalmology.

Dr. Randleman has served as Editor-in-Chief for the *Journal of Refractive Surgery* since 2011. He has authored more than 120 peer-reviewed publications in leading ophthalmology journals in addition to 30 book chapters on refractive surgery evaluation, corneal cross-linking, and management of complications with IOLs, and has authored two additional textbooks, *Refractive Surgery: An Interactive Case-Based Approach* (2014), and *Intraocular Lens Surgery: Selection, Complications, and Complex Cases*.

## ABOUT THE ASSOCIATE EDITOR

Sumitra S. Khandelwal, MD is Assistant Professor at the Cullen Eye Institute, Baylor College of Medicine and Medical Director for the Lions Eye Bank of Texas. Her undergraduate years were spent at Washington University in St. Louis where her honors thesis focused on the impact of economic decisions on healthcare in border countries. She obtained her medical degree from University of Texas at Houston and completed her residency at Emory University in Atlanta, Georgia. She then completed her fellowship in cornea, external disease, cataract and refractive surgery at Minnesota Eye Consultants in Minneapolis, Minnesota.

Dr. Khandelwal's clinical research interests include keratoconus, ocular surface diseases, and optimizing outcomes in cataract surgery. She has published numerous book chapters and peer-reviewed articles, and her extensive research has been presented nationally and internationally.

### CONTRIBUTING AUTHORS

Amar Agarwal, MS, FRCS, FRCOphth (Chapter 19) Chief Medical Director Dr. Agarwal's Group of Eye Hospitals Chennai, India

Renato Ambrósio Jr, MD, PhD (Chapters 22 and 40)
Instituto de Olhos Renato Ambrósio
Rio de Janerio Corneal Tomography and
Biomechanics Study Group
Rio de Janeiro, Brazil
Department of Ophthalmology
Federal University of São Paulo
São Paulo, Brazil

George Asimellis, PhD (Chapters 29 and 41) Associate Professor Kentucky College of Optometry University of Pikeville Pikeville, Kentucky

Stefano Baiocchi, MD, PhD (Chapter 38)
Department of Ophthalmology and Neurosurgery
University of Siena
Siena, Italy

Rozalia Litewski Beildeck, MD (Chapter 40) Instituto de Olhos Renato Ambrósio Rio de Janerio Corneal Tomography and Biomechanics Study Group Rio de Janeiro, Brazil

Alexander Brandis, PhD (Chapter 4)
Department of Biological Services
Weizmann Institute of Science
Rehovot, Israel

Susannah L. Brown, MD (Chapter 42) Department of Ophthalmology Medical University of South Carolina Charleston, South Carolina

Fabrizio Camesasca, MD (Chapter 18) Eye Center, Humanitas Clinical and Research Center Rozzano, Milano, Italy Aldo Caporossi, MD, PhD, FRCS (Chapter 38) Institute of Ophthalmology Catholic University of Sacred Heart Rome, Italy

Orsola Caporossi, MD, PhD (Chapter 38)
Department of Ophthalmology
University of Florence
Florence, Italy

Rosane de Oliveira Corrêa, MD (Chapter 40) Research Associate Rio de Janerio Corneal Tomography and Biomechanics Study Group Rio de Janeiro, Brazil

Efekan Coskunseven, MD (Chapter 32)
Director of Refractive Surgery Department
World Eye Hospital
Istanbul, Turkey

David L. DeMill, MD (Chapter 14) Cornea Fellowship Devers Eye Institute Portland, Oregon

William J. Dupps Jr, MD, PhD (Chapter 2)
Corneal and Refractive Surgeon, Cole Eye Institute
Staff in Ophthalmology, Biomedical Engineering &
Transplant, Cleveland Clinic
Adjunct Associate Professor of Biomedical Engineering,
Case Western Reserve University
Cleveland, Ohio

Niels Ehlers, MD, PhD (Chapter 36)<sup>†</sup> Department of Ophthalmology Aarhus University Hospital Aarhus, Denmark

Daniel Elies, MD (Chapter 31)
Cornea and Ocular Surface Unit
Ophthalmology Department
Hospital de l'Esperança - Parc de Salut Mar
Barcelona, Spain

<sup>†</sup>Niels Ehlers, MD, PhD, now deceased, was an original author on Chapter 36.

Ahmed Elsheikh, BEng(Hon), MSc, PhD (Chapter 37)
National Institute for Health Research Biomedical Research
Centre at Moorfields
Eye Hospital NHS Foundation Trust and UCL Institute of
Ophthalmology
United Kingdom

Luigi Fontana, MD, PhD (Chapter 25) Head of the Ophthalmology Clinic Santa Maria Nuova General Hospital Reggio Emilia, Italy

Joseph Frucht-Pery, MD (Chapter 20)
Director of Cornea and Refractive Surgery Unit
Department of Ophthalmology
Hadassah University Hospital
Jerusalem, Israel

Brendan Geraghty, BEng(Hons), MSc, PhD (Chapter 37) Centre for Materials and Structures School of Engineering University of Liverpool Brownlow Hill, Liverpool, United Kingdom

Michael A. Grentzelos, MD (Chapters 28 and 33) Vardinoyiannion Eye Institute of Crete Faculty of Medicine University of Crete, Heraklion, Crete, Greece

Oscar Gris, MD, PhD (Chapter 31) Cornea and Ocular Surface Unit Ophthalmology Department Hospital de l'Esperança - Parc de Salut Mar Barcelona, Spain

Jose L. Güell, MD, PhD (Chapter 31) Cornea and Refractive Surgery Department Instituto de Microcirugia Ocular Barcelona, Spain

Koray Gümüş, MD, FEBOphth (Chapter 21) Erciyes University School of Medicine Department of Ophthalmology Kayseri, Turkey

Jesper Hjortdal, MD, PhD (Chapter 36)
Department of Ophthalmology
Aarhus University Hospital
Aarhus, Denmark.

Soosan Jacob, MS, FRCS, DNB (Chapter 19)
Director and Chief
Dr. Agarwal's Refractive and Cornea Foundation
Senior Consultant
Cataract and Glaucoma Services
Dr. Agarwal's Group of Eye Hospitals
Chennai, India

Anastasios John Kanellopoulos, MD (Chapters 29 and 41)
Clinical Professor of Ophthalmology
NYU Medical School
Department of Ophthalmology
New York, New York
Laservision.gr Clinical and Research Eye Institute
Athens, Greece

Aylin Kılıç, MD (Chapter 30)
Ophthalmologist, Medical Doctor
Medipol University Hospital
Director of Refractive Surgery Department
Istanbul, Turkey

Sabine Kling, PhD (Chapters 5 and 39)
University of Zurich, CABMM
Zürich, Switzerland
University of Geneva
Geneva, Switzerland

Irene Kochevar, PhD (Chapter 6)
Professor of Dermatology
Harvard Medical School
Wellman Center for Photomedicine
Massachusetts General Hospital
Boston, Massacheusetts

Tobias Koller, MD (Chapter 11) Institut für Refraktive und Ophthalmo-Chirurgie

Chrysanthi Koutsandrea, MD, PhD (Chapters 15, 28, and 33)
First Department of Ophthalmology
University of Athens
"G. Gennimatas" General Hospital of Athens
Athens, Greece

George D. Kymionis, MD, PhD (Chapters 15, 28, and 33)

First Department of Ophthalmology.

University of Athens

"G. Gennimatas" General Hospital of Athens

Athens, Greece

Department of Ophthalmology

Jules Gonin Eye Hospital

Fondation Asile des Aveugles

University of Lausanne

Lausanne, Switzerland

Karim Makdoumi, MD, PhD (Chapter 34)

Consultant Ophthalmic Surgeon

Head of Surgical Unit

Örebro University Hospital

Örebro, Sweden

Felicidad Manero, MD (Chapter 31)

Cornea and Ocular Surface Unit

Ophthalmology Department

Hospital de l'Esperança - Parc de Salut Mar

Barcelona, Spain

Arie L. Marcovich, MD, PhD (Chapter 4)

Department of Ophthalmology

Kaplan Medical Center

Department of Plant and Environmental Sciences

Weizmann Institute of Science

Rehovot, Israel

Cosimo Mazzotta, MD, PhD (Chapter 25)

Assistant Professor of Corneal Pathology

Post-Graduate Ophthalmology School

University of Siena

Siena, Italy

Director of the Siena Crosslinking Center and Ocu-Medical

VISION Italy

C.B. Tara Moore, PhD (Chapter 39)

Biomedical Sciences Research Institute

Ulster University

Coleraine, Northern Ireland

Johnny E. Moore, FRCOphth, PhD (Chapter 39)

Cathedral Eye Clinic

Belfast, Northern Ireland

Biomedical Sciences Research Institute

Ulster University

Coleraine, Northern Ireland

Merce Morral, MD, PhD (Chapter 31)

Cornea and Refractive Surgery Department

Instituto de Microcirugia Ocular

Barcelona, Spain

Jes Mortensen, MD (Chapter 34)

Ryhov Hospital

Jonkoping, Sweden

Harsha Nagaraja, MS, FCE (Chapter 8)

Narayana Nethralaya Superspeciality Eye Hospital and

Post Graduate Institute of Ophthalmology

Bangalore, India

Natasha Kishore Pahuja, DOMS (Chapter 8)

Narayana Nethralaya Superspeciality Eye Hospital and

Post Graduate Institute of Ophthalmology

Bangalore, India

Dimitra M. Portaliou, MD, PhD, FEBO (Chapter 33)

Ophthalmology Department

St. James University Hospital

Leeds Teaching Hospitals United Kingdom

Francis W. Price Jr, MD (Chapters 14 and 35)

Medical Director

Price Vision Group

Chairman of the Board

Cornea Research Foundation of America

Marianne O. Price, PhD, MBA (Chapters 14 and 35)

**Executive Director** 

Cornea Research Foundation of America

Yaron S. Rabinowitz, MD (Chapter 9)

Director of Ophthalmology Research

Cedars-Sinai Medical Center

Clinical Professor

UCLA School of Medicine

Los Angeles, California

Frederik Raiskup, MD, PhD, FEBO (Chapters 1, 17, and 42)

Professor of Ophthalmology, Senior Consultant

Cornea, Ocular Surface, Cataract and Refractive Surgery, Department of Ophthalmology, University Hospital Carl

Gustav Carus, Technical University

Dresden, Germany

Department of Ophthalmology, Faculty of Medicine in

Hradec Králové, Charles University

Prague, Czech Republic

#### xvi Contributing Authors

Olivier Richoz, MD, PhD (Chapter 5) University of Geneva Geneva, Switzerland University of Ulster Belfast, United Kingdom

Cynthia Roberts, PhD (Chapter 22)
Department of Ophthalmology and
Department of Biomedical Engineering
The Ohio State University
Columbus, Ohio

Karolinne Maia Rocha, MD, PhD (Chapters 21 and 27) Medical University of South Carolina Storm Eye Institute Charleston, South Carolina

Vito Romano, MD (Chapter 18) Corneal Service Moorfields Eye Hospital London, United Kingdom

Pietro Rosetta, MD (Chapter 18) Eye Center, Humanitas Clinical and Research Center Rozzano, Milano, Italy

Onurcan Sahin, MSc (Chapter 32) Manager of Research and Development Department World Eye Hospital Istanbul, Turkey

Marcella Q. Salomão, MD (Chapter 22) Instituto de Olhos Renato Ambrósio Rio de Janerio Corneal Tomography and Biomechanics Study Group Rio de Janeiro, Brazil Department of Ophthalmology Federal University of São Paulo São Paulo, Brazil

Marcony R. Santhiago, MD, PhD (Chapters 9 and 10) Department of Ophthalmology University of São Paulo São Paulo, Brazil

Giuliano Scarcelli (Chapter 24)
Fischell Department of Bioengineering
University of Maryland
College Park, Maryland

David Schiroli, PhD (Chapter 39)
Biomedical Sciences Research Institute
Ulster University
Coleraine, Northern Ireland

Roberto Secondi, MD (Chapter 13)
Resident in Ophthalmology
Department of Medico-Surgical Sciences and
Biotechnologies
Sapienza University of Rome
Rome, Italy

Emilio J. Segovia, MD (Chapter 31) Cornea and Ocular Surface Unit Ophthalmology Department Hospital de l'Esperança - Parc de Salut Mar Barcelona, Spain

Stefan Seiler, MD (Chapter 11) Institut für Refraktive und Ophthalmo-Chirurgie

Theo Seiler, MD, PhD (Chapters 11 and 41) Institut für Refraktive und Ophthalmo-Chirurgie Zürich, Switzerland

Rohit Shetty, FRCS, PhD (Chapter 8) Narayana Nethralaya Superspeciality Eye Hospital and Post graduate institute of Ophthalmology Bangalore, India

Leopoldo Spadea, MD (Chapter 13)
Associate Clinical Professor of Ophthalmology
Department of Medico-Surgical Sciences and
Biotechnologies
Sapienza University of Rome
Rome, Italy

Eberhard Spoerl, PhD (Chapters 1 and 16) Department of Ophthalmology University Hospital Carl Gustav Carus Technical University Dresden, Germany

Christina Giannikas Starcic, MD (Chapter 7)
Draga Eye Care and Surgery Associates
Bayside, New York
Northwell Health Department of Ophthalmology
Great Neck, New York
Hofstra Northwell School of Medicine
Hempstead, New York

Leejee H. Suh, MD (Chapter 7)

Miranda Wong Tang Associate Professor of Ophthalmology Director of Cornea and Refractive Surgery Division

Columbia University Harkness Eye Institute New York, New York

Sudeep Dilip Sunthankar, MD (Chapter 27)

Pediatric Resident, PGY-1

Monroe Carell Jr. Children's Hospital at Vanderbilt University Medical Center

Nashville, Tennesse

David Tabibian, MD (Chapter 12) The Newcastle Upon Tyne Hospitals

NHS Foundation Trust United Kingdom

Suphi Taneri, MD (Chapter 16)

Zentrum für Refraktive Chirurgie Münster Augenabteilung

am St. Franziskus Hospital

Münster, Germany

Praneetha Thulasi, MD (Chapter 23)

Emory University Atlanta, Georgia

Andre A.M. Torricelli, MD, PhD (Chapter 38)

Staff Cornea and Refractive Surgeon

University of São Paulo São Paulo, Brazil

David Touboul, MD (Chapter 30)

Ophtalmologiste

Professeur des Universités - Praticien Hospitalier

Responsable du site fondateur du Centre de Référence

Nationale du Kératocône (CRNK)

Responsable de l'unité segment antérieur du service du Pr

Jean-François Korobelenik

CHU de Bordeaux, Site Pellegrin

Centre François Xavier Michelet

Service d'Ophtalmologie

Stephen L. Trokel, MD (Chapter 7)

Professor of Ophthalmology at CUMC

Edward S. Harkness Eye Institute

College of Physicians and Surgeons

Columbia University

New York, NY

Konstantinos I. Tsoulnaras, MD (Chapter 15)

Department of Ophthalmology

University of Crete

"PAGNI" University Hospital of Heraklion

Heraklion, Greece

Antonio Villano, MD (Chapter 38)

Institute of Ophthalmology

Catholic University of Sacred Heart

Rome, Italy

Paolo Vinciguerra, MD (Chapter 18)

Eye Center, Humanitas Clinical and Research Center

Humanitas University Rozzano, Milano, Italy

Riccardo Vinciguerra, MD (Chapter 18)

St. Paul's Eye Unity

Royal Liverpool and Broadgreen University Hospital

Liverpool, United Kingdom
Department of Surgical Sciences
Division of Ophthalmology
University of Insurbia

Varese, Italy

Denise Wajnsztajn, MD (Chapter 20)

Cornea Unit

Department of Ophthalmology Hadassah University Hospital

Jerusalem, Israel

George O. Waring IV, MD, FACS (Chapter 42)

Department of Ophthalmology Medical University of South Carolina

Charleston, South Carolina

Steven E. Wilson, MD (Chapter 38)

Professor of Ophthalmology

Staff Cornea and Refractive Surgeon

Director of Corneal Research

The Cole Eye Institute

The Cleveland Clinic

The Grevelune Gilline

Cleveland, Ohio

Gregor Wollensak, MD (Chapter 3)

Privatdozent Vice-Director

AugenMVZ Lausitz GmbH

Hoyerswerda, Germany

Senior Consultant

Department of Ophthalmology

Carl-Thiem-Klinikum

Cottbus, Germany

#### xviii Contributing Authors

Maria A. Woodward, MD, MS (Chapters 10 and 14) Assistant Professor of Ophthalmology University of Michigan Ann Arbor, Michigan Seok Hyun Yun (Chapter 24)
Wellman Center for Photomedicine
Massachusetts General Hospital
Cambridge, Massachusetts
Department of Dermatology
Harvard Medical School
Boston, Massachusetts

#### **FOREWORD**

Since the publication of the first edition of this book in 2013, our knowledge of corneal cross-linking (CXL) has again significantly increased, both scientifically and clinically. The sheer number of publications, reviews, and meta-analyses on the technique demonstrate this growth and its versatility. As many experts recognize but often criticize CXL, the technique was adopted and adapted from other medical disciplines (eg, dentistry) and translated into clinical practice relatively rapidly based on empirical knowledge and without a lot of basic knowledge supporting the principles of cross-linking.

For example, CXL inspired basic researchers to explore the biochemical and morphological relationships by investigating new highly sensitive methods of ultrastructural analysis. During this time period, the discovery that oxygen significantly influenced the CXL effect marked a milestone. As a result, the strong reduction of treatment time, which was once considered a significant progress, is now considered disadvantageous. Now, the possibilities and also limitations of this method become more evident. The clinical practice also showed that some applications, such as CXL for bullous keratopathy, did not meet the high expectations regarding the long-term effect.

Iontophoresis still serves as a promising method for riboflavin application because it may eliminate the biggest risk of CXL, corneal infection by penetrating through the epithelium. However, the first clinical results on this method showed rather disappointing results. This book attempts to demonstrate what was learned from these trials and possibly how to overcome these obstacles (ie, by respecting the need for sufficient oxygen diffusion).

From a clinical perspective, the criteria for progression of keratoconus were also precisely formulated during this time period. Today, concise recommendations (guidelines for cross-linking) help the clinician to select patients, make decisions, and provide optimal treatment.

Based on this new knowledge and respecting the criteria for safety, CXL has become a routine method accepted in ophthalmology in most countries. However, there are plenty of other unexplored areas of research, including (but not limited to) scleral cross-linking, other cross-linking methods, and cross-linking for the stabilization of artificial collagen implants and corneas. One particular area that has already created a major impact, interest, and research momentum is CXL for the treatment of corneal infections (also referred to as PACK-CXL). This area is quite promising because it addresses a major medical need in both developed and developing countries.

In such a still-developing field, an actualization of the knowledge and experiences is necessary. Therefore, on behalf of the editors and authors of this second completely revised and updated edition of *Corneal Cross-Linking*, we hope that you will continue your interest and dedication in this promising treatment modality.

Eberhard Spoerl, PhD
Department of Ophthalmology
University Hospital Carl Gustav Carus
Technical University
Dresden, Germany

Theo Seiler, MD, PhD
Institut für Refraktive und Ophthalmo-Chirurgie
Zürich, Switzerland

### INTRODUCTION

When we published the first edition of this book in 2013, we knew that this field was dynamic and quickly moving. However, who knew that things would move so quickly as to require a second edition (and new title) so soon!

The first change that readers will notice is the shift in title for the second edition (*Corneal Cross-Linking*). In 2012, Zhang and colleagues proved that the actual cross-links are not formed between and within the collagen fibers, but rather between the amino terminals of the collagen side chains and the proteoglycans of the extracellular matrix. This is a fundamental difference. Therefore, the term collagen cross-linking is misleading and should be avoided. More and more scientific articles have adopted this position over time, and we felt compelled to change the title of our book to reflect that fundamental change in our understanding of the cross-linking process.

Many other changes are immediately noticeable. Sections on imaging have expanded as our understanding of these fields related to corneal cross-linking (CXL) has grown. The use of cross-linking protocols for infectious keratitis was an important chapter in the first edition; photoactivated chromophore for infectious keratitis CXL is now a significant section in the current edition. And many topics included in the first edition as "future hot topics" are now chapters unto themselves.

Among the biggest changes since the first edition is the US Food and Drug Administration (FDA) approval of CXL in the United States for the treatment of both keratoconus and ectasia after LASIK surgery. This has opened the door to finally treating US patients using the same protocols that have been available for almost 20 years throughout the rest of the world. This has also opened the floodgates for combination therapies, as everything that has developed over time in other areas, such as combining CXL with topography-guided excimer laser ablations, with phakic intraocular lenses, or with intracorneal ring segments, is now available all at once in the US market. Further, various protocols are available throughout the world, including accelerated and transepithelial protocols, that are not currently FDA approved. Some of these techniques, however, have been in practice in the United States even without FDA approval. Time will tell what processes and procedures remain viable here and abroad.

Finally, in this edition you will see the addition of another colleague, Sumitra S. Khandelwal, as Associate Editor. Sumitra has worked tirelessly on this project and has been instrumental in bringing this second edition to fruition.

We hope that you will enjoy reading this book as much as we enjoyed putting it together.

Farhad Hafezi, MD, PhD J. Bradley Randleman, MD

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