POLICE ADMINISTRATION

EIGHTH EDITION

GARY W. CORDNER



Police Administration

Gary W. Cordner

Department of Criminal Justice, Kutztown University and

Division of Public Safety Leadership, Johns Hopkins University

8th Edition





Acquiring Editor: Shirley Decker-Lucke Development Editor: Ellen S. Boyne Project Manager: Julia Haynes Designer: Tin Box Studio, Inc.

Anderson Publishing is an imprint of Elsevier 225 Wyman Street, Waltham, MA 02451, USA

Copyright © 2014, 2010, 2007, 2004, 1999, 1995, 1989 Elsevier Inc. All rights reserved

No part of this publication may be reproduced or transmitted in any form or by any means, electronic or mechanical, including photocopying, recording, or any information storage and retrieval system, without permission in writing from the publisher. Details on how to seek permission, further information about the Publisher's permissions policies and our arrangements with organizations such as the Copyright Clearance Center and the Copyright Licensing Agency, can be found at our website: www.elsevier.com/permissions.

This book and the individual contributions contained in it are protected under copyright by the Publisher (other than as may be noted herein).

Notices

Knowledge and best practice in this field are constantly changing. As new research and experience broaden our understanding, changes in research methods or professional practices may become necessary. Practitioners and researchers must always rely on their own experience and knowledge in evaluating and using any information or methods described herein. In using such information or methods they should be mindful of their own safety and the safety of others, including parties for whom they have a professional responsibility.

To the fullest extent of the law, neither the Publisher nor the authors, contributors, or editors, assume any liability for any injury and/or damage to persons or property as a matter of products liability, negligence or otherwise, or from any use or operation of any methods, products, instructions, or ideas contained in the material herein.

Library of Congress Cataloging-in-Publication Data

A catalogue record for this book is available from the Library of Congress

British Library Cataloguing-in-Publication Data

A catalogue record for this book is available from the British Library

ISBN: 978-1-4557-3118-3

For information on all Anderson publications visit our website at http://store.elsevier.com

Printed in the United States of America

13 14 15 16 17 10 9 8 7 6 5 4 3 2 1

Working together to grow libraries in developing countries

www.elsevier.com | www.bookaid.org | www.sabre.org

ELSEVIER

BOOK AID

Sabre Foundation

Preface

This book is written for students and practitioners interested in police administration. Although police administration is far from a simple undertaking, the subject matter of this book is purposely presented in the simplest possible terms. The difficulties inherent in managing a police department and assuring the quality delivery of police services to the public can be overwhelming. These challenges demand the best efforts of the best men and women who can be attracted to the law enforcement field. This is especially true in a free, democratic society in which the police are trusted to exercise great restraint in the use of their awesome powers.

This edition continues to give equal weight to community policing and homeland security, the two biggest strategic influences on modern policing and police administration. There is a chapter on "Police and Homeland Security" because this new mission has important features that are somewhat different from more traditional police missions. We also continue to give increased attention throughout the book to such matters as technology, the police intelligence function, information sharing, and interagency cooperation, along with some important new developments, including today's daunting economic challenges and the widespread adoption of social media.

The text opens with several basic considerations crucial to understanding the unique features of police administration. These include the development and environment of police administration, the nature of police work, police goals and objectives, police organizational tasks, and the role of the police executive. These basic considerations provide a foundation for more detailed exploration of modern police administration.

We then examine the challenging enterprise of police administration from several important perspectives, including a systems perspective, a traditional, structural perspective, a human behavioral perspective, and a strategic management perspective. The systems perspective, which permeates the entire text, emphasizes the interrelatedness among units and organizations, the influence of external conditions on systems, and the importance of feedback for correcting and improving performance. The traditional perspective highlights administrative principles, management functions, and the importance

of written guidelines. The behavioral perspective stresses the human element in organizations, an element that is often overlooked or taken for granted. The strategic management perspective considers communications and information systems, evaluation of police performance, the strategies and tactics by which police work is accomplished, and prevailing and promising approaches to increasing the effectiveness of police agencies.

We think it is extremely beneficial to examine police administration from these multiple perspectives. Many texts adopt just one view, thereby ignoring important aspects of the subject. We hope our approach helps you appreciate the breadth and complexity of contemporary police administration. If you are interested in further exploring this complexity, each chapter includes a short suggested reading list.

Several case studies are included at the end of the book. You may want to practice using the conceptual and theoretical tools introduced in the text to identify and analyze the organizational problems and situations presented in these cases.

Many fields of professional activity seek improvement and recognition by establishing standards. Extensive police standards were first promulgated almost four decades ago by the National Advisory Commission on Criminal Justice Standards and Goals and the American Bar Association Project on Standards for Criminal Justice. More recently, the Commission on Accreditation for Law Enforcement Agencies has created standards and updates them regularly. We have inserted some of the standards from these sources throughout the text to acquaint you with the benchmarks that professionally recognized groups use in evaluating police departments.

We believe that the field of police administration today is vibrant and exciting. Many studies conducted over the last 40 years have provided useful information to expand theory and refine practice. New and innovative programs and policies have been developed and tested. Some of our most basic assumptions about crime, violence, policing, and police administration have been seriously challenged. In the 1990s, the community policing strategy caught the imagination of ordinary citizens, local officials, the US Congress, and even the president of the USA. More recently, international terrorism has dramatically affected America's beliefs and expectations about policing and public safety, and given rise to the new construct "homeland security." The role of local police in homeland security will continue to evolve in the years to come. Traditional lines between local police, federal law enforcement, and the military may be blurred. Very important issues related to public protection and civil liberties will be at stake.

Underlying all this effort and activity are some important fundamental issues related to order and liberty in a free society. The police are at the vortex of all our hopes for a fair, just, and safe existence; police administration remains as honorable and challenging an undertaking as any that can be imagined.

Acknowledgments

We are grateful to everyone who has contributed to this book in one way or another: by reading the manuscript at its various stages of development and making valuable suggestions and contributions; by giving us direct editorial input; by shaping the attitudes and philosophies that we have taken with us into the book; and by encouraging us and being patient with us during the long period since we began the first edition of this book more than 30 years ago.

The first five editions of this text were coauthored with Robert Sheehan of Northeastern University, a true pioneer in police education and police professionalization. His words, ideas, and values still permeate the book, for which we are profoundly grateful. He was the ultimate philosopher, guide, and friend. Recent editions were also coauthored by Kathryn Scarborough, a dear friend and trusted colleague at Eastern Kentucky University. Her significant contributions were instrumental in updating and diversifying the book's contents.

I want to thank the following individuals for the professional guidance and personal kindness they have shown: Larry Ball, Kentucky Law Enforcement Council; Cornelius Behan, Chief (ret.), Baltimore County (Maryland) Police Department; Edward Blessing, Chief (ret.), Easton (Maryland) Police Department; Theron Bowman, Chief, Arlington (Texas) Police Department; Ed Brodt, Jefferson County (Kentucky) Police Department (ret.); J.R. Brown, Kentucky Department of Criminal Justice Training (DOCJT); Tim Bynum, Michigan State University; David Carter, Michigan State University; Tom Casady, Public Safety Director, Lincoln, Nebraska; Derral Cheatwood, University of Texas-San Antonio; Larry Collins, Eastern Kentucky University; Pam Collins, Eastern Kentucky University; Ed Connors, Institute for Law and Justice; Sylvester Daughtry, Commission on Accreditation for Law Enforcement Agencies; Steve Edwards, Bureau of Justice Assistance; Herman Goldstein, University of Wisconsin (ret.); Shelly Greenberg, Johns Hopkins University; Jack Greene, Northeastern University; Donna Hale, Shippensburg University (ret.); David Hayeslip Jr, Urban Institute; Larry Hoover, Sam

Houston State University; Dennis Kenney, John Jay College of Criminal Justice; Ken Morris, DOCJT; Rick Myers, Chief (ret.), Colorado Springs Police Department; Truett Ricks, Eastern Kentucky University (ret.); Rana Sampson, San Diego, California; Michael Scott, University of Wisconsin; Cindy Shain, Southern Police Institute, University of Louisville; Mitchell Smith, Lexington (Kentucky) Division of Police (ret.); Mittie Southerland, Academy of Criminal Justice Sciences (ret.); Robert Stack, Lexington (Kentucky) Division of Police; Darrel Stephens, Johns Hopkins University; William Tafoya, University of New Haven; Walter Tangel, DOCJT; Chuck Wexler, Police Executive Research Forum; and Gerald Williams, University of Colorado at Denver (ret.).

We are very grateful for the long-standing assistance and encouragement provided by Anderson Publishing/Elsevier, including Michael Braswell, Susan Braswell, Kelly Grondin, Elisabeth Ebben, and Ellen Boyne. We would particularly like to acknowledge the pioneering contributions to police and criminal justice education provided by Bill Simon of Anderson Publishing.

Special thanks to AnnMarie and Lera for their love and support. They even laugh at my jokes.

Blog

Everyone today has a blog, and your author is no exception. The "Modern Policing" blog is located at http://gcordner.wordpress.com/. No opinions, no philosophizing – just news, current events, and professional developments. If you have an enduring interest in policing and police administration, you are encouraged to visit the blog regularly. You can also sign up for e-mail notifications of new posts. It's an easy way to keep up with significant and interesting developments in policing in the USA and throughout the rest of the world.

Acronyms

Every professional field has its own vocabulary and terminology. We try hard in this book to avoid drowning you in police and administration jargon, but some acronyms are very handy and just cannot be entirely avoided. Here's a list of the most common ones.

IMPORTANT ACRONYMS

AFIS - Automated Fingerprint Identification System

BARS - Behaviorally Anchored Rating Scales

BJA - Bureau of Justice Assistance

BJS - Bureau of Justice Statistics

CALEA - Commission on Accreditation for Law Enforcement Agencies

CBRN - Chemical, Biological, Radiological, or Nuclear

CIA - Central Intelligence Agency

COP - Community Oriented Policing or Community Policing

COPE - Citizen Oriented Police Enforcement

DEA - Drug Enforcement Administration

DHS - Department of Homeland Security

DOJ - Department of Justice

FBI - Federal Bureau of Investigation

FEMA - Federal Emergency Management Agency

FTO - Field Training Officer

HIDTA - High Intensity Drug Trafficking Area

HSPD - Homeland Security Presidential Directives

IACP - International Association of Chiefs of Police

IC - Intelligence Community

ICS - Incident Command System

ILP - Intelligence-Led Policing

ISAC - Information Sharing and Analysis Centers

JTTF - Joint Terrorism Task Force

MBO - Management by Objectives

NIJ - National Institute of Justice

NIMS - National Incident Management System

NRF - National Response Framework

OCOPS - Office of Community Oriented Policing Services (COPS Office)

OD - Organization Development

PERF - Police Executive Research Forum

POP - Problem Oriented Policing

PPBS - Planning Programming Budgeting System

PPPM - Police Program Performance Measures

UCR - Uniform Crime Report

WMD - Weapons of Mass Destruction

ZBB - Zero-Based Budgeting

Online Instructor and Student Resources

Thank you for selecting Anderson Publishing's *Police Administration*. To complement the learning experience, we have provided a number of online tools to accompany this edition. Two distinct packages of interactive resources are available: one for instructors and one for students.

Please consult your local sales representative with any additional questions. You may also e-mail the Academic Sales Team at textbook@elsevier.com.

FOR THE INSTRUCTOR

Qualified adopters and instructors can access valuable material for free by registering at: http://textbooks.elsevier.com/web/manuals.aspx?isbn=9781455731183

- Test Bank Compose, customize, and deliver exams using an online assessment package in a free Windows-based authoring tool that makes it easy to build tests using the unique multiple choice and true or false questions created for *Police Administration*. What's more, this authoring tool allows you to export customized exams directly to Blackboard, WebCT, eCollege, Angel, and other leading systems. All test bank files are also offered in Word format.
- PowerPoint Lecture Slides Reinforce key topics with focused PowerPoints, which provide a visual outline with which to augment your lecture. Each individual book chapter has its own dedicated slideshow.
- Lesson Plans Design your course around customized lesson plans.
 Each individual lesson plan contains content designed to spur class discussion.

xix

FOR THE STUDENT

Students can access all the resources below by simply following this link: http://Store.elsevier.com/product.jsp?isbn=9781455731183

- Self-Assessment Question Bank Enhance review and study sessions with the help of this online self-quizzing asset. Each question is presented in an interactive format that allows for immediate feedback.
- Case Studies Apply what is on the page to the world beyond with the help of topic-specific case studies, each designed to turn theory into practice and followed by interactive scenario-based questions that allow for immediate feedback.

Contents

Preface		xi
Acknowledgi	ments	xiii
Online Instru	ctor and Student Resources	xix
Part 1	Basic Considerations	1
CHAPTER 1	Introduction to Police Administration	3
	The Development of Police Administration	4
	The Political Era	6
	The Professional Era	7
	The Community Era	8
	The Social Context of Police Administration	9
	The Political Context of Police Administration	11
	The Legal Context of Police Administration	14
	The Challenge of Police Administration in a	
	Democratic Society	15
	Community-Oriented Police Administration	17
	Homeland Security	19
	Ten Guiding Principles	19
	Summary	20
	Discussion Questions	21
	Suggested Reading	23
CHAPTER 2	The Nature of Police Work	25
	The Evolution of Police Work	26
	Police Discretion	30
	Crime Control, Order Maintenance, or Social Service?	33
	The Core of the Police Role	36
	The Skill of Policing	38
	While on Routine Patrol	40

	Management Implications	43
	Suggested Reading	
CHAPTER 3	Police Goals and Systems	47
	The Purposes of the Police	
	The Systems Concept	
	The Police Organization as a System	
	Summary	66
	Discussion Questions	66
	Cases	67
	Suggested Reading	68
CHAPTER 4	Police Organizational Tasks	69
	The Operations Subsystem	70
	The Administration Subsystem	83
	The Auxiliary Services Subsystem	95
	Interdependence of Subsystem Tasks	103
	Summary	103
	Discussion Questions	
	Suggested Reading	107
Part 2	The Traditional Perspective	109
Part 2 CHAPTER 5	The Traditional Perspective Principles and Policies in the Police Organization	
		111
	Principles and Policies in the Police Organization.	111
	Principles and Policies in the Police Organization Authority, Responsibility, and Accountability	111 111
	Principles and Policies in the Police Organization Authority, Responsibility, and Accountability Delegation of Authority	111 111 114
	Principles and Policies in the Police Organization Authority, Responsibility, and Accountability Delegation of Authority The Authority-Level Principle	111 111 114 117
	Principles and Policies in the Police Organization. Authority, Responsibility, and Accountability Delegation of Authority The Authority-Level Principle Key Organizational Principles	111 114 117 127
	Principles and Policies in the Police Organization. Authority, Responsibility, and Accountability. Delegation of Authority. The Authority-Level Principle. Key Organizational Principles. Written Guidelines. Formulation of Organizational Guidelines. Discipline	111 114 117 118 127 137
	Principles and Policies in the Police Organization. Authority, Responsibility, and Accountability Delegation of Authority The Authority-Level Principle. Key Organizational Principles Written Guidelines Formulation of Organizational Guidelines Discipline Summary	111 111 114 117 127 139 140
	Principles and Policies in the Police Organization. Authority, Responsibility, and Accountability. Delegation of Authority. The Authority-Level Principle. Key Organizational Principles. Written Guidelines. Formulation of Organizational Guidelines. Discipline	111 111 114 117 127 139 140
	Principles and Policies in the Police Organization. Authority, Responsibility, and Accountability. Delegation of Authority. The Authority-Level Principle. Key Organizational Principles. Written Guidelines. Formulation of Organizational Guidelines. Discipline. Summary. Discussion Questions. Cases.	111 114 117 127 137 139 140 141
	Principles and Policies in the Police Organization. Authority, Responsibility, and Accountability Delegation of Authority The Authority-Level Principle Key Organizational Principles Written Guidelines Formulation of Organizational Guidelines Discipline Summary Discussion Questions	111 114 117 127 137 139 140 141
	Principles and Policies in the Police Organization. Authority, Responsibility, and Accountability. Delegation of Authority. The Authority-Level Principle. Key Organizational Principles. Written Guidelines. Formulation of Organizational Guidelines. Discipline. Summary. Discussion Questions. Cases.	111 114 117 118 127 137 139 140 141 142
CHAPTER 5	Principles and Policies in the Police Organization. Authority, Responsibility, and Accountability. Delegation of Authority. The Authority-Level Principle. Key Organizational Principles. Written Guidelines. Formulation of Organizational Guidelines. Discipline. Summary. Discussion Questions. Cases. Suggested Reading.	111 114 117 118 127 139 140 141 142 144
CHAPTER 5	Principles and Policies in the Police Organization. Authority, Responsibility, and Accountability. Delegation of Authority. The Authority-Level Principle. Key Organizational Principles. Written Guidelines. Formulation of Organizational Guidelines. Discipline. Summary. Discussion Questions. Cases. Suggested Reading. Functions of Police Management	111 114 117 127 139 140 141 144 145 146
CHAPTER 5	Principles and Policies in the Police Organization. Authority, Responsibility, and Accountability. Delegation of Authority. The Authority-Level Principle. Key Organizational Principles. Written Guidelines. Formulation of Organizational Guidelines. Discipline. Summary. Discussion Questions. Cases. Suggested Reading. Functions of Police Management Management Functions by Level in the Organization.	111 114 117 137 137 140 141 142 144 145 146
CHAPTER 5	Principles and Policies in the Police Organization. Authority, Responsibility, and Accountability. Delegation of Authority. The Authority-Level Principle. Key Organizational Principles. Written Guidelines. Formulation of Organizational Guidelines. Discipline. Summary. Discussion Questions. Cases. Suggested Reading. Functions of Police Management Management Functions by Level in the Organization System Building.	111 114 117 137 137 140 141 142 144 145 147

CHAPTER 7	Directing Controlling Summary Discussion Questions Cases Suggested Reading The Police Executive Characteristics of Police Executives. The Two Basic Roles of the Police Executive The Internal Role The External Role Police Executive Styles Summary Discussion Questions Cases Suggested Reading	174 179 180 183 185 187 187 214 214 215
Part 3	The Human Perspective	219
CHAPTER 8	Individuals and Groups in the Police Organization Individuals in Organizations	221 222 239 255 256
CHAPTER 9	Developing the Police Organization Motivation Human Development Police Organizational Development Summary Discussion Questions Cases Suggested Reading	259 260 266 276 282 283
CHAPTER 10	Leadership in the Police Organization. The Police Leader as a Subsystem The Functions of Leadership Sources of Influence Styles of Leadership. Theories of Leadership.	. 289 291 292 297 301 304

	Summary	314
	Discussion Questions	314
	Cases	
	Suggested Reading	317
Part 4	The Strategic Management Perspective	319
CHAPTER 11	Information in the Police Organization	321
	Organizational Communication	
	The Importance of Information	
	Information and Police Decision Making	
	Police Information Systems	335
	Analysis of Police Information	341
	Implications of Community Policing	349
	Rational Police Decision Making	349
	Summary	353
	Discussion Questions	354
	Cases	
	Suggested Reading	358
CHAPTER 12	Evaluating Police Performance	359
	The Importance of Evaluating Police Performance	360
	Issues in Police Performance Measurement	362
	Individual Performance Appraisal	368
	Evaluating Programs and Strategies	380
	Evaluating Overall Organizational Performance	384
	Summary	390
	Discussion Questions	
	Suggested Reading	395
CHAPTER 13	Police Strategies and Tactics	397
	Crime Control Strategies	399
	Community Relations Strategies	415
	Specific Problems and Issues	421
	Summary	426
	Discussion Questions	426
	Cases	427
	Suggested Reading	431
CHAPTER 14	Police and Homeland Security	433
	The Police Role in Homeland Security	434
	Department of Homeland Security	438
	The Preparedness Cycle	442
	Technology and Training	450

	Informa	tion Sharing	452	
	Intelligence-Led Policing			
	Balanci	ng Safety and Liberty	457	
	Summa	ry	458	
	Discuss	ion Questions	458	
	Sugges	Suggested Reading		
CHAPTER 15	Conter	nporary Issues in Police Administration	463	
	Four Contemporary Themes			
	Four Contemporary Issues		471	
	Modern	Perspectives on Management	480	
	Summa	Summary		
	Discuss	ion Questions	488	
	Cases		489	
	Suggested Reading		492	
CASE STUDIE	S		493	
	Case 1	Cod Bay	493	
	Discussion Questions			
	Case 2	Rixton	494	
	Discussion Questions		495	
	Case 3	Strategic Planning in Spokane, Washington	496	
	Discussion Questions		501	
	Case 4	Gaining Outside Commitment in Lowell,		
		Massachusetts	502	
	Discussion Questions		507	
	Case 5	Leading Change in Riverside, California	507	
	Discussion Questions		516	
	Case 6	One Week in Heron City	516	
	Discuss	ion Questions	517	
Name Index			519	