

Acute Lung Injury

Pathogenesis of Adult Respiratory Distress Syndrome

Edited by

**Homayoun Kazemi, MD
Albert L. Hyman, MD
Phillip J. Kadowitz, PhD**

Acute Lung Injury

Pathogenesis of Adult Respiratory Distress Syndrome

Edited by

Homayoun Kazemi, MD

Albert L. Hyman, MD

Philip J. Kadowitz, PhD



PSG PUBLISHING COMPANY, INC.
LITTLETON, MASSACHUSETTS

Library of Congress Cataloging in Publication Data
Main entry under title:

Acute lung injury.

Based on a symposium organized by the
Cardiopulmonary Council of the American Heart
Association, held in Dallas, Tex., in Sept. 1984.

Includes index.

1. Respiratory distress syndrome, Adult--
Congresses. 2. Lungs--Wounds and injuries--
Congresses. I. Kazemi, Homayoun, 1934--
II. American Heart Association. Cardiopulmonary
Council. [DNLM: 1. Lung--physiopathology--
congresses. 2. Respiratory Distress Syndrome,
Adult--physiopathology--congresses.
WF 140 A183 1984]

RC776.R38A278 1986
ISBN 0-88416-538-8

616.2

85-12153

Published by
PSG Publishing Company, Inc.
545 Great Road
Littleton, Massachusetts 01460

Copyright © 1986 by **PSG Publishing Company, Inc.**

All rights reserved. No part of this publication may be reproduced or transmitted in any form or by any means, electronic or mechanical, including photocopy, recording, or any information storage or retrieval system, without permission in writing from the publisher.

Printed in United States of America.

International Standard Book Number: 0-88416-538-8

Library of Congress Catalog Card Number: 85-12153

Last digit is print number: 9 8 7 6 5 4 3 2 1

Contributors

Connie J. Beehler, MD
Research Fellow
University of Colorado Health
Sciences Center
Denver, Colorado

Elaine M. Berger, BS
Instructor, University of
Colorado Health Sciences
Center
Denver, Colorado

Kenneth L. Brigham, MD
Professor of Medicine and
Director Pulmonary
Circulation Center
Vanderbilt University School of
Medicine
Nashville, Tennessee

Peter R.B. Caldwell, MD
Associate Professor of Medicine
College of Physicians and
Surgeons
Columbia University
New York, New York

Jeffrey A. Cooper, MD
Department of Physiology
Albany Medical College of
Union University
Albany, New York

Edward D. Crandall, PhD, MD
Professor of Medicine
Director, Will Rogers Institute
Pulmonary Research
Laboratory
University of California
Los Angeles, California

Richard M. Effros, MD
Professor of Medicine
Division of Respiratory
Physiology and Medicine
Harbor-UCLA Medical Center
Torrance, California

Joseph G. Garcia, MD
Department of Physiology
Albany Medical College of
Union University
Albany, New York

Barbara E. Goodman, PhD
Will Rogers Institute
Pulmonary Research
Laboratory
Department of Medicine
University of California
Los Angeles, California

Jon M. Grazer, MPH
Research Assistant
Department of Pharmacology
Tulane University School of
Medicine
New Orleans, Louisiana

Gail Gurtner, MD
Associate Professor of Medicine
Johns Hopkins University
School of Medicine
Baltimore, Maryland

Charles A. Hales, MD
Associate Professor of Medicine
Harvard Medical School
Associate Physician
Massachusetts General Hospital
Boston, Massachusetts

Albert L. Hyman, MD
Professor of Surgery, Medicine
and Pharmacology
Tulane University School of
Medicine
New Orleans, Louisiana

Louis J. Ignarro, PhD
Professor of Pharmacology
Tulane University School of
Medicine
New Orleans, Louisiana

Arnold Johnson, PhD
Department of Physiology
Albany Medical College of
Union University
Albany, New York

Kent J. Johnson, MD
Associate Professor of Pathology
The University of Michigan
Medical School
Ann Arbor, Michigan

Rosemary C. Jones, PhD
Research Associate
Children's Hospital
Assistant Professor of Pathology
Harvard Medical School
Boston, Massachusetts

Philip J. Kadowitz, PhD
Professor of Pharmacology
Tulane University School of
Medicine
New Orleans, Louisiana

Homayoun Kazemi, MD
Chief, Pulmonary Unit
Massachusetts General Hospital
Professor of Medicine
Harvard Medical School
Boston, Massachusetts

Kwang-Jin Kim, PhD
Will Rogers Institute Pulmonary
Research Laboratory
Department of Medicine
University of California
Los Angeles, California

H.L. Lipton, MD
Tulane University School of
Medicine
New Orleans, Louisiana

Siu K. Lo
Department of Physiology
Albany Medical College of
Union University
Albany, New York

Asrar B. Malik, PhD
Professor of Physiology
Albany Medical College of
Union University
Albany, New York

Denis Martin
Faculty of Medicine
C.H.U. de Grenoble
Grenoble, FRANCE

Gregory R. Mason, MD
Assistant Professor
Division of Respiratory
Physiology and Medicine
Department of Medicine
Harbor-University of California
Los Angeles Medical Center
Los Angeles, California

Dennis B. McNamara, PhD
Professor of Pharmacology
Tulane University School of
Medicine
New Orleans, Louisiana

Irving Mizus, MD
Clinical Instructor
University of California School
of Medicine
Los Angeles, California

Myron B. Peterson, MD, PhD
Director, Critical Care Medicine
Floating Hospital for Infants
and Children
Associate Professor of Pediatrics
Tufts University School of
Medicine
Boston, Massachusetts

Marc B. Perlman, MD
Department of Physiology
Albany Medical College of
Union University
Albany, New York

Lynne M. Reid, MD
Simeon Burt Wolbach Professor
of Pathology
Harvard Medical School
Pathologist-in-Chief
Children's Hospital
Boston, Massachusetts

John E. Repine, MD
Professor of Medicine and
Pediatrics
University of Colorado Health
Sciences Center
Denver, Colorado

Sami I. Said, MD
Professor of Medicine
University of Oklahoma Health
Sciences Center
Veterans Administration
Medical Center
Oklahoma City, Oklahoma

Michael T. Snider, MD, PhD
Associate Professor of
Anesthesia
Hershey Medical Center
Hershey, Pennsylvania

Norman C. Staub, MD
Professor of Physiology
Cardiovascular Research
Institute and Department of
Physiology
University of California
San Francisco, California

Warren Summer, MD
Professor of Medicine
Chief, Pulmonary/Critical Care
Medicine
Louisiana State University
Medical Center
New Orleans, Louisiana

Aubrey E. Taylor, PhD
Professor and Chairman
Department of Physiology
College of Medicine
University of South Alabama
Mobile, Alabama

Gerd O. Till, MD
Associate Professor of Pathology
The University of Michigan
Medical School
Ann Arbor, Michigan

Karen M. Toth, BS
Medical Student II
University of Colorado Health
Sciences Center
Denver, Colorado

Peter A. Ward, MD
Professor and Chairman
Department of Pathology
The University of Michigan
Medical School
Ann Arbor, Michigan

W. David Watkins, MD, PhD
Professor and Chairman
Department of Anesthesiology
Professor of Pharmacology
Duke University Medical Center
Durham, North Carolina

Carl W. White, MD
Assistant Professor of Pediatrics
University of Colorado Health
Sciences Center
Denver, Colorado

K.S. Wood, BS
Tulane University School of
Medicine
New Orleans, Louisiana

Warren M. Zapol, MD
Associate Professor of
Anesthesiology
Harvard Medical School
Anesthetist
Massachusetts General Hospital
Boston, Massachusetts

Introduction

Adult Respiratory Distress Syndrome (ARDS) is a multifactorial disorder of diverse etiology with a high mortality rate. The syndrome is associated with diffuse injury of pulmonary vascular endothelium and the alveolar epithelium. Proteolytic enzymes released by neutrophils, activation of the coagulation cascade, prostanooids and other vasoactive peptides all have been incriminated in the pathophysiology of this disorder.

This symposium on Acute Lung Injury and its relationship to ARDS focuses on new knowledge in areas of pulmonary physiology, pathology, biochemistry and pharmacology and their role in lung injury. The contributors have all been intensely involved in studies of pathophysiology of ARDS.

The symposium was organized under the auspices of the Cardiopulmonary Council of the American Heart Association and was held in Dallas, Texas, in September 1984. The organizers of the symposium gratefully acknowledge grants from the AHA, the Division of Lung Diseases, National Heart, Lung and Blood Institute, NIH, and the Upjohn Company.

We are also indebted to the staff of the AHA, in particular Mr. Leonard P. Cooke and Ms. Stephanie Stansfield, for their invaluable assistance in organizing the symposium.

Lastly, PSG Publishing Company and its president, Dr. Frank Paparello, have been particularly helpful in putting the proceedings of the symposium together and expediting their publication, and we express our special appreciation to them.

Albert J. Hyman
Philip J. Kadowitz
Homayoun Kazemi, Chairman
Program Committee for the Symposium
Cardiopulmonary Council of the
American Heart Association

Contents

Introduction ix

- 1 **The Pulmonary Vascular Bed in Acute Lung Injury—
An Overview** 1
Homayoun Kazemi
- 2 **Pathology of Pulmonary Vascular Bed in Adult
Respiratory Distress Syndrome (ARDS)** 7
Lynne M. Reid and Rosemary C. Jones
- 3 **Pulmonary Hemodynamics in Adult Respiratory
Distress Syndrome (ARDS)** 25
Warren M. Zapol and Michael T. Snider
- 4 **Active Transport and Permeability Properties of the
Alveolar Epithelium in the Lung** 45
Edward D. Crandall, Kwang-Jin Kim and Barbara
E. Goodman
- 5 **Assessment of Permeability of the Distal Pulmonary
Epithelium** 65
Richard M. Effros and Gregory R. Mason
- 6 **Pulmonary Vasoreactivity in Acute Lung Injury** 81
Charles A. Hales
- 7 **Mechanisms of Pulmonary Edema** 93
Norman C. Staub
- 8 **Leukocytic Oxygen Radicals and Acute Lung
Injury** 107
Peter A. Ward, Kent J. Johnson and Gerd O. Till
- 9 **Oxygen Radicals and Pulmonary Edema** 115
Aubrey E. Taylor and Denis Martin
- 10 **Erythrocytes (RBC) as Potential Protectors and/or
Predictors of Oxidant-Induced Lung Injury** 129
John E. Repine, Connie J. Beehler, Elaine M.
Berger, Carl W. White and Karen M. Toth

- 11 **Interactions of Neutrophils in the Pulmonary Vascular Bed in the Adult Respiratory Distress Syndrome (ARDS)** 139
Kenneth L. Brigham
- 12 **Mechanisms of Lung Vascular Injury after Thrombin-Induced Pulmonary Microembolism** 145
Asrar B. Malik, Joseph G. Garcia, Siu K. Lo, Jeffrey A. Cooper, Marc B. Perlman and Arnold Johnson
- 13 **A Model of Immunologic Lung Injury** 167
Peter R.B. Caldwell
- 14 **Autonomic Mechanisms in the Pulmonary Vascular Bed** 171
Albert L. Hyman, H.L. Lipton, Louis J. Ignarro, Dennis B. McNamara, K.S. Wood and Philip J. Kadowitz
- 15 **Eicosanoids and Pulmonary Hypertension** 201
Myron B. Peterson and W. David Watkins
- 16 **Regulation of Pulmonary Vascular Tone by Autonomic Mediators, Peptides, and Leukotrienes** 211
Sami I. Said
- 17 **The Effects of β -Agonists and Aminophylline on Lung Fluid Balance** 219
Warren Summer, Irving Mizus and Gail Gurtner
- 18 **Pulmonary Vascular Responses to Leukotriene D_4 Are Species-Dependent** 233
Philip J. Kadowitz, Dennis B. McNamara, Jon M. Grazer and Albert L. Hyman
- 19 **Relationships Among Endothelium, cGMP, and Relaxation of Pulmonary Vessels** 247
Louis J. Ignarro and Philip J. Kadowitz
- Index** 265

1 *The Pulmonary Vascular Bed in Acute Lung Injury—An Overview*

Homayoun Kazemi

This symposium addresses the pathophysiology and therapeutic approaches to acute lung injury. The concept of acute lung injury causing severe respiratory insufficiency, failure, and in many instances death, is relatively recent and has been identified as a clinical syndrome for less than 20 years.

Adult respiratory distress syndrome (ARDS) is one of varied etiology and is characterized by a constellation of clinical features and physiologic aberrations in the respiratory system. The cardinal features of ARDS are its relatively acute onset, presence of dyspnea and a rapid respiratory rate, arterial hypoxemia and decreased lung compliance, diffuse infiltrates on x-ray films of the chest and, physiologically, a leaky alveolar-capillary membrane.

The role of the pulmonary vascular bed is becoming more and more important in the pathogenesis of ARDS. In addition to the leaky alveolar-capillary membrane, there are other special features in the pulmonary vascular bed that are of particular interest and relevance to our understanding of this syndrome: There is significant loss of capillary units, there is invariably pulmonary arterial hypertension, there is clotting in large and small vessels, and on pathologic examination there is structural remodeling of precapillary pulmonary vessels. This intriguing and complex syndrome is believed to occur in about 150,000 persons per year in the United States and has a mortality rate of around 50%—in some series it may be as high as 90%¹—and therapeutic modalities have not been particularly effective in saving patients with this syndrome in the past.

In their classic monograph on adult respiratory failure in 1972, Pontoppidan et al² devote a large part of their writing to the mechanical abnormalities of the lung and gas exchange derangements in ARDS and an equal amount to its management. However, little was said then about the vascular bed since at that time little was known about the role of the pulmonary vascular bed and particularly about the vascular endothelial

cells and interaction between formed elements in the blood and the vessels in the lung. In the recent past it has become apparent that the role of the vascular bed is a significant and possibly crucial part of the syndrome.

The pulmonary circulation and vascular bed, however, have been the subject of interest to physicians and physiologists going back to the thirteenth century. The first description of the vascular bed was given by Ibn-El-Nafis, a native of Damascus working in Cairo, who said that "the blood of the right ventricle passes through the vena arteriosa to the lung, spreads through its substance, mixes with air and becomes completely purified; then it passes through the arteria venosa to reach the left chamber of the heart." In Europe, Michael Servetus, the Spanish monk, working in Paris in the sixteenth century, wrote of "the vital spirit. It is generated in the lungs from a mixture of inspired air with elaborated subtle blood which the right ventricle of the heart communicates to the left . . . blood becomes reddish-yellow and is poured from the pulmonary artery into the pulmonary vein."³ His book *Christianismi restitutio* was considered heretical by both Catholics and Protestants and he was burned at the stake by the Calvinists in Geneva in 1553.

In ARDS the area of particular interest in the pulmonary circulation is the alveolar-capillary (A-C) membrane. Looking at the ultrastructure of the A-C membrane, one can wonder about the behavior of the cell lining of both the epithelial and the endothelial surfaces of the membrane and their interaction with formed elements in blood in causing the disease. In terms of pathogenesis of ARDS, one point of view is that there is first injury and damage to the A-C membrane leading to development of the leaky membrane. Edema formation then creates the physiologic abnormalities in the lung, but at the same time there are alterations in the metabolic behavior of both epithelial and endothelial cells and in their interaction with leukocytes in the blood—all culminating in the development of the classic pictures of ARDS. Later chapters in this book will address the questions of how injury to the A-C membrane might occur and what role specific cells play. In considering the pulmonary vascular bed physiologically, two aspects of it need to be emphasized: (1) reactivity of the vessels and (2) permeability characteristics of the A-C membrane.

As far as reactivity is concerned, the pulmonary vessels may be more reactive than any other vascular bed in the body in reacting to changes in their environment. Specifically, the mechanical forces within the thorax, the interstitial pressure of the lung and the state of alveolar distention affect vessel caliber and blood flow. Furthermore, the pulmonary vessels are particularly sensitive to changes in oxygen tension in the alveolar air and to a lesser extent to oxygen saturation in blood. The response of the vessels to a fall in alveolar oxygen tension, as demonstrated by the shift of perfusion away from areas of alveolar hypoxia, is the major mechanism for adjustment of distribution of regional perfusion to ventilation. This

remarkable vasoreactivity to alveolar hypoxia is demonstrated in Figure 1-1, and was reported from our laboratory earlier.⁴ In this example, the anesthetized dog is mechanically ventilated through a double-lumen endotracheal tube to separate ventilation of one lung from the other. Distribution of perfusion to the lungs is quantitated by intravenous (IV) injection of a bolus of nitrogen 13 (^{13}N) in solution and positron scintigraphy of the chest. When both lungs are ventilated on room air, blood flow is relatively equal to both lungs. As soon as the inspired gas mixture to one lung is switched to a low O_2 mixture, despite continued mechanical ventilation, blood flow to the hypoxic lung is markedly reduced.

The question in ARDS is whether this normally present hypoxic pulmonary vasoconstriction contributes to development of pulmonary hypertension and vascular remodeling. The evidence at hand would say yes. Mechanisms of vasoconstriction are probably several and among others include the sympathetic system⁵ and a number of chemical mediators which are either elaborated in the lung or modified in their passage through the pulmonary vascular bed. Of these, histamine and prostanooids seem particularly relevant at the moment.

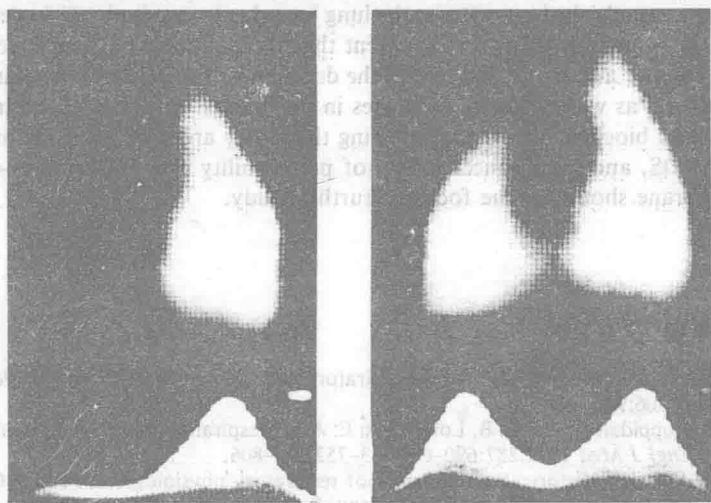


Figure 1-1 Positron scintigraphy of distribution of pulmonary perfusion with nitrogen 13 in the anesthetized supine dog. The upper half of the picture is the perfusion image and the lower half the summation of all counts from each lung. Left panel is control perfusion when both lungs are ventilated on room air and the right panel the pattern of perfusion after the right lung was ventilated with 100% nitrogen. Perfusion to the alveolar hypoxic lung is reduced by 54%. (Reproduced with permission from Hales et al.⁴)

Despite the reduction in pulmonary capillary bed, the marked pulmonary hypertension, and the high pulmonary vascular resistance in ARDS, it is possible to increase flow through these vessels by increasing cardiac output.⁶ This finding implies that there may be recruitment of new vessels with a possible critical opening pressure or further distention of already patent vessels or both.

The A-C membrane leaks in ARDS. Assessing permeability of the membrane and quantitating regional lung water has been one of the challenges to our understanding of ARDS. To quantitate regional lung water in an isolated lung model that spontaneously developed pulmonary edema, we have used the short-lived positron-emitting isotopes oxygen 15 (^{15}O) with a half-life of two minutes to measure total lung water with H_2^{15}O , the intravascular volume with carbon monoxide-labeled hemoglobin ($\text{Hgb-C}^{15}\text{O}$), and the difference between the two to calculate the extravascular lung water volume. With positron camera scintigraphy one can regionalize the extravascular lung water.⁷ Using such a technique, we found that extravascular lung water increased in all regions of the lung from apex to base (Figure 1-2) as the wet/dry ratio increased from 3.6 to 6.0 and the increase in extravascular water matched the intravascular volume closely, suggesting that leakiness of the membrane is fairly uniform from apex to base of the lung, but that *fluid accumulation* after fluid leaving the vascular bed is greater at the lung base due to gravitational forces.

In summary, then, it is apparent that the pulmonary vascular bed has a major and significant role in the development of ARDS. There are structural as well as reactive changes in the vessels. Endothelial cell injury and biochemical events following the injury are significant factors in ARDS, and finally mechanisms of permeability changes in the A-C membrane should be the focus of further study.

REFERENCES

1. Rinaldo JE, Rogers RM: Adult respiratory distress syndrome. *N Engl J Med* 1982;306:900-909.
2. Pontoppidan H, Geffin B, Lowenstein E: Acute respiratory failure in the adult. *N Engl J Med* 1972;287:690-697, 743-752, 799-806.
3. Perkins JF: Historical development of respiratory physiology, in Fenn WO, Rahn H (eds): *Handbook of Physiology. Respiration*. Washington, American Physiological Society, 1964, vol 1 pp 1-62.

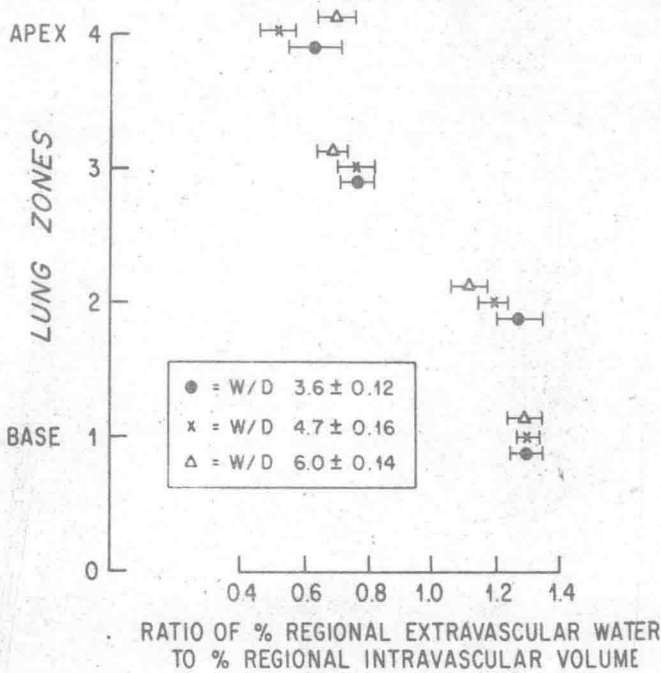


Figure 1-2 Distribution of regional extravascular lung water compared to regional intravascular volume in 17 isolated, perfused lungs which developed spontaneous pulmonary edema. There is no change in distribution of lung water with increasing edema, but there is a gravity-dependent increase from apex to base of the same magnitude at all levels of pulmonary edema. (Reproduced with permission from Hales et al.⁷)

4. Hales CA, Ahluwalia B, Kazemi H: Strength of pulmonary vascular response to regional alveolar hypoxia. *J Appl Physiol* 1975;38:1083-1087.
5. Dauber IM, Weil JV: Lung injury edema in dogs. Influence of sympathetic ablation. *J Clin Invest* 1983;72:1977-1986.
6. Zapol WM, Snider MT: Pulmonary hypertension in severe acute respiratory failure. *N Engl J Med* 1977;296:476-480.
7. Hales CA, Kanarek DJ, Ahluwalia B, et al: Regional edema formation in isolated perfused dog lungs. *Circ Res* 1981;48:121-127.

Figure 1-3 Distribution of regional extravascular lung water determined in isolated perfused lungs which developed non-circulatory pulmonary edema. There is no change in distribution of lung water with increasing edema, but there is a slightly-dependent increase from apex to base of the lung regardless of all levels of pulmonary edema. Reproduced with permission from Hales et al.¹



1. Hales CA, Attwells B, Kassam H: Strength of pulmonary vascular response to regional alveolar hypoxia. *J Appl Physiol* 1975;38:1083-1087.
2. Gander JM, Weil JV: Lung injury during in dogs. Influence of spontaneous ventilation. *J Clin Invest* 1973;52:1575-1580.
3. Gander JM, Weil JV: Pulmonary hypertension in severe acute respiratory failure. *J Clin Invest* 1973;52:1580-1585.
4. Hales CA, Kassam H, Attwells B, et al: Regional edema formation in isolated perfused dog lungs. *Circ Res* 1981;48:121-127.

2

Pathology of Pulmonary Vascular Bed in Adult Respiratory Distress Syndrome (ARDS)

Lynne M. Reid

Rosemary C. Jones

There is a time "to lump" and a time "to split." In the clinical setting, we can be "lumpers" since treatment of patients with the acute respiratory distress syndrome (ARDS) is essentially similar, regardless of cause. Furthermore, as the care of ARDS patients has improved we less frequently see the acute stages of the injury, and in the subacute and chronic stages structural changes in the lung correlate with the duration of the disease rather than with the cause.

In considering the experimental studies of ARDS we can be "splitters" in the attempt to identify the particular pathogenetic pathways by which a given cause produces lung disease. It is necessary to analyze separately the mechanisms responsible for the early acute injury from those that lead to its amplification and fatal outcome. One set of questions concerns the way nonthoracic injury damages the pulmonary microvasculature to cause the increased permeability and hemorrhage that give us the symptoms and signs of acute respiratory failure. Another set of questions is concerned with the evolution of the acute changes, with or without artificial ventilation using high partial pressure of oxygen. Resolution, healing with varying degrees of scar, or fatal outcome are possibilities at this stage.¹⁻⁹

The presence of edema and hemorrhage as hallmarks of the acute stage of ARDS and the early presence and steady progression of pulmonary hypertension point to injury of the pulmonary circulation at all stages. The most puzzling form of ARDS is the severe lung damage that follows a primary injury at some distance from the lung such as peripheral trauma or abdominal sepsis. In such cases its vascular channels are likely also to be the route by which attack on the lung is delivered.

The human disease is first described here after consideration of the normal microcirculation of the lung, then selected animal models are considered for their relevance either to the cause of ARDS or its treatment.