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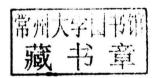
# Holographic Sensors



## Ali Kemal Yetisen

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Doctoral Thesis accepted by the University of Cambridge, UK





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ISSN 2190-5053 Springer Theses ISBN 978-3-319-13583-0 DOI 10.1007/978-3-319-13584-7

ISSN 2190-5061 (electronic)

ISBN 978-3-319-13584-7 (eBook)

Library of Congress Control Number: 2014956221

Springer Cham Heidelberg New York Dordrecht London ©\*Springer International Publishing Switzerland 2015

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#### Parts of this thesis have been published in the following journal articles:

- Yetisen AK, Naydenova I, Vasconcellos FC, Blyth J, Lowe CR (2014) Holographic Sensors: Three-Dimensional Analyte-Sensitive Nanostructures and their Applications. Chem Rev 114 (20):10654–10696
- Yetisen AK, Montelongo Y, da Cruz Vasconcellos F, Martinez-Hurtado JL, Neupane S, Butt H, Qasim MM, Blyth J, Burling K, Carmody JB, Evans M, Wilkinson TD, Kubota LT, Monteiro MJ, Lowe CR (2014) Reusable, robust, and accurate laser-generated photonic nanosensor. Nano Lett 14 (6):3587–3593
- 3. Yetisen AK, Butt H, da Cruz Vasconcellos F, Montelongo Y, Davidson CAB, Blyth J, Chan L, Carmody JB, Vignolini S, Steiner U, Baumberg JJ, Wilkinson TD, Lowe CR (2014) Light-Directed Writing of Chemically Tunable Narrow-Band Holographic Sensors. Adv Opt Mater 2 (3):250–254
- 4. Yetisen AK, Qasim MM, Nosheen S, Wilkinson TD, Lowe CR (2014) Pulsed laser writing of holographic nanosensors. J Mater Chem C 2 (18):3569–3576
- Yetisen AK, Martinez-Hurtado JL, Garcia-Melendrez A, Vasconcellos FC, Lowe CR (2014) A smartphone algorithm with inter-phone repeatability for the analysis of colorimetric tests. Sens Actuators, B 196 (0):156–160
- Tsangarides CP, Yetisen AK, da Cruz Vasconcellos F, Montelongo Y, Qasim MM, Wilkinson TD, Lowe CR, Butt H (2014) Computational modelling and characterisation of nanoparticle-based tuneable photonic crystal sensors. RSC Adv 4 (21):10454–10461
- Yetisen AK, Akram MS, Lowe CR (2013) Paper-based microfluidic point-ofcare diagnostic devices. Lab Chip 13 (12):2210–2251

# Supervisor's Foreword

As the world's population surpasses 7 billion, healthcare systems around the word face unique challenges. North America, Western Europe and Japan have ageing populations, which are a growing concern due to the increasing demand for long-term care limited by the shortages in healthcare workers. On the contrary, the developing world is populated with younger inhabitants; however, 55 % of the inhabitants of the developing world live in rural regions, where infrastructure is scarce and healthcare equipment is outdated. Unsurprisingly, the developing world countries face healthcare challenges to protect their population from infectious and non-communicable diseases. Hence, these global healthcare trends require efficient medical services and technologies that can meet the unfulfilled demand of evergrowing populations.

At the heart of the healthcare systems is screening large populations to monitor high-risk individuals and develop epidemiological strategies to timely mitigate emerging epidemics. When diseases are diagnosed at an early stage, the treatment is often simpler and more likely to be effective. Hence, the innovation in rapid, accurate diagnostic devices with connectivity has the potential to reduce the burden on the healthcare systems and patients worldwide. In their development, point-of-care diagnostic devices play a unique role since they are lightweight, portable and can be made readily available to healthcare workers and patients. Monitoring conditions and diseases rapidly at point-of-care offers unique opportunities in personalised medicine, which may allow optimisation of therapies, and subsequently produce improved treatment options. Such portable diagnostics can also allow efficient management of chronic diseases, where frequent measurements and treatments are required. In the developing world, low-cost diagnostics can reach underserved regions and reduce the poverty-related diseases to empower communities.

The development of point-of-care diagnostic devices concerns both the study of sensors and readout devices, and their clinical evaluation and the social context of use. These devices need to be user-friendly, fool-proof, lightweight, have a long shelf life and offer connectivity with emerging mobile devices. However,

commercial sensors are complicated by the power-consuming electronics and custom readout devices, which increase the cost per diagnosis. Many colorimetric tests result in erroneous results due to subjective interpretation and have limitations in colorimetric range, in which the colour code differs from one assay to another. The development of an easy-to-interpret, colorimetric and quantitative sensing platform can standardise the readouts for visual interpretation, and facilitate simultaneous detection of conditions and diseases while also offering the possibility to quantify the assay by smartphones and wearable devices.

Ali Yetisen is a polymath whose research spans both physical and social sciences including point-of-care diagnostics, micro/nanofabrication, optical devices, microfluidics, smartphone apps, commercialisation, entrepreneurship, patent law and FDA regulations. His doctoral thesis makes a contribution to the development of reusable colorimetric optical sensors for applications in point-of-care diagnostics. His thesis harnesses laser-light writing in functionalised hydrogels for the production of holograms that allow quantification of analytes in aqueous solutions. Holographic sensor development approaches outlined include silver-halide chemistry, laser ablation and photopolymerisation. The fabricated sensors allow quantification of pH, organic solvents, metal ions and glucose. The present work is supplemented with computational simulations to lay a foundation for the laser writing techniques and principle of operation of the sensors to enhance our knowledge of how hydrogel-based sensing materials function. For example, the thesis describes the development of a kinetic theory for the hydrogel-based sensors in order to reduce the readout time. The work also shows a clinical trial to test the performance of the holographic sensors for the analysis of glucose in the urine samples of diabetic patients. In the development of readout technologies, the thesis demonstrates a smartphone application for the quantitative analysis of various colorimetric pH, protein and glucose assays. The final chapter of the thesis critically reviews the efforts in holographic sensor development, points out the limitations and draws guidelines for the future work.

This thesis not only shows a viable strategy for the fabrication and optimisation of holographic sensors in the entire visible spectrum, but it also demonstrates new insights into their functioning. The findings of this thesis provide a sound basis for the development of optimised holographic sensors as a step towards producing multiplexed diagnostic devices that can meet user requirements at point-of-care.

Cambridge, UK, November 2014

Prof. Christopher R. Lowe

## **Abstract**

Developing non-invasive and accurate diagnostics that are easily manufactured, robust and reusable will provide monitoring of high-risk individuals in any clinical or point-of-care environment, particularly in the developing world. There is currently no rapid, low-cost and generic sensor fabrication technique capable of producing narrow-band, uniform, reversible colorimetric readouts with a hightunability range. This thesis presents a theoretical and experimental basis for the rapid fabrication, optimisation and testing of holographic sensors for the quantification of pH, organic solvents, metal cations and glucose. The sensing mechanism was computationally modelled to optimise its optical characteristics and predict the readouts. A single pulse of a laser (6 ns, 532 nm, 350 mJ) in holographic "Denisyuk" reflection mode allowed rapid production of sensors through silver-halide chemistry, in situ particle size reduction and photopolymerisation. The fabricated sensors consisted of off-axis Bragg diffraction gratings of ordered silver nanoparticles and localised refractive index changes in poly(2-hydroxyethyl methacrylate) and polyacrylamide films. The sensors exhibited reversible Bragg peak shifts, and diffracted the spectrum of narrow-band light over the wavelength range  $\lambda_{peak} \approx 500-1,100$  nm. The application of the holographic sensors was demonstrated by sensing pH in artificial urine over the physiological range (4.5–9.0), with a sensitivity of 48 nm/pH unit between pH 5.0 and 6.0. For sensing metal cations, a porphyrin derivative was synthesised to act as the crosslinker, the light absorbing material, the component of a diffraction grating as well as the cation chelating agent. The sensor allowed reversible quantification of Cu<sup>2+</sup> and Fe<sup>2+</sup> ions (50 mM-1 M) with a response time within 50 s. Clinical trials of a glucose sensor in the urine samples of diabetic patients demonstrated that the glucose sensor has an improved performance compared to a commercial high-throughput urinalysis device. The experimental sensitivity of the glucose sensor exhibited a limit of detection of 90 µM, and permitted diagnosis of glucosuria up to 350 mM. The sensor response was achieved within 5 min and the sensor could be reused about 400 times without compromising its accuracy. Holographic sensors were also tested in flake form, and integrated with paper-iron oxide composites, dyed filter and chromatography papers, and x Abstract

nitrocellulose-based test strips. Finally, a generic smartphone application was developed and tested to quantify colorimetric tests for both Android and iOS operating systems. The developed sensing platform and the smartphone application have implications for the development of low-cost, reusable and equipment-free point-of-care diagnostic devices.

## **Abbreviations**

Å Angstrom AAm Acrylamide Ag+ Silver ion  $Ag^{()}$ Silver metal AgNO<sub>3</sub> Silver nitrate AgClO<sub>4</sub> Silver perchlorate Silver bromide AgBr  $Au^0$ Gold metal

ATP Adenosine-5'-triphosphate
ADP Adenosine diphosphate
A Conjugate base of the acid

ATMA (3-Acrylamidopropyl)trimethylammonium chloride

3-APB 3-(Acrylamido)phenylboronic acid

2-APB 2-Acrylamidophenylboronate 5-F-2-MAPBA 2-Acrylamido-5-fluorophenylboronic acid

a.u. Arbitrary units

~ Approximately

°C Celsius

CL Chemiluminescence CCD Charge-coupled device

CH<sub>4</sub>N<sub>2</sub>O Urea

 ${\rm CI_{low}}$  Lower confidence bound  ${\rm CI_{high}}$  Upper confidence bound

CH<sub>3</sub>CN Acetonitrile

CMOS Complementary metal-oxide-semiconductor CIE International Commission on Illumination

CVD Chemical vapour deposition

Diameter

 $\Lambda$  or d Lattice spacing between the two consecutive layers

 $d_{ks}$  and  $d_{kss}$  Shortest distances to the sample point

D Dimension

DBAE 2-(Dibutylamino)-ethanol

 $\Delta \lambda$  Changes in Bragg peak position  $\Delta n_0$  Changes in effective refractive index

 $\Delta \Lambda$  Changes in grating period  $\Delta \theta$  Changes in the Bragg angle

DMPA 2,2-Dimethoxy-2-phenylacetophenone

DCC N,N'-dicyclohexylcarbodiimide
DMAP 4-(Dimethylamino)pyridine
DMSO Dimethyl sulphoxide

DCM Dichloromethane

DI Deionised

DNA Deoxyribonucleic acid n(t) Number of molecules bound

Ery Erythrocyte
Eq Equation

ECL Electrochemiluminescence EDMA Ethylene dimethacrylate

ESEM Environmental scanning electron microscopy
FT-IR Fourier transform infrared spectroscopy

FWHM Full width at half maximum

g Gram

GOx Glucose oxidase G-6-P Glucose-6-phosphate

G-6-PDH Glucose-6-phosphate dehydrogenase

h Hour

hv Incident light H+ Hydrogen ion

 $H_z$  Magnetic field strength  $H_{oz}$  Initial magnetic field strength

HCl Hydrochloric acid HeNe Helium Neon

HEMA 2-Hydroxyethyl methacrylate

'H NMR Proton Nuclear Magnetic Resonance

HK Hexokinase

HA Protonated form of the acid

HL7 Health level seven

I Normalised intensity distribution

I<sub>max</sub> Maximum intensity

IMCI Integrated Management of Childhood Illness

iOS Internet operating system

IDA Iminodiacetic acid

k Propagation constant or Integer (see context)

kV Kilovolt

KOH Potassium hydroxide

 $\begin{array}{lll} L & & \text{Litre or Free macrocyclic ligand (see context)} \\ \lambda_{peak} & & \text{Bragg peak of the 1st order diffracted light in vacuo} \\ \lambda_{h} & & \text{Change in the periodicity of the multilayer structure} \end{array}$ 

 $\lambda_{\infty}$  Wavelength at the infinite  $\lambda_{\text{shift}}$  Step Bragg peak shift  $\Delta\lambda$  Bragg peak shift  $\lambda_0$  Initial wavelength

LASER Light Amplification by Stimulated Emission of Radiation

LED Light-emitting diode
LiBr Lithium bromide
LDH Lactate dehydrogenase

Lue Leucocyte

LVDT Linear variable differential transformer

m Metre
M Molar
min Minute
mJ Millijoule

MBAAm N,N'-methylenebisacrylamide

MAA Methacrylic acid MHz Megahertz

M<sup>+</sup> Solvated metal ion

M<sup>+</sup>-L Metal-macrocyclic ligand pair

M<sup>+</sup>L Contact pair (ML)<sup>+</sup> Final complex MP Megapixel

n Effective index of refraction of the recording medium

ns Nanosecond n Sample size

N Newton or Number of functional groups (see context)

n(t) Rate of change of bound molecules  $N_{\rm g}$  Total number of glucose molecules  $N_{\rm f}$  Total number of boronic acid groups

NP Nanoparticle

 $Na_2HPO_4$  Sodium phosphate dibasic  $(NH_4)_2SO_4$  Ammonium sulphate

Nd:YAG Nd-Yttrium-Aluminum-Garnet

Na<sub>2</sub>S<sub>2</sub>O<sub>3</sub> Sodium thiosulphate Na<sub>2</sub>CO<sub>3</sub> Sodium carbonate

Na<sub>2</sub>HPO<sub>4</sub> Sodium phosphate dibasic

NaOH Sodium hydroxide

NAD<sup>+</sup> Nicotinamide adenine dinucleotide

NADH Nicotinamide adenine dinucleotide hydride

NaHCO<sub>3</sub> Sodium bicarbonate
OD Optical density

 $pK_a$  Acid disassociation constant

Ph Photochemistry

PBS Phosphate buffered saline PMMA Poly(methyl methacrylate)

PVA Poly(vinyl alcohol)
PBG Photonic band gap
PDMS Poly(dimethylsiloxane)

pHEMA Poly(2-hydroxyethyl methacrylate)

pAAm Poly(acrylamide)

R Ratio of the intensities of the reference and the object

r Position

RGB Red, green, blue

 $R_{\rm c}, G_{\rm c}, B_{\rm c}$  Non-linear red, green and blue values  $R_{\rm l}, G_{\rm l}, B_{\rm l}$  Linearised the red, green and blue values

R<sup>2</sup> Correlation coefficient RCA Rolling circle amplification

RI Refractive index rpm Revolution per minute

s Second

σ Standard deviation (see context)

 $\begin{array}{ccc} s_y & & \text{Standard of residuals} \\ s_m & & \text{Standard of slope} \\ s_b & & \text{Standard of intercept} \end{array}$ 

Si Silicon

SDK Software development kit SEM Scanning electron microscopy

t Thickness

 $\theta$  Angle of incidence of illumination or tilt angle (see context)

THF Tetrahydrofuran

TEM Transmission electron microscopy

TACPP 5,10,15,20-Tetrakis[4"-(3"'-(acryloyloxy) propoxy)phenyl-

4'-carboxyphenyl] porphyrin

4-VPBA 4-Vinylphenylboronic acid

W Watt

WD Working distance

WHO World Health Organisation  $x_m, y_m$  2D chromaticity values X, Y, Z Tristimulus values y Constant position

# **Contents**

1	Point	-of-Care Diagnostics	J				
	1.1	The Development of Rapid Diagnostics	9				
	1.2	Sensing Mechanisms	7				
		1.2.1 Colorimetric Reagents	7				
		1.2.2 Electrochemical Sensors	12				
		1.2.3 Colloidal Nanoparticles (NPs)	13				
		1.2.4 Chemiluminescence (CL)	13				
		1.2.5 Electrochemiluminescence (ECL)	14				
		1.2.6 Fluorescence	15				
		1.2.7 Genetically-Engineered Cells	15				
	1.3	Next Generation Diagnostics	15				
	Refere	ences	17				
2	Fundamentals of Holographic Sensing						
	2.1	Fabrication of Optical Devices	27				
	2.2	History of Holography	28				
	2.3						
		Sensors	32				
	2.4	Computational Modelling of Holographic Sensors					
		in Fabrication and Readout	37				
		2.4.1 Photochemical Patterning	37				
			39				
	2.5	Conclusions	45				
	Refere	ences	46				
3	Holog	graphic pH Sensors	53				
	3.1	Holographic pH Sensors via Silver-Halide Chemistry	53				
	3.2	Fabrication of Holographic pH Sensors Through					
		in Situ Size Reduction of Ag <sup>0</sup> NPs	55				

xii Contents

	3.3	Charac	Characterisation of Holographic pH Sensors 56				
		3.3.1	Microscopic Imaging of Holographic pH Sensors	57			
		3.3.2	Effective Index of Refraction Measurements	62			
		3.3.3	Angular-Resolved Measurements	63			
		3.3.4	Diffraction Efficiency Measurements	64			
		3.3.5	Polymer Thickness and Roughness Measurements	65			
	3.4	Optica	I Readouts	66			
		3.4.1	Holographic pH Sensors Fabricated Through				
			Silver Halide Chemistry	66			
		3.4.2	Holographic pH Sensors Fabricated Through				
			in Situ Size Reduction of Ag <sup>0</sup> NPs	68			
		3.4.3	Interference Due to Metal Ions	69			
		3.4.4	Ionic Strength Interference in pH Measurements	70			
		3.4.5	Sensing pH in Artificial Urine	71			
		3.4.6	Paper-Based Holographic pH Sensors	72			
	3.5	Discus	sion	77			
	Refe	rences		80			
4	Holo		Metal Ion Sensors	85			
	4.1		ation of Holographic Metal Ion Sensors				
		via Ph	otopolymerisation	86			
	4.2	Optica	l Readouts	89			
		4.2.1	Organic Solvents in Water	89			
		4.2.2	Quantification of Cu <sup>2+</sup> and Fe <sup>2+</sup> Ions				
			in Aqueous Solutions	89			
	4.3		isions	93			
	Refe	rences		94			
-	** 1		CI C	101			
5			Glucose Sensors.	101			
	5.1		es Mellitus	101			
	5.2	_	raphic Glucose Sensors	103			
	5.3	Computational Modelling of Holographic Glucose Sensors 1					
	5.4	Fabrication of Holographic Glucose Sensors					
	5.5	_	raphic Glucose Sensors for Urinalysis	108			
		5.5.1	Holographic Glucose Sensor Readouts	110			
		5.5.2	Holographic Glucose Sensor Readouts				
		-	in Artificial Urine	112			
		5.5.3	Lactate and Fructose Interference	115			
		5.5.4	Interference Due to Osmolality	117			
		5.5.5	Tuning of the Wavelength Shift Range				
			of the Holographic Glucose Sensor	118			
		5.5.6	Exposure Bath to Tune the Base Position				
			of the Brance Book	110			

	5.6 5.7 5.8 5.9 Refe	Kinetic Theory for Hydrogel Swelling.  Quantification of Glucose Concentration in Urine  Lactate and Fructose Interference  Conclusions  rences.	120 122 125 128 130				
6	Mob	Mobile Medical Applications					
	6.1	Global Health and Mobile Medical Applications	135				
	6.2	A Smartphone Algorithm for the Quantification					
		of Colorimetric Assays	138				
		6.2.1 Calibration of the Application	138				
		6.2.2 User Interface of the Smartphone Application	140				
		6.2.3 Colorimetric Measurements	141				
	6.3	Conclusions	145				
	Refe	rences	146				
7	The	Prospects for Holographic Sensors	149				
,	7.1	The Development of Fabrication Approaches	149				
	7.2	Ligand Chemistry	152				
	7.3	Multiplexing Holographic Sensors with Microfluidic	102				
	7.16	Devices	155				
	7.4	Readouts with Smartphones and Wearable Devices	156				
	7.5	The Vision for Holographic Sensors	158				
	Refe	rences	159				