

## Sustainable Communities Design Handbook

Green Engineering, Architecture, and Technology

Woodrow W. Clark, II, Ph.D





Butterworth-Heinemann is an imprint of Elsevier 30 Corporate Drive, Suite 400, Burlington, MA 01803, USA The Boulevard, Langford Lane, Kidlington, Oxford, OX5 1GB, UK

Copyright © 2010 Elsevier Inc. All rights reserved.

No part of this publication may be reproduced or transmitted in any form or by any means, electronic or mechanical, including photocopying, recording, or any information storage and retrieval system, without permission in writing from the publisher. Details on how to seek permission, further information about the Publisher's permissions policies and our arrangements with organizations such as the Copyright Clearance Center and the Copyright Licensing Agency, can be found at our Web site: www.elsevier.com/permissions

This book and the individual contributions contained in it are protected under copyright by the Publisher (other than as may be noted herein).

#### Notices

Knowledge and best practice in this field are constantly changing. As new research and experience broaden our understanding, changes in research methods, professional practices, or medical treatment may become necessary. Practitioners and researchers must always rely on their own experience and knowledge in evaluating and using any information, methods, compounds, or experiments described herein. In using such information or methods they should be mindful of their own safety and the safety of others, including parties for whom they have a professional responsibility.

To the fullest extent of the law, neither the Publisher nor the authors, contributors, or editors, assume any liability for any injury and/or damage to persons or property as a matter of products liability, negligence or otherwise, or from any use or operation of any methods, products, instructions, or ideas contained in the material herein.

#### Library of Congress Cataloging-in-Publication Data

Sustainable communities design handbook: green engineering, architecture, and technology/Woodrow Clark II, author and editor.

p. cm.

Includes bibliographical references.

ISBN: 978-0-12-810204-6

- 1. Environmental policy. 2. Sustainable development. 3. Green technology.
- Sustainable architecture.
  Sustainable engineering. I. Clark, Woodrow W. II. Title. GE170.S875 2010

333.79—dc22 2010012045

#### British Library Cataloguing-in-Publication Data

A catalogue record for this book is available from the British Library.

For information on all Butterworth-Heinemann publications visit our Web site at www.elsevierdirect.com

Printed in the United States of America 10 11 12 13 14 10 9 8 7 6 5 4 3 2 1

## Working together to grow libraries in developing countries

www.elsevier.com | www.bookaid.org | www.sabre.org

**ELSEVIER** 

BOOK AID

Sabre Foundation

## Sustainable Communities Design Handbook

## **ADVANCE PRAISE**

# Sustainable Communities Design Handbook

After authoring over a dozen books throughout my career, I appreciate and admire such a book as *Sustainable Communities Design Handbook*, since it identifies and brings applied skill sets in line with actual needs for our communities of any kind to become sustainable. This book represents a landmark for others to follow. Communities of all kinds need the tools that the book discusses in order to stop climate change.

Jeremy Rifkin Founder/CEO, Foundation on Economic Trends Bethesda, Maryland

My entire career has been dedicated to understanding, operating, and now regulating the energy sector. In many ways, both Edison (as CEO for a decade), in the private energy sector (started an energy company) and now CPUC (as Chair for another decade) has meant that I needed some knowledge about each of the chapters in the book. From law to economics to technologies with engineering and designing, in order to make communities sustainable there a number of different skill sets. The *Handbook* should be on everyone's shelf or computer as a reference, a guide with tools and inspiration that sustainable communities are and have been achievable.

Mike Peevey Chair, California Public Utilities Commission San Francisco, California

Woody and I have known each other for over two decades. We have been in the trenches together working on bringing renewable energy systems to local on-site use for generating power. Our first meetings in the 1990s began with the UN IPCC's Third Assessment Report and the Special Report on Technology Transfer. Since then, while going our different ways, we have stayed in constant touch. The Sustainable Communities Design Handbook represents yet another milestone in his career, but even more

significantly an advance in the field of systems sustainability. In his latest work the field now has a guidebook that documents and explains the use of academic skills in the actual real world in order to stop and reverse climate change. This applied academic handbook to mitigate global warming/cooling is long overdue and needed by everyone. Let there be more.

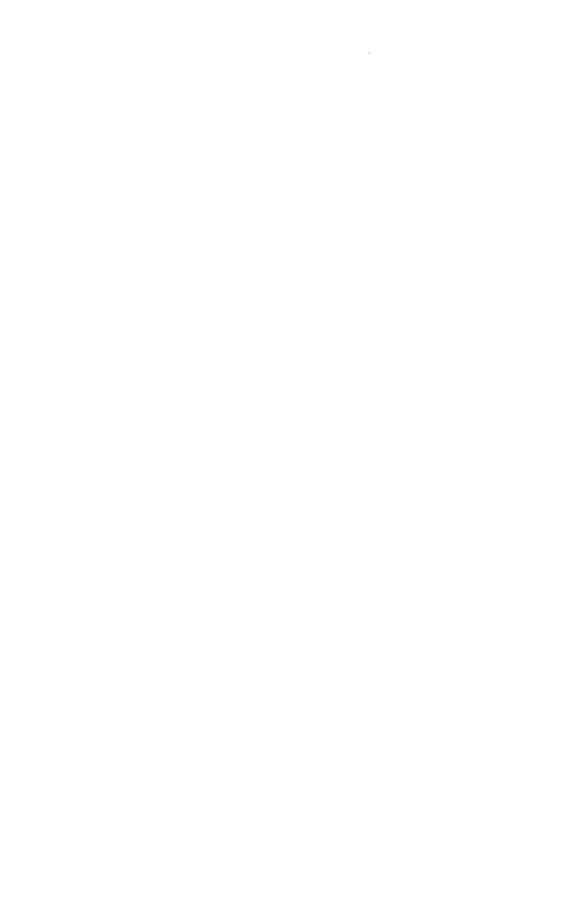
Dan Kammen, Ph.D Class of 1935, Distinguished Professor of Energy, and Founding Director, Renewable and Appropriate Energy Laboratory University of California, Berkeley Berkeley, California

### **ABOUT THE EDITOR**



### Woodrow W. Clark, II, MA3, Ph.D.

Dr. Clark was Senior Policy Advisor to California Governor Gray Davis (2000–2003) for renewable energy reliability. He was formerly Manager of Strategic Planning/Implementation at Lawrence Livermore National Laboratory. He is a qualitative economist who is the Managing Director of Clark Strategic Partners, in Los Angeles, CA.



## **CONTRIBUTORS**

#### Grant Cooke, MJ

Cooke is the founder of Sustainable Energy Associates, an energy efficiency engineering firm in northern California. Originally a journalist, he is an entrepreneur and marketing professional with 30 years of management, business development, sales, and communications experience. He joined his first start-up in 2005 and helped it catch the surge that evolved into California's \$3 billion energy efficiency industry. With his strong business and communications skills, Cooke helped the company grow to 30 employees and \$100 million in projects in less than five years. As a pioneer in the energy efficiency industry, he helped shape California's energy efficiency program as it evolved into the world's largest, including some of the nation's premier energy efficiency programs. Besides his work in energy efficiency, he opened up key business areas such as water conservation, energy renewables, and sustainability. His degrees are from the University of California.

#### Sierra Flanigan

Sierra is a recent college graduate and a young aspiring agent for change. She works as the Campus Programs Coordinator for EcoMotion Inc., an energy and environmental consulting firm. Sierra and her colleagues assist schools of all kinds to broaden and strengthen campus sustainability, a concept and practice near and dear to her heart.

#### Alison Gangl, CRM

Alison has been with Schneider Electric for five years, working closely with schools and colleges in Washington and California providing energy conservation projects through performance contracting.

#### Michael F. Hoexter, Ph.D.

Terraverde Consulting.

#### Andrew Hoffmann

Technical Associate, Energy and Engineering Group, Los Angeles Community College District.

#### Maria Ignatova, CFA

Ms. Maria Ignatova is a manager at Sanli Pastore & Hill, Inc. Ms. Ignatova is a Chartered Financial Analyst (CFA) Charterholder, and received her Bachelor in Commerce and Business Administration (BComm). She has been a consultant on numerous large scale renewable energy projects since joining the firm in 2006.

#### A.J. Jin, Ph.D.

Dr. AJ Jin has passion for bringing renewable energy products into the market at high efficiency and low cost. He founded Suzhou Renewable Energies Technology Ltd. and has invented a solar electricity product, the portable direct solar thermal electricity generator.

#### Ben Johnson, PE, LEED AP, CEM

Ben has worked for Schneider Electric for five years; in 2007, he helped launch their performance contracting presence in California, and currently leads the development and engineering operations for the state.

#### Calvin Lee Kwan

Environmental Science and Engineering Program, School of Public Health, University of California, Los Angeles.

#### Henrik Lund

Aalborg University, Denmark.

#### Christine S.E. Magar, AIA, LEED AP

Since joining the USGBC National LEED Steering Committee in 2001, Christine has been instrumental in steering the development of the LEED Green Building rating system. While the organization's LEED Core & Shell rating system was being developed she was vice-chair of Core & Shell Committee. She was also chair of the AIA-LA Committee on the Environment, and has served on the LAUSD High Performance Schools Working Group, CHPS Technical Committee, City of Seattle's Green Building Team, and the City of LA Sustainable Design Task Force. Her current role as President of Greenform, a Los Angeles-based woman business enterprise, allows her to remain dedicated to managing people, ideas, and decision-making in the area of sustainable design. Christine directs Greenform's efforts as a sustainability consultant, assisting clients by identifying and implementing sustainability goals for their organization and building a portfolio from a holistic and integrated point of view.

#### Scott G. McNall

Executive Director, The Institute for Sustainable Development, California State University, Chico.

#### Poul Alberg Østergaard

Aalborg University, Denmark.

#### Thomas Pastore, ASA, CFA, CMA, MBA

Mr. Thomas E. Pastore is Chief Executive Officer and co-founder of Sanli Pastore & Hill, Inc. Mr. Pastore is an Accredited Senior Appraiser (ASA), Business Valuation Discipline, of the American Society of Appraisers, a Chartered Financial Analyst (CFA) Charterholder, a Certified Management Accountant (CMA), and received his Masters in Business Administration (MBA). He has valued over 2,000 businesses during his career, including numerous energy and clean technology companies. He regularly testifies in court as an expert witness. Mr. Pastore frequently speaks on business valuation to professional organizations.

#### Don Schultz

Dr. Schultz was an Analyst for the California Office of Ratepayer Advocates for over 20 years. He had been an advisor to the California Energy Commission when it was first formed in the mid-1970s and participated in the early *Standard Practices Manual* released by the California Public Utilities Commission and the California Energy Commission. He retired from California state service in 2009.

#### Arnie Sowell

Mr. Sowell was the Assistant Deputy Secretary for the California Consumer Affairs Agency. He had been a project analyst for the California State Legislature and advisor to elected officials on revenue, budget, and management issues. Currently, he is the Policy Advisor to California State Senate President, Karen Bass.

#### Douglas N. Yeoman

Parker & Covert LLP, Tustin, California.

### PREFACE

This book reflects at least a decade (1990s into the 21st Century) of my applied work in the environmental and energy sectors, both of which are critical in understanding and making sustainable development for any community.

For any author, the creation of a book and what needs to be done to finish it in a timely manner are significant. And, in this case, authoring a book does not pay anything, certainly not enough for the time that is spent on it. However, what most people do not understand is that the creation of a book takes time and is thoroughly reviewed by the publisher. Elsevier Press, with whom I have had a decade of peer review relationships for a book (*Agile Energy Systems*, about the global lessons learned from the California energy crisis, 2004) and several journals in the energy sector to which I have been a contributor as well as associate editor and special edition editor.

The peer review process was extensive for this book. Sustainable development, as a field, has developed and hence created the need for mechanisms or tools in which to implement sustainable buildings, communities, and regions as well as nation-states. A key issue is how to define sustainable development (which comes in Chapters 1 and 2) and identify what these mechanisms are. Given my experience, the mechanisms include technologies (storage devices and wireless smart grids), standards (such as Leadership in Energy, Environmental Design from the U.S. Green Building Council), economics and accounting, including finance, that are created as legal contracts (power purchase agreements and feed-in tariffs) as well as architecture and design for buildings and their surroundings, including transportation, water, and waste systems.

This book contains chapters on each of these topics. I purposely avoided chapters on each topic but rather have them include the application of the mechanisms. After Chapter 1 introduces the book, Chapter 2 discusses the Third Industrial Revolution. In other words, the world today is moving rapidly from the Second Industrial Revolution of fossil fuels and nuclear power to renewable energy, new technologies, and smart communities. This dramatic industrial change affects and guides the "paradigm shift" that sustainable development represents.

Hence, Chapters 3–11 reflect these basic concerns as to applied mechanisms and tools covering the setting of public policy (Scott McNall), technologies (Alison Gangl, Ben Johnson, Calvin Kwan, Andrew Hoffman, and I),

design and architecture (Christine Magar), economics and accounting (Tom Pastore, Arnie Sowell, Don Schultz, and I) to legal and contract areas (Doug Yeoman) and how the communities are connected through smart grids (Jerry Jin).

In particular, however, I wanted to "push the envelope." Therefore there is a chapter (13) from a recent college graduate (Sierra Flannigan) on her experiences as a student creating viable, organic agricultural products. I also include a chapter (14) on a city in Denmark by Henrik Lund and Poul Alberg Østergaard so the reader can see how a nation like Denmark has gotten ahead of the sustainable development curve to power an entire city on 100% renewable energy. These examples are critical for understanding on how society needs to both empower itself (especially the youth of today) and have successful examples that work in the real world.

I thank, in particular, Ken McCombs, from the publisher's office at Elsevier. He has been both an inspiration and motivation for this book. Ken not only came through after I had to respond to six reviewers and answer their questions but also kept after his staff and me to perform. Like me, Ken sees this book as a standard manual, which has useful tools for all practitioners, but also will be available online and as a series in the future. Indeed, I hope so. This topic will change, as it should. The chapters will need to be revised, as they should. And the content will expand, as it should. The biggest issue will be to measure the positive impact on our environment and communities. That will generate a whole new area of literature, data, and analyses. All of this is needed, *not* later but *now*.

In that context, I am dedicating this book to my wife Andrea and our son Paxton. Without their total support and encouragement (hard for a two year old, but he has certainly done that) the book would not have been completed. I especially want this book to help my son in his future life, because my generation (the Baby Boomers) left him with a world that is becoming increasingly environmentally polluted and economically dysfunctional. The solution is in sustainable developed communities—that is, ones that are transformed, rebuilt, or even created so as *not* to violate or harm their environment or others around them or far away. What we do locally, affects others globally. We need to stop now and implement the solutions that exist and will be created in the near future.

## CONTENTS

Advance Praise			X	
	About the Editor			
Contributors				
Pre	face		XVI	
1.	Intr	oduction	1	
	Woo	drow W. Clark, II		
	Refe	rences	8	
2.	The	Third Industrial Revolution	9	
		drow W. Clark, II and Grant Cooke		
		ntroduction	9	
		How Do Communities and Nations Move Ahead?	13	
		What Is a Renewable Energy Power Source?	16	
		rences	22	
	nere	erices	22	
3.	Public Policy and Leadership: "We Have Met the Enemy and			
	He I	s Us"	23	
	Scot	t G. McNall		
	3.1	The Energy Efficiency Gap	26	
	3.2	People Do Not Make Rational Choices	27	
	3.3	Human Beings Want to Make Sense of the World	28	
	3.4	People Can Worry About Only a Limited Set of Factors at Any Given Time	32	
	3.5	People Focus on Short-Term, Not Long-Term, Threats	32	
	Refe	rences	41	
4.	Ach	ieving More with Less: The State of Energy		
		servation and Energy Efficiency	45	
		ael F. Hoexter		
		Introduction	45	
		Electrical Energy Efficiency: Generating "Negawatts"	49	
		Utility Revenue Decoupling and Energy Efficiency	50	
		Green Design: Guiding Natural Energy Flows	51	
		Near-Zero, Net-Zero, and Plus-Energy Buildings	53	
		Electricity and Energy Efficiency Retrofits of Existing Buildings	55	
		Efficient Lighting: Efficient Fluorescents, Induction Lighting, and LEDs	56	
		Heat Pumps: Ground Source and BTES Linked	56	
		Key Technologies for More Energy Efficient, Eventually Carbon-Neutral		
		Living	58	

vi	Contents	

	4.10 Quality Assurance and Certification in Energy Efficiency	59
	4.11 Energy Efficiency in Transport: Short-Term and Long-Term Solutions	59
	4.12 Price Signals and Energy Efficiency	62
	4.13 Conclusion: Energy Efficiency in the United States Today	62
	References	62
5.	Renewable Energy	65
	Joe Katenbacher	03
	5.1 Introduction: Energy Use in the United States	65
	5.2 Wind	67
	5.3 Solar	70
	5.4 Geothermal	73
	5.5 Biopower	76
	5.6 Marine	78
	5.7 Advanced Renewables Deployment	
	5.8 Summary	78
	5.6 Summary	80
6.	How Energy Conservation Fits in an Existing Facilities	
	Master Plan: A Case Study	83
	Alison Gangl and Ben Johnson	
	6.1 Introduction	83
	<b>6.2</b> Getting Started	84
	6.3 Investment Grade Audit	86
	6.4 Installation	92
	<b>6.5</b> Measurement and Verification	95
	6.6 Conclusion	97
	References	97
/.	Life-Cycle Analysis: The Economic Analysis of Demand-Side	00
	Programs and Projects in California	99
	Don Schultz, Woodrow W. Clark, II, and Arnie Sowell with the California State Government Green Accounting Team (2001–2003) of forty active members	
	7.1 Preface	100
	7.2 Introduction	101
	7.3 Basic Methodology	103
	7.4 Participant Test	110
	7.5 Ratepayer Impact Measure Test	115
	7.6 Total Resource Cost Test	121
	7.7 Program Administrator Cost Test	126
	Reference	129

	Contents	vii
	Appendix a Inputs to Equations and Documentation	129
	Appendix b Summary of Equations and Glossary of Symbols	131
	Appendix c Dervivation of RIM Life-Cycle Revenue Impact Formula	136
8.	Life-Cycle and Cost-Benefit Analyses of Renewable Energy: The Case of Solar Power Systems	139
	Thomas Pastore and Maria Ignatova	
	8.1 Introduction	139
	8.2 A Successful Energy Plan	140
	8.3 Due Diligence Procedures	140
	8.4 Life-Cycle Analysis of a PV System from a Financial Perspective	141
	<b>8.5</b> Financing Structures	143
	8.6 Measuring Savings from a PV System	144
	8.7 Conclusion	148
٥	Public Buildings and Institutions: Solar Power as a	
Э.	Solution: Legal Mechanisms for Sustainable Buildings	149
	Douglas N. Yeoman	
	9.1 Alternative Energy Policy	149
	9.2 Legal Mechanisms Facilitating Development of Alternative Energy Sources	150
	9.3 Treatment of Environmental Incentives	161
10.	Seven Principles for Interconnectivity: Achieving Sustainability in	
	Design and Construction	165
	Christine S. E. Magar	
	10.1 Introduction	165
	10.2 Some of Today's Most Influential Sustainable Design Maxims	166
	10.3 A New Set of Principles	168
	10.4 Principle 1. Building Independence	170
	10.5 Principle 2. Building Natural Form	171
	10.6 Principle 3. Building Service	172
	10.7 Principle 4. Building Interconnectivity	172
	10.8 Principle 5. Building Adaptability	173
	10.9 Principle 6. Building Performance	174
	10.10 Principle 7. Building Independence	175
11.	The Los Angeles Community College District:	
	Establishing a Net-Zero Energy Campus	181
	Calvin Lee Kwan and Andrew Hoffmann	
	11.1 Introduction	181
	11.2 Background	182

	11.3 Goals and Objectives	184
	11.4 Importance of This Study	184
	11.5 Renewable Energy Options	185
	11.6 LACC Current Situation	188
	11.7 Projected 2015 Campus Energy Demand and Consumption	201
	11.8 LACC Solar Insolation	202
	11.9 Solar PV Array and Setup	204
	11.10 Discussion	209
	11.11 Conclusion	210
	Appendix a Map of LA City College Campus Indicating	
	Previous, Current, and Planned Renovations / Construction	212
	Appendix b LADWP Energy Rates as of October 1, 2009 – Specific for	
	LACC Operations	213
	References	214
12	Transformational Relationship of Renewable Energies	
12.	and the Smart Grid	217
	A. J. Jin	217
	12.1 Introduction	217
	12.2 Solar Electricity Systems and their Relationship with the Grid	217
	12.3 Wind Power	223
	12.4 Data Response and Power Transmission Lines	226
	12.5 The Smart Grid and a Market Solution	228
	Acknowledgments	231
	References	231
13.	Clarifying American Values through Sustainable Agriculture	233
	Sierra Flanigan	
	13.1 The Murphy Apple Orchard	233
	13.2 The SAD Truth	242
	13.3 A New Beginning	243
	13.4 Intercampus Produce Exchange	244
	13.5 Appreciating the Value of Food	245
14	Climate Change Mitigation from a Bottom-up	
17.	Community Approach	247
	Poul Alberg Østergaard and Henrik Lund	
	14.1 Introduction	247
	14.2 Energy Demand in Fredrikshavn	250
	14.3 Current Energy System in Fredrikshavn	253
	14.4 Energy Resources and Energy Scenario for Fredrikshavn	256
	Z	230