

简明法学案例丛书(影印版)

briefcase on the
**LAW OF
EVIDENCE**

证据法简明案例

(第二版)

(Second Edition)

爱德华·菲利普斯

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本书导读

客观真实和法律真实存在着明显的差异,这一点现在应该是没有争议的了。但这个基本的常识性问题在中国理论和实务界却长期不被人理解。我们的教科书和各种宣传性材料都强调在法律的运用过程中必须以事实为根据,以法律为准绳,这里的事实就是客观事实。我们一般认为,通过发挥伟大理论武器的作用,发挥人的主观能动性,我们能够完全认识到案件的客观真实,从而最终实现正义。这实际上误解了法律真实与客观真实的内涵,也是对法律的基本模式和正义品格的最大误读。实际上,由于诉讼是回溯性的,是对发生的争议事实的法律确认。因此,尽管我们运用各种努力,我们都无法达致真正的客观事实。客观事实作为一种事实是客观存在的,不依我们的意志为转移,也不因我们能否认识到而消长。但作为法律事实的事实,必须是证据证明的事实,只有经过证据证明的事实才能进入法学的视野,接受法律规范的选择与评价。而且,根据证据法的规定,即使是被证据证明的事实,如果由于不符合法律规定和取得的方式不当,也会否定其为法律事实的资格。因此,客观事实和法律事实是内含不同的两个概念,蕴涵着不同的价值取向。正如日本著名的法学家团藤重光所说:“真正绝对的真实,只有在神的世界才能存在”,“审判中事实的认定,要求尽可能接近神所看到的真实(实体真实)”,“设想了只有神才知道的真的‘事实’,在诉讼中尽可能接近它,这是实体真实主义,而这可以说只不过是观念性的设想而已”。正是由于客观事实和法律事实的差异,世界上不存在绝对的正义,所谓的正义也只有在相对的范围内才能存在。由于正义的相对性,加之正义之于人的重要价值以及人对正义的期望,西方国家在实践正义的过程中不断强调程序正当的重要性,主张在正当程序的过程中实现正义的最大化。

我国素来就有重实体轻程序的传统,表现在司法实践中,就是强调程序的工具性,程序自身不具有内在价值。程序的有用性在于为实体权利、义务的实现而服务,为了实体性权利、义务的实现,即使牺牲程序也不足惜。体现在刑事诉讼法中,就是主张口供是无冕之王,强调通过刑讯逼供以及其他非法手段取得证据来实现犯罪的追究。这种观念在我国现代民主文明的法治国家里得以部分纠正,但其遗毒影响却是深远的,某些做法甚至还被我国现阶段某些司法人员奉为圭臬。当然,这种情况正在改善,我国证据法的制

定工作已经提上日程,学者们对于证据法的制定已经提出了各种主张。我们认为,对于西方先进的证据法研究成果仔细体会其技巧、消化其原理,对提升我国证据法的学术质量与实践品格是大有作为的。

摆在读者面前的就是这样一本好书。它深入浅出、寓例子于教,通过典型的案例,详细勾画了英国关于证据分析和确认的高超技巧,对证据法的重大问题(如证据的可采性、非法证据的排除、证人证言、自认、相同事实证据规则、证人品格以及补强证据等)进行了系统而具体的解读,是一部适合初学者了解英美法系证据法的快速入门的实用教材。

本书目录、案例和索引由武汉大学法学院赵慧博士翻译,由于译者水平有限,错误在所难免,请方家不吝指教。

译 者

2004年5月

Contents

<i>Table of Cases</i>	11
<i>Table of Statutes</i>	19
1 Preliminary Matters	1
1.1 Relevance	1
1.2 Judicial discretion	3
2 Burden and Standard of Proof	9
2.1 Burden of proof in criminal cases	9
2.2 The burden of proof in civil cases	14
2.3 Standard of proof in criminal cases	17
2.4 The standard of proof in civil cases	20
3 Facts Which Need Not be Proved	25
3.1 Presumptions	25
3.2 Judicial notice	30
4 Competence and Compellability of Witnesses	33
4.1 The defendant in criminal cases	33
4.2 The co-defendant in criminal cases	41
4.3 The general rule in civil cases is that all persons, including the parties, are competent and compellable	43
4.4 The general rule in criminal cases is that all persons are competent	44
4.5 Spouses in criminal cases	44
4.6 The competence of children as witnesses depends upon the discretion of the trial judge	47
4.7 Witnesses under a disability	49
4.8 The trial judge in criminal cases has a right to call witnesses not called by either the prosecution or the defendant	51
4.9 A witness who is outside the UK may be permitted to testify through a live television link under s 32 of the Criminal Justice Act 1988	51

5 Presentation of Oral Testimony:	
Examination of Witnesses	53
5.1 Witnesses testifying in court are required to take the oath or make an affirmation	53
5.2 Special measures to be taken in cases of vulnerable and intimidated witnesses	54
5.3 Parties are free to call as witnesses whomsoever they choose; in criminal cases, the prosecution must make available to the defence any witnesses the prosecution does not intend to call	55
5.4 In civil cases witnesses may be called in any order; certain constraints exist in criminal cases	57
5.5 The trial judge in criminal cases may, in certain circumstances call witness on his own motion; in civil cases, this power is restricted	58
5.6 Examination-in-chief	59
5.7 Cross-examination	67
6 Admissibility of Opinion Evidence	77
6.1 The general rule is that the opinions, beliefs and inferences of a witness are inadmissible	77
6.2 Exceptional situations where opinion evidence is admissible	79
6.3 A witness who is deemed to be an expert may give opinion evidence	80
6.4 In cases of conflicting opinion evidence, it is for the trial judge to determine how that conflict is to be resolved. This may be to prefer the opinion of one, or to reject both	84
6.5 Expert witnesses have certain responsibilities, including that of disclosure	85
7 The Rule against Hearsay Evidence:	
Common Law Exceptions	87
7.1 The general principle is that hearsay evidence is inadmissible	87
7.2 The common law has developed a number of exceptions whereby hearsay statements may be admitted	92

8 Statutory Exceptions to Hearsay Evidence in Civil Cases	101
8.1 The hearsay rule has been abolished for most purposes in civil cases by the Civil Evidence Act 1995. Prior to the 1995 Act, wide reaching exceptions to the hearsay rule in civil cases were enacted in the Civil Evidence Act 1968	101
8.2 Statements admissible under the 1968 Act are covered by ss 2, 4, 5 and 9	102
8.3 Consequent upon the abolition of the hearsay rule in civil cases by the 1995 Act, certain safeguards have been included	108
8.4 Special statutory provisions allow for the admissibility of hearsay evidence in relation to matters concerning the welfare of children	109
9 Statutory Exceptions to Hearsay Evidence in Criminal Cases	111
9.1 Wide reaching exceptions to the hearsay rule have been enacted in a series of statutes, notably in the Criminal Justice Act 1988	111
9.2 The discretion of the court in deciding admissibility is preserved by ss 25 and 26 of the 1988 Act	117
9.3 Hearsay statements admitted under the 1988 Act must be proved in the required manner	124
9.4 Documentary evidence produced by computer	124
10 Confessions	125
10.1 An adverse admission relevant to the issue of guilt may be admissible in criminal cases as a confession	125
10.2 The current position is that a confession must satisfy the requirements of s 76 of the Police and Criminal Evidence Act 1984 in order to be admissible	127
10.3 In addition to the grounds of exclusion under s 76, a confession may also be excluded through the exercise of judicial discretion	132
10.4 Evidence yielded by an inadmissible confession may be nonetheless admissible	139
10.5 Confessions made by the mentally handicapped and by children are specially regulated because of their vulnerability	141

10.6	The admissibility of a confession is determined through the holding of a <i>voir dire</i>	144
11	Similar Fact Evidence	147
11.1	Evidence that the defendant has, on other occasions, behaved in the same way that he is alleged to have behaved on the current occasion may be admissible as similar fact evidence	147
11.2	Similar fact evidence is admissible if it can be shown that the evidence is relevant and not excluded on some other ground	148
11.3	Similar fact evidence may also be adduced in civil cases	154
12	Character	157
12.1	The general rule is that evidence of good character is admissible	157
12.2	The general rule is that evidence of bad character is inadmissible, unless it comes within one of the exceptions	160
12.3	Character evidence of the defendant in criminal trials may be admissible under the terms of the Criminal Evidence Act 1898	161
13	Corroboration	173
13.1	Corroborative evidence is evidence that supports or confirms other evidence that has been adduced in a particular case	173
13.2	Corroboration is always advisable as a matter of weight or of good practice	174
13.3	In exceptional situations, corroboration may be required as a matter of law prescribed by statute	174
13.4	In certain exceptional situations, there must be a mandatory warning to the jury of the danger of acting on evidence that has not been corroborated	175
13.5	The rules requiring either corroboration or a mandatory warning in the case of certain 'suspect' categories of witnesses have been abolished	175
13.6	In all other cases, it is a matter for judicial discretion as to whether a warning should be given to the jury of the dangers of acting without corroborative evidence	177
<i>Index</i>		181

目 录

案例一览表	11
法规一览表	19
1 基础问题	1
1.1 相关性	1
1.2 司法裁量权	3
2 证明责任和证明标准	9
2.1 刑事案件中的证明责任	9
2.2 民事案件中的证明责任	14
2.3 刑事案件中的证明标准	17
2.4 民事案件中的证明标准	20
3 无须证明的事实	25
3.1 推定	25
3.2 司法认知	30
4 证人的作证能力与强制作证的可行性	33
4.1 刑事案件中的被告	33
4.2 刑事案件中的共同被告	41
4.3 民事案件中的一般规则是所有人(包括当事人双方在内) 都有作证能力和强制作证的能力	43
4.4 刑事案件中的一般规则是所有人都有作证能力	44
4.5 刑事案件中的配偶	44
4.6 未成年人的作证能力取决于审判法官的自由裁量	47
4.7 残疾证人	49
4.8 刑事案件中的审判法官有权传唤控方和被告 均没有传唤到庭的证人	51
4.9 不在英国的证人根据刑事证据法(1988年)第32条	

享有通过现场视频作证的权利	51
5 口头证言的提交:证人的审查	53
5.1 在法庭作证的证人要求宣誓	53
5.2 针对易受攻击的和受恫吓的证人采用的特殊措施	54
5.3 当事人双方有权传唤他认为合适的证人;刑事案件中, 控方必须尽可能提供自己不愿意传唤的任一证人给辩方	55
5.4 在民事案件中,证人可以按任意顺序传唤; 但在刑事案件中存在某些限制	57
5.5 刑事案件中的审判法官在一定的情况下可以根据自己的 意志传唤证人;在民事案件中,该权力受到限制	58
5.6 主询问	59
5.7 交叉询问	67
6 意见证据的可采性	77
6.1 一般规则是证人的意见、信念和推论都是不可采的	77
6.2 意见证据可采性的例外情形	79
6.3 作为专家的证人可以提交意见证据	80
6.4 在意见证据有冲突的场合,由法官决定如何解决该冲突, 法官可能倾向采纳一方或者两方都拒绝采纳	84
6.5 专家证人负有一定的责任,包括披露的义务	85
7 禁止传闻证据的规则:普通法上的例外	87
7.1 一般原则是传闻证据不具有可采性	87
7.2 在普通法上已经发展了一些关于例外情形,在这些情形下, 传闻陈述可以作为证据使用	92
8 在民事案件中对于传闻证据的制定法例外	101
8.1 传闻证据规则因为其大多数目的已被 1995 年民事证据法所 废止。在 1995 年民事证据法前,根据 1968 年民事证据法广泛 地存在着一些传闻证据的例外情况	101
8.2 根据 1968 年民事证据法,陈述具有可采性,这些规定被 1995 年民事证据法第 2 条、第 4 条、第 5 条和第 9 条所吸收	102

8.3	由于 1995 年民事证据法取消了民事案件中的传闻证据, 一些相应的措施已经在该法中作了规定	108
8.4	特别的制定法规定许可在涉及儿童福利的事实时 使用传闻证据	109
9	刑事案件中关于传闻证据的制定法例外	111
9.1	广泛的传闻证据规则例外情况被一系列法规所承认,特别是 1988 年刑事司法法	11
9.2	法庭决定传闻证据的可采性的自由裁量权被 1988 年刑事审 判法第 25 条和第 26 条所保留	117
9.3	根据 1988 年刑事审判法,传闻陈述具有可采性必须要证明 符合规定的形式	124
9.4	被计算机产生的书面证据	124
10	自认	125
10.1	与有罪相关的相反承认在刑事案件中作为 自认可以作为证据	125
10.2	目前的情况是,自认要想得到承认,必须满足 1984 年警察与 犯罪证据法第 76 条的规定	127
10.3	除了第 76 条规定的排除理由外,自认还可能在法官的 自由裁量中被排除	132
10.4	根据不承认的自认所得到的证据可以作为证据使用	139
10.5	精神障碍的人和未成年人所作的自认由于他们的脆弱性 必须进行特殊的审查	141
10.6	自认的可采性由预先审查所决定	144
11	相同事实证据	147
11.1	被告以前在其他场合的行为方式,与其被指控在现场作出的 行为方式一样的证据,可以作为相同事实证据 具有可采性	147
11.2	相同事实证据,如果其能表明该证据具有相关性或者在另外 一些场合不能排除的话,具有可采性	148
11.3	相同事实证据也可以在民事案件中提出	154

12	品 格	157
12.1	一般规则是,良好品格的证据具有可采性	157
12.2	一般规则是,不良品格的证据不具有可采性,除非其 来自一些例外情况	160
12.3	在刑事案件中,被告的品格证据根据 1898 年刑事证据法 的相应条款可作为证据使用	161
13	补强证据	173
13.1	补强证据是指能够支持或者确认在特定案件中被提交的 其他证据的证据	173
13.2	补强证据因其证明力或者具有良好实践效果 而经常被建议使用	174
13.3	在例外的情形下,补强证据可能要求符合法律规定	174
13.4	在某些例外的情形下,对没有得到补强证据证明的证据,其使 用的危险性必须向陪审团发出强制性的警告	175
13.5	在某些可疑证人的证据中需要补强证据或者作出强制性 的警告的规定已经被废止	175
13.6	在所有其他场合,由法官自由决定是否需要就没有补强证 据证明的证据的使用危险性向陪审团发出警告	177
索 引		181

Table of Cases

Abrath v North Eastern Railway Company (1883) 11 QBD 440, CA	14
Associated Provincial Picture Houses v Wednesbury Corporation [1948] 1 KB 223	7, 38
Attorney General v Hitchcock (1847) 154 ER 38, Exchequer	68
Baker v Rabetts (1954) 118 JPN 303, DC	43
Barkway v South Wales Transport Co Ltd [1949] 1 KB 54, CA	29
Bastable v Bastable [1968] 1 WLR 1686, CA	22
Bater v Bater [1951] P 35, CA	21
Blyth v Blyth [1966] AC 643, HL	22, 27
Boardman v DPP <i>see</i> DPP v Boardman—	
Bramblevale Ltd, Re [1969] 3 All ER 1062, CA	24
Bratty v Attorney General for Northern Ireland [1963] AC 386, HL	9, 11, 25
Briscoe v Briscoe [1966] 1 All ER 465, PDA	55, 57
C v DPP [1995] 2 All ER 43, HL	28
C and Others (Minors) (Hearsay Evidence: Contempt Proceedings), Re [1993] 4 All ER 690 (<i>sub nom</i> C v C), CA	109
Chandrasekera v R [1937] AC 220, PC	94
Chard v Chard [1955] 3 All ER 721, PDA	25
Corke v Corke and Cook [1958] 1 All ER 224, CA	63
Crossland v DPP [1988] 3 All ER 712, QBD	82
Dallison v Caffery [1964] 2 All ER 610, CA	55
Dellow's Will Trusts, Re [1964] 1 All ER 771, ChD	20
DPP v A & BC Chewing Gum Ltd [1967] 2 All ER 504, QBD	77, 79, 81
DPP v Boardman [1975] AC 412, HL	150–52
DPP v Hester [1972] 3 WLR 910, HL	173
DPP v Hynde [1998] 1 All ER 649, QBD	31
DPP v Kilbourne [1973] AC 729, HL	1, 174
DPP v Morgan [1976] AC 182, HL	9

Duff Development Co v The Government of Kelantan [1924] AC 797, HL	31
English Exporters (London) Ltd v Eldonwall [1973] Ch 415, ChD	83
Ewer v Ambrose (1825) 107 ER 910, KB	64
Fallon v Calvert [1960] 2 QB 201, CA	59
Ford v Lewis [1971] 1 WLR 623, CA	104, 105
Fox v General Medical Council [1960] 1 WLR 1017, PC	64
Greenough v Eccles (1859) 141 ER 315, Common Pleas	65
H and Another v Schering Chemicals and Another [1983] 1 WLR 143, CA	84
H v H and C; K v K [1996] 1 All ER 1, HL	21, 23
Harmony Shipping Company SA v Saudi Europe Line Ltd [1979] 1 WLR 1380, CA	43
Henderson v Henry E Jenkins & Sons [1970] AC 282, HL	29
Hill v Baxter [1958] 1 All ER 193, DC	10
Hornal v Neuberger Products Ltd [1957] 1 QB 247, CA	20
Hotson v East Berkshire Area Health Authority [1987] 3 WLR 232, HL	84
Hui Chi-Ming v R [1991] 3 All ER 897, PC	1
Ibrahim v R [1914] AC 599, PC	125
JM (A Minor) v Runeckles (1984) 79 Cr App Rep 255, QBD	28
Jeffrey v Black [1978] QB 490, DC	3
Jones v DPP [1962] AC 635, HL	162, 165
Joseph Constantine Line v Imperial Smelting Company [1942] AC 154, HL	15
Judd v Minister of Pensions and National Insurance [1965] 3 All ER 642, CA	24
Knight and Others v David and Others [1971] 1 WLR 1671, ChD	106
Lam Chi-Ming and Others v R [1991] 3 All ER 172, PC	140
Levison v Patent Steam Carpet Cleaning Co Ltd [1978] QB 69, CA	15

Lilley v Pettit [1946] KB 401, CA	96	
Lloyd v Powell Duffryn Steam Coal Co Ltd [1914] AC 733, HL	92	
Mahadervan v Mahadervan [1964] P 233, Probate D		26
Makin v Attorney General for New South Wales [1894] AC 57, PC	148	
Mancini v DPP [1941] 3 All ER 272, HL	10	
Maxwell v DPP [1935] AC 309, HL	163	
McQuaker v Goddard [1940] 1 All ER 471, CA	32	
Miller v Minister of Pensions [1947] 2 All ER 372, KB	17, 20	
Monroe v Twistleton (1802) Peake Add Cas 219, Nisi Prius	43	
Mood Music Publishing Co Ltd v De Wolfe Publishing Ltd [1976] 1 All ER 463, CA	154	
Moor v Moor [1954] 1 WLR 927, CA	60	
Morris v Stratford-upon-Avon RDC [1973] 1 WLR 1059, CA	104	
Murdoch v Taylor [1965] AC 574, HL	170	
Murray v DPP [1994] 1 WLR 1, HL	35	
Murray v United Kingdom (1996) The Times, 9 February, ECHR	136	
Myers v DPP [1965] AC 1001, HL	90	
National Justice Compania Naviera SA v Prudential Assurance Co Ltd (The Ikarian Reefer) [1993] Lloyd's Rep 68, QBD		85
Nembhard v The Queen [1982] 1 All ER 183, PC	94	
Noor Mohamed v R [1949] AC 182, PC	149	
Nye v Nibblett [1918] 1 KB 23, DC	31	
Paul v DPP (1989) 90 Cr App Rep 173, DC	32	
Pheasant v Pheasant [1972] 1 All ER 587, Fam D	23	
Post Office v Estuary Radio [1967] 1 WLR 1396, CA	21	
Practice Note [1995] 2 All ER 500	34, 35	
Preston-Jones v Preston-Jones [1951] AC 391, HL	22, 30	
R v A [1997] Crim LR 883, CA	37	
R v Abadom [1983] 1 WLR 126, CA	83	
R v Alladice (1988) 87 Cr App Rep 380, CA	134, 135	
R v Anderson [1988] QB 678, CA	165	
R v Andrews [1987] AC 281, HL	98, 99	
R v Argent [1997] 2 Cr App R 27, CA	40	

R v Ashford Magistrates' Court	
<i>ex p Hilden</i> [1993] 2 All ER 154, QBD	115
R v Aziz and Others [1995] 3 All ER 149, HL	158
R v Balmforth [1992] Crim LR 825, CA	57
R v Barry (1992) 95 Cr App Rep 384, CA	130
R v Bass [1953] 1 QB 680, CCA	62
R v Bathurst [1968] 1 All ER 1175, CCA	34, 35
R v Batt and Batt [1995] Crim LR 240, CA	121
R v Bellamy [1986] Crim LR 54, CA	50
R v Berrada (1990) 91 Cr App Rep 131, CA	157
R v Blastland [1985] 2 All ER 1095, HL	2, 91
R v Boal [1964] 3 All ER 269, CCA	42
R v Brine [1992] Crim LR 122, CA	133
R v Britzmann and Hall [1983] 1 WLR 350, CA	168
R v Brown (1989) 89 Cr App Rep 97, CA	74
R v Bruce [1975] 1 WLR 1252, CA	170
R v Bryant and Oxley [1979] QB 108, CA	157
R v Burrage [1997] Crim LR 440, CA	152
R v Busby (1981) 75 Cr App Rep 79, CA	70
R v Butterwasser [1947] 2 All ER 415, CCA	162
R v C [1996] Crim LR 37, CA	75
R v Campbell (1986) 84 Cr App Rep 255, CA	11, 20
R v Carr-Briant [1943] KB 607, CCA	11, 19
R v Carter [1997] Crim LR 505, CA	164
R v Castillo, Caba and Allmanzar	
[1996] Crim LR 193, CA	116
R v Causley [1999] Crim LR 572, CA	178
R v Champ (1981) 73 Cr App Rep 367, CA	12
R v Chapman [1980] Crim LR 42, CA	53
R v Chard (1971) 56 Cr App Rep 268, CA	77
R v Chinn [1996] Crim LR 729, CA	164
R v Christou [1992] 1 QB 979, CA	4
R v Clark [1999] Crim LR 573, CA	71
R v Cleghorn [1967] 2 QB 584, CA	51, 58
R v Cole [1990] 2 All ER 108, CA	120
R v Condron and Condron [1997] 1 Cr App R 185, CA	39
R v Cowan; R v Gayle; R	
<i>v Riciardi</i> [1995] 4 All ER 939, CA	35, 36
R v Cox (1987) 84 Cr App Rep 132, CA	74
R v Crawford [1997] Crim LR 749, CA	171
R v Cruttenden [1991] 2 QB 66, CA	46
R v Davies [1962] 1 WLR 111,	
Courts-Martial Appeal Court	79
R v Deacon [1973] 2 All ER 1145, CA	44, 46