

Steffen Praetorius/Britta Schößer

Bentonite Handbook

Lubrication for Pipe Jacking





Bentonite Handbook

Lubrication for Pipe Jacking

Steffen Praetorius Britta Schößer



Dipl-Geol. Steffen Praetorius Herrenknecht AG Business Unit Utility Tunnelling Schlehenweg 2, D-77963 Schwanau-Allmannsweier

Dr.-Ing. Britta Schößer Ruhr-Universität Bochum Lehrstuhl fürTunnelbau, Leitungsbau und Baubetrieb Universitätsstr. 150, D-44801 Bochum

Translated by David Sturge, Kirchbach, Germany

Cover: Principle of construction of the standard Herrenknecht bentonite lubrication system (Source: Herrenknecht AG)

Library of Congress Card No.: applied for

the Deutsche Nationalbibliothek

British Library Cataloguing-in-Publication Data

A catalogue record for this book is available from the British Library.

Bibliographic information published by

The Deutsche Nationalbibliothek lists this publication in the Deutsche Nationalbibliografie; detailed bibliographic data are available on the Internet at http://dnb.d-nb.de>.

© 2017 Wilhelm Ernst & Sohn, Verlag für Architektur und technische Wissenschaften GmbH & Co. KG, Rotherstraße 21, 10245 Berlin, Germany

All rights reserved (including those of translation into other languages). No part of this book may be reproduced in any form – by photoprinting, microfilm, or any other means – nor transmitted or translated into a machine language without written permission from the publishers. Registered names, trademarks, etc. used in this book, even when not specifically marked as such, are not to be considered unprotected by law.

Coverdesign: Sophie Bleifuß, Berlin, Germany Production management: pp030 – Produktionsbüro Heike Praetor, Berlin Typesetting: Reemers Publishing Services GmbH, Krefeld Printing and Binding: CPI books GmbH, Leck

Printed in the Federal Republic of Germany. Printed on acid-free paper.

Print ISBN: 978-3-433-03137-7
ePDF ISBN: 978-3-433-60655-1
ePub ISBN: 978-3-433-60654-4
oBook ISBN: 978-3-433-60652-0

For Angela, Lucia and Luana S.P.

For Holger, Leo and Ole B.S.

Acknowledgement

Intensive specialist discussion about challenges in pipe jacking practice and scientific findings has found its way into this Bentonite Handbook and sprouted new (research) ideas. For their valuable contributions, we wish to thank the After Sales staff of the Utility Tunnelling department at Herrenknecht AG as well as the experienced and motivated specialists on pipe jacking sites. For their detailed discussions, we wish to thank Ms. Dipl.-Ing. Geotechnik/Bergbau *Christel Flittner*, Mr. Dipl.-Ing. Tiefbohrtechnik *Oliver Knopf*, Ms. *Irmhild Lauter*, Mr. *Ulrich Schröder*, Mr. *Hermann Spengler* and Mr. Dipl.-Geol. *Björn Zenner*.

Mr. Dipl.-Geol. *Matthias Botzenhardt* made a particular contribution with his expert assistance regarding the subject of additives. The production of drawings and text was supported by Mr. Dipl.-Ing. *Nick Biermann*, Ms. *Melanie Ruff*, Mr. *Roman Duda* and Mr. *Tobias Bucher*.

We wholeheartedly thank Mr. Prof. Dr.-Ing. *Markus Thewes* and Mr. Dr.-Ing. *Marc Peters* as well as the research and development colleagues of the Utility Tunnelling department at Herrenknecht AG and at the Chair of Tunnelling and Construction Management at the Ruhr University, Bochum for their sympathetic support and the pleasant working atmosphere. The publisher Ernst & Sohn and particularly Mr. Dr. *Helmut Richter*, Ms. *Esther Schleidweiler*, Mr. Dr. *Michael Bär* and Mr. *David Sturge* deserve our gratitude for their support in the implementation and design of the book.

We would be pleased to receive feedback and suggestions.

Steffen Praetorius and Britta Schößer

Foreword

Pipe jacking is an indispensable process for the installation of underground pipes. Constant improvement of the machinery in recent decades has led to pipe jacking projects being successfully completed in almost any geology and hydrogeology, with challenging routes. The success of a pipe jacking project is ensured by smooth interaction of the tunnelling technology and the process operations. The main challenges, which are met daily on pipe jacking projects, are to minimise potential risks and to increase the practical distances.

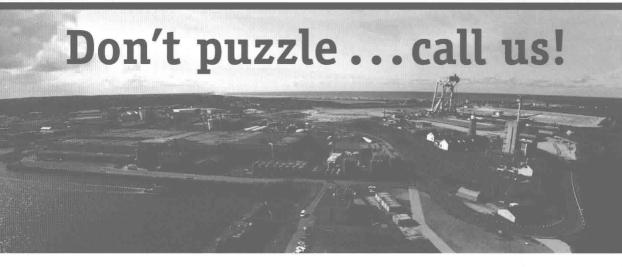
The development of the jacking force over the length of the drive – and particularly the skin friction along the pipe string – is of central importance for the implementation of pipe jacking projects. Improved working methods can avoid increased jacking forces and the resulting delays to progress or stoppages. One essential element in the reduction of skin friction is well functioning annular gap lubrication, with the lubricant and the lubrication technology being adapted to suit the constraints of the jack and particularly the ground conditions. Both components – lubricant and lubrication technology – depend on important details and demand a good basic understanding on the part of the construction staff.

The lubricant mostly consists of a bentonite suspension, whose rheological parameters yield point and viscosity have to be adapted to suit the prevailing geological conditions on each pipe jacking project. It has to be correctly prepared and the rheological parameters checked according to standards. The lubrication technology supplies the lubricant continuously in sufficient quantity into the annular gap. In advance, the required quantities of lubricant over the course of the jack have to be determined, prepared in good time and kept available in sufficient volume. These figures depend directly on the size of the tunnelling machine and the jacked pipe as well as the soil mechanics parameters grading distribution, compaction and permeability. When an automatic bentonite lubrication system is used, the number of injection fittings in the pipe section at a lubrication point has to be decided as well as the spacing of the lubrication points and their injection intervals in the tunnelling machine and in the pipe string.

Precise matching of the individual aspects makes it possible to hold the pipe string in the correct position, considerably reduce the coefficient of friction between pipe and ground and finally keep the skin friction controllable as jacking proceeds.

The Bentonite Handbook deals with the various aspects of annular gap lubrication comprehensively, and should serve well as a design aid and a guideline for site practice. It is of course not possible to exhaustively deal with all practical problems of pipe jacking. Responsible action by well trained engineers will always remain the basis of good and successful construction even with the use of this book.

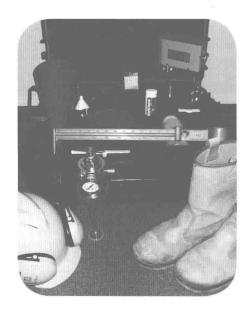
Professor Markus Thewes



Want to know more about the benefits of bentonite?

We produce **bentonite**, deliver **service** on site and train our customers in maintaining the correct **lubrication fluids** for every ground condition.

For more than 10 years, we organize 5-day workshops in The Netherlands.



Want to know more... We are only one call away!





Cebo Holland

Cebo Holland BV, Westerduinweg 1, 1976 BV IJmuiden, The Netherlands
Tel. +31(0)255-546262, info@cebo.com, www.cebo.com

List of symbols used

I. Greek symbols

γ specific weight

 γ_{concrete} specific weight of reinforced concrete

 $\gamma_{\text{suspension}}$ Specific weight of suspension

γ_{particles} Specific weight of solid particles

η (dynamic) viscosity

 η' differential viscosity

 η_s apparent viscosity

 $\eta_{\rm p}$ plastic viscosity

λ Darcy friction factor

 μ coefficient of friction

 ρ density

 $ho_{
m f}$ density of suspension

 $\rho_{\rm s}$ density of solid particles

 $\rho_{\text{suspension}}$ density of suspension

 $\rho_{\text{particles}}$ density of solid particles

 $\sigma_{\rm c}$ rock strength shear stress

 $\tau_{\rm B}$ Bingham yield point

 $au_{
m F}$ yield point

 φ internal angle of friction (shear strength)

 φ' angle of shear resistance (dynamic probing);

drained friction angle (shear strength)

 φ_{u} undrained friction angle (shear strength)

 χ adaption parameter from *Slichter* (Eqn. 6.13)

II. Latin symbols

a half fissure opening width

A adaption parameter from von Soos (Eqn. 6.17)

 $A_{\text{pipe string}}$ developed area of the pipe string

B adaption parameter from von Soos (Eqn. 6.17)

c form coefficient from Kozeny (Eqn. 6.14)

c' drained cohesion (shear strength)

Cparticles

 $c_{\rm u}$ undrained cohesion (shear strength)

c_w resistance coefficient

C proportionality factor from Hazen (Eqn. 6.15); adaption parameter

from von Soos (Eqn. 6.17)

 $C_{\text{joint space}}$ joint volume in rock

 C_{casing} supplement factor for the developed area of the pipe

for injection into the surrounding ground

C_{porosity} porosity of soils d void spacing

 d_{10} grain diameter at 10% passing (effective diameter)

 d_{60} grain diameter at 60% passing d_{50} grain diameter at 50% passing d_{8} diameter of solid particles $d_{particle}$ diameter of a soil particle

 $d_{\rm w}$ effective grain diameter

D compaction; velocity gradient e void ratio; void opening width e_{max} maximum possible void ratio e_{min} minimum possible void ratio

f filtrate water loss

 $f_{\rm s}$ local skin friction (dynamic probing)

F area; force F_A uplift force

 F_{uplift} uplift force on the jacked pipe

 F_{borehole} developed area of the excavated section

 $F_{\rm G}$ weight force

 F_{weight} weight force of the jacked pipe

 $F_{\text{weight installations}}$ weight force of installations (cables, pipes etc.) in the jacked pipe

 $F_{\rm R,spec}$ specific skin friction

 F_{jacking} jacking force of the pipe string

 $F_{\rm W}$ resistance against sinking of a soil particle in the suspension

g acceleration due to gravityh pressure head difference

 I_{A} activity

 $I_{\rm C}$ consistency index $I_{\rm D}$ relative density

I_P plasticity index (Atterberg)

J hydraulic gradient, fall

 $J_{\rm a}$ joint alteration number (RQD)

 $J_{\rm n}$ joint set number (RQD)

 $J_{\rm r}$ joint roughness number (RQD) $J_{\rm w}$ reduction factor for groundwater

k_f permeability, coefficient of permeability

*k*_k fissure permeability (Eqn. 6.18)

k_s sand roughness height

 $k_{\rm T}$ rock permeability with a fissure set

K coefficient

l length, distance

lovercut overcut

 $L_{\text{reference}}$ length of the reference drive

 $m_{\rm D}$ dry mass of grains with a diameter greater than 0.4 mm

 $m_{\rm T}$ dry mass of grains with a diameter less than 0.002 mm

 $M_{\rm ballasting}$ mass required to ballast the jacked pipe

n porosity

n_e usable porosity

 n_{\max} maximum possible porosity

 n_{\min} minimum possible porosity

 N_0 adaptation ramming: number of impacts for the first 15 cm

penetration depth (dynamic probing)

 N_{10} number of impacts for 10 cm penetration depth (dynamic probing)

 N_{30} number of impacts for 30 cm penetration depth after the adapta-

tion ramming (dynamic probing)

p pressure

 q_c tip pressure (dynamic probing)

Q -value (measure of rock mass quality); flow quantity of a fluid

 Q_{machine} pumping rate at the tunnelling machine

 $Q_{\text{pipe string}}$ pumping rate at the pipe string

Re Reynolds number

s penetration depth (of the suspension into the surrounding ground)

t time; temperature

 t_{10} ' gel strength after 10 min t_{10} " gel strength after 10 s

 $t_{\rm M}$ Marsh time

 $t_{\rm M1500}$ Marsh time for 1500 ml of suspension to run out

w water content

w_L water content of a soil at the transition from liquid to plastic

consistency (liquid limit)

water content of a soil at the transition from stiff to semi-solid

consistency (plastic limit)

ws water content of a soil at the transition from semi-solid to solid

consistency (shrinkage limit)

U coefficient of uniformity

v flow velocity

v_f filter rate

 v_{advance} advance rate V (total) volume

 $V_{\rm H}$ volume of voids

 $V_{
m machine}$ initial injection volume $V_{
m extra~injection}$ extra suspension volume

 $V_{
m annular\ gap}$

annular gap volume

 $V_{\rm pipe\ string}$

subsequent injection volume

 V_{t}

volume of solids

 W_{S}

sinking speed

ERRATUM: Wrong page numbers are given in the original table of content for chapters 1–3. Correct page numbers are given here. We apologise for any inconvenience caused.

The Publisher



Table of content

Acknov	vledgement	VII
Forewo	ord	IX
I. Greel	k symbols	
1	Basics	1
1.1	Basics and technical implementation of bentonite lubrication systems	1
1.1.1	Control unit	2
1.1.2	Mixing tank	2
1.1.3	Storage tank	3
1.1.4	Main jacking station	3
1.1.5	Tunnelling machine	3
1.1.6	Lubrication ring	3
1.1.7	Intermediate jacking station	3
1.1.8	Jacked pipe	3
1.1.9	Lubrication station	3
1.1.10	Injection fittings	4
1.1.11	Bentonite pump	4
1.1.12	Compressed air feed	5
1.1.13	Control cable	5
1.1.14	Bentonite or feed line	5
1.2	Annular gap lubrication in pipe jacking	5
1.3	Preliminary remarks about the ground	6
2	Bentonite and bentonite suspensions	9
2.1	Composition and structure	9
2.2	Hydration behaviour	10
2.3	Card house structure and thixotropy	11
2.4	Yield point, viscosity and gel strength	12
2.5	Stability	17
2.6	Usual types of bentonite	19
2.6.1	Natural bentonite (Ca or Na)	19
2.6.2	Activated or active bentonite	19
263	Rentonite with polymer additives	20

3	Additives	21
3.1	Polymers	21
3.1.1	Functions of polymer additives	21
3.2	Types of polymer additives	24
3.2.1	Starch	24
3.2.2	Xanthan	
3.2.3	Guaran	26
3.2.4	Modified celluloses (PAC, MEC, HEC, CMC)	26
3.2.5	Polyacrylamide/-acrylate	27
3.3	Overview of polymer additives and their functions	27
4	Correct preparation of bentonite suspensions	29
4.1	Mixing	29
4.1.1	Procedure for the preparation of suspension	30
4.2	Mixing equipment	33
4.3	Mixing instructions	34
4.3.1	Mixing instructions for mixers with mixing pump	34
4.3.2	Mixing instructions for mixers with water jet pump	34
4.4	Hydration tank	35
5	Properties of the suspension and measurement processes	37
5.1	Viscosity: Marsh funnel	37
5.2	Yield point: ball-harp rheometer	40
5.3	Viscosity and gel strength: Rotational viscometer	42
5.4	Filtrate water and thickness of filter cake: filter press	43
5.5	Density	45
5.5.1	Measurement using a mud balance	45
5.5.2	Measurement using a pycnometer	45
5.6	Water hardness: test strips	46
5.7	pH value	46
5.7.1	Determination with pH test strips	46
5.7.2	Measurement with a pH meter	46
5.8	Conductivity	46
5.9	Temperature	47
6	Ground and groundwater	49
6.1	Geology of solid rock	49
6.1.1	Classification of rocks	50
6.1.2	Mineral content and mineral structure	50
6.1.3	Uniaxial rock compression strength	50
6.1.4	Tension strength	53
6.1.5	Rock mass strength	54
6.1.6	Rock abrasiveness	54
6.1.7	Interface structure	55
6.1.8	Degree of weathering	58
6.1.9	Rock mass classification	59

6.2	Geology of soils	. 65
6.2.1	Types of minerals	
6.2.2	Grain size and grain size distribution	
6.2.3	Grain rounding	
6.2.4	Pores	
6.2.5	Compactness	
6.2.6	Shear strength	
6.2.7	Atterberg limits	
6.2.8	Swelling capability	
6.3	Stability and stand-up time	
6.3.1	Assessment of stability according to the working face behaviour	
6.3.2	Evaluation of stand-up time using the RMR and Q systems	
6.4	Hydrogeology	
6.4.1	Basic hydrological terms	
6.4.2	Permeability	
6.4.3	Determination of permeability of soil.	
6.4.4	Determination of permeability in rock	
6.5	Influence of various rock properties on the use of bentonite	
6.6	Contamination in the ground, groundwater or mixing water	
6.6.1		
0.011	pH value	
6.6.2	Salt water	
	Water hardness due to calcium or magnesium ions	
6.6.4	Chlorine	
6.6.5	Iron	
6.6.6	Humic acids	
6.6.7	Cement / concrete	
6.6.8	Overview of the thresholds for contamination of the mixing water	
6.6.9	Effects of contaminations on the properties of the suspension	. 103
7	Bentonite suspensions for annular gap lubrication	
7.1	Size of the annular gap	
7.1.1	Skin friction	
7.2	Vertical position of the pipe string in the driven cavity	
7.2.1	Floating	
7.2.2	Ballasting	
7.2.3	Vertical position of the pipe string in stable ground	
7.2.4	Vertical position of the pipe string in unstable ground	. 110
7.3	Functions of the lubricant in the annular gap	. 111
7.3.1	Support function	. 112
7.3.2	Lubricating function	. 115
7.3.3	Carrying capacity	. 116
7.3.4	Consequences of poor adaptation of the lubricant	. 117
7.4	Adaptation of the bentonite suspension to the ground	. 119
7.4.1	Basic geological parameters	. 119
7.4.2	Flow diagrams for rock	
7.4.3	Flow diagrams for soils	. 121

711	D.L.C. IV. I	100
7.4.4	Relationships between ground and lubricant parameters	
7.4.5	Hydrogeological parameters.	
7.5	Rheological parameters of the bentonite suspension	
7.5.1	Particle size	
7.5.2	Yield point	
7.5.3	Viscosity	
7.5.4	Gel strength	
7.6	Suspension quantities	
7.6.1	Definition of terms	132
7.6.2	Basic principles for the determination of the required	
	suspension quantity	141
7.6.3	Calculation of the suspension quantities V_{machine} and $V_{\text{pipe string}}$	145
7.7	Time-dependant loss volumes	
7.8	Lubrication strategies	
7.8.1	Interval-controlled systems	
7.8.2	Volume-controlled systems	
7.8.3	Lubrication strategies for initial and subsequent injection	152
7.8.4	Special lubrication strategies with Direct Pipe© and related processes	153
8	Lubrication technology	155
8.1	Arrangement and spacing of the lubrication points in the pipe string	155
8.2	Number and arrangement of the injection fittings per lubricating	133
0.2	point in the pipe cross-section	156
8.3	Non-return valves	
8.4	Lubrication circuit	
8.5	Interaction between the support pressure at the face and the annular	130
0.0	gap pressure	159
8.6	Bentonite supply in the starting area.	
8.7		
0.7	Lubricant pressure, lubricant quantity and pressure losses	161
9	Reporting	163
9.1	Which parameters should be documented for bentonite lubrication?	163
9.2	Forms	163
10	Lists of the required injection quantities	167
10.1	Explanation of the lists	
10.1	Explanation of the lists	10/
Literati	ure	197

Table of content

Acknow	ledgement	VII
Forewo	rd	IX
I. Greek	symbols	XV XV
1 1.1 1.1.1 1.1.2 1.1.3 1.1.4 1.1.5 1.1.6 1.1.7 1.1.8 1.1.9 1.1.10 1.1.11 1.1.12 1.1.13 1.1.14	Basics and technical implementation of bentonite lubrication systems Control unit	11 12 22 55 55 55 55 56 67 77 77 78
2 2.1 2.2 2.3 2.4 2.5 2.6 2.6.1 2.6.2 2.6.3	Bentonite and bentonite suspensions Composition and structure	11 12 15 16 21 23 23 23 24
3.1.1 3.1.1 3.2 3.2.1 3.2.2 3.2.3 3.2.3	Additives Polymers Functions of polymer additives Types of polymer additives Starch Xanthan Guaran Modified celluloses (PAC, MEC, HEC, CMC)	25 25 25 28 28 29 30 30