LEARNING

BEHAVIOR AND COGNITION

S E C O N D E D I T I O N



DAVID A. LIEBERMAN

Learning

BEHAVIOR AND COGNITION

Second Edition

David A. Lieberman

University of Stirling Scotland



Brooks/Cole Publishing Company Pacific Grove, California A division of International Thomson Publishing Inc.

PSYCHOLOGY EDITOR: Kenneth King EDITORIAL ASSISTANT: Gay Meixel

PRODUCTION: Cecile Joyner, The Cooper Company

PRINT BUYER: Diana Spence

PERMISSIONS EDITOR: Robert Kauser

DESIGNER: Carolyn Deacy COPY EDITOR: Peggy Tropp

TECHNICAL ILLUSTRATOR: Alexander Teshin and Associates

COVER DESIGNER: Carolyn Deacy

COVER: Robert Hudson, Out of the Blue (1980–81). Acrylic on canvas with wooden chair, plastic tree, wood, and steel tubing, $963/8 \times 1807/8 \times 273/4$ ". San Francisco Museum of Modern Art, purchased with the aid of the Byron Meyer

Fund.

COMPOSITOR: Bi-Comp, Inc.

PRINTER: Arcata Graphics/Fairfield



This book is printed on acid-free recycled paper.

© 1993 by Brooks/Cole Publishing Company. All rights reserved. No part of this book may be reproduced, stored in a retrieval system, or transcribed, in any form or by any means, without the prior written permission of the publisher, Brooks/Cole Publishing Company, Pacific Grove, California 93950. A division of International Thomson Publishing Inc.

78910

Library of Congress Cataloging-in-Publication Data

Lieberman, David A.

Learning: behavior and cognition / David A. Lieberman.—2nd ed.

p. cm.

Includes bibliographical references (p.) and index.

ISBN 0-534-17400-0

- 1. Learning, Psychology of. 2. Paired-association learning.
- 3. Conditioned response. 4. Human information processing.
- I. Title.

BF319.5.P34L543 1992

153.1'526---dc20

92-29940

Preface

I find research on learning exciting. The topic is of profound importance—almost every aspect of our behavior is guided by learning—and there can be wonderful pleasure when researchers' efforts to penetrate the complex and tangled surface of human behavior yield glimpses of the elegant processes lying underneath. Tackling learning may not be quite as physically stimulating as trying to climb Everest, but the intellectual challenge is every bit as exhilarating.

In writing this text, I have tried to communicate the challenge facing learning researchers, and the excitement and beauty of their voyages of discovery. The purpose of this preface is to explain some of the assumptions that guided my efforts and also some of the features of the resulting text that perhaps make it distinctive.

Intellectual stimulation. One of my fundamental goals was to present ideas in a way that would be intellectually *rigorous* and *stimulating*. In planning any text, one of the most difficult issues is how to balance the need for broad coverage against the dangers of superficiality—of losing students in a forest of facts. My own bias is against the handbook approach. I think students gain more from a deep understanding of fundamental ideas than from a superficial familiarity with a much larger set of facts. In writing this text, therefore, I have tried to identify the most important issues in associative learning and present them in depth, rather than providing shallower coverage of all issues.

One example of this approach is in my treatment of experimental design. If students are to be helped to think critically, it is vital that they understand the logic of experiments, rather than just memorize their conclusions. For this reason, I have emphasized the logic of experimental analysis throughout this text. Chapter 1 provides an introduction to the experimental method: what are the advantages and disadvantages of experiments, why do learning researchers sometimes use animals, and so on. In subsequent chapters, I have continued this approach by analyzing selected experiments and methodological issues in depth, while giving briefer summaries of other studies.

I have taken a similar approach to presenting theories, concentrating on presenting a small number in depth rather than providing more superficial coverage of them all. In the case of classical conditioning, for example, I have focused on the theory I consider to be the most important: the Rescorla-Wagner model. Through extensive analysis of this model, I have tried to convey a feeling for how theories can be used to explain known phenomena and to generate novel and sometimes counterintuitive predictions. This material is not easy, but I have tried to present the material as clearly and as simply as I could, and in my experience students feel that the model's importance makes the effort it requires worthwhile.

Where this selective approach has meant that coverage of some issues has had to be curtailed, I have provided references that the interested reader can consult for more information.

Practical applications. A second goal was to present learning in a way that would be *interesting*. I think students sometimes find learning boring because of an understandable difficulty in seeing the relevance of experiments on rats to the problems they face in their daily lives. It is not enough for teachers and textbooks to assert that laboratory research is relevant: This relevance has to be demonstrated. I have done this by interweaving material on laboratory research and practical applications throughout the text. Applications of learning principles are not only fascinating in themselves but also provide a critical test of the validity of laboratory principles. Material on applications thus provides a sense not only of how much has already been achieved but of what remains to be discovered.

Among the practical issues examined in the text are how rewards and punishments subtly shape our lives (why is it, for example, that students often have so much difficulty in forcing themselves to study, when there appear to be such massive rewards contingent on good grades—entrance to graduate school, a good job, and so on); how classical conditioning affects emotions such as fear and sexual arousal; how learning principles can be used to overcome phobias and cigarette smoking; but also the need for caution in applying learning principles (for example, how rewards for doing homework can sometimes reduce interest in studying rather than enhance it).

The conflict between associative and cognitive theories of learning. Perhaps the single most important factor shaping the development of research on learning has been the tension between associative and cognitive interpretations. Where associative theorists believe that complex learning can be understood in terms of fundamentally simple associative mechanisms (in Estes' classic phrase, learning is viewed as a sort of mental chemistry), cognitive theorists assume that the fundamental processes are much richer and more complex.

Given the central role of the conflict between these views in the develop-

ment of the field, I have devoted considerable space to explaining the two views and tracing their evolution under the pressure of accumulating evidence. The alternative conceptualizations are first introduced in Chapter 2, and then analyzed more intensively in Chapter 11, which describes the conflict between S-R and cognitive theories of what is learned, and how the views of the two sides gradually converged. The chapter concludes by exploring the possibility that both sides may have been right, as learning may involve both relatively simple habits and more complex expectations. (This view has recently found powerful echoes in research on human cognition, with the emergence of distinctions between controlled and automatic processes, and between procedural and declarative memories.)

Chapter 12 then traces the development of information-processing models of learning, and examines how principles of memory and attention developed in research on human cognition can also be used to explain many aspects of associative learning. This approach represents a synthesis of cognitive and associative approaches to learning: The emphasis on explanatory processes such as memory and attention is clearly cognitive, but the models retain the assumption of associative theories that seemingly complex phenomena can be understood in terms of simple underlying processes.

Finally, Chapter 13 introduces one of the most exciting recent developments in psychology, *neural network models*. These models provide a new synthesis of associative and cognitive approaches: Learning is still seen as involving cognitive processes of considerable complexity, but these are in turn explained in terms of simple associative processes at a neural level. In the few short years since these models first appeared, they have already had impressive success in accounting for instances of learning ranging from classical conditioning in slugs to language learning in humans. The chapter examines some of this evidence, and considers the potentially revolutionary implications of neural network models for our understanding of learning and the mind.

Key changes to the second edition. The second edition involves many changes designed to improve existing material or incorporate new material (an expanded section on the conditioning of autonomic responses to insulin, heroin, and viruses is one example). The largest of these changes involves greatly expanded coverage of the biological bases of learning. Animals and humans do not start life with totally blank minds—John Locke's famous tabula rasa. Many thousands of years of evolution have endowed us with built-in reactions to important events, and learning occurs within the boundaries of this biological inheritance. In this edition, I have given greater emphasis to this biological context, and Chapter 10 has been entirely devoted to the role of evolution in shaping learning. The chapter begins with an introduction to the concepts of evolution, and it then traces how the pressures of natural selection have molded learning processes to fit the needs of different species and situations.

Imprinting and song-learning are used to illustrate the diversity of learning, along with examples from classical conditioning and reinforcement. It is now abundantly clear that the general process view of learning is wrong—learning is not uniform in all species and situations—but the chapter concludes by exploring the possibility that the observable differences in learning may represent variations on a small number of common themes.

Aids to studying. In order to help readers to absorb the sometimes challenging material in each chapter, an extensive *Summary* is provided at the end of each chapter. In addition, there is a *Selected Definition* section that reviews the main concepts introduced in the chapter, and a series of *Review Questions*. If a student can answer these questions, he or she can be confident that they have understood the main concepts and themes of the chapter.

Acknowledgments. I hope that this text is both challenging and interesting. and that it provides a sense of the importance and excitement of research on learning. If the text achieves any of these aims, credit will be due to many individuals. One is Ralph Haber, who provided warm encouragement and support when I first contemplated what to me was the awesome prospect of writing a text. I am also grateful to many friends and colleagues who have read and commented on the manuscript at various stages of its preparation. For the first edition, Tony Dickinson of Cambridge University, Vin LoLordo of Dalhousie University, and Glyn Thomas of Birmingham University were all kind enough to read the entire manuscript. I also received helpful comments from Pete Badia of Bowling Green State University, David L. Brodigan of Carleton College, John Capaldi of Purdue University, Alexis C. Collier of Ohio State University, Robert L. Greene of Case Western Reserve University, Nancy K. Innis of the University of Western Ontario, Donald F. Kendrick of Middle Tennessee State University, Steve Maier of the University of Colorado, Mary Jane Rains of the University of Wisconsin, Stout, and Mark Rilling of Michigan State University.

In preparing the second edition, I was helped by comments from Pamela Jackson-Smith of the University of Utah, Michael E. Rashotte of Florida State University, and Gene D. Steinhauer of California State University at Hayward, who read the first edition and offered suggestions for how it could be improved. Bill McGrew and Cliff Henty of the University of Stirling then provided helpful comments during the preparation of Chapter 10, and Michael S. Fanselow of the University of California at Los Angeles and Sandra J. Kelley of the University of South Carolina at Columbia, were both kind enough to comment on the entire revision.

I believe the text benefited substantially from the comments of all of these reviewers, and I am grateful for their efforts. I did not always follow their advice, however, and, accordingly, they should not be held responsible for any errors or omissions that remain.

In a slightly different context, I am again grateful to Mike Rashotte of Florida State University. I prepared a considerably expanded Test Manual to accompany this edition, and Mike was kind enough to allow me to incorporate some of the exam questions he used in his course in the Manual.

I would also like to thank the production staff at Wadsworth, as well as Peggy Tropp, who acted as copy editor, and Cecile Joyner of the Cooper Company who was a very helpful production editor. It has been a pleasure working with all of you.

Perhaps my greatest debt, though, is to Ken King, the psychology editor at Wadsworth. From the beginning, he understood what I was trying to do and strongly supported me in working to achieve it, even in cases such as the Rescorla-Wagner model, where my approach differed substantially from that of existing texts. I am grateful for his support, encouragement, and acute insights; I do not think I could have had a better editor.

Contents

PREFACE xix

ARTI	CHAPTER ONE
INTRODUCTION	Some Basic Assumptions 2
	1.1 WHY STUDY LEARNING? 4 Is Behavior Lawful? 5 Can Behavior Be Controlled? 8 Is Controlling Behavior Desirable? 8
	1.2 How SHOULD WE STUDY LEARNING? 13Authority 13Introspection 15
	 1.3 THE EXPERIMENTAL METHOD 18 A Hypothetical Experiment 19 The Search for Alternative Explanations 20 The Nature of Scientific Progress 23
	1.4 THE USE OF ANIMALS 26The Advantages to Using Animals 26Is Animal and Human Behavior Similar? 28Ethical Issues 31
	1.5 VARIETIES OF LEARNING 32 Reflexes and Learning 33 Associative Learning 36
	1.6 SUMMARY 37 Selected Definitions 40 Review Questions 41

CHAPTER TWO

An Introduction to Associative Learning 42

2.1 THE ASSOCIATIVE BACKGROUND 44

Associations in the Body 44
Associations in the Mind 45
Associations in the Brain 47

2.2 CLASSICAL CONDITIONING 51

Pavlov's Conditioned Reflexes 52 An Associative Analysis 55

2.3 Instrumental Conditioning 57

Reinforcement 57
Punishment 62
Instrumental and Classical Conditioning 62

2.4 Is Associative Learning Simple? 64

Theories of Learning 64
Trial-and-Error Learning Revisited 66

2.5 SUMMARY 69

Selected Definitions 72 Review Questions 74

PARTII

CHAPTER THREE

Classical Conditioning

FOUNDATIONS OF CONDITIONING 76

3.1 PAVLOV'S DISCOVERIES 77

Extinction 77
Counterconditioning 83
Stimulus Control 83

3.2 THE NEED FOR CONTROL GROUPS 85

An Example: Conditioning Fear 85
Alternative Explanations 86

3.3 THE GENERALITY OF CONDITIONING 90

Autonomic Conditioning 91
Skeletal Conditioning 96
Conditioned Motivation 97
Expectations 103

3.4 SUMMARY 105

Selected Definitions 106 Review Questions 108

CHAPTER FOUR

PRINCIPLES AND APPLICATIONS 110

4.1 THE LAWS OF ASSOCIATION 111

Contiguity 111 Frequency 114 Intensity 114

4.2 CONTINGENCY 114

The Concept of Contingency 115 Contingency and Conditioning 117

4.3 PREPAREDNESS 123

Taste-Aversion Learning 123
Implications of Taste-Aversion Learning 125
The Adaptive Value of Conditioning 128

4.4 BLOCKING 130

The Phenomenon of Blocking 130 Kamin's Memory-Scan Hypothesis 131 May the Better Predictor Win 132

4.5 APPLICATIONS OF CONDITIONING 132

Systematic Desensitization 133
Aversion Therapy 136
Behavior Therapy 138
A Cognitive Analysis 139

4.6 SUMMARY 140

Selected Definitions 142 Review Questions 143

ix

THEORIES OF CONDITIONING 146

- 5.1 THE RESCORLA-WAGNER MODEL 148
 Learning and the Role of Expectations 148
 The Model 149
- 5.2 THE RESCORLA-WAGNER MODEL:
 DERIVING PREDICTIONS 154
 Parameter Estimation 154

Conditioning 155 Extinction 156

Di 1: 153

Blocking 157

5.3 EVALUATING THE RESCORLA-WAGNER MODEL 159

New Predictions: Overexpectation 160
The Implications for Contingency 162
The Model's Limitations 164
Evaluation 165

5.4 What Is Learned Through Conditioning? 166

S-S or S-R? 166 Signal or Substitute? 168

- 5.5 THE PROBLEM OF PERFORMANCE 173Predicting the Conditioned Response 173A Behavior-System Analysis 174
- 5.6 Is CONDITIONING AUTOMATIC? 179Conditioning Without Awareness 180Involuntary Conditioning 184
- 5.7 SUMMARY 185
 Selected Definitions 190
 Review Questions 190

Instrumental Conditioning

REINFORCEMENT 194

6.1 BASIC PROCEDURES 196

The Maze 196 The Skinner Box 197 Shaping 198

6.2 PRIMARY, SECONDARY, AND SOCIAL REINFORCERS 199

Primary Reinforcers 199
Secondary Reinforcers 202
Social Reinforcers 204

6.3 DELAY OF REINFORCEMENT 206

Does Delay Matter? 207
The Role of Interference 212
Implications for Human Learning 213

6.4 SCHEDULES OF REINFORCEMENT 215

Ratio and Interval Schedules 217
DRL and DRO Schedules 223
Concurrent Schedules 224
A Criminally Successful Application 225

6.5 MOTIVATION 227

Drive 227 Incentive 228 Learning and Motivation 231

6.6 STIMULUS CONTROL 233

The Concept of Stimulus Control 234 Encouraging Generalization 235 Encouraging Discrimination 237

6.7 A PRELIMINARY APPLICATION 239

Dicky's Glasses 239
The Importance of Gradual Change 240

6.8 SUMMARY 242

Selected Definitions 244 Review Questions 246

RESPONSE SUPPRESSION 248

7.1 PUNISHMENT 249

Methodological Issues 249

Punishment in Animals 251

Punishment in Humans 257

A Theoretical Analysis 260

7.2 SIDE EFFECTS OF PUNISHMENT 261

A Traumatic Example of Punishment 262

Fear 263

Aggression 265

Evaluating Punishment 267

7.3 EXTINCTION 270

A Practical Application of Extinction 270
Extinction as Punishment 271

7.4 THE PARTIAL REINFORCEMENT EFFECT 275

The Discrimination Hypothesis 275 Capaldi's Sequential Model 275

7.5 SUMMARY 280

Selected Definitions 282 Review Questions 283

CHAPTER EIGHT

APPLICATIONS 284

8.1 REINFORCEMENT IN THE CLASSROOM 286

Classroom Behavior 286 Teaching Sports 287 The Token Economy 289

8.2 THE PROBLEM OF MAINTAINING BEHAVIOR 290

8.3 HARMFUL EFFECTS OF REINFORCEMENT 293

Moral Objections 293
Undermining Intrinsic Motivation 294
Evaluating Reinforcement 296

8.4 ALTERNATIVES TO REINFORCEMENT: MODELING 298

Modeling 298

Modeling in the Treatment of Phobias 299

Determinants of Imitation 301

8.5 ALTERNATIVES TO REINFORCEMENT: SELF-CONTROL 303

Techniques of Self-Control 304
The Development of Self-Control 306
Improving Your Studying 309

8.6 SUMMARY 309

Selected Definitions 312 Review Questions 312

CHAPTER NINE

THEORIES OF REINFORCEMENT: THE LAW OF EFFECT REVISITED 314

9.1 IS REINFORCEMENT AUTOMATIC? 315

Is Contiguity Sufficient? 316
Reinforcement Without Awareness 320
Evaluating Automatic Reinforcement 323

9.2 Is REINFORCEMENT NECESSARY FOR LEARNING? 324

Learning Without Reinforcement 325
The Role of the Reinforcer 327

9.3 AVOIDANCE 330

Two-Factor Theory 331
The Response Problem 335
The Case of the Nonchalant Jumper 338
A Cognitive Analysis 340
Synthesis: Information and Motivation 342

9.4 REINFORCEMENT AND CONDITIONING: ONE PROCESS OR TWO? 343

Contingencies 344

Responses 346

Principles 349

One Process? 350

Conclusion 352

9.5 SUMMARY 353

Selected Definitions 356 Review Questions 357

PARTIV

THEORETICAL PROCESSES IN ASSOCIATIVE LEARNING

CHAPTER TEN

Learning in an Evolutionary Context 360

10.1 THE GENERAL PROCESS VIEW 361

10.2 AN EVOLUTIONARY PERSPECTIVE 364 Principles of Evolution 365 Learning and Evolution 367

10.3 THE CHALLENGE WITHIN: ARE CLASSICAL CONDITIONING AND REINFORCEMENT UNIFORM PROCESSES? 373

Classical Conditioning? 373

Reinforcement: The Misbehavior of Organisms 375

Why Does Reinforcement Fail? 378

10.4 VARIATIONS ON A THEME 381

An Adaptationist Perspective 382
Classical Conditioning and Reinforcement
Reconsidered 384
An Associative Analysis of Imprinting 386
Implications 387

10.5 SUMMARY 389

Selected Definitions 391 Review Questions 392

What Is Learned? Associative Versus Cognitive Theories of Learning 394

11.1 S-R THEORY 395

The Development of S–R Theory 396 A Cognitive Rejoinder 400 The Issue 400

11.2 A Test: Learning Without

RESPONDING 401
Rats in a Cart 402
Latent Extinction 402

11.3 NEOBEHAVIORISM 404

Hull's Contribution 404
Explaining Latent Extinction 406
A Prediction: The Case of the Masochistic
Rats 409

11.4 A COGNITIVE ANALYSIS 411

Tolman's Expectations 411 Reinforcer Devaluation 413

11.5 SYNTHESIS 414

Why Was the Theoretical Debate So Difficult to Resolve? 415 Habits and Awareness in Human Behavior 418 The New Consensus 420

11.6 SUMMARY 422

Selected Definitions 424 Review Questions 426