

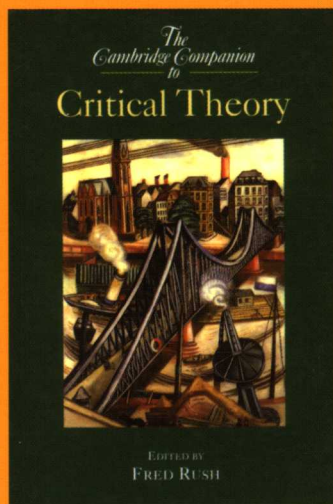


英文版  
剑桥哲学研究指针

The Cambridge Companion to Philosophy

# 批判理论

弗雷德·拉什 编



Critical Theory

---

剑桥哲学研究指针

# 批判理论

*The Cambridge Companion to Critical Theory*

弗雷德·拉什 编

Edited by Fred Rush

---

生活·讀書·新知 三联书店

**图书在版编目(CIP)数据**

批判理论 / 拉什编. —北京: 生活·读书·新知三联书店, 2006.6

(剑桥哲学研究指针)

书名原文: The Cambridge Companion to Critical Theory  
ISBN 7-108-02494-2

I. 批... II. 拉... III. 辩证批判理论 - 英文  
IV. B085

中国版本图书馆 CIP 数据核字 (2006) 第 037491 号

**责任编辑** 舒 炜

**封面设计** 罗 洪 崔建华

**出版发行** 生活·读书·新知 三联书店  
(北京市东城区美术馆东街 22 号)

**图 字** 01-2006-1996

**邮 编** 100010

**经 销** 新华书店

**印 刷** 北京隆昌伟业印刷有限公司

**版 次** 2006 年 6 月北京第 1 版

2006 年 6 月北京第 1 次印刷

**开 本** 635 毫米×965 毫米 1/16 印张 25

**印 数** 0,001-4,000 册

**定 价** 30.00 元

THE CAMBRIDGE COMPANION TO  
CRITICAL THEORY

Critical Theory constitutes one of the major intellectual traditions of the twentieth century and is centrally important for philosophy, political theory, aesthetics and the theory of art, the study of modern European literatures and music, the history of ideas, sociology, psychology, and cultural studies. In this volume an international team of distinguished contributors examines the major figures in Critical Theory, including Horkheimer, Adorno, Marcuse, Benjamin, and Habermas, as well as lesser known but important thinkers such as Pollock and Neumann. The volume surveys the shared philosophical concerns that have given impetus to Critical Theory throughout its history, while at the same time showing the diversity among its proponents that contributes so much to its richness as a philosophical school. The result is an illuminating overview of the entire history of Critical Theory in the twentieth century, an examination of its central conceptual concerns, and an in-depth discussion of its future prospects.



## 剑桥哲学研究指针

(第一辑 20 种)

### 早期希腊哲学

The Cambridge Companion to Early Greek Philosophy

Edited by A. A. Long

### 希腊罗马哲学

The Cambridge Companion to Greek and Roman Philosophy

Edited by David Sedley

### 中世纪哲学

The Cambridge Companion to Medieval Philosophy

Edited by A. S. McGrade

### 中世纪犹太哲学

The Cambridge Companion to Medieval Jewish Philosophy

Edited by Daniel H. Frank, Oliver Leaman

### 阿拉伯哲学

The Cambridge Companion to Arabic Philosophy

Edited by Peter Adamson, Richard C. Taylor

### 苏格兰启蒙运动

The Cambridge Companion to the Scottish Enlightenment

Edited by Alexander Broadie

### 德国唯心主义

The Cambridge Companion to German Idealism

Edited by Karl Ameriks

### 批判理论

The Cambridge Companion to Critical Theory

Edited by Fred Rush

### 女性主义哲学

The Cambridge Companion to Feminism in Philosophy

Edited by Miranda Fricker, Jennifer Hornsby



柏拉图

The Cambridge Companion to Plato

Edited by Richard Kraut

亚里士多德

The Cambridge Companion to Aristotle

Edited by Jonathan Barnes

康德

The Cambridge Companion to Kant

Edited by Paul Guyer

黑格尔

The Cambridge Companion to Hegel

Edited by Frederick C. Beiser

尼采

The Cambridge Companion to Nietzsche

Edited by Bernd Magnus, Kathleen Higgins

克尔凯郭尔

The Cambridge Companion to Kierkegaard

Edited by Alastair Hannay, Gordon Daniel Marino

弗洛伊德

The Cambridge Companion to Freud

Edited by Jerome Neu

海德格尔

The Cambridge Companion to Heidegger

Edited by Charles Guignon

维特根斯坦

The Cambridge Companion to Wittgenstein

Edited by Hans D. Sluga, David G. Stern

罗尔斯

The Cambridge Companion to Rawls

Edited by Samuel Freeman

哈贝马斯

The Cambridge Companion to Habermas

Edited by Stephen K. White

# 剑桥哲学研究指针

## 出版说明

生活·读书·新知三联书店自20世纪80年代中期以来一向重视引进西方现当代学术著作，在著译界朋友大力支持下，我店陆续刊行综合性文库和专题性译丛若干套，对近二十余年中国学术思想的建设发展起到了积极的作用。

三联书店现在以英文原版形式引进出版“剑桥哲学研究指针”，其主旨则在于便利国内读者和研究者翻阅查考，掌握西方学术研究的最新动态。“剑桥哲学研究指针”是英国剑桥大学出版社20世纪90年代刊行的大型学术参考书，面世之后，好评如潮，影响巨大，自1992年至今已出版六十余种。这套书以大哲学家为中心线索，辅以若干时期的哲学主题及哲学流派，由出色当行的学者出任主编，邀集各领域专家组成国际化的学者队伍，专门撰写文章，综述研究状况，缕列文献目录；各书的编辑方针清晰，体例完备周密，内容丰富，资料充足，是很好的西方哲学研究指南读物。如原出版者所说：“这套书的目的之一，乃是针对艰深而富有挑战性的哲学家著作，帮助读者打消畏难心理”，对哲学有兴趣的非专业读者和学生，由此可获得权威有效的方便指引；专家和深入研究者由此则可概览各种解释与分析的新进展。

在21世纪中国社会和思想文化创造性发展的大背景下，这套书或将有助于中国读者深入勘察有关西方思想传统的各种不断演变的诠释，形成权衡取舍的批判性视野，并逐步确立中文学术界自身的看法。这是我们引进出版这套书的深层期望所在。

三联书店编辑部

2006年3月

## CONTRIBUTORS

KENNETH BAYNES is Professor of Philosophy and Political Science at Syracuse University. He is the author of *The Normative Grounds of Social Criticism: Kant, Rawls, Habermas* (SUNY Press, 1992) and the coeditor of *After Philosophy* (MIT Press, 1986) and *Discourse and Democracy: Essays on Habermas's "Between Facts and Norms"* (SUNY Press, 2002).

J. M. BERNSTEIN is University Distinguished Professor of Philosophy at the New School for Social Research. Among his books are *The Fate of Art: Aesthetic Alienation from Kant to Derrida and Adorno* (Pennsylvania State University Press, 1992), *Recovering Ethical Life: Jürgen Habermas and the Future of Critical Theory* (Routledge, 1995), and *Adorno: Disenchantment and Ethics* (Cambridge University Press, 2001).

HAUKE BRUNKHORST is Professor of Sociology at the University of Flensburg. His recent writings include *Hannah Arendt* (Beck, 1999), *Einführung in die Geschichte politischer Ideen* (Fink/UTB, 2001), and *Solidarität* (Suhrkamp, 2002). His books in English are *Adorno and Critical Theory* (University of Wales Press, 1999) and *Solidarity: From Civic Friendship Towards a Global Legal Community* (MIT Press, forthcoming).

SIMONE CHAMBERS is Associate Professor of Political Science at the University of Toronto. She is the author of *Reasonable Democracy: Jürgen Habermas and the Politics of Discourse* (Cornell University Press, 1996) and has edited *Deliberation, Democracy, and the Media* (Rowman & Littlefield, 2000) and *Alternative Conceptions of Civil Society* (Princeton University Press, 2001).



RAYMOND GEUSS is Reader in Philosophy at the University of Cambridge. He is the author of *The Idea of a Critical Theory: Habermas and the Frankfurt School* (Cambridge University Press, 1981), *Morality, Culture, and History* (Cambridge University Press, 1999), *History and Illusion in Politics* (Cambridge University Press, 2001), and *Public Goods, Private Goods* (Princeton University Press, 2001).

BEATRICE HANSEN is Professor of German at the University of Georgia, Athens. She is the author of *Walter Benjamin's Other History: Of Stones, Animals, Human Beings, and Angels* (University of California Press, 1998), *Critique of Violence: Between Poststructuralism and Critical Theory* (Routledge, 2000), and coeditor of *The Turn to Ethics* (Routledge, 2000). She is also coeditor of the series *Walter Benjamin Studies*, which includes the volumes *Walter Benjamin and Romanticism* (Continuum, 2002) and *Walter Benjamin and the Arcades Project* (Continuum, forthcoming).

AXEL HONNETH is Professor of Philosophy at the University of Frankfurt and the Director of the Institute for Social Research. His books in English translation include *Critique of Power* (MIT Press, 1993), *The Fragmented World of the Social* (SUNY Press, 1995), and *The Struggle for Recognition* (MIT Press, 1996).

MOISHE POSTONE is Associate Professor of Modern History and a member of the Committee for Jewish Studies at the University of Chicago. He is the author of *Time, Labor, and Social Domination: A Reinterpretation of Marx's Critical Theory* (Cambridge University Press, 1993) and coeditor of *Catastrophe and Meaning: The Holocaust and the Twentieth Century* (University of Chicago Press, 2003) as well as of *Bourdieu: Critical Perspectives* (University of Chicago Press, 1993).

JULIAN ROBERTS is Professor of Philosophy at the University of Munich. His books include *Walter Benjamin* (Macmillan, 1982) and *The Logic of Reflection: German Philosophy in the Twentieth Century* (Yale University Press, 1992).

MICHAEL ROSEN is a Fellow at Lincoln College, Oxford. He is the author of *Hegel's Dialectic and its Criticism* (Cambridge University Press, 1982) and *On Voluntary Servitude: False Consciousness and the Theory of Ideology* (Harvard University Press, 1996).

FRED RUSH is Assistant Professor of Philosophy at the University of Notre Dame. He has written several articles on Kant, Hegel, critical theory, and aesthetics. He is completing a book on the philosophical significance of early German Romanticism and its relation to Kant and Kierkegaard.

STEPHEN WHITE is Professor of Politics at the University of Virginia. Among his books are *The Recent Work of Jürgen Habermas* (Cambridge University Press, 1988), *Political Theory and Postmodernism* (Cambridge University Press, 1991), and *Sustaining Affirmation* (Princeton University Press, 2000). He is editor of the journal *Political Theory* and of *The Cambridge Companion to Habermas*.

JOEL WHITEBOOK is on the faculty of the Center for Psychoanalytic Training and Research, Columbia University and is a practicing psychoanalyst. He has written *Perversion and Utopia: A Study in Psychoanalysis and Critical Theory* (MIT Press, 1995) as well as numerous articles on critical theory and its relation to Freud and Marx.

## ACKNOWLEDGMENTS

I would like to thank, first of all, the contributors to this volume for their willingness to take time away from their own research to write what are essentially expository pieces and for making editing the chapters a pleasure. Special thanks are due to Karl Ameriks and Gary Gutting for allowing me to impose upon their wisdom as editors of previous volumes in the Cambridge Companions to Philosophy series. I am also indebted to Hilary Gaskin, who went far beyond her role of press editor to provide incisive advice and much encouragement at crucial points. James Hebbeler translated two of the chapters and provided editorial support, and he would like to thank Susanne Zorn for her assistance. Angela Smith provided help with the index. Translation of chapters originally written in German was funded by grants from the Institute for Scholarship in the Liberal Arts, University of Notre Dame.

## CHRONOLOGY

- 1918 First World War ends. German Socialist Revolution.  
Ernst Bloch (1885–1977), *Spirit of Utopia*
- 1919 Treaty of Versailles. Founding of the Weimar Republic.  
Walter Benjamin (1892–1940), *The Concept of Art Criticism in German Romanticism*
- 1923 Institute of Social Research founded in Frankfurt, funded by a private donation of Hermann Weil, a multimillionaire importer and grain merchant. Carl Grünberg, the “Father of Austrian Socialism,” is installed as its first director, with Friedrich Pollock (1894–1970) as his assistant. The *Archive of the History of Socialism and the Labor Movement*, which Grünberg founded in 1910, relocates to Frankfurt and becomes the house organ of the Institute.  
Karl Korsch (1886–1961), *Marxism and Philosophy*  
György Lukács (1885–1971), *History and Class Consciousness*
- 1926 Leo Löwenthal (1900–93) joins the Institute.
- 1928 Theodor Adorno (1903–69) begins his association with the Institute.  
Benjamin, *The Origin of German Tragic Drama*
- 1930 Max Horkheimer (1895–1973) joins the Institute as its director. Grünberg’s *Archive* ceases publication. Erich Fromm (1900–80) joins the Institute.
- 1932 *Journal for Social Research* begins publication. Herbert Marcuse (1898–1979) joins the Institute.
- 1933 End of the Weimar Republic. Hitler becomes chancellor. Institute buildings are searched by the Gestapo and

- converted to use for the Nazi Student League. Institute moves provisionally to Geneva.
- 1934 The Institute relocates to Morningside Heights in New York City, loosely affiliated with Columbia University. Horkheimer, Fromm, Löwenthal, Marcuse, and Pollock emigrate to the United States. Adorno registers as an "advanced student" at Oxford. Institute's empirical research severely curtailed.
- 1936 Franz Neumann (1900–54) joins the Institute. Publication of the collaborative *Studies on Authority and the Family*
- 1937 Horkheimer "Traditional and Critical Theory"
- 1938 Adorno becomes a formal member of the Institute and moves to New York.
- 1939 Second World War begins in Europe.  
Fromm leaves the Institute. *Studies in Philosophy and Social Sciences* replaces the *Journal* as the periodical publication of the Institute.
- 1940 Fleeing the Nazis, Benjamin commits suicide in Port-Bou on the French–Spanish border. Hannah Arendt, who crosses the border at the same point a few months later, passes on to Adorno a manuscript copy of Benjamin's *Theses on the Philosophy of History*. The Institute publishes them in 1942.
- 1941 Bombing of Pearl Harbor and expansion of the war to the Pacific. Horkheimer, Adorno, and Marcuse resettle in Santa Monica, outside Los Angeles, forming part of a southern California German émigré colony including *inter alia* the novelist Thomas Mann, the composer Arnold Schönberg, and the poet and playwright Bertolt Brecht. Pollock and Neumann remain on the east coast. Last issue of *Studies in Philosophy and Social Sciences* appears, ending the Institute's ongoing serial publication of its work.  
Fromm, *Escape from Freedom*  
Marcuse, *Reason and Revolution*
- 1942 Marcuse and Neumann have joined the OSS (Office of Strategic Services), the war era precursor to the CIA, in Washington, DC. Pollock works for the US Department of Justice's anti-trust division. Löwenthal consults for the

Office of War Information. This leaves only Horkheimer and Adorno to pursue purely theoretical work.

Neumann, *Behemoth*

- 1945 World War Two ends.
- 1947 Adorno and Horkheimer, *Dialectic of Enlightenment*
- 1949 Horkheimer and Adorno return to Frankfurt to reestablish the Institute there. Löwenthal leaves the Institute and remains in America, as do Marcuse and Neumann.
- 1951 Adorno, *Minima Moralia*
- 1955 Adorno appointed codirector of the Institute with Horkheimer. Horkheimer retires in 1958. Jürgen Habermas (1929–) becomes Adorno's assistant and a member of the Institute in 1956.
- Marcuse, *Eros and Civilization*
- 1964 Marcuse publishes the best-selling *One-Dimensional Man* and becomes a philosophical mentor to the American New Left and Student movements.
- 1966 Adorno, *Negative Dialectics*
- 1968 Habermas, *Knowledge and Human Interests* and *Technology and Science as "Ideology"*
- 1969 SDS protests in Frankfurt. Adorno summons the police to arrest students who have "occupied" his offices. Rupture between the German student movement and the Institute. Adorno dies on holiday in Switzerland.
- 1970 Posthumous publication of Adorno's unfinished *Aesthetic Theory*. Habermas turns down offer to direct the Institute and instead takes a position at the Max Plank Institute in Starnberg, outside of Munich. Beginning of a two decade-long period during which the Institute ceases to be the focus for Critical Theory. Various themes in post-Adornian critical theory taken up in the writings of Karl-Otto Apel (1922–), Claus Offe (1940–), and Albrecht Wellmer (1939–), among others.
- 1981 Habermas, *Theory of Communicative Action*
- 1992 Axel Honneth (1949–), *Struggle for Recognition*
- 1997 Honneth joins the Institute.

## ABBREVIATIONS

### COLLECTIONS

- CTS     *Critical Theory and Society* (ed.) S. Bronner and  
         D. Kellner. London and New York: Routledge, 1989.
- EFS     *The Essential Frankfurt School Reader* (ed.) A. Arato and  
         E. Gebhardt. New York: Continuum, 1978.

### FRANKFURT SCHOOL JOURNALS

- SPSS     *Studies in Philosophy and Social Science*
- ZfS     *Zeitschrift für Sozialforschung*

### ADORNO

- AA     *Can One Live After Auschwitz?* (ed.) R. Tiedemann,  
         (trans.) R. Livingstone *et al.* Stanford: Stanford University  
         Press, 2003.
- AE     *Against Epistemology: A Metacritique* (trans.)  
         W. Domingo. Cambridge, Mass.: MIT Press, 1983.
- AGS     *Gesammelte Schriften* (ed.) R. Tiedemann. Frankfurt am  
         Main: Suhrkamp, 1970–97.
- AT     *Aesthetic Theory* (trans.) R. Hullot-Kentor. Minneapolis:  
         University of Minnesota Press, 1998.
- CM     *Critical Models* (trans.) H. Pickford. New York: Columbia  
         University Press, 1999.
- H     *Hegel: Three Studies* (trans.) S. W. Nicholson. Cambridge,  
         Mass.: MIT Press, 1994.

- JA *The Jargon of Authenticity* (trans.) K. Tarnowski and F. Will. Chicago: Northwestern University Press, 1973.
- K *Kierkegaard: Construction of the Aesthetic* (trans.) R. Hullot-Kentor. Minneapolis: University of Minnesota Press, 1989.
- MM *Minima Moralia* (trans.) E. F. N. Jephcott. New York and London: Verso, 1978.
- ND *Negative Dialectics* (trans.) E. B. Ashton. London: Routledge, 1973.
- NL I *Notes to Literature*, vol. I (trans.) S. W. Nicholson. New York: Columbia University Press, 1991.
- NL II *Notes to Literature*, vol. II (trans.) S. W. Nicholson. New York: Columbia University Press, 1992.
- NS *Nachgelassene Schriften*. Frankfurt am Main: Suhrkamp, 1993-.
- P *Prisms* (trans.) S. Weber and S. Weber. Cambridge, Mass.: MIT Press, 1983.
- PDGS *The Positivist Dispute in German Sociology* (ed.) T. Adorno. New York: Harper & Row, 1976.
- PMM *The Philosophy of Modern Music* (trans.) A. Mitchell and W. Blomster. New York: Seabury, 1973.
- Q *Quasi una Fantasia* (trans.) R. Livingstone. New York: Continuum, 1992.
- SF *Sound Figures* (trans.) R. Livingstone. Stanford: Stanford University Press, 1999.

## BENJAMIN

- A *The Arcades Project* (trans.) H. Eiland and K. McLaughlin. Cambridge, Mass.: Harvard Belknap Press, 1999.
- BGS *Gesammelte Schriften* (ed.) R. Tiedemann and H. Schweppenhäuser. Frankfurt am Main: Suhrkamp, 1972-89.
- I *Illuminations* (ed.) H. Arendt, (trans.) H. Zohn. New York: Schocken, 1968.
- OT *The Origin of German Tragic Drama* (trans.) J. Osborne. New York and London: Verso, 1998.



xviii List of abbreviations

- SW1 *Selected Writings 1913–1926* (trans. and ed.) M. W. Jennings, M. Jenning, and M. P. Bullock. Cambridge, Mass.: Harvard Belknap Press, 1996.
- SW2 *Selected Writings 1927–1934* (trans. and ed.) M. W. Jennings, H. Eiland, M. P. Bullock, *et al.* Cambridge, Mass.: Harvard Belknap Press, 1999.

FROMM

- EF *Escape from Freedom*. New York: Farrar & Rinehart, 1941.
- MCM *Marx's Concept of Man*. New York: Continuum, 1961.

HABERMAS

- CES *Communication and the Evolution of Society* (trans.) T. McCarthy. Boston: Beacon Press, 1979.
- FN *Between Facts and Norms* (trans.) W. Rehg. Cambridge, Mass.: MIT Press, 1998.
- KHI *Knowledge and Human Interests* (trans.) J. Shapiro. Boston: Beacon Press, 1971.
- IO *The Inclusion of the Other: Studies in Political Theory*. Cambridge, Mass.: MIT Press, 1998.
- LC *Legitimization Crisis* (trans.) T. McCarthy. Boston: Beacon Press, 1975.
- LSS *On the Logic of the Social Sciences* (trans.) S. W. Nicholson. Cambridge, Mass.: MIT Press, 1988.
- MC *Moral Consciousness and Communicative Action* (trans.) C. Lenhardt and S. W. Nicholson. Cambridge, Mass.: MIT Press, 1991.
- MUP "Modernity: An Unfinished Project," in *Habermas and the Unfinished Project of Modernity: Critical Essays on the Philosophical Discourse of Modernity* (ed.) M. Passerin d'Entrèves and S. Benhabib. Cambridge, Mass.: MIT Press, 1997.
- PD *The Philosophical Discourse of Modernity* (trans.) F. Lawrence. Cambridge, Mass.: MIT Press, 1990.
- PT *Postmetaphysical Thinking* (trans.) W. M. Hohengarten. Cambridge, Mass.: MIT Press, 1992.