

EDITED BY

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GOVERNANCE, DEMOCRACY AND SUSTAINABLE DEVELOPMENT

MOVING BEYOND THE IMPASSE



Governance, Democracy and Sustainable Development

Moving Beyond the Impasse

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William M. Lafferty was until recently Professor of Political Science at the University of Oslo, Norway, and Director of the Programme for Research and Documentation for a Sustainable Society (ProSus) in Norway. He is also Professor Emeritus in Strategic Research for Sustainable Development in Europe at the University of Twente, The Netherlands. He has written widely in the domains of policy-making for the environment and sustainable development.

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Preface

This collection of essays has its origins in the 'Oslo Symposium on Democracy, Research Engagement and Sustainable Development', which was held at the Voksenåsen Conference Centre in 2009. The meeting was convened to honour William M. Lafferty, on the occasion of his retirement as Director of the Programme for Research and Documentation for a Sustainable Society (ProSus), a 15-year strategic research initiative supported by the Research Council of Norway. The Symposium generated a lively intellectual exchange around a set of critical issues facing modern societies, and it provided an opportunity for colleagues and collaborators to mark the significance of Lafferty's contributions to research, particularly in the area of the environment and sustainable development.

Lafferty's distinguished academic career spans more than four decades. He held a series of positions, including: Director of Research at the Institute for Social Research, Oslo; Director of Doctoral Studies for the Research Council of Norway at the Faculty of the Social Sciences, University of Oslo; Professor of Political Science at the University of Oslo; and Professor of Strategic Research for Sustainable Development in Europe, Centre for Clean Technology and Environmental Policy (CSTM), University of Twente, in the Netherlands.

Over the years Lafferty has made important contributions to research, education and international collaboration. His research activity can be divided into two basic phases. During the earlier period his work focused on the labour movement, social democracy and the Nordic welfare state (see for example: Lafferty 1971; Lafferty 1975; Lafferty 1981; Lafferty and Hagtvet 1984; Lafferty and Knutsen 1984). During the later period his chief interest shifted to the environment and sustainable development. But a number of threads tie these phases together, including an abiding concern with issues of democracy, equity and citizen influence over centres of economic and political power.

Over the past two decades Lafferty has generated an impressive body of published work relating to the challenge of sustainable development. This includes conceptual analysis of sustainable development (Lafferty 1996; Lafferty and Langhelle 1999), research on national implementation of sustainable development in advanced industrialized countries (Lafferty

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and Meadowcroft 2000), on local engagement with sustainable development (Lafferty and Eckerberg 1998; Lafferty 2001), on the governance challenges associated with sustainable development (Lafferty 2004), and on renewable energy (Lafferty and Ruud 2008). He was one of the first scholars to insist on the importance of examining exactly what national and local governments were actually doing in the name of sustainable development after the idea had been officially endorsed as an international norm at the Rio Earth Summit in 1992 (Lafferty 1996). His work points to the particularities of the governance challenge associated with sustainable development, while also making connections to longer-running issues about democratic self-government (Lafferty and Meadowcroft 1996; Lafferty 2000). His work on 'environmental policy integration' argues that sometimes environmental concerns must trump those of development interests (Lafferty and Hovden 2004). And his invocation of the famous maxim that 'form follows function' in relation to governance for sustainable development is intended to emphasize that significant changes to established governance structures and practices are required if a shift in the current inequitable and environmentally destructive development trajectory is ever to materialize (Lafferty 2004).

With respect to education and training Lafferty devoted substantial effort to raising a young generation of graduate students and to mentoring academics at the early phases of their careers. In the earlier period this work was primarily centred around doctoral training at the Institute for Social Research and University of Oslo, but after he became Director of ProSus this also included a more practically focused orientation.

Lafferty's international contributions include extensive service to international political science associations. He was a founding member of the International Society for Political Psychology (ISPP), and joint Programme Chair of the 10th Anniversary World Congress in San Francisco in 1984. He served four consecutive terms as Norway's representative to the Governing Council of the International Political Science Association (IPSA); was a member of the IPSA Executive Committee between 1995 and 2000; and served as Programme Chair of the 18th World Congress of Political Science in Quebec in 2000. But Lafferty's international contribution was above all realized through his commitment to collaborative comparative research — working with colleagues, especially from European nations, on a series of innovative projects.

On a personal note, the editors would like to acknowledge the support and encouragement which William Lafferty provided to their own work over the years. Meadowcroft and Lafferty met in 1993 as they were scouring the publishers' tables at the Annual General Meeting of the American Political Science Association, searching for new titles on the environment and sustainability. Although that search proved rather fruitless (revealing just a handful of new titles from the 30-odd assembled publishers – which just shows how times have changed), the chance encounter paved the way for a series of subsequent collaborations. Langhelle first encountered Lafferty as a student at the University of Oslo in courses on democracy in 1989. He then had Lafferty as his supervisor both for his master's and PhD theses. Langhelle got his first real job as a research assistant for Lafferty in 1993 and they worked closely together for six years. Ruud first met Lafferty while he was completing his PhD dissertation at the University of Oslo, and from 2000 he worked as senior researcher with ProSus. Ruud has since taken over the leadership of the reconstituted ProSus research unit within SINTEF Energy Research AS in Trondheim and Oslo.

In fact, all the authors included in this volume have worked directly with Lafferty at some point, and view their contributions as a way of honouring his continuing legacy to the environment and sustainable development research enterprise.

In this context, we would like particularly to highlight three important characteristics of William Lafferty's work. First, his deep commitment to intellectual rigour, and to a tradition of political science that acknowledges debts to the classic works of the discipline, and which remains open to insights provided by different methodological approaches and theoretical traditions. Second, he has always advocated an engaged social science, a perspective that is neither obsessed with abstract theory-building nor mired in empiricism, that is not afraid to engage with the big questions confronting modern societies, and believes that intellectuals have a duty of service to the communities in which they live. And, finally, we would like to acknowledge Lafferty's great generosity of spirit and his willingness to offer continuous support and encouragement to his students and collaborators.

James Meadowcroft Oluf Langhelle Audun Ruud

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Abbreviations and acronyms

AR4 Fourth Assessment Report of the IPCC

AWM adaptive water management

BP British Petroleum

C Celsius

CA county administration

Catholic Agency for Overseas Development CAFOD

CAN Europe Climate Action Network

CAN-E Climate Action Network Europe

CCA climate change adaptation CCM climate change mitigation CD competitive democracy CDBs county development boards chief environmental inspectors CEIs Comhar SDC Sustainable Development Council

CoP Conference of Parties

CSD United Nations Commission on Sustainable

Development

DOE Department of the Environment

DOECLG Department of the Environment, Community and

Local Government (Ireland)

DSB Directorate for Civil Protection and Emergency

Planning (Norway)

EC European Commission

ECEN European Christian Environmental Network

European Environmental Agency EEA

EEAC European Environment and Sustainable

Development Advisory Councils

Environmental Ecological NGO **EENGO** EEB European Environment Bureau Evangelical Environmental Network EEN **ENOVA** Norwegian Agency on Energy Saving EPI

environmental policy integration

Coordinated Action on Environmental Policy **EPIGOV**

Integration and Multi-level Governance

ETS emission trading scheme

EU European Union

FDI foreign direct investment
FoE Europe Friends of the Earth Europe
Friends of the Earth US

GHG greenhouse gases

ha hectare

ICLEI Local Governments for Sustainability

IEA International Energy Agency

IEEP Institute of European Environmental Policy

IEN Irish Environmental Network IFN International Friends of Nature

IPCC Intergovernmental Panel on Climate Change

IUCN International Union for the Conservation of Nature

IWM integrated water management

IWRM integrated water resources management

km kilometre

KS Association of Local and Regional Authorities

(Norway)

LA21 Local Agenda 21

LCCA local climate change adaptation

LIP Local Investment Programme for Ecologically

Sustainable Development

LONA Swedish Local Nature Conservation Programme KLIMP state grants to local climate investment programmes

(Sweden)

MENA Middle East and North African

MIK Environment in the Municipalities (Norway)

NAE National Association of Evangelicals

NCPP National Centre for Partnership and Performance

NEQOs (Swedish) National Environmental Quality

Objectives

NESC National Economic and Social Council

NESDO National Economic and Social Development Office

NESF National Economic and Social Forum

NGOs non-government organizations

NORADAPT Community Adaptation and Vulnerability in

Norway

NORKLIMA Norwegian research programme 'Climate Change

and Impacts of Norway'

NRDC Natural Resources Defense Council

NSDS National Sustainable Development Strategy

Gov	ernance; a	emocracy	and st	istainable	develo	ppment
~						

OECD Organisation for Economic Co-operation and

Development

ProSus Programme for Research and Documentation for a

Sustainable Society

rNSDS revised National Sustainable Development Strategy

SCB Statistics Sweden

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SD sustainable development

SEA strategic environmental assessment

SEA Swedish Election Authority

SEPA Swedish Environmental Protection Agency

SFS Swedish Code of Statutes

SKL Swedish Association of Local and Regional

Authorities

SNF Swedish Society for Nature Conservation

SNG synthetic natural gas

SRES Special Report on Emission Scenarios
TAED TransAtlantic Environmental Dialogue

T&E European Federation of Transport and Environment

UK United Kingdom

UKCIP UK Climate Impacts Programme

UNCED United Nations Conference on Environment and

Development

UN United Nations

USCAN US Climate Action Network

US United States

WCED World Commission on Environment and

Development

WCI World Coal Institute

WFD Water Framework Directive

WNRI Western Norway Research Institute

WSSD World Summit on Sustainable Development

WWF-EPO World Wildlife Fund (European Policy Office)

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