THE

POLITICS

OF

UNITED STATES FOREIGN POLICY



JEREL A. ROSATI

THE

POLITICS

0 F

UNITED STATES FOREIGN POLICY

JEREL A. ROSATI

University of South Carolina

HARCOURT BRACE JOVANOVICH COLLEGE PUBLISHERS

Fort Worth Philadelphia San Diego New York Orlando Austin San Antonio Toronto Montreal London Sydney Tokyo Publisher Ted Buchholz
Acquisitions Editor David Tatom
Project Editor Steve Norder
Production Manager Cynthia Young
Book Designer Nick Welch

THE TIMES THEY ARE A-CHANGIN' by Bob Dylan, copyright (c) 1963, 1964 by WARNER BROS. MUSIC; COPYRIGHT RENEWED 1991, SPECIAL RIDER MUSIC. All rights reserved. International copyright secured. Reprinted by permission.

NETWORK by Paddy Chayefsky, copyright (c) 1976 by SIMCHA Productions, Inc. Reprinted by permission of POCKET, a division of Simon & Schuster, Inc.

Rosati, Jerel A., 1953– The politics of United States foreign policy / Jerel A. Rosati.

p. cm.

Includes bibliographical references and index.

ISBN 0-03-047024-2

1. United States—Foreign relations administration. 2. United States—Foreign relations—1945— 3. United States—Politics and government—1945— I. Title. JX1706.A4 1992

353.0089---dc20

91-47013

CIP

Copyright © 1993 by Holt, Rinehart and Winston, Inc.

All rights reserved. No part of this publication may be reproduced or transmitted in any form or by any means, electronic or mechanical, including photocopy, recording or any information storage and retrieval system, without permission in writing from the publisher.

Requests for permission to make copies of any part of the work should be mailed to: Permissions Department, Harcourt Brace Jovanovich, Publishers, 8th Floor, Orlando, Florida 32887.

Address for Editorial Correspondence
Harcourt Brace Jovanovich, Inc., 301 Commerce Street, Suite 3700, Fort Worth, TX
76102.

Address for Orders
Harcourt Brace Jovanovich, Inc., 6277 Sea Harbor Drive, Orlando, FL 32887.
1-800-782-4479, or 1-800-433-0001 (in Florida).

ISBN: 0-03-047024-2

Printed in the United States of America

3456789012 090 987654321

THE

POLITICS

0 F

UNITED STATES FOREIGN POLICY

to my daughter, Kristen, and to all students who strive to learn

PREFACE

THE POLITICS of United States foreign policy is complex. Within the past thirty years numerous developments have occurred throughout the world and within American society that have made the politics of U.S. foreign policy even more complicated. The Vietnam War, the collapse of the gold standard, Watergate, détente, the oil embargo, the Iran hostage crisis, Iran-Contra, large federal deficits, the collapse of the Soviet Union and communism in Eastern Europe, and the Persian Gulf conflict are just some of the developments that suggest the need for a new look at how U.S. foreign policy is made. This book provides fresh insight into the complex politics of U.S. foreign policy in light of recent history. In this respect, The Politics of United States Foreign Policy has been written with four general goals in mind: to be comprehensive in topical coverage, to address central themes in U.S. foreign policy, to provide a strong sense for the actual workings of politics, and to be accessible and interesting to the reader.

The first goal of the book is to be comprehensive in topical coverage from three perspectives. This book provides a broad discussion of the government, the society, and the global environment, explaining how they interact and affect the foreign policy process of the United States, Following a brief introduction in part I. part II focuses on governmental institutions and the policymaking process, part III concentrates on societal forces and domestic politics, and part IV discusses how changes in the global environment and American power impact the foreign policy process. Beyond some familiarity with the president and Congress, many undergraduate (and graduate) students know little about the basic institutions within the foreign policy bureaucracy, such as the National Security Council, the State Department, and the intelligence community. Hence, these institutions are fully discussed. The Politics of United States Foreign Policy also discusses other important institutions often ignored, such as the military establishment, the foreign economic and cultural bureaucracy, the courts, state and local government. and the political culture of the Washington, D.C., community. Because students similarly lack extensive knowledge of American society and America's role within the global environment, these two perspectives merit extended coverage as well. Most textbooks downplay the significance of public opinion, political culture and ideology, electoral and group politics, the exercise of civil liberties, and the media in the foreign policy process. They also ignore ways in which the global environment, and changes in America's role within it, affects domestic and governmental politics. Only by integrating all three perspectives—the government, society, and the environment—does one have the basis for developing a comprehensive understanding of the politics of U.S. foreign policy.

The second goal of the book is to address three themes that are central to the politics of U.S. foreign policy. Many textbooks provide detailed information yet do not address large questions that allow the student to make sense of the material. This book synthesizes what has been learned about how U.S. foreign policy is made in order to maximize knowledge and understanding. It does this by posing

three questions that have been particularly significant in attracting the interest of scholars:

- 1. What have been the dominant patterns of **continuity and change** in the foreign policy process over time?
- 2. To what extent has the **president been able to govern** foreign policy?
- 3. How has the constant tension between democracy and national security evolved?

While a historical perspective is provided, the emphasis is on the key patterns that have prevailed since World War II. Students should learn that while continuity has been the norm in the short term, the foreign policy process has undergone considerable change over time, as experienced during the late 1940s and early 1950s with World War II and the rise of the cold war and then again during the late 1960s and early 1970s following the Vietnam War and Watergate. Compared to the cold war years, for instance, the president currently finds it much more difficult to govern foreign policy as the tension between national security and democracy has increased since Vietnam. Such patterns may be open to further change with the end of the cold war as the United States approaches the twenty-first century.

The third goal of the book is to provide a strong sense of the politics involved in the making of U.S. foreign policy. Most textbooks rarely capture the "real world" and dynamic nature of politics. In this respect, it is important to acquire not only a basic knowledge of important institutions and the policymaking process, but an appreciation of the ways in which they actually operate within the foreign policy environment. To understand the practice of U.S. foreign policy, students need to have a feeling for and a comprehension of the politics involved—both within government and throughout society. They need to learn who the players are and how they operate, interact, conflict, win, compromise, and lose. Students must come to understand the beliefs and the personalities that prevail in American politics as well as the language of politics. In this way one can better understand the use of power and symbols which, in a country like the United States with over 250 million people, is a very political, complex, and messy process.

The final goal of *The Politics of United States Foreign Policy* is to provide information and knowledge that is both accessible and interesting to students. Many introductory textbooks are plagued by an encyclopedic nature that provides too much information for a student to absorb. From a learning perspective, this is counterproductive because students easily become confused when information lacks an appropriate context and is poorly linked throughout the book. This book addresses the problem by emphasizing the most pertinent information and significant patterns in the politics of U.S. foreign policy as opposed to giving "equal time" to all potentially relevant information. Such information and knowledge, furthermore, are consistently linked to the three central themes of the book so as to maximize understanding. Student interest and learning are also furthered by the book's broad topical coverage and description of the actual dynamics of American politics in accessible prose.

In order to further these goals and provide a strong understanding of the politics of U.S. foreign policy, this book relies on five additional features. First, examnles and historical context are used throughout the book. Concrete examples help students to understand the nature of the institutions involved, the dynamics of the process, and the larger themes addressed, Discussions about the Vietnam War and the Iran-Contra affair, for instance, help to illuminate the central themes of continuity and change, the president's ability to govern, and the tension between national security and democracy. Historical background also is provided to supply perspective over time, something many students lack. To cite but one illustration, a brief history of legislative-executive relations makes clear that the role of Congress in foreign policy has not been static and that the president's ability to govern in foreign policy has ebbed and flowed. Furthermore, an effort is made to return to the same illustrations throughout the book, such as cold war policies, the Vietnam War, and the Iran-Contra affair, in order to build both a knowledge base and an understanding of the conduct of U.S. foreign policy. To keep the book timely and allow for an understanding of contemporary and future developments in U.S. foreign policy, treatment of the Bush administration, the end of the cold war, and the Persian Gulf conflict is woven throughout the chapters.

Second, concepts and analytical approaches are introduced at various points in the book to help integrate and make sense of the material covered (as well as to emphasize the importance of conceptual thinking for furthering understanding). This is crucial to avoid the problem of presenting an excess of information without providing the necessary analytical tools that allow the student to absorb and comprehend the material. The concept of bureaucracy, for example, is initially introduced to clarify the workings of the State Department, and it is used subsequently to explain the general operations of other foreign policy bureaucracies within the government. Likewise, the final chapters in both part II and part III highlight analytical approaches useful in synthesizing the dominant patterns found in the policymaking process within the executive branch and throughout the domestic political process. Thus, description, history, and theory are woven together throughout the book in order to provide a strong understanding of the politics of U.S. foreign policy.

The third useful device to promote interest and learning is the use of essays—short narratives, separate from the text, that shed additional light on the topic. This is a unique feature of the book that allows for more in-depth discussion of pertinent information referred to in the text, thus provoking greater interest without detracting from the flow of the narrative. For example, in the chapter on the intelligence community, one essay provides a biographical portrait of J. Edgar Hoover in order to better explain the activities of the Federal Bureau of Investigation throughout the twentieth century. This method not only highlights valuable information, but adds a practical, real-life perspective to American politics derived from the work of journalists, historians, and social scientists.

The fourth feature is the **inclusion of brief bibliographic essays** at the end of each chapter. More comprehensive than a listing of recommended readings, each bibliographic essay highlights and comments on the best sources for additional information on the topic. The bibliographic essays serve as a valuable tool that

assists students in sorting through the hundreds of relevant books and articles that are available on a given topic. The works cited in the bibliographic essays (and in the chapter notes) draw on a variety of different sources that I relied upon in writing the book: scholarly and policy-oriented books and articles, newspapers and journalistic accounts, and government documents.

Finally, the **sequence of topics** is organized in a way that maximizes understanding of the foreign policy process. Beginning with the presidency—the center of the foreign policymaking process and a topic with which students have greatest familiarity—the book moves outward, through the foreign policy bureaucracy, on to Congress and the rest of government, then to the larger society and domestic politics, and finally to the global environment. Although each chapter stands on its own (and, thus, an instructor can use the chapters in any order he or she wishes), the book is organized so that the topics discussed in each chapter build on those covered in previous chapters.

To produce a book that fulfills the goals discussed above is a demanding task. If successful, students—undergraduates, graduates, scholars, and other interested individuals—will acquire knowledge and arrive at an understanding of the complex politics of U.S. foreign policy. In this respect, I hope that this book not only serves as a worthwhile pedagogical tool, but that it also contributes to a scholarly synthesis and understanding of the politics of U.S. foreign policy.

I have given considerable thought to the structure and content of this book over the years and there are a great number of people who have been instrumental in providing the basis for such an endeavor. I have regularly taught courses on American politics and U.S. foreign policy to undergraduates and graduates for over a decade and would like to thank these students for their valuable feedback. They have made clear that it is possible to communicate information and knowledge about the complex politics of U.S. foreign policy in an interesting and meaningful fashion. I also have been learning and writing about U.S. foreign policy for a longer period, and would like to thank all those individuals who inspired me, from whom I have learned, and who have assisted me in my professional development and scholarship.

I am specifically indebted to a number of people who took the time and effort to directly assist and support me in writing this book. Paul Kattenberg, Peter Schraeder, and Frank Sloan were most generous in reading and commenting on the entire manuscript and in sharing their vast knowledge. Many people read part of the manuscript and provided valuable feedback: Tony Bell, Jane Berthusen, Hal Birch, Earl Black, Ken Clements, Roger Coate, John Creed, Betty Glad, Joe Hagan, Steve Hook, Pamela Howard, Bobby Phillips, Dan Sabia, Art Vanden-Houten, Steve Walker, Brian Whitmore, and Laura Woliver. I would also like to thank the many reviewers provided by Harcourt Brace Jovanovich for their constructive comments and recommendations: Steve Chan, University of Colorado; Larry Elowitz, Georgia College; John Gilbert, North Carolina State University; George Kieh, Illinois Wesleyan University; Martin Kyre, Texas Tech University; Dean Minix, University of Houston; B. David Myers, University of North Carolina; Martin Sampson, University of Minnesota; David Skidmore, Drake Uni-

versity; Donald Sylvan, Ohio State University; Lane Van Tassell, Georgia Southern University; and Larry Taulbee, Emory University.

Four students were instrumental in assisting me bring the project to completion in the two years of research, writing and rewriting: Rick Haeuber, Adina Siegel, Steve Twing, and Darin Van Tassell. During this time I worked closely with David Tatom, Martin Lewis, Steve Norder of Harcourt Brace Jovanovich (previously Holt, Rinehart and Winston). Important support was also provided by Don Puchala in the Institute of International Studies and Bill Mishler, Sandra Hall, and other staff of the Department of Government and International Studies at the University of South Carolina. As always, crucial psychological and emotional support were provided by my family: my wife Jo, six-year-old Kristen, three-year-old David, and our new addition, Lauren, who was born near the completion of the manuscript. My mother- and father-in-law, Helen and Robert Brinkmann, also volunteered to read the entire manuscript in the role of "older, more mature" students and provided many helpful comments. Altogether, it was both an enjoyable and taxing experience to produce a book on the politics of U.S. foreign policy that has exceeded my high expectations, the contents of which I alone take complete responsibility.

> Jerel A. Rosati 1992

Foreign Policy, Complexity, and Politics 2 The Study of U.S. Foreign Policy 4 The Organizing Framework 5 The Three Perspectives 5 The Three Themes 6 Major Patterns in the History of U.S. Foreign Policy The Continental Era 9 The Regional Era 11 The Global Era 12

18

1

PART

Beyond the Cold War?



THE GOVERNMENT AND THE POLICYMAKING PROCESS

2 THE PARADOX OF PRESIDENTIAL POWER

26

The Elements of Presidential Power 26
Constitutional Roles and Strengths 26
Limits and Constraints 30
Uncertain Elements 37
Implications for Presidential Power 39
The Problem of Presidential Governance 42
The Presidential Life Cycle 42
American Politics and the Crisis of Governance 45
Presidential Power and the Conduct of Foreign Policy
46
Contemporary Presidential Performance 48
Presidential Leadership 56
Patterns in Presidential Leadership and Governance
59
Summary 67

	The Foreign Policy Bureaucracy 72
	Presidential Management 75
	The National Security Council 81
	Presidential Management Styles and the Role of the NSC 84
	The Early NSC as Advisory Body 85
	The Rise of the NSC Adviser and Staff 86
	The NSC Adviser and Staff Ascendant 90 The Contemporary Policymaking Process in Operation 90
	The Nixon-Kissinger System 91
	The Carter Administration's Policy Process 96
	The Policy Process Under President Reagan 98
	President Bush and the Foreign Policy Process 102
	The NSC Process in Perspective 102
•	E STATE DEPARTMENT AT HOME AND ABROAD 107
	The Context Behind the Decline of State's Historic Role 107
	State's Functions Over Time 109
	Bureaucratic Organization and Structure 111 At Home 112
	Abroad 114
	Bureaucratic Patterns 117
	The Foreign Service Subculture 121 Consequences for Presidential Reliance on State 130
	5 THE MILITARY ESTABLISHMENT 134
	Historical Trends 134
	The Old U.S. Military 134
	The Modern Military Establishment 135 DOD's Bureaucratic Structure and Process 138
	DOD's Bureaucratic Structure and Process 138 The Formal Ideal 138
	The Political Reality 143
	The Military Subculture 147
	Patterns in DOD's Military Behavior 150
	Military Strategy and Performance 169
	Reform and the Future of the Military 176
	6 THE INTELLIGENCE COMMUNITY 183
	Purpose and Activities of Intelligence 183
	The Major Intelligence Organizations 184

Patterns in the Intelligence Process 188 Coordination Problems 193 Producer-Consumer Problems 193 Variation in Intelligence Success 194 The Central Intelligence Agency 197 Evolution of the CIA and Covert Operations 198 The "Good Ole" Days 199 The "Fall" and Reform 205 The Resurgence 208 The Tensions Between National Security and Democracy
215
THE FOREIGN ECONOMIC AND CULTURAL BUREAUCRACY 229
The Foreign Economic Bureaucracy 229 U.S. Foreign Economic Policy in Historical Context 224 Contemporary U.S. Global Economic Involvement and Interdependence 230 Relevant Governmental Institutions 232 Coordination Problems and the Free Market Ethos 236 The Cultural and Communications Bureaucracy 239 Other Elements of the Foreign Policy Bureaucracy 241 Major Foreign Policy Patterns Revisited 245
8 EXECUTIVE BRANCH POLICYMAKING 249
Policymaking Stages 249 Policymaking Models 250 The Rational Actor Ideal 250 Groupthink 252 Governmental Politics 254 Organizational Process 256 Policymaking Patterns 260 Presidential and Bureaucratic Politics 261 Policymaking Tendencies 262 The Complex Reality of Policymaking 272
9 CONGRESS AND LEGISLATIVE-EXECUTIVE RELATIONS 278
The History of Legislative-Executive Relations 278 The Constitution and Foreign Policy 279 An Overview of Legislative-Executive Relations 281 World War II and the Post-War Years 282

Presidential Preeminence in the Cold War Era	283
Congressional Reassertion in the Post-Vietnam	War Era
296	
Contemporary Institutional Changes 298	
Contemporary Legislative-Executive Relations	303
The War Power 305	
Advice and Consent 311	
The Power of the Purse and to Make Laws	314
The Power of Oversight and Investigations	320
Prospects 326	

10 THE REST OF GOVERNMENT 335

The Judiciary 335

Historical Overview 335

The Contemporary Era 336

State and Local Governments 340

The Washington Political Community 346

Governmental Politics in Perspective 352

PART



THE SOCIETY AND DOMESTIC POLITICS 357

THE PUBLIC AND ITS BELIEFS 358
The Traditional Wisdom 358
A More Complex and Consequential Public 359
Public Opinion 360
Elite and Mass Publics 361
Major Patterns in Public Opinion 362
Consequences for Domestic Politics and Policymaking
366
Political Ideology 369
The Cold War Years of Consensus 375
The Post-Vietnam War Years and Lack of Consensus
380
Political Implications 389
Political Culture 391
American Culture and National Style 391
Implications for Foreign Policy 393
Continuity, Change, and the Vietnam War 396

399

Patterns in Beliefs and Making Foreign Policy

	Ð	POLITICAL PARTICIPATION AND ELECTORAL POLITICS	407
--	---	--	-----

Political Participation 407
The Electoral Process 408
Electoral Patterns Over Time 410
The New Deal Realignment 410
The Period of Bipartisanship 412
The Post-Vietnam Dealignment Era 416
Contemporary Electoral Politics 420

13 GROUP POLITICS 428

428 Group Origins and Development Group Politics During the Cold War Era Foreign Policy and Cold War-Oriented Groups 432 The Military-Industrial-Scientific Infrastructure 438 The Foreign Policymaking Establishment The Post-Vietnam War Era The Civil Rights and Antiwar Movements The Resurgence of Conservative Movements and the Right 448 452 Consequences for Group Politics Into the Post-Cold War Era 463

171 NATIONAL SECURITY AND THE EXERCISE OF CIVIL LIBERTIES 471

Historical Developments 471

The Preoccupation with National Security versus

Democratic Liberties 473

World War I 474

World War II 475

The Cold War 478

The Post-Vietnam War Resurgence of Civil Liberties 482

The Future 484

THE MEDIA AND THE COMMUNICATIONS PROCESS 489

The Influence of the Mass Media and the News 489
Contemporary News Media Coverage 490
Influences on the News Media 492
The Complexity and Ambiguity of the World 492
Characteristics of the News Business 493
The Political Environment and the Communications
Process 499

Implications for the Politics of U.S. Foreign Policy

The Media and U.S. Foreign Policy Since World War II

510

Public Knowledge and Democratic Citizenship

The Prevalence of Symbolic Politics

524

The Entertainment Media

527

The Alternative Media

532

The Foreign Policy Specialized Media

536

Information, Ideas, Symbols, and Politics

539

16 THE DOMESTIC POLITICAL PROCESS 546

Competing Models 546 547 Pluralism Elitism 548 549 The Great Debate Hyperpluralism 550 The Making of Foreign Policy Since World War II 551 Pluralism and the Cold War Years The Post-Vietnam War Era. From Elitism to Pluralism 553 Continuity in Hyperpluralist Politics and an Apolitical Mass Public 558 Complexity and Change in the Politics of U.S. Foreign 560 Policy



THE GLOBAL ENVIRONMENT AND FUTURE POLITICS OF U.S. FOREIGN POLICY 567

THE GLOBAL ENVIRONMENT AND AMERICAN POWER

Influence of the Environment on American Politics 568 The Cold War Era in World Politics 470 The Rise of the East-West Conflict 570 The Rise of American Power 572 The Rise of Global Complexity 574 The Rise of Global Pluralism and Interdependence

The Relative Decline of American Power 577
The Post-Cold War Era in World Politics 580

The Collapse of Communism and the End of the Cold
War 586
The Persian Gulf War and Other Global Conflicts
588
The Future Politics of U.S. Foreign Policy 590