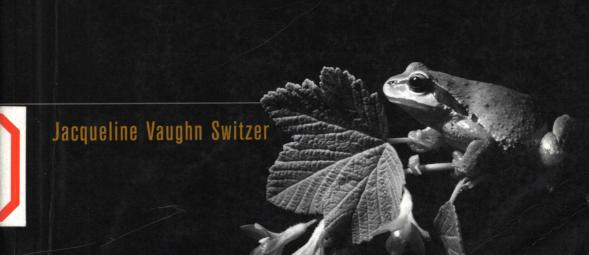
ENVIRONMENTAL POLITICS

DOMESTIC AND GLOBAL DIMENSIONS



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Fourth Edition

JACQUELINE VAUGHN SWITZER

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Paraninfo Calle/Magallanes, 25 28015 Madrid, Spain For my dear friend Lyudmila Kovalyova Sumy, Ukraine

Preface

This fourth edition of *Environmental Politics* is written in the context of two separate, but interrelated events that will undoubtedly affect the pace of environmental policy making for several years. The terrorist attacks on the World Trade Center on September 11, 2001, and the United States' war with Iraq in 2003 have dominated discussion and the media. Suggesting that there are other important issues on the political agenda—the economy, health care, education—is not meant to trivialize these events or the people involved.

At the same time, these events are important to an analysis of environmental politics because it helps to explain why environmental legislation and policies are farther from the top of the policy agenda at this time. At the federal level, the war and homeland security have crowded out proposals to revise the Endangered Species Act or Superfund reauthorization. Gaining political support for appropriations to fight terrorism pushes grazing reform further down the policy agenda. Energy programs at the state level are less likely to be considered by legislatures than finding ways to pay for mandated security measures or public services that have been cut as a result of the tumbling economy. Even locally, concerns about municipal water quality are focused less on total coliform and more on securing water supplies from terrorism.

This is not to say that environmental politics has been ignored since the third edition of this book was published. Thousands of participants at the Johannesburg Summit came to South Africa to attempt to build bridges across nations. The U.S. Supreme Court upheld the designations of national monuments that had been made by President Clinton as he was leaving office in 2001. The governor of California signed legislation that moved the state forward in global climate change policy. While there are signs that protection of the environment is on hold, rather than forgotten, the spring 2003 marches across the world are about war rather than Earth Day.

It is in this context that the fourth edition has been written. As in previous volumes, the process model is used as a paradigm for exploring environmental politics and policy. The model helps us to understand the interaction among institutions, such as the president and the administrative agencies, Congress, and the courts. The process model also provides a way to explain the role of nongovernmental organizations both in the United States and abroad as essential stakeholders. Ultimately, the process model permits us to understand how politics affects policy making and progress toward solving environmental problems.

Because the debate over international environmental policy has broadened, the book is written with an enhanced focus on global concerns and transnational actors. Concurrently, there is an expansion of the coverage of international environmental law, identifying the agreements that have been reached thus far, along with an analysis of their effectiveness. Materials have been significantly updated to provide the basis for timely discussions of key issues.

Environmental Politics has been praised for its objectivity and its clear explanations of controversies that are often framed in political rhetoric. While it does not attempt to provide in-depth coverage of every issue, it does provide an overview that goes beyond the "headline news" approach. The "Another View, Another Voice" segments provide personal glimpses of individuals, organizations, and events that influence environmental politics in contrast to the more formal explanations found in other books.

To place environmental politics in context, the introduction provides an overview of the policy process. Essential to that context is the historical overview found in Chapter 1, which explores the philosophical and political beginnings of environmental concern. Chapter 2 identifies the key stakeholders who influence policy, followed by an explanation of the role of institutions in the process in Chapter 3. Chapters 4 through 11 are devoted to specific environmental issues, from public lands and U.S. forest policy in Chapter 4, to water scarcity and pollution in Chapter 7. Although many of the problems associated with environmental protection are overlapping and interrelated, these chapters bring the reader up-to-date on the most critical issues and analyze the progress that has been made. Several of the chapters have been revised to incorporate a more global view, rather than focus primarily on the United States. Coverage of biodiversity issues in Chapter 9 examines international regimes; issues affecting the global commons have been expanded in Chapter 10. New to this edition are sections on transnational advocacy networks, the impact of the Internet and cyberspace on participation and decision making, ecological restoration and fire management, and brownfields.

Writing the final chapter on emerging trends in environmental policy is always a challenge for several reasons. The political agenda is dynamic and constantly changing, moving "new" issues up for consideration, pushing "old" problems toward the bottom until crisis or another catalyst brings them back. In reality, problems like air quality and water pollution are never really solved. We make progress toward meeting standards and goals, and then revise the goals or raise the standards higher. Some of the issues outlined in Chapter 12, such as urban sprawl, have been around for a long time but are gaining new attention from researchers and officials. Others, like biopiracy and biosafety, have developed as a result of new technologies and ethical debates.

It is these changes in the political and scientific realms that always encourage me to work on a new edition of this book. I hope you will share in my excitement about those changes and the opportunities they bring.

ACKNOWLEDGMENTS

The most helpful reviewers are those who use this book in their classes, whether faculty or students. I am always appreciative of their candid comments, both formal and informal. I like to think that with each edition of *Environmental Politics*, I am a little closer to providing an objective and timely resource. For the fourth edition, I am indebted to the five scholars who provided manuscript reviews from the previous edition to guide me in this revision: Kenneth K. Frank, Brenau University; Stephen M. Meyer, Massachusetts Institute of Technology; Martin Nie, University of Montana; D.W. "Sid" Olufs, Pacific Lutheran University; and Brent S. Steel, Oregon State University.

This edition also marks the acquisition of the book by Wadsworth Publishing, and I have benefited from the editorial assistance of Scott Spoolman, Leslie Connor, and Linda DeMasi, a terrific cover designed by Sue Hart, and the support of David Tatom. I tried hard not to exploit the talents of two graduate students at Northern Arizona University who provided research assistance and moral support throughout the project: Emily Lethenstrom and Leslie Cutting. Lastly, I have appreciated the support of my family, Jack and Vernie Vaughn. I am not sure if they have read all the previous editions, but they always put copies out on the coffee table when company comes.

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Introduction

The chemical dichlorodiphenyltrichloroethane, or DDT, was created in the late nineteenth century and quickly gained acceptance for agricultural use, being liberally sprayed on crops and forest lands around the world. Its insecticidal properties were not discovered until 1939, and it was used extensively during World War II. DDT was found to be effective in killing or ridding houses of insects, particularly the Anopheles mosquito that spreads the disease malaria. For mosquito control, DDT is cost-effective; usually it is sprayed on the insides of buildings, around gardens, or on crops. Between the 1940s and early 1970s, an estimated 675,000 tons of DDT were applied domestically, with a peak use of 80 million tons in 1959. During the 1950s and 1960s, extensive use of the chemical eradicated malaria in most developed countries; by 1970, an estimated 500 million lives had been saved through an active spraying program. ¹

Rachel Carson's book *Silent Spring*, published in 1962, served as a catalyst for scientific, political, and public debate over the impact of DDT. Carson and other researchers documented the linkage between the use of the chemical and the disappearance of songbirds and raptors.² DDT was found in the tissues of many species, and as part of the food chain, eventually accumulated in human tissue as well. The book's popularity led to a series of television programs on DDT's effects, and President John F. Kennedy appointed a special panel to examine its conclusions. After three years of intensive study, U.S. Environmental Protection Agency Administrator William Ruckelshaus issued an order on June 14, 1972, that cancelled nearly all remaining federal registrations of DDT products, citing risks to the environment and the potential harm to human health, giving manufacturers and farmers six months to transition to substitute pesticides. A ban on the use of the chemical pesticide took effect on January 1, 1973; pesticide manufacturers filled legal challenges in the federal courts.³

Nearly thirty years later, delegates from around the world met in Johannesburg, South Africa, to consider a legally binding international agreement proposed by the United Nations Environment Programme (UNEP) that would control the use of twelve persistent organic pollutants, or POPs, known as the

Once used as an insecticide but now banned in the United States, DDT is still used in developing countries to kill mosquitos that carry malaria. "dirty dozen." Experts agreed that there was sufficient evidence on the harmful effects of these chemicals to warrant international attention on their manufacture and use, and consideration of a potential ban. The resulting agreement, the Stockholm Convention on Certain Persistent Organic Pollutants, banned eight of the twelve substances: aldrin, chlordane, dieldrin, endrine, heptachlor, mirex, hexachlorobenzane, and toxaphene. Dioxins and furans, by-products of burning plastics and other wastes with chlorine in them, were to be treated somewhat differently, requiring special technology to control their emissions. The agreement allowed the use of polychlorinated biphenyls, or PCBs, in power-generating equipment (with some restrictions) with a requirement that alternatives be developed by 2025.

But delegates compromised on one of the most contentious chemicals under consideration—DDT—finally agreeing to restrict its use but granting an exemption for countries using DDT to combat malaria.⁴ The decision came after more than 250 environmental groups such as Greenpeace and Physicians for Social Responsibility mounted an international campaign that called for the UNEP to ban DDT use altogether. Their demands were countered with scientific reports that showed there was no epidemiological evidence that demonstrated adverse health effects from DDT exposure. Other groups cited the successful use of DDT as the most cost-effective method of combating malaria in more than twenty developing countries, especially in sub-Saharan Africa. Noting that the World Health Organization (WHO) estimates that 400 million people are infected and one million people—mostly children—die each year from malaria, opponents of the ban argued that the health of people in malaria-endemic countries should be given greater consideration.⁵

How could a substance like DDT, once called the "miracle chemical," be banned in countries like the United States and Canada, and then be politically rehabilitated decades later through an international legal instrument?

In one sense, it could be said that these changes represent a sort of environmental mood swing resulting from scientific advances and the growing gap between mostly industrialized countries of the Global North and the developing countries of the South. To understand how and why this happens, it is first important to develop an overview of the policy-making process and the people who have a stake in policy outcomes. One way of doing so is through Anthony Downs's 1972 model, called the *issue-attention cycle*. According to Downs, the public's interest in an issue, such as the preservation of natural resources, goes through a cycle of ebb and flow—a process that is continuous, but not always predictable. Initially, a condition must be recognized as a problem; subsequent steps to solve that problem make up the policy process. Public policies are those developed by the arms of government, like the Department of Agriculture or the Nuclear Regulatory Commission.

There are many approaches to policy study, including political systems theory, group theory, elite theory, institutionalism, and rational choice theory. To better understand how politics has affected environmental policy, this book uses the five steps in the sequential model adapted from the work of political scientist James Anderson. Anderson's text is one of the more readable and up-to-date explanations of the policy process, though there are many more that are equally useful.

The continuing debate over DDT can be used as a case study to illustrate how the model works

1. Problem identification and agenda formation: In this stage, policy issues are brought to the attention of public officials in a variety of ways. Some are uncovered by the media; others become prominent through crisis or scientific study. Organized groups may demonstrate or lobby officials to focus attention on the problem, or they may enlist celebrities to bring it to the government's attention on their behalf. Some problems may exist without being recognized except by a few isolated individuals or groups, who clamor to have their voices heard. Other problems are so immediate or visible that there is an immediate call for resolution. Once identified, problems are said to be part of the policy agenda.

In the case of DDT, farmers had lauded the chemical's properties and the military had used it to protect both civilians and its own troops. The media's coverage of Silent Spring, including vivid images of bird shells that were too fragile to touch, and what some observers call Americans' "chemophobia" then put the spotlight on science and DDT's suspected links to human health. While the debate over its use went on for another decade, DDT continued to be used both in the United States and throughout the world.

2. Policy formulation: After a problem is identified as worthy of government attention, policymakers must develop proposed courses of action to solve it. Policy formulation may involve a variety of actors, which will be covered in more detail in Chapter 3. Some policies come directly from the president, such as President Bill Clinton's use of the executive order to designate the Grand Staircase-Escalante National Monument in 1996. Other policies, such as the logging of timber on public lands, are developed by federal agencies or cabinet-level departments, such as the U.S. Forest Service, a topic that is explored in Chapter 4. Congress and state legislatures are often the source of policy initiatives, including Oregon's landmark bottle bill, which established cash refunds for recycled products. Interest groups, the subject of Chapter 2, often pressure legislators or provide expertise on matters that are scientifically or technically complex. The control of greenhouse gases, for example, has been made more difficult because of issues of scientific uncertainty and the application of the precautionary principle, discussed in Chapter 10.

Initially, the U.S. Environmental Protection Agency (EPA), created in 1970 by President Nixon, was given the responsibility for coming up with a way to settle the lengthy debate over the perceived harm of DDT. Despite pressure from chemical manufacturers, and in response to the burgeoning environmental movement, the EPA decided that a short transition period leading to a total ban on the chemical's use would satisfy public concerns. 13

3. Policy adoption: The acceptance of a particular policy is a highly politicized stage, often involving legislation or rule making, that legitimizes the policy. This is usually referred to as the authorization phase of policy making, and it often occurs outside the public's direct view. Hearings on competing proposals, meetings among stakeholders, and the publication of new standards of regulation