Reconstructing Relationships in Higher Education

Challenging Agendas

Celia Whitchurch and George Gordon





ISBN 978-1-138-81081-5



www.routledge.com • an informa business

ONSTRUCTING RELATIONSHIPS IN HIGHER EDUCATION

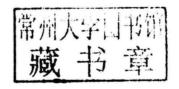
WELL THE RELATIONSHIPS IN HIGHER

1.00

Reconstructing Relationships in Higher Education

Challenging Agendas

Celia Whitchurch and George Gordon





First published 2017 by Routledge 2 Park Square, Milton Park, Abingdon, Oxon OX14 4RN

and by Routledge 711 Third Avenue, New York, NY 10017

Routledge is an imprint of the Taylor & Francis Group, an informa business

© 2017 C.Whitchurch and G. Gordon

The right of C.Whitchurch and G. Gordon to be identified as authors of this work has been asserted by them in accordance with sections 77 and 78 of the Copyright, Designs and Patents Act 1988.

All rights reserved. No part of this book may be reprinted or reproduced or utilised in any form or by any electronic, mechanical, or other means, now known or hereafter invented, including photocopying and recording, or in any information storage or retrieval system, without permission in writing from the publishers.

Trademark notice: Product or corporate names may be trademarks or registered trademarks, and are used only for identification and explanation without intent to infringe.

British Library Cataloguing in Publication Data

A catalogue record for this book is available from the British Library

Library of Congress Cataloging in Publication Data
A catalog record for this book has been requested

ISBN: 978-1-138-81081-5 (hbk) ISBN: 978-1-138-81082-2 (pbk) ISBN: 978-1-315-74935-8 (ebk)

Typeset in Galliard by diacriTech, Chennai

Reconstructing Relationships in Higher Education Challenging Agendas

Drawing on two international research projects, *Reconstructing Relationships in Higher Education: Challenging Agendas* looks behind formal organisational structures and workforce patterns to consider the significance of relationships, particularly at local and informal levels, for the aspirations and motivations of academic faculty. In practice, and day-to-day, such relationships can overlay formal reporting lines and therefore inform, to a greater or lesser extent, the overall relationship between individuals and institutions.

As a result, from an institutional point of view, relationships may be a critical factor in the realisation of strategy, and can in practice have a disproportionate effect, both positively and negatively. However, little attention has been paid to the role that they play in understanding the interface between individuals and institutions at a time of ongoing diversification of the workforce. For instance, they may provide space, which in turn may be implicit and discretionary, in which negotiation and influence can occur. In this context, *Reconstructing Relationships in Higher Education* also reviews ways in which institutions are responding to more agentic approaches by academic faculty, particularly younger cohorts, and the significance of local managers, mentors and academic networks in supporting individuals and promoting career development.

The text, which examines the dynamics of working relationships at local and institutional level, will be of interest to senior management teams, practising managers at all levels, academic faculty, and researchers in the field of higher education.

Dr Celia Whitchurch is Senior Lecturer in Higher Education at University College London Institute of Education.

Professor George Gordon is Emeritus Professor at the University of Strathclyde.

The Society for Research into Higher Education (SRHE) is an independent and financially self-supporting international learned Society. It is concerned to advance understanding of higher education, especially through the insights, perspectives and knowledge offered by systematic research and scholarship.

The Society's primary role is to improve the quality of higher education through facilitating knowledge exchange, discourse and publication of research. SRHE members are worldwide and the Society is an NGO in operational relations with UNESCO.

The Society has a wide set of aims and objectives. Amongst its many activities the Society:

• is a specialist publisher of higher education research, journals and books, amongst them Studies in Higher Education, Higher Education Quarterly, Research into Higher Education Abstracts and a long running monograph book series.

The Society also publishes a number of in-house guides and produces a specialist series "Issues in Postgraduate Education"

- funds and supports a large number of special interest networks for researchers and practitioners working in higher education from every discipline. These networks are open to all and offer a range of topical seminars, workshops and other events throughout the year ensuring the Society is in touch with all current research knowledge.
- runs the largest annual UK-based higher education research conference and parallel conference for postgraduate and newer researchers. This is attended by researchers from over 35 countries and showcases current research across every aspect of higher education.

SRHE

Society for Research into Higher Education

Advancing knowledge Informing policy Enhancing practice

73 Collier Street London N1 9BE United Kingdom T +44 (0)20 7427 2350 F +44 (0)20 7278 1135 E srheoffice@srhe.ac.uk

www.srhe.ac.uk

Director: Helen Perkins Registered Charity No. 313850 Company No. 00868820 Limited by Guarantee Registered office as above

Society for Research into Higher Education (SRHE) series Series Editor: Jennifer M. Case, University of Cape Town Jeroen Huisman, University of Ghent

Published titles:

Culture, Capitals and Graduate Futures

Ciaran Burke

Researching Higher Education: International Perspectives on Theory, Policy and Practice

Jennifer M. Case and Jeroen Huisman

Freedom to Learn: The Threat to Student Academic Freedom and Why It Needs to Be Reclaimed

Bruce Macfarlane

Student Politics and Protest: International Perspectives

Rachel Brooks

Theorising Learning to Teach in Higher Education

Brenda Leibowitz, Vivienne Bozalek and Peter Kahn

Access to Higher Education: Theoretical Perspectives and Contemporary Challenges

Anna Mountford-Zimdars and Neil Harrison

Changing Pedagogical Spaces in Higher Education: Diversity, Inequalities and Misrecognition

Penny Jane Burke, Gill Crozier and Lauren Ila Misiaszek

Religion and Higher Education in Europe and North America

Kristin Aune and Jacqueline Stevenson

In loving memory of Jane Gordon

If you get the relationships right, everything else falls into place (learning technologist).

(Whitchurch 2013: 63)

Preface

This monograph builds on and updates material in Academic and Professional Staff in Higher Education: The Challenges of a Diversifying Workforce (Gordon and Whitchurch 2010), to consider developments that have occurred since then, both in the UK and worldwide. It also draws on the empirical studies associated with a report for the UK Leadership Foundation for Higher Education (LFHE), Staffing Models and Institutional Flexibility (Whitchurch and Gordon 2013), and a report for the UK Higher Education Academy (HEA), Shifting Landscapes: Meeting the Staff Development Needs of the Changing Academic Workforce (Locke, Whitchurch, Smith and Mazenod 2016). Although both projects were primarily UK based, both sought the views of international expert witnesses and commentators. These accounts, together with a reading of the international literature, have allowed an overview to be taken which is likely to have resonance in other countries, in particular the US, Australasia and South Africa.

Both studies looked at organisational structures, models and strategies, but also included qualitative narratives that give an insight into the hidden worlds of an increasingly diversified workforce, including those working on short-term and part-time contracts, those formally or informally restricted to teaching and/or research, and those undertaking roles with academic components but not necessarily having academic contracts. At the heart of this monograph are the dynamics of working relationships between senior management teams (or in US terms, senior administrators), local managers, rank-and-file faculty and their peer networks. Such relationships appear to be increasingly significant in ensuring that all these groups are committed to institutional missions at the same time as fulfilling their own personal and career aspirations.

It is intended that the text will be of interest to both academic researchers and practising managers interested in higher education roles and identities, careers and working patterns, as well as in institutional organisation and management. To address an international readership, the term 'academic faculty' has been used throughout to refer to what in the UK would be termed 'academic staff'. Where there is reference to 'managers', these may be senior academic managers (such as vice-chancellors and pro-vice-chancellors in UK or Australian terminology),

presidents and vice-presidents (who would be termed 'administrators' in US terminology). 'Local' managers refers to those in line management positions, which can include academic faculty such as deans, heads of school or department. Within schools and departments it can also include those with, for instance, responsibilities for teaching and learning, educational technology and knowledge exchange. It has also been seen as important to include individuals working in so-called academic 'support' roles, in areas such as student welfare, widening participation, outreach and community partnership, employability, programme design and academic literacy. Although such individuals may or may not have academic contracts, their interface with those who do is increasingly critical for managing teaching and research. People not having academic contracts are sometimes referred to generically as 'professional' staff. However this is not intended to imply that academic faculty are not also professionals. On occasion the generic term 'staff' is also used to refer collectively to all these groupings. In the UK this does not have the restricted connotations that it has, for instance, in the United States, where it tends to imply individuals having neither academic nor professional contracts ie those in technical or clerical roles. Thus although this monograph is principally about academic faculty, there are occasions when reference will be made to the implications of institutional policy for relationships with professional and other staff. Similarly, the terms 'school' and 'department' are used to denote sub-units in the academic organisation of an institution. The term 'faculty', as used in the UK to mean a disciplinary grouping in the organisational sense has not been used, so as to avoid confusion with its use in relation to academic faculty.

Grateful acknowledgements are due to the Leadership Foundation for Higher Education (LFHE) and the Higher Education Academy (HEA) for funding the projects on which this monograph draws; to participants on the University College London Institute of Education MBA in Higher Education Management for stimulating discussions about the realities of day-to-day working relationships; and to the project team for the HEA project, William Locke, Dr Holly Smith and Dr Anna Mazenod. Finally, we gratefully acknowledge the assistance of Professors Rob Cuthbert, Jeroen Huisman and Jenni Case who kindly read and commented on an advanced draft of the text.

Contents

	List of figures and tables Preface	xi xiii
	RT I uctures	1
1	Introduction	3
2	Organisational frameworks	20
	RT II lationships	43
3	Working relationships	45
4	Individual responses	62
5	The role of middle managers	84
,	RT III wards a constructive alignment	103
6	Emergent practices – bottom up	105
7	Emergent practices – top down	122
8	Reconstructing relationships	135
9	Conclusions	146
	References Appendix: Details of the two studies Index	163 175 181

Figures and tables

Figu	ires	
3.1	Formal organisational relationships in higher	
	education institutions	50
6.1	Engeström's 'third generation' activity system	118
Tab	les	
1.1	The UK higher education workforce	10
1.2	The UK academic workforce	11
1.3	Respondents in the two studies	16
1.4	Institutional case studies	17
2.1	'Hard' and 'soft' aspects of human resource	
	management	37
3.1	Seven UK institutions in relation to the types of	
	approach they adopted to employment practices	58
3.2	Perceived advantages and challenges of integrated,	
	partnership and private sector approaches	59
4.1	Typology of approaches to work/career, motivations	
	and relationships	69
6.1	Components of the university and industry partner	
	activity systems	119
7.1	Components of activity system relating to partnership	
	and outsourcing arrangements	130
8.1	Faculty working relationships	141
8.2	Instrumental and investing relationships	143
	177. 27	