

The Handbook of Lithium-Ion Battery Pack Design

Chemistry, Components, Types and Terminology

John Warner

XALT Energy, Midland, MI, USA



Elsevier

Radarweg 29, PO Box 211, 1000 AE Amsterdam, Netherlands The Boulevard, Langford Lane, Kidlington, Oxford OX5 1GB, UK 225 Wyman Street, Waltham, MA 02451, USA

Copyright © 2015 Elsevier Inc. All rights reserved.

No part of this publication may be reproduced or transmitted in any form or by any means, electronic or mechanical, including photocopying, recording, or any information storage and retrieval system, without permission in writing from the publisher. Details on how to seek permission, further information about the Publisher's permissions policies and our arrangements with organizations such as the Copyright Clearance Center and the Copyright Licensing Agency, can be found at our website: www.elsevier.com/permissions.

This book and the individual contributions contained in it are protected under copyright by the Publisher (other than as may be noted herein).

Notices

Knowledge and best practice in this field are constantly changing. As new research and experience broaden our understanding, changes in research methods, professional practices, or medical treatment may become necessary.

Practitioners and researchers must always rely on their own experience and knowledge in evaluating and using any information, methods, compounds, or experiments described herein. In using such information or methods they should be mindful of their own safety and the safety of others, including parties for whom they have a professional responsibility.

To the fullest extent of the law, neither the Publisher nor the authors, contributors, or editors, assume any liability for any injury and/or damage to persons or property as a matter of products liability, negligence or otherwise, or from any use or operation of any methods, products, instructions, or ideas contained in the material herein.

ISBN: 978-0-12-801456-1

British Library Cataloguing in Publication Data

A catalogue record for this book is available from the British Library

Library of Congress Cataloging-in-Publication Data

A catalog record for this book is available from the Library of Congress

For Information on all Elsevier publications visit our website at http://store.elsevier.com/



The Handbook of Lithium-Ion Battery Pack Design

Preface

In early 2009 as the US automotive industry was in the midst of its restructuring, I took advantage of the changing industry to join a new energy start-up and enter into the lithiumion battery space. As I worked to make the transition from a major OEM to the lithiumion battery industry, I purchased pretty much every book I could find on lithiumion batteries looking for one that gave me the basic information, which I would need to be successful. However, I found that while there were some good books on the market, they were either very technical and targeted at engineers or focused on different markets such as laptops or on different technologies such as Nickel-Metal Hydride batteries. Over the following years I spent a lot of time working with chemists, engineers, and battery scientists to learn as much as I could.

However, about 18 months ago I was speaking to a colleague who was asked a simple question as we were coming out of a meeting—how do you know all of this stuff? And it occurred to me that there may be a need for a tool to help people, who are working in the lithium-ion battery industry but are not battery experts but who still need to gain a better understanding of the industry and the products in order to do their jobs better. In other words, I decided to write the book that I wish I had when I started in the industry. I spent a lot of time thinking back to that time and the types of questions that I had. As someone who had spent a career in engineering organizations but was not an engineer, I had to ask a lot of questions and made a lot of crib notes but I could not find a single source for everything I was trying to learn.

This book is the realization of that knowledge gap in the industry. This book is intended for everyone; you do not have to be an engineer in order to gain an understanding of batteries. In fact, as the battery industry has grown so much over the past 10 years, there have been a lot of new people coming into the battery world from other industries. That means a lot of people with great experience in their various specialties who now need to learn about lithium-ion batteries. Maybe you are a student in one of the new energy storage system programs that are beginning to sprout up in universities across the world, or perhaps you are a purchasing manager who is now tasked with buying a whole new set of components and have no idea what it is they are, or what if you are a thermal engineer who has now moved into the battery world—this book is for you!

In this book you will first begin by gaining an understanding of the history of batteries, as we do not want to repeat any of the mistakes of the past; it is important to understand what has come before us. The next most challenging part of moving into a new industry is understanding the lingo; this book will also help to give you that basic understanding. You will also be able to gain an understanding of the basic math that can be used in doing the first run sizing of a battery—this section is the result of 7 years of taking notes and back calculating some of the work I had seen engineers do over the years. This is followed by chapters that will introduce you to the different parts of the battery, the industry organizations that are out there, and a wide range of different applications that are being powered by batteries—some lithium-ion and some with other technologies.

So whether you are looking to learn something about one aspect of lithium-ion batteries to bolster your knowledge or are entirely new and are looking to learn all about the basics, this book will be a good tool to add to your toolbox.

I have come to believe that, after nearly 2 years of writing and editing this book, it is an ongoing project that will continue to evolve as the industry and technologies themselves evolve. Lithium-ion battery technology is not fixed; it is a constantly evolving field with new innovations, inventions, and chemistries emerging almost daily. This book will give you a great basis to continue your education. So charge up and get started!

Acknowledgments

I would like to begin by thanking my wife Amy and my children Erika and Lukas for their support and encouragement while I have undertaken this project. Without your patience and support over the many weekends and evenings, this book would not have been possible.

I also wish to thank the following people for their contributions to my inspiration and knowledge and other help in creating this book: Bob Purcell, whose background in the automotive electrification field proved very helpful in offering direction and insights as the project was being outlined; Bob Galyen, who has spent his career as a leader in the battery-energy storage industry who provided constant encouragement and support as well as providing direction when needed; Dr Per Onnerud and Dr Christina Lampe-Onnerud, who both became early mentors to me in the lithium-ion battery world; Dr JR Lina, who took me under his wing early in my battery career and taught me many of the basic and key concepts around which this book is based; Subhash Dhar, who has led more energy storage companies over the past 20+ years than anyone else I know; Jon Bereisa, who has been involved in electrification throughout many aspects of his career and is perhaps one of the best resources I have known who can speak on just about any topic. And I need to include a special thanks to some of the people who were initial reviewers of the idea for this book, which helped me to guide the direction and scope of it including Bob Kruse, Dell Crouch, Lori Hutton, Oliver Gross, and many others.

I apologize if I missed anyone, but everyone I have worked with and had interactions with over the past 7 years or more have all been the inspiration for this book and I thank you!

Acronyms List

DFR

DFS

Design for Reliability

Design for Service

A Ampere AC **Alternating Current** AGM Absorbed Glass Mat Ah Ampere hour AIAG The Automotive Industry Action Group Advanced Lead Acid Battery Council ALBAC ARB Air Resource Board ASIC Application Specific Integrated Circuit ASQ American Society for Quality AUV Autonomous Underwater Vehicle BCI **Battery Council International BDU Battery Disconnect Unit** BEV Battery Electric Vehicle **BMS** Battery Management System BOL Beginning of Life CAD Computer-aided Design CAE Computer-aided Engineering CAEBAT Computer-aided Engineering for Electric-Drive Vehicle Batteries **CAFE** Corporate Average Fuel Economy **CARB** California Air Resource Board CATARC China Automotive Technology and Research Center CES Community Energy Storage CFD Computational Fluid Dynamics CID Current Interrupt Device **CSC** Cell Supervision Circuit DC Direct Current DEC Diethyl Carbonate DES Distributed Energy Storage **DFMEA** Design Failure Modes Effect Analysis

xviii Acronyms List

DFSS Design for Six Sigma
DMC Dimethyl Carbonate

DOD Depth of Discharge

DOE U.S. Department of Energy DOE Design of Experiments

DVP&R Design, Validation Plan & Report

EC Ethylene Carbonate

ECSS Electrochemical Storage System

eMPG Electric Miles per Gallon EDV Electric Drive Vehicles

EES Electrochemical Energy Storage

EMC Ethylmethyl Carbonate

EMC Electromagnetic Compatibility
EMI Electromagnetic Interference
EMS Energy Management System

EOL End of Life

EREV Extended Range Electric Vehicle

ESS Energy Storage System

EUCAR European Council for Automotive Research and Development

EV Electric Vehicle

EVAA Electric Vehicle Association of America

FCEV Fuel Cell Electric Vehicle FEA Finite Element Analysis FMEA Failure Modes Effect Analysis

GEO Geosynchronous Earth Orbit
GEV Grid-tied Electric Vehicle

HC Hydrocarbon HEO High Earth Orbit

HEV Hybrid Electric Vehicle

HD Heavy Duty

HIL Hardware in the Loop HPDC High Pressure Die Cast

HPPC Hybrid Power Pulse Characterization

HV High Voltage

HVAC Heating Ventilation Air Conditioning

HVFE High Voltage Front End HVIL High Voltage Interlock Loop

IBESA International Battery and Energy Storage Alliance

ICB Interconnect Board

ICE Internal Combustion Engine

International Electrotechnical Commission **IEC**

Institute of Electrical and Electronics Engineers **IEEE**

INL Idaho National Laboratory IP **International Protection** IP **Ingress Protection**

IPVEA International Photovoltaic Equipment Association ISO International Organization on Standardization

kWh kilo-watt hour LAB Lead Acid Battery

Lithium-ion Cobalt Oxide LCO

LD Light Duty

LEO Low Earth Orbit

LEV Low Emissions Vehicle LEV Light Electric Vehicle

Light Electric Vehicle Association LEVA

LFP Lithium-ion Iron Phosphate

LIB Lithium-ion Battery LIP Lithium-Ion Polymer LiPo Lithium-Ion Polymer Lithium-Ion Polymer LI-Poly

LMO Lithium-ion Manganese Oxide

LPG Liquid Propane Gas

LTO Lithium-ion Titanate Oxide

LV Low Voltage

MEO Medium Earth Orbit

Micro Hybrid Electric Vehicle μ HEV

MPG Miles per Gallon

MSD Manual Service Disconnect **MTBF** Mean Time between Failures

MTTF Mean Time to Failure

MY Model Year MWh Mega-watt hour

NAATBatt National Association for Advanced Technology Batteries

NCA Lithium-ion Cobalt Aluminum

National Electrical Manufacturers Association NEMA

NEV Neighborhood Electric Vehicle NEV New Energy Vehicle (China)

NHTSA National Highway Transportation Safety Administration

Nickel Cadmium NiCd NiMh Nickel Metal Hydride

xx Acronyms List

NMC Lithium-ion Nickel Manganese Cobalt NREL National Renewables Energy Laboratory

NTC Negative Thermal Coefficient

NTCAS National Technical Committee on Automotive Standardization (China)

OEM Original Equipment Manufacturer
ORNL Oak Ridge National Laboratory

OSV Off-Shore Vessel

PbA Lead Acid

PCB Printed Circuit Board PCM Phase Change Material

PE Polyethylene

PFMEA Process Failure Modes Effect Analysis

PHEV Plug-In Hybrid Electric Vehicle
PMS Power Management System

PNNL Pacific Northwest National Laboratory

PP Polypropylene

PRBA Portable Rechargeable Battery Association

PSV Platform Supply Vessel

PTC Positive Thermal Coefficient

PV Photovoltaic

PVDF Polyvinylidene Fluoride

PZEV Partial Zero Emissions Vehicle
REEV Range Extended Electric Vehicle
RESS Rechargeable Energy Storage System

REX Range Extender

SAC Standardization Administration of China

SAE Society of Automotive Engineers

SEI Solid Electrolyte Interphase

SIL Software in the Loop SLA Standard Lead Acid

SLI Starting, Lighting, Ignition

SNL Sandia National Lab SOC State of Charge

SOH State of Health
SOL State of Life

SRU Smallest Replaceable Unit

S/S Stop/Start

T&D Transmission & Distribution
TMS Thermal Management System

TTF Test to Failure

UN United Nations

UPS Uninterruptible Power Supply
USABC U.S. Advanced Battery Consortium

USCAR United States Center for Automotive Research

UUV Unmanned Underwater Vehicles
VDA Verband der Automobilindustrie
VRLA Valve Regulated Lead Acid
VOC Voice of the Customer

VTB Voltage, Temperature monitoring Board

VTM Voltage, Temperature Monitoring

W Watt

W/kg Watt per kilogram
W/L Watt per liter
Wh Watt-hour

Wh/kg Watt-hour per kilogram
Wh/L Watt-hour per liter
ZEV Zero Emissions Vehicle

Contents

Figure Captions	i
Preface	xii
Acknowledgments	
Acronyms List	
Chapter 1: Introduction	1
,	
Factors Influencing Consumer Adoption of Electric Vehicles	
Evolving Vehicle Technology Needs Purpose of the Book	
Chapter Outline	
Chapter 2: History of Vehicle Electrification	
The History of the Modern Storage Battery	
An Electrical Industry Emerges	
Early Electric Vehicle Development	
Modern Vehicle Electrification	16
Chapter 3: Basic Terminology	23
Vehicle and Industry Terms	
Stationary and Grid Terminology	
Battery Terms	28
Chapter 4: Battery Pack Design Criteria and Selection	35
Ohm's Law and Basic Battery Calculations	
Converting Customer Requirements into Pack Designs	
Power to Energy Ratios	
Large Stationary and Grid Systems	
Quick Formula Summary	48
Chapter 5: Design for Reliability/Design for Service	51
Design for Reliability/Design for Service	
Quality and Reliability	
Failure Modes Effects Analysis	
Design for Service	
Chapter Summary	57

Chapter 6: Computer-Aided Design and Analysis	59
Organizations and Analysis Products	
Analysis Tools	61
Battery Sizing Tools	62
Chapter 7: Lithium-Ion and Other Cell Chemistries	65
Lead Acid	
Nickel Metal-Based Chemistries	
Sodium-Based Chemistries	
Lithium-Ion Cells	
Cathode Chemistries	
Anode Materials	
Separators	81
Electrolytes	
Safety Features	83
Lithium-Ion Cell Types and Sizes	84
Lithium-Ion Cell Manufacturers	86
Chapter 8: Battery Management System Controls	91
BMS Typologies	
BMS Hardware	
Balancing	
Active versus Passive Balancing.	
Additional BMS Functionality	
Software and Controls	
Chapter 9: System Control Electronics	103
Contactors/Relays	
High-Voltage Interlock Loop	
Fuses	
Battery Disconnect Unit	
Connectors	
Charging	
Chapter 10: Thermal Management	
Why Cooling?	
Why Heating?	
Active Thermal Management Systems	
Passive Thermal Management Systems Temperature—Protection and Insulation	
Thermocouples and Measurement	
•	
Chapter 11: Mechanical Packaging and Material Selection	
Module Designs	132
Use of Metals in Battery Design	
Use of Plastics and Composites in Battery Design	
Sealed Enclosures	