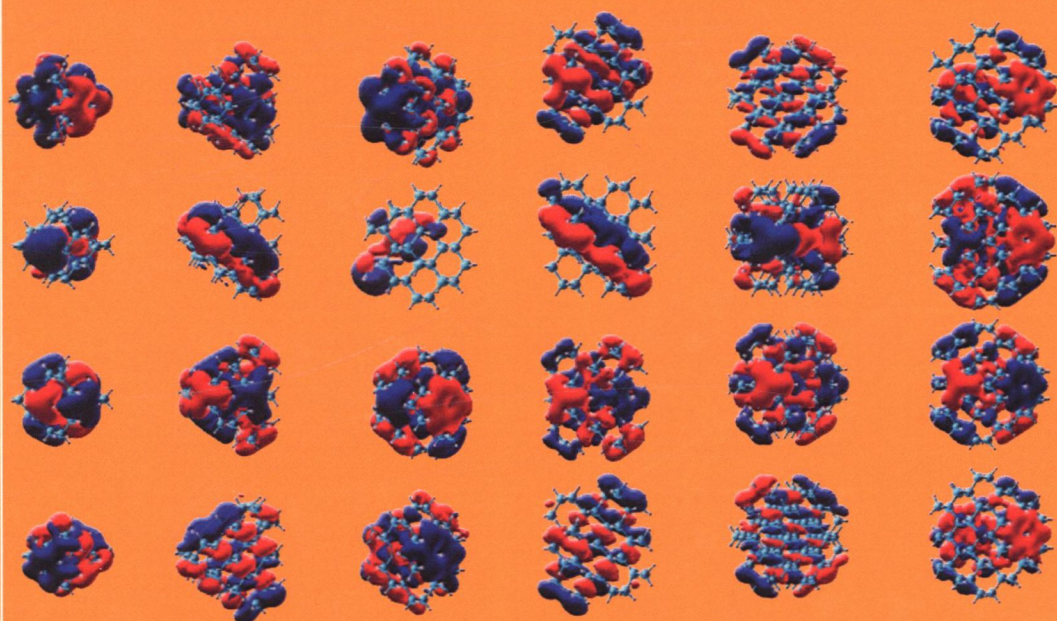


SERIES IN MATERIALS SCIENCE AND ENGINEERING



SILICON NANOMATERIALS SOURCEBOOK VOLUME I

Low-Dimensional Structures, Quantum Dots,
and Nanowires

Edited by

Klaus D. Sattler

 CRC Press
Taylor & Francis Group

SERIES IN MATERIALS SCIENCE AND ENGINEERING

Materials Science / Physics

This comprehensive tutorial guide to silicon nanomaterials spans from fundamental properties, growth mechanisms, and processing of nanosilicon to electronic device, energy conversion and storage, biomedical, and environmental applications. It also presents core knowledge with basic mathematical equations, tables, and graphs in order to provide the reader with the tools necessary to understand the latest technology developments.

From low-dimensional structures, quantum dots, and nanowires to hybrid materials, arrays, networks, and biomedical applications, this Sourcebook is a complete resource for anyone working with this materials:

- Covers fundamental concepts, properties, methods, and practical applications.
- Focuses on one important type of silicon nanomaterial in every chapter.
- Discusses formation, properties, and applications for each material.
- Written in a tutorial style with basic equations and fundamentals included in an extended introduction.
- Highlights materials that show exceptional properties as well as strong prospects for future applications.

Klaus D. Sattler is professor physics at the University of Hawaii, Honolulu, having earned his PhD at the Swiss Federal Institute of Technology (ETH) in Zurich. He was honored with the Walter Schottky Prize from the German Physical Society, and is the editor of the sister work also published by Taylor & Francis, Carbon Nanomaterials Sourcebook, as well as the acclaimed multi-volume *Handbook of Nanophysics*.



CRC Press
Taylor & Francis Group
an informa business

6000 Broken Sound Parkway, NW
Suite 300, Boca Raton, FL 33487
711 Third Avenue
New York, NY 10017
2 Park Square, Milton Park
Abingdon, Oxon OX14 4RN, UK

K28986

ISBN: 978-1-4987-6377-6



9 781498 763776

Sattler

SILICON NANOMATERIALS SOURCEBOOK

Low-Dimensional Structures, Quantum Dots, and Nanowires

VOLUME I





SILICON NANOMATERIALS SOURCEBOOK VOLUME I

Low-Dimensional Structures, Quantum Dots,
and Nanowires

Editor: Klaus D. Sattler



CRC Press

Taylor & Francis Group
Boca Raton London New York

CRC Press is an imprint of the
Taylor & Francis Group, an **informa** business

CRC Press
Taylor & Francis Group
6000 Broken Sound Parkway NW, Suite 300
Boca Raton, FL 33487-2742

© 2017 by Taylor & Francis Group, LLC

CRC Press is an imprint of Taylor & Francis Group, an Informa business
No claim to original U.S. Government works

Printed on acid-free paper

International Standard Book Number-13: 978-1-4987-6377-6 (Hardback)

This book contains information obtained from authentic and highly regarded sources. Reasonable efforts have been made to publish reliable data and information, but the author and publisher cannot assume responsibility for the validity of all materials or the consequences of their use. The authors and publishers have attempted to trace the copyright holders of all material reproduced in this publication and apologize to copyright holders if permission to publish in this form has not been obtained. If any copyright material has not been acknowledged please write and let us know so we may rectify in any future reprint.

Except as permitted under U.S. Copyright Law, no part of this book may be reprinted, reproduced, transmitted, or utilized in any form by any electronic, mechanical, or other means, now known or hereafter invented, including photocopying, micro-filming, and recording, or in any information storage or retrieval system, without written permission from the publishers.

For permission to photocopy or use material electronically from this work, please access www.copyright.com (<http://www.copyright.com/>) or contact the Copyright Clearance Center, Inc. (CCC), 222 Rosewood Drive, Danvers, MA 01923, 978-750-8400. CCC is a not-for-profit organization that provides licenses and registration for a variety of users. For organizations that have been granted a photocopy license by the CCC, a separate system of payment has been arranged.

Trademark Notice: Product or corporate names may be trademarks or registered trademarks, and are used only for identification and explanation without intent to infringe.

Library of Congress Cataloging-in-Publication Data

Names: Sattler, Klaus D., editor.
Title: Silicon nanomaterials sourcebook / edited by Klaus D. Sattler.
Other titles: Series in materials science and engineering.
Description: Boca Raton, FL: CRC Press, Taylor & Francis Group, [2017] |
Series: Series in materials science and engineering | Includes
bibliographical references and index. Contents: volume 1. Low-dimensional
structures, quantum dots, and nanowires
Identifiers: LCCN 2016059471 | ISBN 9781498763776 (v. 1; hardback; alk.
paper) | ISBN 1498763774 (v. 1; hardback; alk. paper)
Subjects: LCSH: Nanosilicon. | Nanostructured materials.
Classification: LCC TA418.9.N35 S5556 2017 | DDC 620.1/15--dc23
LC record available at <https://lccn.loc.gov/2016059471>

Visit the Taylor & Francis Web site at
<http://www.taylorandfrancis.com>

and the CRC Press Web site at
<http://www.crcpress.com>

Silicon Nanomaterials Sourcebook

VOLUME ONE

Series in Materials Science and Engineering

Recent books in the series:

Advanced Thermoelectrics: Materials, Contacts, Devices, and Systems

Zhifeng Ren, Yucheng Lan, Qinyong Zhang (Eds)

Silicon Nanomaterials Sourcebook: Two-Volume Set

Klaus D. Sattler (Ed)

Silicon Nanomaterials Sourcebook: Low-Dimensional Structures, Quantum Dots, and Nanowires, Volume One

Klaus D. Sattler (Ed)

Silicon Nanomaterials Sourcebook: Hybrid Materials, Arrays, Networks, and Devices, Volume Two

Klaus D. Sattler (Ed)

Conductive Polymers: Electrical Interactions in Cell Biology and Medicine

Ze Zhang, Mahmoud Rouabhia, Simon E. Moulton (Eds)

Physical Methods for Materials Characterisation, Third Edition

Peter E J Flewitt, Robert K Wild

Multiferroic Materials: Properties, Techniques, and Applications

J Wang (Ed)

Computational Modeling of Inorganic Nanomaterials

S T Bromley, M A Zwijsenburg (Eds)

Automotive Engineering: Lightweight, Functional, and Novel Materials

B Cantor, P Grant, C Johnston

Strained-Si Heterostructure Field Effect Devices

C K Maiti, S Chattopadhyay, L K Bera

Spintronic Materials and Technology

Y B Xu, S M Thompson (Eds)

Fundamentals of Fibre Reinforced Composite Materials

A R Bunsell, J Renard

Novel Nanocrystalline Alloys and Magnetic Nanomaterials

B Cantor (Ed)

3-D Nanoelectronic Computer Architecture and Implementation

D Crawley, K Nikolic, M Forshaw (Eds)

Computer Modelling of Heat and Fluid Flow in Materials Processing

C P Hong

High-K Gate Dielectrics

M Houssa (Ed)

Metal and Ceramic Matrix Composites

B Cantor, F P E Dunne, I C Stone (Eds)

High Pressure Surface Science and Engineering

Y Gogotsi, V Domnich (Eds)

Series Preface

This international series covers all aspects of theoretical and applied optics and optoelectronics. Active since 1986, eminent authors have long been choosing to publish with this series, and it is now established as a premier forum for high-impact monographs and textbooks. The editors are proud of the breadth and depth showcased by published works, with levels ranging from advanced undergraduate and graduate student texts to professional references. Topics addressed are both cutting edge and fundamental, basic science and applications-oriented, on subject matter that includes: lasers, photonic devices, nonlinear optics, interferometry, waves, crystals, optical materials, biomedical optics, optical tweezers, optical metrology, solid-state lighting, nanophotonics, and silicon photonics. Readers of the series are students, scientists, and engineers working in optics, optoelectronics, and related fields in the industry.

Proposals for new volumes in the series may be directed to Lu Han, senior publishing editor at CRC Press, Taylor & Francis Group (lu.han@taylorandfrancis.com).

Preface

Silicon is one of the most technologically important materials today owing to its omnipresent significance in microelectronics. Its nanoscale forms, such as nanocrystals, porous silicon, quantum wells, or nanowires, have stimulated great interest among scientists because of their special physical properties, such as light emission, field emission, and quantum confinement effects. The progress made in the synthesis of silicon nanostructures in recent years has attracted considerable attention. Today, large quantities of silicon nanomaterials can be produced, and they are investigated with the most advanced analytical instruments available.

While silicon is the essential semiconductor material for modern microelectronic devices, this sourcebook shows a much wider range of applications, which are possible for silicon on the nanometer scale. Mostly inspired by the discovery of new carbon allotropes, methods have been developed in the last two decades for silicon to obtain similar low-dimensional and nanoscale morphologies and structures. When the size of silicon is reduced to the 1–100 nm range, quantum confinement can significantly affect the properties and performance of the material. Another inspiration came from the discovery of visible light emission from porous silicon, which inspired many scientists to start research on nanoscale silicon. Electronic and photonic studies of these materials have revealed peculiar effects and have subsequently been extended toward biomedicine with applications in tissue engineering, drug delivery, biosensing, radiotherapy, and sonodynamic therapy. This is possible because of the good biocompatibility and biodegradability of nanoscale silicon and tunable surface derivatization. Fabrication of subwavelength nanostructures has allowed for development of antireflection materials as well as other photon management structures such as materials with light-trapping properties, with applications in optoelectronic devices, photodetectors, and phototransistors.

Silicon Nanomaterials Sourcebook provides an introduction to synthetic methods used for the production of various silicon nanoscale morphologies and structures. Among these methods are solution synthesis and microwave-assisted synthesis, pulsed laser ablation, electrodeposition and plasma synthesis, metal-assisted chemical edging, interface functionalization, and nanoscale interface manipulations.

Volume One of the sourcebook covers low-dimensional silicon nanostructures such as nanosheets, clusters, nanoparticles, nanocrystals, nanowires, and nanotubes. Structural, electronic, and photonic properties of these materials may differ significantly from the silicon bulk properties.

Volume Two focuses on functional and industrial nanosilicon, describing materials such as nanowire, nanopencil and nanopore arrays, core-shell nanostructures, or porous silicon templates. These nanostructures have interesting antireflection, photonic, and thermoelectric properties. They have a wide range of applications as sonosensors, solar cells, for Li-ion batteries, for energy storage, biomedicine, solar energy conversion, chemical and biological sensing techniques, DNA sequencing, or quantum information.

The Sourcebook comprehensively covers the many aspects of silicon nanomaterials. It reflects the interdisciplinary nature of this field bringing together physics, chemistry, materials science, molecular biology, engineering, and medicine. Its contents include growth mechanisms and fundamental properties as well as electronic device, energy storage, biomedical, and environmental applications. It is a unique reference for industrial professionals and university students, offering deep insight into a wide range of areas from science to engineering. While addressing the current knowledge and the latest advances, it also includes basic mathematical equations, tables, and graphs. This provides the reader with the tools necessary to understand the current status of the field as well as future technology development of nanoscale silicon materials and structures.

Editor

Klaus D. Sattler pursued his undergraduate and master's courses at the University of Karlsruhe in Germany. He received his PhD under the guidance of Professors G. Busch and H.C. Siegmann at the Swiss Federal Institute of Technology (ETH) in Zurich, where he was among the first to study spin-polarized photoelectron emission. In 1976, he began a group for atomic cluster research at the University of Konstanz in Germany, where he built the first source for atomic clusters and led his team to pioneering discoveries such as "magic numbers" and "Coulomb explosion." He was at the University of California, Berkeley, for 3 years as a Heisenberg fellow, where he initiated the first studies of atomic clusters on surfaces with a scanning tunneling microscope.

Dr. Sattler accepted a position as professor of physics at the University of Hawaii, Honolulu, in 1988. There, he initiated a research group for nanophysics, which, using scanning probe microscopy, obtained the first atomic-scale images of carbon nanotubes directly confirming the graphene network. In 1994, his group produced the first carbon nanocones. He has also studied the formation of polycyclic aromatic hydrocarbons (PAH) and nanoparticles in hydrocarbon flames in collaboration with ETH Zurich. Other research has involved the nanopatterning of nanoparticle films, charge density waves on rotated graphene sheets, band gap studies of quantum dots, and graphene folds. His current work focuses on novel nanomaterials and solar photocatalysis with nanoparticles for the purification of water.

He is the editor of the sister reference, *Carbon Nanomaterials Sourcebook* (CRC Press, 2016), *Fundamentals of Picoscience* (CRC Press, 2014), and the seven-volume *Handbook of Nanophysics* (CRC Press, 2011). Among his many other accomplishments, Dr. Sattler was awarded the prestigious Walter Schottky Prize from the German Physical Society in 1983. At the University of Hawaii, he teaches courses in general physics, solid state physics, and quantum mechanics.

Contributors

Neda Ahmadi

Department of Basic Sciences
Garmsar Branch
Islamic Azad University
Garmsar, Iran

Atif Mossad Ali

Research Center for Advanced Materials Science
King Khalid University
Abha, Saudi Arabia

and

Department of Physics
Faculty of Science
Assiut University
Assiut, Egypt

Hua Bao

University of Michigan–Shanghai Jiao Tong
University Joint Institute
Shanghai Jiao Tong University
Shanghai, China

Mirko Battaglia

Laboratorio di Chimica Fisica Applicata
Dipartimento dell'Innovazione Industriale e
Digitale (DIID)
Università di Palermo
Palermo, Italy

Ashkan Momeni Bidzard

Department of Physics
Iran University of Science and Technology
Tehran, Iran

Fernando Brandi

Intense Laser Irradiation Laboratory (ILIL)
Istituto Nazionale di Ottica (INO)
Consiglio Nazionale delle Ricerche (CNR)
Pisa, Italy

and

Istituto Italiano di Tecnologia (IIT)
Genova, Italy

Jie Cao

National Laboratory of Solid State
Microstructures
and
Department of Physics
Nanjing University
Nanjing, China

and

College of Science
Hohai University
Nanjing, China

Zhongfang Chen

Department of Chemistry
Institute for Functional Nanomaterials
University of Puerto Rico
San Juan, Puerto Rico

Jeffery L. Coffer

Department of Chemistry
Texas Christian University
Fort Worth, Texas

Yaping Dan

Department of Electrical and Computer
Engineering
University of Michigan–Shanghai Jiao Tong
University Joint Institute
Shanghai Jiao Tong University
Shanghai, China

Yi Ding

Institute of Photoelectronic Thin Film Devices and
Technology
Tianjin Key Laboratory of Photoelectronic Thin
Film Devices and Technology
Nankai University
Tianjin, China

Kateřina Dohnalová

Van der Waals Zeeman Institute
University of Amsterdam
Amsterdam, The Netherlands

Samantha K. Ehrenberg

Department of Mechanical Engineering
University of Minnesota
Minneapolis, Minnesota

Minoru Fujii

Department of Electrical and Electronic Engineering
Graduate School of Engineering
Kobe University
Kobe, Japan

Gian G. Guzmán-Verri

Materials Research Science and Engineering Center
University of Costa Rica
San José, Costa Rica

and

Materials Science Division
Argonne National Laboratory
Argonne, Illinois

Klaus von Haeften

Department of Physics and Astronomy
University of Leicester
Leicester, UK

and

K-nano
Leicester, UK

Ming Hu

Institute of Mineral Engineering
Division of Materials Science and Engineering
Faculty of Georesources and Materials Engineering
RWTH Aachen University
Aachen, Germany

and

Aachen Institute for Advanced Study in
Computational Engineering Science (AICES)
RWTH Aachen University
Aachen, Germany

Danilo Roque Huanca

Laboratório de Sensores e Dispositivos
Instituto de Física e Química
Universidade Federal de Itajubá
Minas Gerais, Brazil

Katharine I. Hunter

Department of Mechanical Engineering
University of Minnesota
Minneapolis, Minnesota

Rosalinda Inguanta

Laboratorio di Chimica Fisica Applicata
Dipartimento dell'Innovazione Industriale e
Digitale (DIID)
Università di Palermo
Palermo, Italy

Takao Inokuma

Graduate School of Natural Science and Technology
Kanazawa University
Kanazawa, Japan

Firman Bagja Juangsa

Department of Mechanical Science and
Engineering
Tokyo Institute of Technology
Tokyo, Japan

Uwe R. Kortshagen

Department of Mechanical Engineering
University of Minnesota
Minneapolis, Minnesota

Canan Kurşungöz

UNAM-National Nanotechnology
Research Center

and

Institute of Materials Science and Nanotechnology
Bilkent University
Ankara, Turkey

Kateřina Kůsová

Institute of Physics
Academy of Sciences of the Czech Republic
Prague, Czech Republic

Nguyen T. Le

Department of Chemistry
Texas Christian University
Fort Worth, Texas

Lok C. Lew Yan Voon

College of Science and Mathematics
University of West Georgia
Carrollton, Georgia

Pu Liu
 State Key Laboratory of Optoelectronic Materials
 and Technologies
 Nanotechnology Research Center
 School of Materials Science and Engineering
 Sun Yat-sen University
 Guangdong, China

Nastaran Mansour
 Department of Physics
 Shahid Beheshti University
 Tehran, Iran

Davide Mariotti
 Nanotechnology and Integrated Bio-Engineering
 Centre (NIBEC)
 Ulster University
 Newtownabbey, UK

Calum McDonald
 Nanotechnology and Integrated Bio-Engineering
 Centre (NIBEC)
 Ulster University
 Newtownabbey, UK

Gerardo Morell
 Institute for Functional Nanomaterials
 University of Puerto Rico
 San Juan, Puerto Rico

and
 Department of Physics
 University of Puerto Rico at Río Piedras
 San Juan, Puerto Rico

Hideyuki Nakano
 Toyota Central R&D Labs., Inc.
 Aichi, Japan

Tomohiro Nozaki
 Department of Mechanical Science and
 Engineering
 Tokyo Institute of Technology
 Tokyo, Japan

Masataka Ohashi
 Toyota Central R&D Labs., Inc.
 Aichi, Japan

Bülend Ortaç
 UNAM-National Nanotechnology
 Research Center
 and
 Institute of Materials Science and Nanotechnology
 Bilkent University
 Ankara, Turkey

Javier Palomino
 Institute for Functional Nanomaterials
 University of Puerto Rico
 San Juan, Puerto Rico

and
 Department of Physics
 University of Puerto Rico at Río Piedras
 San Juan, Puerto Rico

and
 Department of Physics
 University of Puerto Rico at Mayagüez
 Mayagüez, Puerto Rico

Peter J. Pauzauskie
 Department of Materials Science and Engineering
 University of Washington
 Seattle, Washington

Xiaodong Pi
 State Key Laboratory of Silicon Materials
 School of Materials Science and Engineering
 Zhejiang University
 Hangzhou, China

Salvatore Piazza
 Laboratorio di Chimica Fisica Applicata
 Dipartimento dell'Innovazione Industriale e
 Digitale (DIID)
 Università di Palermo
 Palermo, Italy

Didier Pribat
 Department of Energy Science
 Sungkyunkwan University
 Suwon, Korea

and

Laboratoire de Physique des Interfaces et des
Couches Minces (LPICM)
Ecole polytechnique
Université Paris-Saclay
Palaiseau, France

Guangzhao Qin
Institute of Mineral Engineering
Division of Materials Science and Engineering
Faculty of Georesources and Materials Engineering
RWTH Aachen University
Aachen, Germany

Yanoar Pribadi Sarwono
Department of Physics and Materials Science
City University of Hong Kong
Hong Kong SAR, China

Bennett E. Smith
Department of Materials Science and Engineering
University of Washington
Seattle, Washington

Sean C. Smith
Integrated Materials Design Centre (IMDC)
School of Chemical Engineering
University of New South Wales
Sydney, New South Wales, Australia

Hiroshi Sugimoto
Department of Electrical and Electronic Engineering
Graduate School of Engineering
Kobe University
Kobe, Japan

Carmelo Sunseri
Laboratorio di Chimica Fisica Applicata
Dipartimento dell'Innovazione Industriale e
Digitale (DIID)
Università di Palermo
Palermo, Italy

Vladimir Svrcek
Research Center for Photovoltaics
National Institute of Advanced Industrial Science
and Technology
Tsukuba, Japan

Elif Uzcengiz Şimşek
UNAM- National Nanotechnology Research Center
and
Institute of Materials Science and Nanotechnology
Bilkent University
Ankara, Turkey

Xin Tan
Integrated Materials Design Centre (IMDC)
School of Chemical Engineering
UNSW Australia
Sydney, New South Wales, Australia

Chi-Pui Tang
Lunar and Planetary Science Laboratory
Macau University of Science and Technology
Macau, China

Deepak Varshney
Institute for Functional Nanomaterials
University of Puerto Rico
San Juan, Puerto Rico

and

Department of Physics
University of Puerto Rico at Río Piedras
San Juan, Puerto Rico

and

Advanced Green Innovations
Chandler, Arizona

Tamilselvan Velusamy
Nanotechnology and Integrated Bio-Engineering
Centre (NIBEC)
Ulster University
Newtownabbey, UK

Alexandru Vlad
Division of Molecules, Solids and Reactivity
(MOST)
Institute of condensed Matter and Nanoscience
(IMCN) Université catholique de Louvain
Louvain-la-Neuve, Belgium