Atmosphere-Ocean Dynamics

ADRIAN E. GILL

Atmosphere-Ocean Dynamics

ADRIAN E. GILL

Department of Applied Mathematics and Theoretical Physics University of Cambridge Cambridge, England



1982

ACADEMIC PRESS

A Subsidiary of Harcourt Brace Jovanovich Publishers

New York London

aris San Diego San Francisco São Paulo Sydney Tokyo Toronto

COPYRIGHT © 1982, BY ACADEMIC PRESS, INC. ALL RIGHTS RESERVED.

NO PART OF THIS PUBLICATION MAY BE REPRODUCED OR TRANSMITTED IN ANY FORM OR BY ANY MEANS, ELECTRONIC OR MECHANICAL, INCLUDING PHOTOCOPY, RECORDING, OR ANY INFORMATION STORAGE AND RETRIEVAL SYSTEM, WITHOUT PERMISSION IN WRITING FROM THE PUBLISHER.

ACADEMIC PRESS, INC.
111 Fifth Avenue, New York, New York 10003

United Kingdom Edition published by ACADEMIC PRESS, INC. (LONDON) LTD. 24/28 Oval Road, London NW1 7DX

Library of Congress Cataloging in Publication Data

Gill, Adrian E. Atmosphere-ocean dynamics.

(International geophysics series)
Includes bibliographical references and index.
1. Ocean-atmosphere interaction. I. Title.
II. Series.
GC190.G54 1982 551.47 82-8704
ISBN 0-12-283520-4 AACR2
ISBN 0-12-283522-0 (pbk.)

PRINTED IN THE UNITED STATES OF AMERICA

82 83 84 85 9 8 7 6 5 4 3 2 1

Preface

A systematic, unifying approach to the dynamics of the ocean and atmosphere is given in this book, with emphasis on the larger-scale motions (from a few kilometers to global scale). The foundations of the subject (the equations of state and dynamical equations) are covered in some detail, so that students with training in mathematics should find it a self-contained text. Knowledge of fluid mechanics is helpful but not essential. Simple mathematical models are used to demonstrate the fundamental dynamical principles with plentiful illustrations from field and laboratory. In fact, the search for suitable mathematical models during the eight years of writing stimulated several of my research papers written during that time.

Undergraduates in meteorology and oceanography should find the text a useful introduction to the dynamics of both air and sea. Having grown out of a graduate course, it is equally suitable for more advanced students, and material can be selected from many sections to give a well-structured program. For instance, my graduate course begins with a brief introduction from Chapter 1, skips to Section 5.6 and, by the third lecture, is focusing on rotation effects as covered in Chapter 7. Stratification effects are brought in later with material from Chapters 6 and 8, and then selected sections from the remaining chapters are used. Thus there is scope for considerable flexibility. Elementary courses would use material from the earlier chapters, whereas more advanced courses could be based on in-depth studies of later chapters.

Researchers should find the book attractive, not only for its systematic treatment of the dynamics, but also because of its extensive bibliography and index, the appendixes, and the many useful diagrams and formulas. The treatment of many topics is novel, and considerable historical information is incorporated to make the book more readable and interesting. In fact, I became quite absorbed in pursuing historical aspects during the writing period.

xii Preface

General Description of Contents

The two introductory chapters give an overall picture of how the circulations of both atmosphere and ocean are ultimately driven by the sun's energy. A somewhat novel treatment of basic thermodynamics and hydrostatics follows in Chapter 3, both ocean and atmosphere being discussed together. The fundamental equations for moving fluids are derived in Chapter 4, with particular reference to air containing moisture and water containing dissolved salts. Various types of energy are introduced, and the use of a rotating frame of reference is dealt with.

The fundamental aim is to understand the circulations of the atmosphere and ocean and the observed distributions of physical quantities such as temperature. The temperature distribution can be viewed (following Halley) as the result of a "competition" between the sun, which tries to warm the tropics more than the poles (and so create horizontal contrasts), and gravity, which tries to remove horizontal contrasts and arrange for warmer fluid to overlie colder fluid. This "competition" is complicated by such effects as the rotation of the earth, the variation of the angle between gravity and the rotation axis (the beta effect), and contrasts between the properties of air and water. Accordingly, we start with as simple a situation as possible and proceed by adding complicating effects one at a time.

The first step is taken in Chapter 5, where we consider adjustment under gravity of a homogeneous layer of fluid in the absence of rotation and external forcing effects. The results are directly applicable to phenomena such as seiches and tides in lakes, estuaries, and narrow seas. This chapter also introduces the important "hydrostatic approximation," which leads to the "shallow-water" equations. Effects of density stratification are then incorporated in Chapter 6, beginning with the two-layer system, like the oil-over-water arrangement that so intrigued Benjamin Franklin in 1762. Several aspects of wave motion are also introduced in Chapters 5 and 6. For instance, group velocity, introduced in Chapter 5 for surface waves, is applied in Chapter 6 to internal gravity waves in a continuously stratified fluid. Waves produced at a horizontal boundary, and possible refraction, reflection, or absorption in the fluid above, are also discussed.

Chapter 7, perhaps the most important in the whole book, introduces effects that are due to the earth's rotation. Although Laplace included these in his tidal equations in 1778, and Kelvin investigated wave motions in a rotating fluid a hundred years later, some of the fundamental ideas were developed relatively recently by Rossby in the 1930s. The "Rossby adjustment problem" brings out many facets of the behavior of rotating fluids, such as the tendency to attain "geostrophic equilibrium," the significance of "potential vorticity," and the importance of the length scale known as the Rossby radius of deformation.

Wave motion in a stratified rotating fluid is examined in Chapter 8 with applications to flow of air over hills and mountains. Propagation in a slowly varying medium, ray-tracing techniques, the internal wave spectrum in the ocean, and effects of waves on the mean flow are also examined. Chapter 9 introduces forcing by effects such as wind action, tide-producing forces, and solar heating. Inertial oscillations in the ocean surface layer are an example of forced motion, and these are dynamically

Preface xiii

related to the nocturnal jet in the atmosphere. Hurricanes and the ocean's response to storms are also considered.

Phenomena associated with lateral boundaries are treated in Chapter 10. Dynamical studies that stem from Kelvin's work in 1879 can explain the main features of the very destructive North Sea Surge of 1953. Coastal upwelling, which is of great importance to fisheries, can be studied using similar analysis. Other classes of coastally trapped waves are also discussed. Equatorially trapped waves, considered in Chapter 11, have similar dynamics and are used to introduce both the beta effect and the midlatitude beta-plane approximation for studying quasi-geostrophic flow. The tropical circulations of the atmosphere and ocean are also dealt with in this chapter.

In extratropical latitudes (Chapter 12), slow small-amplitude adjustments take place by means of planetary waves. These can be used, for instance, to describe how the ocean response to the wind has a highly asymmetric character with strong western boundary currents like the Gulf Stream and the Kuroshio. They are also useful for understanding the stationary wave patterns in the atmosphere. The omega equations, which provide a useful diagnostic tool, are also discussed.

The mid-latitude atmosphere is dominated by cyclones and anticyclones, which result from an instability of the basic wind distribution. Models illustrating how the potential energy of the zonal flow is converted into the kinetic energy of the cyclone systems are studied in Chapter 13. Fronts that develop in evolving cyclones and eddies in the ocean are also discussed. The book concludes with a global view of the atmosphere-ocean system.

Acknowledgments

I should like to thank the many colleagues who made comments on the text and helped with the diagrams, especially David Anderson, Brian Hoskins, John Johnson, Peter Killworth, and Michael McIntyre. Support and encouragement from Henry Stommel, Raymond Hide, and the late Jules Charney were much appreciated. Naomi Coyle's help in typing and organizing material was invaluable, and I am grateful to Julian Smith for producing the computer-drawn diagrams. Thanks are due also to Michael Davey, William Hsieh, and Roxana Wajsowicz for their valued assistance at proof stage.

ADRIAN GILL

Contents

Ackno	Acknowledgments		
Chap	ter One How the Ocean-Atmosphere System Is Driven		
1.1	Introduction	1	
1.2	The Amount of Energy Received by the Earth	2	
1.3	Radiative Equilibrium Models	7	
1.4	The Greenhouse Effect	8	
1.5	Effects of Convection	10	
1.6	Effects of Horizontal Gradients :• «	13	
1.7	Variability in Radiative Driving of the Earth	15	
Chap	ter Two Transfer of Properties between Atmosphere and Ocean		
2.1	Introduction	19	
2.2	Contrasts in Properties of Ocean and Atmosphere	20	
2.3	Momentum Transfer betweep Air and Sea, and the Atmosphere's Angular		
	Momentum Balance	22	
2.4	Dependence of Exchange Rates on Air-Sea Velocity, Temperature,		
	and Humidity Differences	26	
2.5	The Hydrological Cycle	31	
2.6	The Heat Balance of the Ocean	33	
2.7	Surface Density Changes and the Thermohaline Circulation of the Ocean	36	

		٠	
×	,	i	

Chapter	Three	Properties of a Fluid at Rest
Chapter	THICC	i roperties of a riulu at Kest

3.1	The Equation of State	39
3.2	Thermodynamic Variables	41
3.3	Values of Thermodynamic Quantities for the Ocean and Atmosphere	43
3.4	Phase Changes	44
3.5	Balance of Forces in a Fluid at Rest	45
3.6	Static Stability	50
3.7	Quantities Associated with Stability	51
3.8	Stability of a Saturated Atmosphere	55
3.9	Graphical Representation of Vertical Soundings	58
Chapt	ter Four Equations Satisfied by a Moving Fluid	
4.1	Properties of a Material Element	63
4.2	Mass Conservation Equation	64
4.3	Balance for a Scalar Quantity like Salinity	66
4.4	The Internal Energy (or Heat) Equation	70
4.5	The Equation of Motion	72
4.6	Mechanical Energy Equation	76
4.7	Total Energy Equation	79
4.8	Bernoulli's Equation	82
4.9	Systematic Effects of Diffusion	83
4.10		84
4.11		. 85
4.12	A Coordinate System for Planetary Scale Motions	91
Chapt	ter Five Adjustment under Gravity in a Nonrotating System	
5.1	Introduction: Adjustment to Equilibrium	95
5.2	Perturbations from the Rest State for a Homogenous Inviscid Fluid	. 99
5.3	Surface Gravity Waves	101
5.4	Dispersion	104
5.5	Short-Wave and Long-Wave Approximations	106
5.6	Shallow-Water Equations Derived Using the Hydrostatic Approximation	107
5.7	Energetics of Shallow-Water Motion	111
5.8	Seiches and Tides in Channels and Gulfs	112
Chapt	ter Six Adjustment under Gravity of a Density-Stratified Fluid	
•		
6.1	Introduction	117
6.2	The Case of Two Superposed Fluids of Different Density	119
6.3	The Baroclinic Mode and the Rigid Lid Approximation	127
6.4	Adjustments within a Continuously Stratified Incompressible Fluid	128
6.5	Internal Gravity Waves	131
6.6	Dispersion Effects	!34

Conten	its .	vii
6.7	Energetics of Internal Waves	139
6.8	Internal Waves Generated at a Horizontal Boundary	142
6.9	Effects on Boundary-Generated Waves of Variations of Buoyancy Frequency	
	with Height	146
6.10	Free Waves in the Presence of Boundaries	153
6.11	Waves of Large Horizontal Scale: Normal Modes	159
6.12	An Example of Adjustment to Equilibrium in a Stratified Fluid	162
6.13	Resolution into Normal Modes for the Ocean	167
6.14	Adjustment to Equilibrium in a Stratified Compressible Fluid	169
6.15	Examples of Adjustment in a Compressible Atmosphere	175
6.16	Weak Dispersion of a Pulse	177
6.17	Isobaric Coordinates	180
6.18	The Vertically Integrated Perturbation Energy Equation in Isobaric Coordinates	186
Chapt	er Seven Effects of Rotation	
oap.		
7.1	Introduction	189
7.2	The Rossby Adjustment Problem	191
7.3	The Transients	196
7.4	Applicability to the Rotating Earth	204
7.5	The Rossby Radius of Deformation	205
7.6	The Geostrophic Balance	208
7.7	Relative Geostrophic Currents: The Thermal Wind	215
7.8	Available Potential Energy	219
7.9	Circulation and Vorticity	226
	Conservation of Potential Vorticity for a Shallow Homogeneous Layer	231
	Circulation in a Stratified Fluid and Ertel's Potential Vorticity	237
7.12	· · · · · · · · · · · · · · · · · · ·	241
7.13	Initialization of Fields for Numerical Prediction Schemes	243
Chapt	er Eight Gravity Waves in a Rotating Fluid	
·		
8.1	Introduction	247
8.2	Effect of Rotation on Surface Gravity Waves: Poincaré Waves	249
8.3	Dispersion Properties and Energetics of Poincaré Waves	254
8.4	Vertically Propagating Internal Waves in a Rotating Fluid	256
8.5	Polarization Relations	262
8.6	Energetics	266
8.7	Waves Generated at a Horizontal Boundary	268
8.8	Mountain Waves	274
8.9	Effects of Variation of Properties with Height	283
8.10 8.11	Finite-Amplitude Topographic Effects Dissipative Effects in the Upper Atmosphere	292 294
8.12	The Liouville-Green or WKBJ Approximation	294 297
8.13	Wave Interactions	302
8.14	The Internal Wave Spectrum in the Ocean	305
8.15	Wave Transport and Effects on the Mean Flow	309
8.16	Quasi-geostrophic Flow (f Plane): The Isallobaric Wind	311
J	Zana Garana Kanila azan O a tanak, ana tanana tanana	

ر ر

•

9.1	Introduction	317
9.2	Forcing Due to Surface Stress: Ekman Transport	319
9.3	Wind-Generated Inertial Oscillations in the Ocean Mixed Layer	322
9.4	Ekman Pumping	326
9.5	Bottom Friction: Velocity Structure of the Boundary Layer	328
9.6	The Laminar Ekman Layer	331
9.7	The Nocturnal Jet	332
9.8	Tide-Producing Forces	334
9.9	Effect of Atmospheric Pressure Variations and Wind on Barotropic Motion	227
0.10	in the Sea: The Forced Shallow-Water Equation	337 342
9.10 9.11	Baroclinic Response of the Ocean to Wind Forcing: Use of Normal Modes Response of the Ocean to a Moving Storm or Hurricane	342 346
	•	353
9.12	Spin-Down by Bottom Friction	356
9.13	Buoyancy Forcing Province to Stationary Forcing: A Porctronic Everynle	360
9.14 9.15	Response to Stationary Forcing: A Barotropic Example A Forced Baroclinic Vortex	362
9.13	Equilibration through Dissipative Effects	367
9.10	Equinoration in ough Dissipative Directs	50.
Chapt	er Ten Effects of Side Boundaries	
10.1		277
10.1	Introduction	371
10.2	Effects of Rotation on Seiches and Tides in Narrow Channels and Gulfs	373 376
10.3 10.4	Poincare Waves in a Uniform Channel of Arbitrary Width Kelvin Waves	378
10.4	The Full Set of Modes for an Infinite Channel of Uniform Width	376
10.5	End Effects: Seiches and Tides in a Gulf That Is Not Narrow	382
10.0	Adjustment to Equilibrium in a Channel	385
10.8	Tides	391
10.9	Storm Surges on an Open Coastline: The Local Solution	394
10.10	Surges Moving along the Coast: Forced Kelvin Waves	398
10.11	Coastal Upwelling	403
	Continental Shelf Waves	408
	Coastally Trapped Waves	415
10.14	• • • •	421
Chapt	er Eleven The Tropics	
'	•	
11.1	Introduction	429
11.2	Effects of Earth's Curvature: Shallow-Water Equations on the Sphere	431
11.3	Potential Vorticity for a Shallow Homogeneous Layer	433
11.4	The Equatorial Beta Plane	434
11.5	The Equatorial Kelvin Wave	436
11.6	Other Equatorially Trapped Waves	438
11.7	The Equatorial Waveguide: Gravity Waves	440
11.8	Planetary Waves and Quasi-geostrophic Motion	444
11.9	Baroclinic Motion near the Equator	449
11.10	Vertically Propagating Equatorial Waves	450
11.11	Adjustment under Gravity near the Equator	454

Conter	nts	ix
11.12	Transient Forced Motion	458
	Potential Vorticity for Baroclinic Motion: The Steady Limit	465
11.14	· · · · · · · · · · · · · · · · · · ·	466
11.15	· · · · · · · · · · · · · · · · · · ·	472
11.16	1	482
Chapt	ter Twelve Mid-latitudes	
12.1	Introduction	493
12.2	The Mid-latitude Beta Plane	494
12.3	Planetary Waves	500
12.4	Spin-Up of the Ocean by an Applied Wind Stress	507
12.5	Steady Ocean Circulation	512
12.6	Western Boundary Currents	516
12.7	Vertical Propagation of Planetary Waves in a Medium at Rest	523
12.8	Nonlinear Quasi-geostrophic Flow in Three Dimensions	527
12.9	Small Disturbances on a Zonal Flow Varying with Latitude and Height	532
12.10	Deductions about Vertical Motion from the Quasi-geostrophic Equations	543
13.1 13.2 13.3 13.4 13.5 13.6 13.7 13.8 13.9 13.10	Introduction Free Waves in the Presence of a Horizontal Temperature Gradient Baroclinic Instability: The Eady Problem Baroclinic Instability: The Charney Problem Necessary Conditions for Instability Barotropic Instability Eddies in the Ocean Fronts The Life Cycle of a Baroclinic Disturbance General Circulation of the Atmosphere	549 550 556 560 563 565 568 571 578 582
Apper	ndix One Units and Their SI Equivalents	595
Apper	ndix Two Useful Values	59 b
Apper	ndix Three Properties of Seawater	
A3.1	The Equation of State	599
A3.2	Other Quantities Related to Density	600
A3.3	Expansion Coefficients	601
A3.4	Specific Heat	601
A3.5	Potential Temperature	602
A3.6	Speed of Sound	602
A3.7	Freezing Point of Seawater	602
		004

Contents

Appe	ndix Four Properties of Moist Air	
A4.1	Methods of Specifying Moisture Content	605
A4.2	Saturation Vapor Pressure	606
A4.3	Further Quantities Related to Moisture Content	606
A4.4	Latent Heats	607
A4.5	Lapse Rates	607
Арре	ndix Five A List of Atlases and Data Sources	609
Refer	ences	613
Index		645

Chapter One

How the Ocean-Atmosphere System Is Driven

1.1 Introduction

This book is about winds, currents, and the distribution of heat in the atmosphere and ocean. Since these are due to the sun, this first chapter looks at some of the essential processes that determine how the atmosphere and ocean respond to radiation from the sun. Ideally, one would like to be able to deduce this response in all its details from a knowledge of the appropriate properties of the earth and of its ocean and atmosphere, but this is not a simple matter. The nearest approach to a solution of this problem is by means of numerical models, but these still rely to some extent on observations of the real system, e.g., for determining the effects of processes (like those associated with individual clouds) that have a scale small compared with the grid used in the model.

The aim of the numerical models is to include the effects of all processes that play a significant part in determining the response of the ocean-atmosphere system. The aim of this chapter, on the other hand, is to consider only the most basic processes and to show how an equilibrium state can be reached. One such basic process is the absorption of radiation by certain gases (principally water vapor, carbon dioxide, and ozone), and so the "greenhouse" effect is discussed. The density field that results from radiation processes acting in isolation is not in dynamical equilibrium, because air near the ground is so warm that it is lighter than the air above. Consequently, vertical convection takes place and stirs up the lower atmosphere. Calculations of the equilibrium established when convective and radiative processes are both active is discussed in Section 1.5. These calculations, however, neglect variations in the horizontal,

which are, of course, extremely important since they are responsible for the winds and currents that are the main subject of this book. A brief discussion of the effects of horizontal variations is given in Section 1.6. Finally, since radiation is the source of energy for the atmosphere—ocean system, variations in the radiative input are discussed in Section 1.7.

1.2 The Amount of Energy Received by the Earth

Energy from the sun is received in the form of radiation, nearly all the energy being at wavelengths between 0.2 and 4 μ m. About 40% is in the visible part of the spectrum (0.4–0.67 μ m). The average energy flux from the sun at the mean radius of the earth is called the solar constant S and has the value (Hickey et al., 1980)

$$S = 1.376 \text{ kW m}^{-2}$$
. (1.2.1)

(A great variety of units is used for energy flux. The relation between these is given in Appendix 1.) In other words, a 1-m-diameter dish in space could collect enough energy from the sun to run a 1-kW electric heater! Since the earth's orbit is elliptical rather than circular, the actual energy received varies seasonally by $\pm 3.5\%$ (Kondratyev, 1969, Section 1.1), the maximum amount being received at the beginning of January.

The total energy received from the sun per unit time is

$$\pi R^2 S, \tag{1.2.2}$$

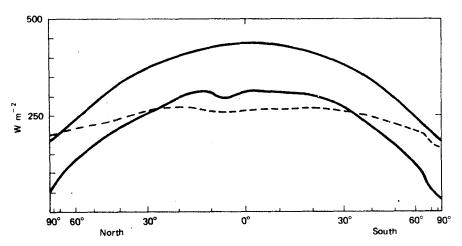


Fig. 1.1. The radiation balance of the earth. The upper solid curve shows the average flux of solar energy reaching the outer atmosphere. The lower solid curve shows the average amount of solar energy absorbed; the dashed line shows the average amount of outgoing radiation. The lower curves are average values from satellite measurements between June 1974 and February 1978, and are taken from Volume 2 of Winston et al. (1979). Values are in watts per square meter. The horizontal scale is such that the spacing between latitudes is proportional to the area of the earth's surface between them, i.e., is linear in the sine of the latitude.

where R is the radius of the earth. Since the area of the earth's surface is $4\pi R^2$, the average amount of energy received per unit area of the earth's surface per unit time is

$$\frac{1}{4}S = 344 \text{ W m}^{-2}.$$
 (1.2.3)

If the earth's axis were not tilted, the average flux received would vary from $\pi^{-1}S$ at the equator to zero at the poles. However, the tilt of the earth (23.5°) results in seasonal variations in the distribution of the flux received. When account is taken of these variations, the average flux received in 1 yr is found to vary with latitude as shown in Fig. 1.1.

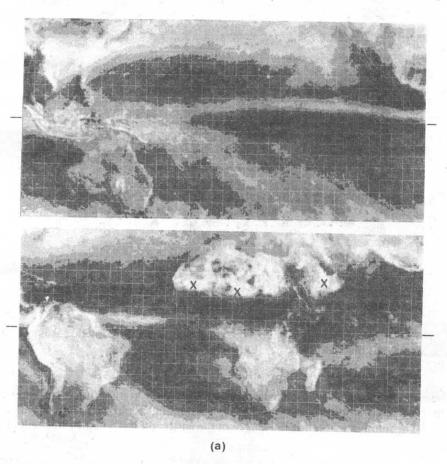


Fig. 1.2. The geographical distribution of reflectivity for (a) January 1967–1970 and (b) July 1969–1970, as determined from satellite observations. Most of the bright areas in the figure are characterized by persistent cloudiness and relatively heavy precipitation. However, the following exceptions should be noted: areas indicated by X's denote desert regions where the earth's surface is highly reflective and areas indicated by Y's denote regions of persistent low, nonprecipitating cloud decks. Tick marks along the side denote the position of the equator: the Mercator grid lines are spaced at intervals of 5° of latitude and longitude. [From U.S. Air Force and U.S. Department of Commerce, Global Atlas of Relative Cloud Cover, 1967–1970, Washington, D.C., 1971.]

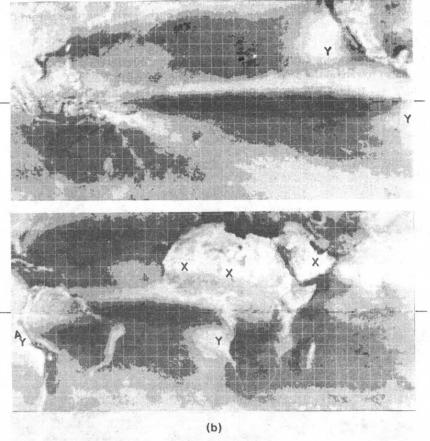


Fig. 1.2 (Continued)

Not all the energy impinging on the earth is absorbed. A fraction $\bar{\alpha}$ is reflected or scattered, so the average flux actually absorbed is

$$\frac{1}{4}(1 - \bar{\alpha})S = 240 \quad \text{W m}^{-2}. \tag{1.2.4}$$

The amount reflected or scattered is about 100 W m⁻² at all latitudes, as shown in Fig. 1.1. (There is no obvious reason that this amount should vary so little with latitude.) The number $\bar{\alpha}$ is called the *albedo* of the earth and has a value (Stephens *et al.*, 1981) of about

$$\bar{\alpha} = 0.3. \tag{1.2.5}$$

Similarly, the albedo α can be defined for a particular place and particular time as the fraction of the impinging radiation that is reflected or scattered. The reflected light is the light by which the earth may be photographed from space, and such photographs (see Fig. 1.2, which is effectively the result of combining many such photographs to give the mean reflectivity) show that the albedo can vary enormously with such factors as the amount of cloud, and whether the ground is covered by ice or