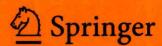
# **Edward Weiner**

# Urban Transportation Planning in the United States

History, Policy, and Practice

Fifth Edition



## **Edward Weiner**

# Urban Transportation Planning in the United States

History, Policy, and Practice

Fifth Edition



Edward Weiner Silver Springer, MD, USA

Originally published by Praeger Publishers, Westport, CT, USA, 1987, 1999 ISBN 978-3-319-39974-4 ISBN 978-3-319-39975-1 (eBook) DOI 10.1007/978-3-319-39975-1

Library of Congress Control Number: 2016942559

© Springer International Publishing Switzerland 2016

This work is subject to copyright. All rights are reserved by the Publisher, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, reuse of illustrations, recitation, broadcasting, reproduction on microfilms or in any other physical way, and transmission or information storage and retrieval, electronic adaptation, computer software, or by similar or dissimilar methodology now known or hereafter developed.

The use of general descriptive names, registered names, trademarks, service marks, etc. in this publication does not imply, even in the absence of a specific statement, that such names are exempt from the relevant

protective laws and regulations and therefore free for general use.

The publisher, the authors and the editors are safe to assume that the advice and information in this book are believed to be true and accurate at the date of publication. Neither the publisher nor the authors or the editors give a warranty, express or implied, with respect to the material contained herein or for any errors or omissions that may have been made.

Printed on acid-free paper

This Springer imprint is published by Springer Nature
The registered company is Springer International Publishing AG Switzerland

Urban Transportation Planning in the United States

### **Preface**

Urban transportation planning is carried out primarily by state and local agencies. Over the years, much experience has been gained in the planning and evaluation of urban transportation systems. This knowledge can be useful to planners and decision makers in the development and implementation of transportation system changes. In this context, it is important to understand the transportation and planning options which have been tried and how they developed into the approaches we have today. This book describes the evolution of urban transportation planning over the last 70 years.

This is the fifth edition of the book which was first published in 1987. The fourth edition discussed urban transportation planning to mid-2012. This edition updates the evolution of urban transportation planning and policy to 2016. It also contains some additions and revisions to the earlier edition. This book is an updated version of "Evolution of Urban Transportation Planning" which was first published in 1979 as Chap. 15 in *Public Transportation: Planning, Operations, and Management*, edited by George E. Gray and Lester L. Hoel. It was revised and published in 1992 as Chap. 3 in *Public Transportation*, second edition, edited by George E. Gray and Lester L. Hoel.

The book focuses on the key events in the evolution of urban transportation planning including developments in technical procedures, philosophy, processes, and institutions. But, planners must also be aware of changes in legislation, policy, regulations, and technology. These events have been included to provide a more complete picture of the forces that have affected and often continue to affect urban transportation planning.

Summarizing so much history in a single book requires difficult choices. The efforts of many individuals and groups made important contributions to the development of urban transportation planning. Clearly, not all of these contributions could be included or cited. This book concentrates on the key events of national significance and thereby tries to capture the overall evolution of urban transportation planning. Focusing on key events also serves as a convenient point to discuss developments in a particular area.

The book is generally arranged chronologically. Each period is titled with the major theme pervading that period as viewed by the author. Not all key events fit

vi Preface

precisely under a particular theme, but many do. The discussion of the background for some events or the follow-on activities for others may cover more than one time period and is placed where it seemed most relevant.

The book takes a multimodal perspective and attempts to provide a balanced view among a number of subject areas including:

Significant federal legislation Major, relevant federal regulations and policies Highway concerns Transit concerns Environmental issues Energy issues Safety issues Climate change concerns Financing Relevant conferences Technological developments Transportation service alternatives Infrastructure resilience Manuals and methodological developments National transportation studies National data resources Local events with national significance

Over the years, the author has discussed these events with many persons in the profession. Often they had participated in or had firsthand knowledge of the events. The author appreciates their assistance, even though they are too numerous to mention specifically.

In preparing this book, the author was directly aided by several individuals who provided information on specific events. Their assistance is appreciated: Jack Bennett, Barry Berlin, Susan Binder, Norman Cooper, Frederick W. Ducca, Sheldon H. Edner, Christopher R. Fleet, Charles A. Hedges, Kevin Heanue, Donald Igo, Anthony R. Kane, Thomas Koslowski, Ira Laster, William M. Lyons, James J. McDonnell, Florence Mills, Camille C. Mittelholtz, Norman Paulhus, Elizabeth A. Parker, John Peak, Alan Pisarski, Sam Rea, Carl Rappaport, Elizabeth Riklin, James A. Scott, Mary Lynn Tischer, Martin Wachs, Jimmy Yu, and Samuel Zimmerman.

The author appreciates the review comments provided by Donald Emerson, David S. Gendell, James Getzewich, Charles H. Graves, Thomas J. Hillegass, Howard S. Lapin, Herbert S. Levinson, Alfonso B. Linhares, Gary E. Maring, Alan Pisarski, Ali F. Sevin, Gordon Shunk, Peter R. Stopher, Carl N. Swerdloff, Paul L. Verchinski, and George Wickstrom.

Any errors of fact or interpretation are the responsibility of the author.

Silver Spring, MD, USA January 2016 **Edward Weiner** 

# Contents

1	Introduction	1
2	Early Highway Planning  Federal Highway Act of 1921  Early Parkways  Radburn, New Jersey  Federal-Aid Highway Act of 1934  Electric Railway Presidents' Conference Committee  Manual on Uniform Traffic Control Devices  Greenbelt Communities	7 7 8 10 12 12 13 14
	AASHO Policy on Geometric Design of Rural Highways  Toll Road Study  Futurama  Highway Capacity Manual  Interregional Highway Report	15 16 17 18
3	Roots of Urban Transportation Planning Federal-Aid Highway Act of 1944  Manual of Procedures for Home Interview Traffic Studies Levittown, New York  Early Transit Planning  Dawn of Analytical Methods  AASHO Manual on User Benefit Analysis  Breakthroughs in Analytical Techniques National Committee on Urban Transportation  Housing Act of 1954: "701" Comprehensive Planning Program Pioneering Urban Transportation Studies	21 21 22 24 26 26 27 28 29 30 31
4	Launching the Interstate Highway Program.  Federal-Aid Highway Act of 1956  Hartford Conference	33 34 36 37

	Freeway Revolts Shopping Malls Housing Act of 1961 Future Highways and Urban Growth An Analysis of Urban Travel Demands The "499 Club"	37 38 40 40 42 43
5	Urban Transportation Planning Comes of Age Joint Report on Urban Mass Transportation President Kennedy's Transportation Message Federal-Aid Highway Act of 1962 Hershey Conference on Urban Freeways Implementing the 1962 Act Conventional Urban Travel Forecasting Process Southeastern Wisconsin Regional Planning Commission Highway Planning Program Manual Urban Mass Transportation Act of 1964 Urban Development Simulation Models The Urban Transportation Problem Williamsburg Conference on Highways and Urban Development Residential Location and Urban Mobility	45 45 46 46 47 48 50 52 54 54 55 56 57
6	Improving Intergovernmental Coordination. Housing and Urban Development Act of 1965	59 60 60 62 62 63 63 64 65 66 70 71
7	Rising Concern for the Environment and Citizen Involvement  Citizen Participation and the Two-Hearing Process for Highways  National Environmental Policy Act of 1969  Environmental Quality Improvement Act of 1970  Nationwide Personal Transportation Study  Clean Air Act Amendments of 1970  Boston Transportation Planning Review	75 75 76 76 77 78 80

	Urban Corridor Demonstration Program	81
	Census Journey-to-Work Surveys	82
	Case of Overton Park	83
8	Beginnings of Multimodal Urban Transportation Planning	87
	Urban Mass Transportation Assistance Act of 1970	87
	Federal-Aid Highway Act of 1970	89
	Conference on Urban Commodity Flow	90
	Discrete Choice Models	90
	Mt. Pocono Conference on Urban Transportation Planning	92
	DOT Initiatives Toward Planning Unification	93
	Process Guidelines for Highway Projects	93
	UMTA's External Operating Manual	94
	Williamsburg Conference on Urban Travel Forecasting	95
	Federal-Aid Highway Act of 1973	96
	Endangered Species Act of 1973	97
	AASHTO Policy on Geometric Design of Urban Highways	98
	1972 and 1974 National Transportation Studies	99
	National Mass Transportation Assistance Act of 1974	100
	PLANPAC and UTPS Batteries of Computer Programs	100
9	Transition to Short-Term Planning	103
	Emergency Energy Legislation	103
	Service and Methods Demonstration Program	104
	Taxicabs	105
	OTA's Report on Automated Guideway Transit	107
	Model 13(c) Labor Protection Agreement for Operating Assistance	108
	Joint Highway/Transit Planning Regulations	109
	Traffic Calming	111
	Policy on Major Urban Mass Transportation Investments	112
	Characteristics of Urban Transportation Systems	114
	Light Rail Transit	116
	Federal-Aid Highway Act of 1976	117
	ITE Trip Generation Report	118
	Urban System Study	119
	Road Pricing Demonstration Program	119
	Title VI Program Guidelines for FTA Recipients	120
	National Transportation Trends and Choices	121
	Transit Uniform System of Accounts and Records	122
	Clean Air Act Amendments of 1977	123
10	Emphasizing Urban Economic Revitalization	125
	1978 National Urban Policy Report	125
	Surface Transportation Assistance Act of 1978	127
	Quick Response Urban Travel Forecasting Techniques	128
	National Energy Act of 1978	129

	Council on Environmental Quality's Regulations  BART Impact Program  International Conferences on Behavioral Travel Demand  National Ridesharing Demonstration Program  Urban Initiatives Program  Section 504 Regulations on Accessibility for the Handicapped  National Transportation Policy Study Commission  Interstate Substitutions  Aspen Conference on Future Urban Transportation  Land Use Impacts of Beltways  Highway Performance Monitoring System	130 131 133 134 136 137 138 139 140 141 142
11	Decentralization of Decisionmaking	145 145 146 147
	Federal-Aid Highway Act of 1981  E.O. 12372, Intergovernmental Review of Federal Programs  Woods Hole Conference on Future Directions	147 148
	of Urban Public Transportation	149
	Easton Conference on Travel Analysis Methods for the 1980s	150
	Surface Transportation Assistance Act of 1982	151
	Advent of Microcomputers	153
	New Urban Transportation Planning Regulations	
12	Promoting Private Sector Participation	157
	Paratransit Policy	157
	Transportation Management Associations	158
	Revised Major Transit Capital Investment Policy	159
	Transportation Demand Management	161
	Private Participation in the Transit Program	162
	Concurrency Management System	163
	National Transit Performance Reports	164
	Charter Bus Regulations	165
	Surface Transportation and Uniform Relocation Assistance	167
	Act of 1987	
		171
	Smuggler's Notch Conference on Highway Finance	171
	Los Angeles' Regulation XV	172
13	The Need for Strategic Planning	175
13	National Council on Public Works Improvement	176
	Transportation 2020	176
	Williamsburg Conference on Transportation	170
	and Economic Development	178

	Puget Sound Transportation Panel	1/9
	National Transportation Strategic Planning Study	180
	Intelligent Vehicle Highway Systems	181
	Lawsuit Against the Metropolitan Transportation	
	Commission's Travel Models	182
	Geographic Information Systems	184
	National Maglev Initiative	185
	Clean Air Act Amendments of 1990	187
	Strategic Planning and Management	191
	Americans with Disabilities Act of 1990	192
	Intermodal Surface Transportation Efficiency Act of 1991	193
	Manual of Regional Transportation Modeling Practice	
	for Air Quality Analysis	203
14	The Growth of Sustainable Development	205
	Charlotte Conference on Moving Urban America	206
	Travel Model Improvement Program	206
	Livable Communities Initiative	207
	Energy Policy Act of 1992	208
	Transportation Implication of Telecommuting	208
	Metropolitan and Statewide Planning Regulations	209
	Transportation: Air Quality Conformity Regulations	211
	Making the Land Use, Transportation, Air Quality	
	Connection (LUTRAQ)	211
	Transportation Management Systems	213
	E.O. 12893 Principles for Federal Infrastructure Investment	213
	E.O. 12898 on Environmental Justice.	214
	National Bicycling and Walking Study	215
	Curbing Gridlock: Peak-Period Fees to Relieve Traffic Congestion	217
	Conference on Institutional Aspects of Metropolitan	
	Transportation Planning	219
	Interstate-95 Corridor Coalition	220
	Implications of Expanding Metropolitan Highway Capacity	221
	State Route 91 Express Lanes in Southern California	221
	National Highway System Designation Act of 1995	222
	Major Investment Studies	224
	Travel Survey Manual	225
	Dulles Greenway	226
15	Expanding Portionatory Democracy	229
15	Expanding Participatory Democracy	230
	Deployment of Intelligent Transportation Systems	
	Activity-Based Travel Forecasting Conference	231
	Public Involvement	234
	State Infrastructure Banks	235
	Travel Model Vandanon Manual	1.30

	Envision Utah	238 240 241 242 249 250 251 252
	Value Pricing Pilot Program  Conferences on Refocusing Transportation Planning for the 21st Century  National Transportation Policy Architecture for the 21st Century	<ul><li>253</li><li>254</li><li>255</li></ul>
16	Moving Towards Performance Based Planning  Asset Management	259 260 262 263 265 266 268 269 270 272 273 274 276 277 278
	Transportation Network	292 294
17	Concern for Climate Change	299 299 301
	Conference on the Metropolitan Flamming Organization, Present and Future	302 304 305

	Car Sharing  National Surface Transportation Policy and Revenue	307
	Study Commission	308
	California's Sustainable Communities Planning Act	310
	Potential Impacts of Climate Change on U.S. Transportation	312
	Rail Passenger Investment and Improvement Act of 2008	314
	American Recovery and Reinvestment Act of 2009	316
	Effects of Compact Development on Motorized Travel	317
	Partnership for Sustainable Communities	318
	Executive Order 13514 Federal Leadership in Environmental,	510
	Energy, and Economic Performance	319
18	Era of Constrained Resources	323
	National Surface Transportation Infrastructure	
	Financing Commission	324
	Advanced Practices in Travel Forecasting	325
	MOVES Motor Vehicle Emissions Model	327
	TIGER Discretionary Grant Program	328
	Military Base Traffic	330
	Increased Corporate Average Fuel Economy Standards	332
	Revised Federal Transit Administration New Starts	
	Evaluation Process	332
	Florida's Transportation Planning Process	334
	Super-Commuting in Mega Regions	336
	Travel Demand Forecasting: Parameters and Techniques	337
	Connected Vehicles	338
	Moving Ahead for Progress in the 21st Century Act	339
19	Infrastructure Resilience	347
	U.S. DOT Policy Statement on Climate Change Adaptation	347
	Executive Order 13604: Improving Performance	
	of Federal Permitting and Review of Infrastructure Projects	348
	Complete Streets	350
	Travel Forecasting Resource	351
	President Obama's Climate Action Plan	353
	Travel Time Reliability	355
	Executive Order 13653: Preparing the United States	
	for the Impacts of Climate Change	356
	Transportation Climate Change Sensitivity Matrix	357
	Build America Investment Initiative	358
	Performance Management	359
	Transportation Planning For Disasters	360
	Smart Growth Area Planning Tool	362
	Executive Order. 13690: Establishing a Federal Flood Risk	
	Management Standard	362

20	Challenge of Funding	365
	Beyond Traffic: Trends and Choices 2045	365
	Transportation Network Companies	368
	FTA's Simplified Trips-on-Project Software	370
	States' Gas Taxes	370
	Bumpy Roads Ahead	371
	Executive Order 13604 Improving Performance of Federal	
	Permitting and Review of Infrastructure Projects	372
	Connected Vehicle Pilot Deployment Program	373
	Health in Transportation	374
	Activity-Based Travel Demand Models: A Primer	375
	National Freight Strategic Plan	376
	Big Data	378
	Connected City	379
	Fixing America's Surface Transportation Act	380
21	Concluding Remarks	391
Ap	pendix A	397
Ap	pendix B	401
Ind	ex	427

# Chapter 1 Introduction

On October 23, 1962 President John F. Kennedy into law the Federal-Aid Highway Act of 1962 More than 50 years have now passed since that act created the federal mandate for urban transportation planning in the United States. The act was the capstone of two decades of experimentation and development of urban transportation procedures and institutions. It was passed at a time in which urban areas were beginning to plan the Dwight D. Eisenhower National System of Interstate and Defense Highway routes through and around their areas. The 1962 Act, combined with the incentive of 90% federal funding for Interstate highway projects, caused urban transportation planning to spread quickly throughout the United States. It also had a significant influence on urban transportation planning in other parts of the world.

In some ways, the urban transportation planning process and planning techniques have changed little over the 50 years. Yet in other ways urban transportation planning has evolved over these years in response to changing issues, conditions and values, and a greater understanding of urban transportation phenomena. Current urban transportation planning practice is considerably more sophisticated, complex, and costly than its highway planning predecessor, and involves a wider range of participants in the process.

Modifications in the planning process took many years to evolve. As new concerns and issues arose, changes in planning techniques and processes were introduced. These modifications sought to make the planning process more responsive and sensitive to those areas of concern. Urban areas that had the resources and technical ability were the first to develop and adopt new concepts and techniques. These new ideas were diffused by various means throughout the nation, usually with the assistance of the federal government and professional organizations. The rate at which the new concepts were accepted varied from area to area. Consequently, the quality and depth of planning is highly variable at any point in time.

Early highway planning concentrated on developing a network of all weather highways connecting the various portions of the nation. As this work was being accomplished, the problems of serving increasing traffic grew. With the planning for urban areas came additional problems of dispersed land use development patterns, 2 1 Introduction

dislocation of homes and businesses, environmental degradation, citizen participation, energy consumption, transportation for the disadvantaged, and infrastructure deterioration. More recently have been the concerns about and traffic congestion, intermodal connectivity, performance measures, sustainable development, environmental justice, climate change, national security and infrastructure resilience. The need for adequate financial resources has always been a concern.

Urban transportation planning in the United States has always been conducted by state and local agencies in many cases with the assistance of consulting firms and universities. This approach is entirely appropriate since highway and transit facilities and services are owned and operated largely by the states and local agencies, and more recently private entities. The role of the federal government has been to set national policy, provide financial aid, supply technical assistance and training, and conduct research. Over the years, the federal government has attached requirements to its financial assistance. From a planning perspective, the most important has been the requirement that transportation projects in urbanized areas of 50,000 or more in population be based on an urban transportation planning process. This requirement was first incorporated into the Federal-Aid Highway Act of 1962.

Other requirements have been incorporated into federal legislation and regulations over the years. Many of these are chronicled in this report. At times these requirements have been very exacting in their detail. At other times, greater flexibility was allowed in responding to the requirements. Currently, the emphasis is on increasing state and local flexibility in planning implementation, and in making the planning process more inclusive for all groups and individuals.

Over the years, a number of federal agencies have affected urban transportation planning (Table 1.1). The U.S. Bureau of Public Roads (BPR) was part of the U.S. Department of Commerce when the 1962 Highway Act was passed. It became part of the U.S. Department of Transportation (DOT) upon its creation in 1966 and its name was changed to the U.S. Federal Highway Administration (FHWA). The federal urban mass transportation program began in 1961 under the U.S. Housing and Home Finance Agency, which became the U.S. Department of Housing and Urban Development in 1965. The federal urban transit program was transferred to DOT in 1968 as the U.S. Urban Mass Transportation Administration (UMTA). The name was changed to the U.S. Federal Transit Administration (FTA) by the Federal Transit Act Amendments of 1991. The U.S. Federal Railroad Administration (FRA) was created at the same time as DOT. The National Traffic and Motor Vehicle Safety Act of 1966 established the National Traffic Safety Agency, and the Highway Safety Act of 1966 established the National Highway Safety Agency both in the U.S. Department of Commerce. The two safety agencies were combined by Executive Order 11357 in 1967 into the National Highway Safety Bureau in the newly created DOT. In 1970 it became the National Highway Traffic Safety Administration (NHTSA).

Other federal agencies became involved in urban transportation planning as new issues arose. The U.S. Department of Labor (DOL) became involved in 1964 to administer the labor protections provisions of the Urban Mass Transportation Act. The Advisory Council on Historic Preservation was established in 1966 to administer

Table 1.1 Dates selected federal agencies were established

1849	Department of Interior
1913	Department of Commerce
1913	Department of Labor
1916	Bureau of Public Roads
1921	Bureau of the Budget
1947	Housing and Home Finance Agency
1953	Department of Health, Education and Welfare
1965	Department of Housing and Urban Development
1966	Department of Transportation
1966	Federal Highway Administration
1966	Federal Railroad Administration
1966	Advisory Council on Historic Preservation
1967	National Highway Safety Bureau
1968	Urban Mass Transportation Administration
1969	Council on Environmental Quality
1970	National Highway Traffic Safety Administration
1970	Office of Management and Budget
1970	Environmental Protection Agency
1977	Department of Energy
1979	Department of Health and Human Services
1991	Federal Transit Administration
1992	Bureau of Transportation Statistics
2000	Federal Motor Carrier Safety Administration
2001	Transportation Security Administration
2002	Department of Homeland Security
2005	Research and Innovative Technology Administration

national historic preservation programs. The Bureau of the Budget (BOB), later to become the Office of Management and Budget (OMB), issued guidance in 1969 to improve coordination among programs funded by the federal government. In later years, OMB issued guidance on many issues that affected urban transportation. To address environmental concerns that were increasing in the latter part of the 1960s, the Council on Environmental Quality (CEQ) was created in 1969 and the U.S. Environmental Protection Agency (EPA) in 1970. The U.S. Department of Health, Education and Welfare (HEW), now the U.S. Department of Health and Human Services (HHS), became involved in urban transportation as a result of the Rehabilitation Act of 1973 as part of its function to eliminate discrimination against handicapped persons in federal programs. With the passage if the Endangered Species Act of 1973, the Department of Interior and the Department of Commerce became involved in some aspects of urban transportation planning. In 1977, the U.S. Department of Energy (DOE) was created to bring together federal energy functions.

The Bureau of Transportation Statistics (BTS) was created by the Intermodal Surface Transportation Efficiency Act of 1991 for data collection, analysis, and