

COMPUTATIONAL LIQUID CRYSTAL PHOTONICS

FUNDAMENTALS, MODELLING AND APPLICATIONS

Salah Obayya

Center for Photonics and Smart Materials Zewail City of Science and Technology Giza Egypt

Mohamed Farhat O. Hameed and Nihal F.F. Areed

Center for Photonics and Smart Materials
Zewail City of Science and Technology
Giza
and
Faculty of Engineering
Mansoura University
Mansoura
Egypt



This edition first published 2016 © 2016 John Wiley & Sons, Ltd.

Registered Office

John Wiley & Sons, Ltd, The Atrium, Southern Gate, Chichester, West Sussex, PO19 8SQ, United Kingdom

For details of our global editorial offices, for customer services and for information about how to apply for permission to reuse the copyright material in this book please see our website at www.wiley.com.

The right of the author to be identified as the author of this work has been asserted in accordance with the Copyright, Designs and Patents Act 1988.

All rights reserved. No part of this publication may be reproduced, stored in a retrieval system, or transmitted, in any form or by any means, electronic, mechanical, photocopying, recording or otherwise, except as permitted by the UK Copyright, Designs and Patents Act 1988, without the prior permission of the publisher.

Wiley also publishes its books in a variety of electronic formats. Some content that appears in print may not be available in electronic books.

Designations used by companies to distinguish their products are often claimed as trademarks. All brand names and product names used in this book are trade names, service marks, trademarks or registered trademarks of their respective owners. The publisher is not associated with any product or vendor mentioned in this book.

Limit of Liability/Disclaimer of Warranty: While the publisher and author have used their best efforts in preparing this book, they make no representations or warranties with respect to the accuracy or completeness of the contents of this book and specifically disclaim any implied warranties of merchantability or fitness for a particular purpose. It is sold on the understanding that the publisher is not engaged in rendering professional services and neither the publisher nor the author shall be liable for damages arising herefrom. If professional advice or other expert assistance is required, the services of a competent professional should be sought

Library of Congress Cataloging-in-Publication data applied for

ISBN: 9781119041955

A catalogue record for this book is available from the British Library.

Set in 10/12pt Times by SPi Global, Pondicherry, India Printed and bound in Singapore by Markono Print Media Pte Ltd

1 2016

All Praise is due to Allah, and peace and blessings be upon. Prophet Muhammad and upon his family and his Companions.

The authors would like to dedicate this book to Prof. Ahmed Zewail for his continuous encouragement, support, and the opportunity to contribute to the Egypt National project of renaissance: Zewail City of Science and Technology.

The authors would also like to dedicate the book to their families, whose love, support, patience, and understanding are beyond any scope.

Preface

The turn toward optical computers and photonic integrated circuits in high-capacity optical networks has attracted the interest of expert researchers. This is because all optical packet switching and routing technologies can provide more efficient power and footprint scaling with increased router capacity. Therefore, it is aimed to integrate more optical processing elements into the same chip and, hence, on-chip processing capability and system intelligence can be increased. The merging of components and functionalities decreases packaging cost and can bring photonic devices one step (or more) closer to deployment in routing systems.

Photonic crystal devices can be used functionally as part of a comprehensive all-photonic crystal-based system where, on the same photonic crystal platform, many functionalities can be realized. Therefore, photonic crystals have recently received much attention due to their unique properties in controlling the propagation of light. Many potential applications of photonic crystals require some capability for tuning through external stimuli. It is anticipated that photonic crystals infiltrated with liquid crystals (LCs) will have high tunability with an external electric field and temperature. For the vast majority of LCs, the application of an electric field results in an orientation of the nematic director either parallel or perpendicular to the field, depending on the sign of the dielectric anisotropy of the nematic medium. The scope of this book is to propose, optimize, and simulate new designs for tunable broadband photonic devices with enhanced high levels of flexible integration and enhanced power processing, using a combination of photonic crystal and nematic LC (NLC) layers. The suggested NLC photonic devices include a coupler, a polarization splitter, a polarization rotator, and a multiplexer-demultiplexer for telecommunication applications. In addition, LC photonic crystal-based encryption and decryption devices will be introduced and LC-based routers and sensors will be presented. In almost all cases, an accurate quantitative theoretical modeling of these devices has to be based on advanced computational techniques that solve the corresponding, numerically very large linear, nonlinear, or coupled partial differential equations. In this regard, the book will also offer an easy-to-understand, and yet comprehensive, state-of-the-art of computational modeling techniques for the analysis of lightwave propagation in a wide range of LC-based modern photonic devices.

There are many excellent books on LCs; however, several of these concentrate on the physics and chemistry of the LCs especially for LC display (LCD) applications. In addition, many books on photonic devices have been published in the recent years. However, it is still difficult to find one book in which highly tunable photonic crystal devices based on LC materials are discussed with a good balance of breadth and depth of coverage. Therefore, the book will represent a unique source for the reader to learn in depth about the modeling techniques and simulation of the processing light through many tunable LC devices.

The primary audience for this book are undergraduate students; the student will be taken from scratch until he can develop the subject himself. The secondary audience are the business and industry experts working in the fields of information and communications technology, security, and sensors because the book intends to open up new possibilities for marketing new commercial products. The audience of this book will also include the researchers at the early and intermediate stages working in the general areas of LC photonics. The book consists of three parts: LC basic principles, numerical modeling techniques, and LC-based applications. The first part includes three chapters where the basic principles of waveguides and modes, photonic crystals, and liquid crystals are given. From Chapters 4 to 6, the numerical techniques operating in the frequency domain are presented. Among them, Chapter 4 presents the governing equations for the full-vectorial finite-difference method (FVFDM) and perfectly matched layer (PML) scheme for the treatment of boundary conditions. The FVFDM is then assessed in Chapter 5 where the modal analysis of LC-based photonic crystal fiber (PCF) is given. The FV beam propagation method (FVBPM) is presented in Chapter 6 to study the propagation along the LC-PCF-based applications. After deriving the governing equations, the FVBPM is numerically assessed through several optical waveguide examples. The conventional finitedifference time domain (FDTD) method in 2D and 3D, as an example of the numerical techniques operating in the time domain is presented in Chapter 7.

The third part consists of six chapters to cover the applications of the LC-based photonic crystal devices. From Chapters 8 to 10, the applications of the LC-PCF for telecommunication devices, such as couplers, polarization rotators, polarization splitters, and multiplexer–demultiplexers, are introduced. In addition, the LC-PCF sensors, such as biomedical and temperature sensors, are explained in Chapter 11. Photonic crystal-based encryption systems for security applications are covered in Chapter 12. Optical computing devices, such as optical routers, optical memory, and reconfigurable logic gates, are introduced in Chapter 13.

Contents

Pı	reface	XV	
PA	ART I	BASIC PRINCIPLES	, 1
1	Prin	nciples of Waveguides	3
	1.1	Introduction	3
	1.2	Basic Optical Waveguides	4
	1.3	Maxwell's Equations	6
	1.4	The Wave Equation and Its Solutions	7
	1.5	Boundary Conditions	9
	1.6	Phase and Group Velocity	10
		1.6.1 Phase Velocity	10
		1.6.2 Group Velocity	11
	1.7	Modes in Planar Optical Waveguide	12
		1.7.1 Radiation Modes	13
		1.7.2 Confinement Modes	13
	1.8	Dispersion in Planar Waveguide	13
		1.8.1 Intermodal Dispersion	14
		1.8.2 Intramodal Dispersion	14
	1.9	Summary	15
	Refe	erences	15
2	Fundamentals of Photonic Crystals		17
	2.1	Introduction	17
		Types of PhCs	18
		2.2.1 1D PhCs	18
		2.2.2 2D PhCs	19
		2.2.3 3D PhCs	2.1

viii Contents

	2.3	Photo	nic Band Calculations	21
		2.3.1	Maxwell's Equations and the PhC	22
		2.3.2		
			and Brillouin Zones	23
		2.3.3	Plane Wave Expansion Method	26
		2.3.4	FDTD Method	29
			2.3.4.1 Band Structure	29
			2.3.4.2 Transmission Diagram	30
		2.3.5	Photonic Band for Square Lattice	30
	2.4		ts in PhCs	31
	2.5	Fabric	ation Techniques of PhCs	32
		2.5.1	Electron-Beam Lithography	32
		2.5.2	Interference Lithography	33
		2.5.3	Nano-Imprint Lithography	33
		2.5.4	Colloidal Self-Assembly	34
	2.6	Applic	eations of PhCs	34
	2.7	Photon	nic Crystal Fiber	35
		2.7.1	Construction	35
		2.7.2	Modes of Operation	36
			2.7.2.1 High Index Guiding Fiber	36
			2.7.2.2 PBG Fibers	36
		2.7.3	Fabrication of PCF	37
		2.7.4	Applications of PCF	37
	2.8	Summa	ary	37
	Refe	erences		37
3	Fun	dament	als of Liquid Crystals	41
		Introdu	41	
	3.2	Molecu		
		of an L	42	
	3.3	LC Pha	ases	42
		3.3.1	Thermotropic LCs	44
			3.3.1.1 Nematic Phase	44
			3.3.1.2 Smectic Phase	44
			3.3.1.3 Chiral Phases	45
			3.3.1.4 Blue Phases	46
			3.3.1.5 Discotic Phases	46
		3.3.2	Lyotropic LCs	47
			Metallotropic LCs	48
	3.4	LC Phy	sical Properties in External Fields	48
		3.4.1	Electric Field Effect	48
		3.4.2	Magnetic Field Effect	49
			3.4.2.1 Frederiks Transition	49
	3.5		tcal Tratment of LC	50
		3.5.1	LC Parameters	50
			3.5.1.1 Director	50
			3.5.1.2 Order Parameter	50
		3.5.2	LC Models	51

Contents

			3.5.2.1 Onsager Hard-Rod Model	5
			3.5.2.2 Maier-Saupe Mean Field Theory	52
			3.5.2.3 McMillan's Model	52
	3.6	LC S	Sample Preparation	52
			for Display Applications	53
			Thermometers	54
			cal Imaging	54
			nto Fiber Optics and LC Planar Photonic Crystal	54
			Solar Cell	55
		erences		55
P	ARTI	II NI	UMERICAL TECHNIQUES	57
. #. 2	XXX.	1110	DIMERICAL LECTINIQUES	31
4			orial Finite-Difference Method	59
			duction	59
			view of Modeling Methods	59
	4.3	Form	nulation of the FVFDM	60
			Maxwell's Equations	60
		4.3.2	Wave Equation	61
			Boundary Conditions	63
		4.3.4	Maxwell's Equations in Complex Coordinate	64
		4.3.5	Matrix Solution	65
			4.3.5.1 Power Method	65
			4.3.5.2 Inverse Power Method	66
			4.3.5.3 Shifted Inverse Power Method	66
	4.4	Sumn	nary	66
	Refe	rences		66
5	Asse	ssmen	at of the Full-Vectorial Finite-Difference Method	69
	5.1	69		
	5.2	Overv	69	
	5.3	Soft C	Glass	70
	5.4	Desig	n of Soft Glass PCF with LC Core	71
	5.5	Nume	erical Results	73
		5.5.1	FVFDM Validation	73
		5.5.2	Modal Hybridness	74
			Effective Index	75
			Effective Mode Area	76
		5.5.5	Nonlinearity	76
		5.5.6	Birefringence	77
		5.5.7	Effect of the NLC Rotation Angle	80
		5.5.8	Effect of the Temperature	81
		5.5.9	Elliptical SGLC-PCF	83
	5.6	Exper	imental Results of LC-PCF	84
		5.6.1	Filling Temperature	84
		5.6.2	Filling Time	84
		Summ		85
		ences	- e	85
				0.3

x Contents

6	Full-Vectorial Beam Propagation Method			
	6.1 Introduction		89	
	6.2	Overview of the BPMs	89	
	6.3	Formulation of the FV-BPM	90	
		6.3.1 Slowly Varying Envelope Approximation	91	
		6.3.2 Paraxial and Wide-Angle Approximation	92	
	6.4	Numerical Assessment	93	
		6.4.1 Overview of Directional Couplers	93	
		6.4.2 Design of the NLC-PCF Coupler	94	
		6.4.3 Effect of the Structural Geometrical Parameters	94	
		6.4.4 Effect of Temperature	97	
		6.4.5 Effect of the NLC Rotation Angle	98	
		6.4.6 Elliptical NLC-PCF Coupler	98	
		6.4.7 Beam Propagation Analysis of the NLC-PCF Coupler	101	
		Experimental Results of LC-PCF Coupler	102	
		Summary	103	
	Ref	erences	103	
7	Fini	ite-Difference Time Domain Method	105	
	7.1	Introduction	105	
	7.2	Numerical Derivatives	106	
	7.3	Fundamentals of FDTD	106	
		7.3.1 1D Problem in Free Space	107	
		7.3.2 1D Problem in a Lossless Medium	109	
		7.3.3 1D Problem in a Lossy Medium	109	
		7.3.4 2D Problem	110	
		7.3.5 3D Problem	112	
	7.4	*	115	
		Feeding Formulation	116	
	7.6	Absorbing Boundary Conditions	116	
		7.6.1 Mur's ABCs	117	
		7.6.2 Perfect Matched Layer	117	
	7.7	1D FDTD Sample Code	120	
		7.7.1 Source Simulation	120	
		7.7.2 Structure Simulation	121	
	7.0	7.7.3 Propagation Simulation	122	
	7.8	FDTD Formulation for Anisotropic Materials	124	
	7.9	Summary	126	
	Refe	rences	126	
Pai	t III	APPLICATIONS OF LC DEVICES	129	
	Polarization Rotator Liquid Crystal Fiber			
	8.1	Introduction	131 131	
	8.2	Overview of PRs	132	

	8.3	Practic	al Applicatio	ns of PRs	133	
	8.4		ion Principle		134	
	8.5		ical Simulation		135	
	8.6		of NLC-PCI		136	
	8.7		ical Results		138	
		8.7.1	Hybridness		138	
		8.7.2	*	of the NLC-PCF PR	139	
		8.7.3		ructure Geometrical Parameters	142	
				Effect of the d/∆ Ratio	142	
				Effect of the Hole Pitch Λ	143	
		8.7.4		of the NLC Rotation Angle	143	
		8.7.5	Tolerance of	f Structure Geometrical Parameters	144	
			8.7.5.1 7	Folerance of the d/Λ Ratio	144	
			8.7.5.2 7	Tolerance of the Hole Shape	145	
				olerance of the Hole Position	146	
		8.7.6	Tolerance of	f the Temperature	148	
		8.7.7		f the Operating Wavelength	150	
	8.8	Ultrash	ort Silica LC	-PCF PR	150	
	8.9	Fabrica	tion Aspects	of the NLC-PCF PR	155	
	8.10	Summa	ıry		156	
	Refer	ences			156	
9	Appl	ications	of Nematic I	Liquid Crystal-Photonic Crystal Fiber Coupler	159	
	9.1	Introdu			159	
	9.2		exer-Demult		159	
				LC-PCF MUX–DEMUX	159	
				gation Study of the NLC-PCF MUX-DEMUX	161	
				C-PCF MUX–DEMUX	162	
				the NLC-PCF MUX-DEMUX	163	
	9.3		ation Splitter		164	
				ne NLC-PCF Polarization Splitter	164	
				gation Study of the NLC-PCF Polarization Splitter	164	
				C-PCF Splitter	166	
	201 01			the NLC-PCF Polarization Splitter	168	
	9.4	Summa	ry		169	
	Refer	ences			169	
10	0	11 (71				
10				of a Photonic Crystal Fiber Coupler	171	
		with Liquid Crystal Cores				
	10.1	Introduction				
	10.2			oupler with LC Cores	172	
	10.3		cal Results	C. I.C. I.D.	173	
		10.3.1	10.0	Structural Geometrical Parameters	173	
		10.3.2	Effect of Ten		177	
		10.3.3	rolarization	Splitter Based on PCF Coupler with LC Cores	178	

xii Contents

			10.3.3.2 10.3.3.3	Analysis of the Polarization Splitter Beam Propagation Analysis Crosstalk Feasibility of the Polarization Splitter	178 179 181 182
		Summa			183 183
11		-		ic Crystal Fiber Sensors	185
	11.1	Introdu			185
	11.2	LC-PC	F Tempera	iture Sensor	186
				Consideration	186
		11.2.2	Effects o	f the Structural Geometrical Parameters	189
		11.2.3	Effect of	the Temperature	191
		11.2.4	Effect of	the LC Rotation Angle	191
		11.2.5	Sensitivii	ty Analysis	192
	11.3	Design	of Single	Core PLC-PCF	192
		11.3.1	Design C	Consideration	192
		11.3.2	Effect of	the LC Rotation Angle	197
		11.3.3	Effect of	the Structural Geometrical Parameters	197
		11.3.4	Effect of	the Temperature	201
	11.4	Summa	ry		202
	Refer	ences			202
12				ed on Photonic Liquid Crystal Layers	205
				ptical Image Encryption systems	205
	12.2			ption Using PhC Structures	207
			Design C		207
				r/Decryptor Design	211
			Simulatio		212
	12.3			on System Using Photonic LC Layers	216
		12.3.1		l Encryption System	217
				PBG Structure	217
			12.3.1.2	Liquid Crystals	217
			12.3.1.3	Phase Modulator/Photodetector	219
			12.3.1.4	System Operation	219
		12.3.2	Simulatio	on Results	219
	12.4	Summa	ry		226
	Refer	ences			227
13		Optical Computing Devices Based on Photonic Liquid Crystal Layers			
	13.1			otical Computing	229
	13.2			r Based on Photonic LC Layers	231
		13.2.1		rchitecture	231
			13.2.1.1		231
			13.2.1.2	Liquid Crystals	232
			13.2.1.3	System Operation	233

		4				
٠.	6.3	m	ı	-1	н	S

tents			xiii
	13.2.2	Simulation Results	233
	13.2.3	Fabrication Tolerance	236
13.3	Optica	Logic Gates Based on Photonic LC Layers	237
	13.3.1	OR Logic Gate Based on PhC Platform	237
		13.3.1.1 PhC Platform	238
		13.3.1.2 Optical OR Gate Architecture	239
		13.3.1.3 Results and Discussion for OR Gate	239
	13.3.2	AND Logic Gate Based on a PhC Platform	241
		13.3.2.1 Optical AND Gate Architecture	242
		13.3.2.2 Results and Discussion for AND Gate	242
	13.3.3	Reconfigurable Gate Based on Photonic NLC Layers	245
		13.3.3.1 Device Architecture	245
		13.3.3.2 Bandgap Analysis of Photonic Crystal Platform	246
		13.3.3.3 Simulation Results of the Reconfigurable Gate	247
13.4	Optical	Memory Based on Photonic LC Layers	252
	13.4.1	PhC Platform	253
	13.4.2	Tunable Switch	253

255

255

256

257

259

13.4.3 Simulation Results

13.5 Summary

References

Index

13.4.4 Fabrication Challenges

Part I Basic Principles

Principles of Waveguides

1.1 Introduction

A waveguide can be defined as a structure that guides waves, such as electromagnetic or sound waves [1]. In this chapter, the basic principles of the optical waveguide will be introduced. Optical waveguides can confine and transmit light over different distances, ranging from tens or hundreds of micrometers in integrated photonics, to hundreds or thousands of kilometers in long-distance fiber-optic transmission. Additionally, optical waveguides can be used as passive and active devices such as waveguide couplers, polarization rotators, optical routers, and modulators. There are different types of optical waveguides such as slab waveguides, channel waveguides, optical fibers, and photonic crystal waveguides. The slab waveguides can confine energy to travel only in one dimension, while the light can be confined in two dimensions using optical fiber or channel waveguides. Therefore, the propagation losses will be small compared to wave propagation in open space. Optical waveguides usually consist of high index dielectric material surrounded by lower index material, hence, the optical waves are guided through the high index material by a total internal reflection mechanism. Additionally, photonic crystal waveguides can guide the light through low index defects by a photonic bandgap guiding technique. Generally, the width of a waveguide should have the same order of magnitude as the wavelength of the guided wave.

In this chapter, the basic optical waveguides are discussed including waveguides operation, Maxwell's equations, the wave equation and its solutions, boundary conditions, phase and group velocity, and the properties of modes.

1.2 Basic Optical Waveguides

Optical waveguides can be classified according to their geometry, mode structure, refractive index distribution, materials, and the number of dimensions in which light is confined [2]. According to their geometry, they can be categorized by three basic structures: planar, rectangular channel, and cylindrical channel as shown in Figure 1.1. Common optical waveguides can also be classified based on mode structure as single mode and multiple modes. Figure 1.1a shows that the planar waveguide consists of a core that must have a refractive index higher than the refractive indices of the upper medium called the cover, and the lower medium called the substrate. The trapping of light within the core is achieved by total internal reflection. Figure 1.1b shows the channel waveguide which represents the best choice for fabricating integrated photonic devices. This waveguide consists of a rectangular channel that is sandwiched between an underlying planar substrate and the upper medium, which is usually air. To trap the light within a rectangular channel, it is necessary for the channel to have a refractive index greater than that of the substrate. Figure 1.1c shows the geometry of the cylindrical channel waveguide which consists of a central region, referred to as the core, and surrounding material called cladding. Of course, to confine the light within the core, the core must have a higher refractive index than that of the cladding.

Figure 1.2 shows the three most common types of channel waveguide structures which are called strip, rip, and buried waveguides. It is evident from the figure that the main difference between the three types is in the shape and the size of the film deposited onto the substrate. In the strip waveguide shown in Figure 1.2a, a high index film is directly deposited on the substrate with finite width. On the other hand, the rip waveguide is formed by depositing a high index film onto the substrate and performing an incomplete etching around a finite width as shown in Figure 1.2b. Alternatively, in the case of the buried waveguide shown in Figure 1.2c,

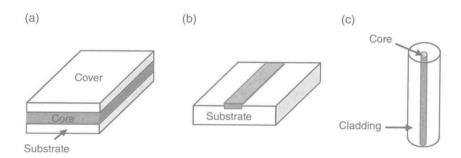


Figure 1.1 Common waveguide geometries: (a) planar, (b) rectangular, and (c) cylindrical

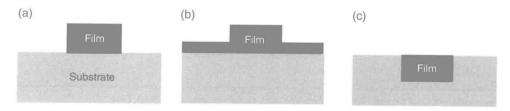


Figure 1.2 Common channel waveguides: (a) strip, (b) rip, and (c) buried