Introduction to Tunnel Construction, Second Edition

Second Edition

David Chapman, Nicole Metje and Alfred Stark



Applied Geotechnics

CIVIL AND STRUCTURAL ENGINEERING





Second Edition



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David Chapman Nicole Metje Alfred Stärk



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Dedication

This book is dedicated to Professor Reinhard Rokahr, who provided the inspiration and first introduced some of us to the El Dorado of tunnelling. It is also dedicated to our families.

Abbreviations

2D	two-dimensional
3D	three-dimensional
ADS	anti-drag system
BSI	British Standards Institute
BTS	British Tunnelling Society
CDM	cement deep mixing
Ch	Chainage
CPT	cone penetration test
CTRL	Channel Tunnel Rail Link
EL	Enlargement
EPBM	earth pressure balance machine
EPDM	ethylene-propylene-diene monomer
ESR	excavation support ratio
FER	foam expansion ratio
FIR	foam injection ratio
FoS	Factor of Safety
FSTT	French Society for Trenchless Technology
GBR	Geotechnical Baseline Report
GFR	Geotechnical Factual Report
GIR	Geotechnical Interpretive Report
GSL	ground surface level
GWL	groundwater level (table)
HDD	horizontal directional drilling
HDPE	high density polyethylene
HME	Hypothetical Modulus of Elasticity model
HSE	Health and Safety Executive, UK
ICE	Institution of Civil Engineers, UK
ISRM	International Society for Rock Mechanics
ITA	International Tunnelling Association
ITIG	International Tunnelling Insurance Group
LF	load factor (=N/N _c)
LHS	left-hand side
LVDT	linear variable differential transformer
NATM	New Austrian Tunnelling Method
NTM	Norwegian Tunnelling Method
ÖBV	Österreichischer Beton Verein
PFA	pulverised fuel ash
PiccEx	Piccadilly Line Extension
PJA	Pipe Jacking Association
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PT Platform Tunnel, Pilot Tunnel PTW-E Platform Tunnel Westbound - East Heading RHS right-hand side **RMR** rock mass rating Rock quality designation **RQD** sprayed concrete lining SCL **SCR** solid core recovery spheroidal graphite (cast) iron SGI **SISG** Site Investigation Steering Group, ICE, UK SPT standard penetration test SRF stress reduction factor SSP seismic soft-ground probing **STBM** slurry tunnel boring machine slurry tunnelling machine **STM SWOT** Storm Water Outfall Tunnel **TAM** tube-a-manchette **TBM** tunnel boring machine **TCR** total core recovery **TSG** tail shield grease

United Kingdom

vertical seismic profiling

UK VSP

Symbols

```
parameter associated with the yield-density curve (m)
α
                 (bulk) unit weight of ground (kN/m<sup>3</sup>)
γ
                 (bulk) unit weight for ground above the groundwater table (kN/m<sup>3</sup>)
\gamma_d
                 (bulk) unit weight for ground below the groundwater table (kN/m<sup>3</sup>)
Year
                unit weight of water (kN/m<sup>3</sup>)
\gamma_{\rm w}
                change in strain
\Delta \epsilon
                change in stress (MN/m<sup>2</sup>)
Δσ
                 average normal stress on the load plates (MN/m<sup>2</sup>)
\Delta\sigma_{\rm m}
                 average settlements of the centre and the edge of the load plate (mm)
\Delta S_{Z,R}
\Delta V
                potential difference
έ
                strain rate
                strain (%)
3
                 ultimate strain at failure
\varepsilon_{11}
                 horizontal strain
\epsilon_{horiz}
                 plastic strain
\epsilon_{
m pl}
                 failure stain from test results (%)
\varepsilon_{\mathrm{R}}
\varepsilon'_{v}
                 strain rate, viscous (%/d)
                 vertical strain
\epsilon_{
m vert}
                 stress-intensity-index, Utilisation value (%)
η
                 parameter to describe the proportion of unloading in the convergence-
λ
                 confinement method
\lambda_d
                 predetermined value of the parameter \lambda
                 Poisson's ratio
μ
                 total stress (kN/m<sup>2</sup>)
σ
                 effective stress (kN/m<sup>2</sup>)
\sigma'
                principal stresses (kN/m2)
\sigma_1, \sigma_2, (\sigma_3)
\sigma_3, (\sigma_2)
                confining stress for triaxial test (kN/m<sup>2</sup>)
                 total vertical stress (kN/m2)
\sigma_{v}
                 effective vertical stress (kN/m<sup>2</sup>)
\sigma'_{v}
                 total horizontal stress (kN/m<sup>2</sup>)
\sigma_h
                 effective horizontal stress (kN/m<sup>2</sup>)
\sigma_h'
                 surcharge acting on the ground surface (kN/m<sup>2</sup>)
\sigma_{s}
                 tunnel face support pressure (kN/m<sup>2</sup>)
\sigma_{T}
                 ultimate stress at failure (MN/m<sup>2</sup>)
\sigma_{u}
\sigma_{u,adj}
                 adjusted \sigma_{ij} for uniaxial test (MN/m<sup>2</sup>)
                 internal friction angle (°)
φ
                 effective internal friction angle = angle of shearing resistance (°)
                 undrained internal friction angle (°)
                 diminution factor
```

```
constant for the type of loading plate
ω
b
              parameter associated with the yield-density curve
              apparent cohesion (kN/m<sup>2</sup>)
C
c'
              effective apparent cohesion (kN/m<sup>2</sup>)
C
              overburden to tunnel crown (or cover depth) (m)
              undrained shear strength (kN/m<sup>2</sup>)
C_{u}, S_{u}
              coefficient of consolidation (mm<sup>2</sup>/min)
C_{v}
d
              sample diameter for uniaxial test and point load index test (mm)
D
              diameter of tunnel (m)
D
              equivalent dimension of the excavation (m)
D.
              relative density of coarse grained soils
E
              Young's modulus (kN/m<sup>2</sup>)
E'
              drained deformation modulus (kN/m<sup>2</sup>)
E_d
              deformation modulus (kN/m<sup>2</sup>)
E_s
              stiffness modulus (kN/m<sup>2</sup>)
E'v
              vertical drained deformation modulus from oedometer test (kN/m<sup>2</sup>)
f_c
              ultimate cylinder strength (MN/m<sup>2</sup>)
f_1
              factor to allow for the plasticity index
              sleeve friction for CPT (MN/m<sup>2</sup>)
G_{max}
              shear stiffness/modulus (kN/m²)
              specific gravity (kN/m<sup>3</sup>)
G,
H
              depth from the ground surface to tunnel axis (C + D/2) (m)
h
              sample height for a uniaxial test (mm)
h
              horizontal displacement of footing (mm)
I
              current (A)
i
              trough width parameter (m)
I_c
              consistency index
              liquidity index
I_L
I_p
              plasticity index
I_{S}
              point load index strength (MN/m<sup>2</sup>)
              joint alteration number for Q-method
J_a
              joint set number for Q-method
J_n
J_{r}
              joint roughness number for Q-method
              sum of the number of joints per unit length for the RQD index
J_{v}
              joint water reduction factor for Q-method
J_{w}
k
              hydraulic conductivity (permeability) (m/s)
k_1
              calibration parameter Lubby2
k_2
              calibration parameter Lubby2
K
              trough width factor
K_a
              active coefficient of lateral earth pressure
K_{p}
              passive coefficient of lateral earth pressure
\mathbf{K}_{0}
              coefficient of lateral earth pressure at rest
L
              failure load in point load index test (MN)
L_1
              interface between two strata
              coefficient of volume compressibility (m<sup>2</sup>/MN)
m,
              calibration parameter Lubby2
n_1
n_2
              calibration parameter Lubby2
N_{SPT}
              standard penetration test blow count
N
              stability ratio
N.
              critical stability ratio or stability ratio at collapse
P
              length of unsupported tunnel ahead of tunnel shield or lining (m)
```

```
P_T
              support resistance (kN/m<sup>2</sup>)
              horizontal pressure (kN/m<sup>2</sup>)
p_h
              vertical pressure (kN/m<sup>2</sup>)
p_{v}
Q
               Q-value for rock mass quality rating method
              cone tip resistance for CPT (MN/m<sup>2</sup>)
q_c
Q_c
              normalised Q-value
               Q-value for TBM tunnelling
Q_{TBM}
              radius of the load plate (m)
r
R_{f}
              friction ratio for CPT (%)
              spring rigidity, stress dependent (MN/m<sup>2</sup>)
s_1^*
              spring rigidity, constant (MN/m<sup>2</sup>)
               viscosity, stress dependent (dMN/m<sup>2</sup>)
\mathbf{s}_2
              viscosity, constant (dMN/m<sup>2</sup>)
S
               surface settlement (mm)
S_h
              horizontal ground displacement (mm)
S_{max}
              maximum surface settlement directly above the tunnel centreline (mm)
S_{v}
               vertical ground displacement (mm)
              Time (d or h)
t
               age of sprayed concrete (d or h)
t_a
              time at start (of test) (d or h)
\mathbf{t}_0
T_{\gamma}
              tunnel stability number for the soil load
T_s
              tunnel stability number for surface surcharge
              pore water pressure (kN/m<sup>2</sup>)
u
UCS, qu
              unconfined compressive strength (MN/m<sup>2</sup>)
V_1
               volume loss per metre length of tunnel (m<sup>3</sup>/m)
V_{o}
               excavated volume of the tunnel per metre length of tunnel (m<sup>3</sup>/m)
               seismic velocity (m/s)
               volume of the surface settlement trough per metre length of tunnel (m³/m)
               estimated volume loss per metre length of tunnel (m<sup>3</sup>/m)
               vertical displacement of footing (mm)
V
               water (moisture) content (%)
w
               settlement of the tunnel crown (mm)
W
              critical settlement of the tunnel crown (mm)
Wcrit
              liquid limit (%)
\mathbf{w}_{\mathrm{L}}
              plastic limit (%)
\mathbf{W}_{\mathrm{P}}
              coordinate axes
x, y, z
               transverse horizontal distance from tunnel centreline (m)
y
               depth from the ground surface (m)
Z
```

Note that all logarithmic terms are log₁₀ in this book.

depth below groundwater table (m)

 Z_{w}