Mihaela D. Leonida Ish Kumar

Bionanomaterials for Skin Regeneration



Mihaela D. Leonida • Ish Kumar

Bionanomaterials for Skin Regeneration



Mihaela D. Leonida Fairleigh Dickinson University Teaneck, NJ, USA Ish Kumar Fairleigh Dickinson University Teaneck, NJ, USA

ISSN 2193-097X SpringerBriefs in Bioengineering ISBN 978-3-319-39166-3 DOI 10.1007/978-3-319-39168-7

ISSN 2193-0988 (electronic)

ISBN 978-3-319-39168-7 (eBook)

Library of Congress Control Number: 2016940520

© Springer International Publishing Switzerland 2016

This work is subject to copyright, All-rights are reserved by the Publisher, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, reuse of illustrations, recitation, broadcasting, reproduction on microfilms or in any other physical way, and transmission or information storage and retrieval, electronic adaptation, computer software, or by similar or dissimilar methodology now known or hereafter developed.

The use of general descriptive names, registered names, trademarks, service marks, etc. in this publication does not imply, even in the absence of a specific statement, that such names are exempt from the relevant protective laws and regulations and therefore free for general use.

The publisher, the authors and the editors are safe to assume that the advice and information in this book are believed to be true and accurate at the date of publication. Neither the publisher nor the authors or the editors give a warranty, express or implied, with respect to the material contained herein or for any errors or omissions that may have been made.

Printed on acid-free paper

This Springer imprint is published by Springer Nature
The registered company is Springer International Publishing AG Switzerland

SpringerBriefs in Bioengineering

Introduction

The impact of nanotechnology on health, wealth and the standard of living for people will be at least the equivalent of the combined influences of microelectronics, medical imaging, computer-aided engineering, and man-made polymers...

Richard Smalley, Nobel laureate 1996

If asked to define nanotechnology, almost everybody bold enough to do it would say that it is the study of materials with sizes of 1–100 nm. Since its murky origin in the 1950s physics, it made big strides ahead finding applications in fields like materials science, engineering, medicine, pharmaceutics, cosmetics, and many others. It started by capitalizing on the unique properties of materials with nanometer sizes, many of which are different from those of the bulk material with the same chemistry. The high surface to volume ratios, increasing exponentially with decreasing size, make for similar increases in reactivities, for some novel properties, and even, sometimes, for shape-dependent behavior.

This field, the epitome of interdisciplinarity, emerged where many disciplines came together blurring frontiers and establishing an incredibly fast-growing new discipline based on materials having very small dimensions. And growing it blurred the well-defined numbers in the definition, imparting flexibility and refusing to fit into predetermined molds and scenarios. It did so also because it is the best field to explore life, since life itself happens at the nanolevel, somewhere between how big atoms are and, at the other limit, the size of a bacterium. Working at the interface between nanotechnology and biology makes a lot of sense, and some bionanomaterials have been known for a long time (pigments, viruses). Numerous other nanomaterials have been discovered or synthesized more recently and, due to their amazing properties, were claimed, tested, and many times adopted by different fields. The advantages they offer, such as enhanced stability of unstable species (antioxidants, volatile compounds) by encapsulation, increased bioavailability, targeted approach which reduced doses of medication, and the possibility to tailor them to different applications, made nanomaterials look attractive to specialists in many domains. For the pharmaceutical industry, the appeal is tremendous as it is for the cosmetic vi Introduction

industry and for regenerative medicine. But the cosmetic industry, less hindered by regulation, has been more dynamic in adopting them, while the pharmaceutical and the medical field are lagging behind due to the arduous and lengthy process which is required for FDA approval. The increasing client base which is represented by an aging population makes potential applications of nanomaterials even more numerous and more desirable. There are growing demands for antiaging skin care products, for medications for skin conditions (especially degenerative ones) and for chronic wounds, and for materials able to regenerate tissues.

This book focuses on nanomaterials from the organic world, synthetic ones, materials from biological sources, or hybrids thereof. Nanoparticles made from inorganic materials (titanium oxide, carbon nanotubes, etc.) which received a lot of attention in the scientific literature, some of which found well-established commercial applications (such as sunscreens), are not included herein. After discussing the special properties of materials at the nanosize and their interactions with other entities and with their environment, this book visits briefly the structure of skin and its function as a barrier. Short descriptions of its layers and of their roles are given, as is a discussion of the skin aging process, with special attention to photoaging. Wounds (chronic and acute), burns, the great toll they take on healthcare costs, and the importance of skin regeneration are discussed in another chapter. Modalities to deliver different compounds to the skin (topical, transdermal) are introduced qualitatively, with short discussions of quantitative aspects, and comparisons are made. A chapter is dedicated to nanoparticles and to different types of nanocarriers (nanoemulsions, micelles, dendrimers, gels, etc.), sources, preparative processes, comparative discussion of properties, and applications. A special chapter is focused on nanomaterials as a solution to enhance bioavailability of active principles to the skin.

A number of chapters are dedicated to the study of different classes of bionanomaterials based on their natural sources. Due to their characteristics (natural, biodegradable, and able to encapsulate both hydrophilic and lipophilic species), lipid (and solid lipid) nanoparticles are discussed in a separate chapter together with their applications for the skin and the enhancements they afford. Antioxidants are valuable agents for health in general and for the skin in particular (care and treatment), but their reduced stability limits their actual benefits. Encapsulation in nanocarriers is a viable solution for this, and it is discussed, together with examples, in a separate chapter. Chitosan, an inexpensive polymer from natural sources, found many applications during the last 20 years. Due to its antimicrobial and antimycotic properties, and to the facility of preparing nanochitosan, it offers a huge potential for medical and cosmetic applications, some of which are already in use, and a chapter of the book discusses them. Also natural, available from many sources, inexpensive, and with special mechanical properties, nanocellulose found many applications, alone or in hybrid materials, and it is discussed in a separate chapter. Bionanomaterials from plant sources have received great attention from scientists due to their healing properties, antioxidant effects, and biodegradability. Their poor chemical stability and limited bioavailability have been addressed by encapsulation in nanocarriers. and a chapter is dedicated to this discussion. Regenerative medicine is a topic of

Introduction vii

high interest in general and of particular importance for dermatology. This makes the chapter focused on nanofibers, nanoscaffolds, and skin regeneration an important one for the scope of the book. A separate chapter discusses bionanomaterials based on peptides and proteins and briefly mentions the importance of those based on small interfering RNA (siRNA) species in the treatment of skin conditions.

The history of nanomaterials is not long enough for their long-term effect to be known and for their toxicity to be assessed over time. After the hype and enthusiasm of the beginnings when everything nano was adopted and praised, hazards started to be reported, and the public opinion shifted to the other extreme. A later chapter discusses what is known versus what is not yet clear about the toxicity of nanomaterials and evaluates benefits against risks as known so far. Finally, the last chapter of the book discusses some of the ethical, regulatory, and social issues linked to using nanomaterials in skin regeneration.

Discussion and growth in the field of nanomaterials need specialists in many domains. Not all the disciplines are preoccupied to provide adequate training in this field which makes dialogue difficult or even lacking sometimes. This small book hopes to be a modest start of the discussion for newcomers and for some experts interested in collaborating at a place where their disciplines come together.

"Science is the only possible adventure of our times..."

One of our fathers—sometime during the second half of the twentieth century
"..., and technology...."

The authors—sometime at the beginning of the twenty-first century

Acknowledgments

The authors thank Nick Agostino for reading chapters of the manuscript and offering kind suggestions and new points of view. We are very grateful to James Yu for reading all the chapters and making valuable suggestions. His diligence toward enhancing our grasp of the English language is highly appreciated.

Mihaela D. Leonida dedicates her part of this book to the memory of her parents who taught her that science cannot be hijacked by history and that a scientist has to make a mark not only in his/her field but in culture as well. She is very grateful to them and to Jim for his infinite patience, unwavering support, and willingness to participate in any cultural endeavor.

Ish Kumar dedicates his part of the book to his parents for their unconditional love, support, and constant encouragement. He is thankful to his wife, Shweta, for her understanding and support during the time necessary to complete this project.

About the Authors

Mihaela D. Leonida is a professor of chemistry at Fairleigh Dickinson University, Metropolitan Campus, in Teaneck, NJ (mleonida@fdu.edu). She received an M.S. and a Ph.D. in chemical engineering from Polytechnic University in Bucharest, Romania, and a second Ph.D. in chemistry from Wesleyan University in Middletown, CT. While teaching, she has mentored research with over 60 students.

Her scientific interests are broad, from "wiring" enzymes to bionanomaterials with antimicrobial and anti-proliferation activity, to detecting art forgeries, to materials and techniques used by artisans of times past. She spent a sabbatical leave doing research and lecturing at l'Universite de Quebec a Montreal and at McGill University and was invited to lecture and collaborate on an interdisciplinary project at the Ecole Polytechnique de l'Universite de Nantes. In 2010, she spent one semester as a senior Fulbright scholar lecturing (about modified enzymes and materials in art) and doing research at the Polytechnic University in Bucharest. She has authored/ coauthored five books, two textbooks, and several book chapters. Dr. Leonida published over 60 papers in scientific journals (among which are Journal of Organic Chemistry, Analytical and Bioanalytical Chemistry, Nonlinear Optics and Quantum Optics, International Journal of Nano and Biomaterials, Phytomedicine, Études et Documents Balkaniques et Mediterranéens, International Journal of Bio-Chromatography, Bioorganic & Medicinal Chemistry Letters, Tetrahedron Letters, Revue Roumaine de Chimie, Review of Museums and Historical Monuments, Current Nanoscience, The Protein Journal) and made over 80 presentations at scientific conferences. She gave over 30 invited lectures at different venues in the USA, Canada, France, and Romania. Her strong interest in varied materials led to lifelong connections and collaborations with researchers from different fields. Most of her interests, work, and lectures are focused on interdisciplinary topics. She is actively involved in the American Chemical Society, at the local and national level.

xviii About the Authors

Ish Kumar is an associate professor of Chemistry at Fairleigh Dickinson University, Metropolitan Campus, in Teaneck, NJ (ikumar@fdu.edu). He earned his B.S., M.S., and Ph.D. degrees from Panjab University, in Chandigarh, India. In the USA, he completed postdoctoral work at SUNY, Upstate Medical University, under the supervision of B. N. Singh. Dr. Kumar continued as a research associate at Wesleyan University, working with Professor Rex Pratt. His research interests involve designing new molecular inhibitors using the tools of computational chemistry, enzyme structures, enzyme kinetics, and chemical synthesis. During his years as faculty, Dr. Kumar has supervised research of more than 20 students. He has published about 20 articles in peer-reviewed journals such as Journal of American Chemical Society, Organic Letters, Biochemistry, FEBS Journal, ACS Chemical Biology, etc. He is the inventor of an Indian patent and coauthored two lab manuals. Dr. Kumar is actively involved in the Hudson Bergen Chemical Society (ACS local chapter) and Sigma Xi communities.

Contents

1	Bionanomaterials for the Skin: More than Just Size	1
	References	4
2	Skin, Genetic Defects, and Aging	7
	2.1 The Skin	7
	2.2 Genetic Defects in the Skin Barrier	10
	2.3 Skin Aging	12
	References	13
3	Wound Healing and Skin Regeneration	17
	3.1 Wound Care	18
	3.2 Autograft Procedures	19
	3.3 Wound Dressings	20
	3.4 Delivery of Growth Factors to Wounds	21
	3.5 Stem Cells for Skin Regeneration	22
	References	23
4	Transdermal and Topical Delivery to the Skin	27
	4.1 Chemical Enhancers	30
	4.2 Prodrug Approach	30
	4.3 Formulation Approaches	31
	4.4 Biochemical Enhancers	32
	4.5 Enhancement Using Physical Methods	32
	References	33
5	Nanoparticles, Nanomaterials and Nanocarriers	37
	5.1 Nanoemulsions	40
	5.2 Liposomes and Related Nanostructures	40
	5.3 Solid Lipid Nanoparticles	42
	5.4 Dendrimers	43
	5.5 Still to Address	43
	References	45

6		vailability in Delivery to the Skinences	47 51
7	Prod	l-Based Nanoparticles in Cosmetic and Pharmaceutical ucts for the Skin	53 59
8		anomaterials with Antioxidant Effect for Skin Regeneration	61 66
9	9.1 9.2 9.3	Chitosan and the Skin. Chitosan. Properties and Applications Nanochitosan. Preparative Methods and Characterization Loading Active Agents into Nanochitosan	69 69 71 73 76
10	10.1 10.2 10.3	Types of Nanocelluloses. Preparation and Properties Nanocellulose-Based Wound Dressings Nanocellulose in Cosmetic Products for the Skin ences	79 79 82 85 86
11	Biona 11.1	Anomaterials from Plant Sources Phenolic Compounds from Plant Sources Delivered	91
	11.2 11.3	as Nanoparticles	91 94
	11.4	in Nanomedicines and Cosmetic Products for the Skin Nanoparticles Containing Hops, Aloe, Coconut Oil and Other Ingredients from Plants	95 97
	Refer	ences	99
12	12.1 12.2 12.3	materials, Scaffolds, and Skin Tissue Regeneration Biomaterials for Scaffolds and Skin Tissue The Scaffolds Skin Substitutes ences	103 103 107 109 114
13		de and Protein-Based Nanomaterials in Applications ne Skin	117
	13.1 13.2	Growth Factors Delivered in Nanoparticles	118
	13.3 13.4 13.5 13.6	and Stem Cells Delivered in Nanosized Carriers Bacteriocins Delivered in Nanostructures Silk Proteins in Nanostructures Zein-Based Nanostructures Melanin in Nanosize for the Skin	120 121 122 123 125
	13.7 Refer	siRNA Delivered to the Skinences	126 127

Contents

XV

14	Nanotoxicity and the Skin	131 134
15	Ethical and Social Issues Linked to Using Nanomaterials	
	in Skin Regeneration	135
	References	139
Ind	ex	141

Chapter 1 Bionanomaterials for the Skin: More than Just Size

Bionanomaterials are considered to be biological molecules (peptides, proteins/ antibodies/enzymes, nucleic acids, lipids, mono-/oligo-/polysaccharides) with nanosize dimensions. Some hybrid entities containing a biological part in conjunction with an inorganic one are included in this type of materials as well. When used in skin applications, bionanomaterials may be functionally active (therapeutic agents, catalysts, skin care agents) or passive (used as vehicles, coatings, scaffolds).

Materials for skin care and for the treatment of skin disease contain active principles which can act only after penetrating into the skin structure. How effective they are depends on their transport across the outermost layer of the skin, the *stratum corneum* (SC), a rate limiting barrier, to reach an intercellular lipid matrix. It has been known for some time that materials having at least one external dimension in the nanorange (usually defined, arbitrarily, as 1–100 nm) are the best to accomplish this. Some authors consider separately nanoparticles (<999 nm) and nanomaterials (<100 nm) [1]. Discussions about size-based definitions of nanoparticles/nanomaterials appear in many publications and they may vary as to specifications (see Chap. 5). The problem with defining nanomaterials based on size is compounded by the overlap with the earlier definition for materials previously called colloids. Naturally occurring tattoo pigments, known and used for a long time, are an example of bionanomaterials used for skin, while many others were discovered and/or prepared recently.

Interest for small size structures is not new. Physicist Richard Feynman predicted more than 50 years ago, in 1959, that a new science will emerge and develop around studying and handling entities with dimensions between 1 and 100 nm. Miniaturization to reach nanoscale became the main pursuit of the beginning of the twenty-first century and "nano" is broadly and not accurately used as a synonym for downsizing.

This size, larger than an atom but smaller than an average bacterium (Fig. 1.1, [2–4]), is optimal for interacting with living entities since life itself takes place at the nano level. Critical size of nanoparticles varies from one application to another. But size is not all there is to them. Nanoparticles have high surface to volume ratios. If the area per mass unit increases 10^2 times when the size of the particles decreases

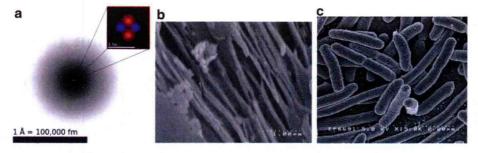
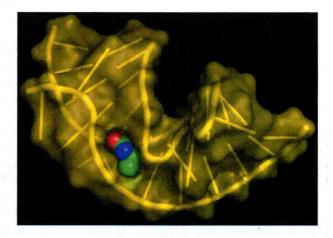


Fig. 1.1 (a) An atom [2]; (b) scanning electron micrograph of a chitosan-CuSO₄ nanoparticle [3]; (c) scanning electron micrograph of *Escherichia coli* bacilli [4]

Fig. 1.2 Aptamer biotin [6]



from 1 μ m to 10 nm, the number of particles per mass unit increases 10^6 times [5]. If the surface is functionalized with active groups, the reactivity per particle increases when the size decreases. Consequently, an increase in interactions with cells and tissue is expected and has been, indeed, observed.

Shape is another determinant of behavior at the nanosize level. Rutile, a crystalline form of titanium dioxide, is less reactive than anatase, another crystalline form of the same mineral while RNA aptamers (Fig. 1.2, [6]) have different binding affinities for one ligand, depending on their 3-D conformation [7]. Adding to these characteristics the fact that nanoparticles contain a larger number of particles per unit of mass and that they present quantum confinement effects, they behave like materials with different properties from those in the bulk state. Examples thereof may be: melting points [8], solubilities [5], optical [9] and magnetic [10] properties.

Due to their properties nanoparticulate materials are valuable for treating skin conditions. Their selectivity for neoplastic cells, for instance, enables them to deliver drugs preferentially to tumors, displaying enhanced translocation and targeting effect compared to traditional medications. This makes them more effective and

¹Observed for particles with sizes/diameters too small compared to the wavelength of the electron.

less likely to target healthy cells. Other benefits of using nanosized materials are: increased bioavailability of otherwise poorly soluble medicines, tunable properties, and possibility to use them in combination therapies [11]. Increased solubility translates into decreased doses, hence fewer/reduced side effects. The pharmacokinetic profiles of nanomaterials are different from those of the bulk materials, immunogenicity is reduced, while bioavailability is increased. The fact that their properties can be tailored to a certain application allows for combination therapies (delivery of multiple actives by multifunctional nanoparticles) and controlled release. At the present, due to advances in engineering, it is possible to combine the advantages of reduced size with imaging properties (which allow monitoring) and joint delivery (in conjunction with heat, light, sound) and thereby increase the beneficial effects of medicines delivered at the nanosize.

Due to their large surface and high surface energy nanomaterials have strong interactions with their environment which modulate their behavior (matrix effect). The fact that their size and chemical properties can be tailored allows scientists to estimate quantitatively the relationship between the resulting nanostructure and its activity. As a result, the interactions of nanoparticles with cells, subcellular units, and different macromolecules can be predicted. This is important especially when, trying to emulate nature, biomolecules are considered for synthetic routes leading to bionanomaterials. In the same direction, to emulate nature, bionanoparticles were used recently to create biomimetic nanoenvironments (similar to the extracellular matrix, ECM) for skin regeneration [12].

In skin care bionanomaterials can have different roles: active principles (protection, antimicrobial, moisturizing), nanocarriers (vehicles), ingredients in formulations (e.g. to modify rheological or optical properties). Vitamins, antioxidants, UV filters, anti-acne or anti-wrinkle agents are delivered to the skin in nanosized form. After reaching the targeted skin layer more efficiently than the bulk form of the same component, the nanoparticulate materials are processed and the active components work through the same pathways as in the bulk form. Their benefits for skin care are enhanced permeability, increased stability, controlled delivery profiles, improved efficacy, and, in hybrid materials, possible synergistic effects.

As a consequence of the increased solubility and deeper penetration of nanomaterials, the problem of their potential toxicity has to be seriously considered and evaluated. Skin is the first contact they make when used as medicines or in skin care, making their risk a very real one. Dermatitis, irritation, allergic reactions or tissue necrosis are possible effects. Also, due to their high surface to volume ratio, which is considered one of their main advantages (since it affords many surface active groups), the availability of active surface groups to interact with tissues and cells increases. Since toxicity is proportional to uptake, when nanoparticles accumulate in cells they may become toxic and trigger undesirable reactions. An example thereof is the case of species generating reactive oxygen species (ROS) which may damage DNA and other biomolecules. Some cells, like keratinocytes, can phagocytize small molecules but none can do the same to nanosized species. It is not always easy to assess the toxicity of nanoparticles due to the limitations of detection methods in vitro, in vivo, or at the cell level.