南 京 大 学 博 \pm 文

丛



A Cultural Critique of Melville's Polynesian Trilogy

Yang Jincai Nanjing University Press



4 /2



南京大学博士文丛

Herman Melville and Imperialism A Cultural Critique of Melville's Polynesian Trilogy

Yang Jincai

Nanjing University Press

图书在版编目 (CIP) 数据

赫尔曼·麦尔维尔与帝国主义 = Herman Melville and Imperialism: A Cultural Critique of Melville's Polynesian Trilogy / 杨金才主编. 一南京:南京大学出版社,2001.5 (南京大学博士文丛 / 蒋树声主编) ISBN 7-305-03716-8

I. 赫··· Ⅱ. 杨··· Ⅲ. 近代文学 - 文学评论 - 美国 Ⅳ. I712.064

中国版本图书馆 CIP 数据核字 (2001) 第 030549 号

丛 书 名 南京大学博士文从

书 名 赫尔曼·麦尔维尔与帝国主义
Herman Melville and Imperialism

著 者 杨金才

出版发行 南京大学出版社

社 址 南京市汉口路 22 号 邮编 210093

电 话 025-3596923 025-3592317 传真 025-3303347

M 址 http://www.njupress.com

电子信箱 nupress1@public1.ptt.js.cn

经 销 全国新华书店

印 刷 南京人民印刷厂

开 本 850×1168 1/32 印张 7.25 字数 192 千

版 次 2001年6月第1版 2001年6月第1次印刷

印 数 1-2000

ISBN 7-305-03716-8/D·437

定 价 13.00元

^{*}版权所有,侵权必究

^{*}凡购买南大版图书,如有印装质量问题,请在所购 图书销售部门联系调换

南京大学博士文丛

编辑委员会

主 任 蒋树声

副主任 洪银兴 陈 骏 张异宾(常务)

委 员(以姓氏笔画为序)

叶子铭 孙义燧 吕 建

任天石 刘荣川 许敖敖

陈 骏 宋林飞 张异宾

吴培亨 柳士镇 姚天扬

洪银兴 钱乘旦 龚昌德

童 星 蒋树声 程崇庆

谭仁祥

南京大学博士文丛

总 序

潜粮兴

21世纪是一个充满机遇与挑战的时代,随着科学技术的突飞猛进,知识经济初见端倪,综合国力的竞争日趋激烈。从根本上说,21世纪的竞争最重要的是人才的竞争。高等学校承担着培养高层次人才的使命,博士是高层次人才的代表。如何培养大批具有博士学位的高层次人才,使他们在下世纪成为知识创新的主力军,无论是对高校本身还是对国家来说,这都是一件至关重要的事情。

为了展示南京大学青年教师的学术风采,加强学科与学术队伍的建设,促进新生学术力量的成长,经过长期酝酿与充分准备,我校编辑出版了《南京大学博士文丛》。此次编进《博士文丛》中的论著,大多是获得博士学位并在我校任教的青年教师的博士论文,是在广泛动员、严格把关的基础上,根据质量第一、公平公开、规范

• 1 •

《南京大学博士文丛》总序

运作的原则认真遴选出来的,同时坚持基础研究与应用研究并举,特别注重对我国现代化建设和改革开放,对南大学科建设和发展具有重要理论价值与实践意义的最新研究成果。可以说,这套《博士文丛》虽然也可能有这样或那样的不足,但基本上反映了南大青年学者丰富而活跃的学术思想,代表了南大青年学者的学术水平。此次我校组织编辑出版《博士文丛》,就是从人才培养领域采取的并着眼于下世纪发展的需要,在学术研究与人才培养领域采取的一项重要举措。相信《博士文丛》的出版对于扩大我校的学术影响、培养青年学术骨干、推动学科建设,一定能起到重要的作用。

长期以来,南京大学在人才培养、科学研究、社会服务、国际交 流等各个方面都致力于追求卓越,为国家和社会培养了大批杰出 人才。一届又一届的学生在这一人才摇篮里茁壮成长.一代又一 代的学者在这块科学沃土上勤奋耕耘。近百年的办学实践塑造了 南大师生热爱祖国、振兴中华的爱国精神,追求真理、实事求是的 科学精神,博采众长、汇融百家的开放精神和兢兢业业、无私无畏 的奉献精神,培育了南京大学严谨、求实、勤奋、创新的学风。近百 年的历史,尽管世事沧桑,但这样的精神和学风一直在南京大学传 承延续,并不断发扬光大,历久弥新。正是这种优良的传统和学 风,使南京大学深深植根于传统与现代的沃土中,不断从本民族和 世界文化的宝库中吸取新的营养,形成了南大特有的深厚的文化 底蕴与学术氛围,使南大在近百年的进程中始终保持着永不衰竭 的青春活力,使学校的各项事业不断发展壮大,形成了自身的发展 特色,取得了令人瞩目的成就。如今,南京大学已成为学科门类齐 全、师资力量强大、科研实力雄厚并具有一定国际影响的重点综合 性大学。

一流的大学离不开一流的学术大师,一流的学术大师又离不 开一流的学术环境。在迈向建设世界一流大学的进程中,南京大 学将一如既往,继续改善条件,为广大教师创造更好的学术环境。

《南京大学博士文丛》总序

同时我也衷心希望全校教师尤其是广大中青年教师,发扬我校优良传统与学风,在南大优良的学术环境里锐意进取,不断创新,为进一步提高我校的学术水平,繁荣我国科学文化事业作出更大贡献!

1999年5月20日

. 3 .

Abbreviations

When parenthetically citing Herman Melville's works I will use the following abbreviations:

| \boldsymbol{C} | Correspondence |
|------------------|--------------------------------|
| L | The Letters of Herman Melville |
| M | Mardi |
| MD | Moby- $Dick$ |
| O | Omoo |
| R | Redburn |
| T | Typee |
| WJ | White-Jacket |
| | |

The editions used are those that will appear in the Works Cited. Citations of secondary sources in the text use the page system, which also is keyed to the Works Cited.

· | ·

Acknowledgments

Acknowledgments never shield an author's fallacies, faults, and flaws. Thus the ideas, observations, conclusions, and scholarship in this study are my responsibility. But many along the way have helped me, and their faith and generosity deserve recognition.

I should like to express here my deepest gratitude to all those whose kindness and advice have made this work possible. I am especially indebted to my advisor Professor Liu Haiping who advised me on this project from its earliest stages. It is under his direction that this thesis was originally prepared. His counsel and personal interest have continued during the various stages through which the present dissertation has since gone. Professor Liu first stimulated my interest in Herman Melville and has at all times been a source of encouragement. I am also grateful to Professor Wang Shouren who from the very beginning has been friendly and gracious in answering my questions as he has been for so many other inquiries. Over the years he has offered expert guidance and much-needed encouragement. For the last four years these two special people have been wonderful mentors to me in my pursuit of Melville.

Throughout my studies, I have also had the good fortune to receive various advice and valuable guidance from other members of the supervisory committee, Professor Zhang Ziqing, Professor Zhang Chong, and Dr. Zhu Gang, whose passion for literature and teaching fostered my own. To my former teachers in the English Department at Nanjing University, Qian Jiaoru, Wen Qiufang, Wu Keming, Wu Yingen, Jin Zhuyun, Zhang Boran, Ding Yanren, and Luo Changyan, I owe special thanks for knowledge shared and for support over the years.

My heartfelt thanks go also to Fulbright Professor Fair C. Meeks for all her help and advice. Harvard Professors Lawrence Buell, Sacvan Bercovitch, Robert Kiely, and Werner Sollors, fellow students, both at Nanjing University and Harvard University, have always been ready with helpful suggestions. My own debt to them is great and gratefully acknowledged here. For numerous courtesies during the process of my investigation I wish to thank particularly Professor Lawrence Buell who not only helped me trace Melville scholarship but read the manuscript with great care and provided many excellent suggestions as well. I owe a special acknowledgment to Harvard – Yenching Institute for a Fellowship grant that assisted me to complete the research. Never would I have studied a year and a half at Harvard University without its financial support. It would never be adequate to acknowledge the concern and immediate help of its staff.

It is a joy to pay tribute, inadequate as it may be, to the institutions whose resources I have used and to the librarians who are genuine and generous professionals. The Library of Nanjing University, the Faculty Library of the School of Foreign Studies,

Herman Melville and Imperialism

and Harvard University libraries are just extraordinary libraries with extraordinary staffs. I am also indebted to the Melville Collection at the Houghton Library of Harvard University, to the Massachusetts Historical Society, and to the Boston Public Library. The ever-patient Interlibrary Loan staff of Harvard University's Widener Library deserve special mention for their assistance.

I would also like to acknowledge my gratitude to Professor Sheng Ning from the Chinese Academy of Social Sciences for the benefit of his counsel, conversation as well as his insightful reading of the manuscript.

I also take delight in acknowledging the friendly concern and excellent suggestions of Professor Dennis Berthold (at Texas A & M University), Professor S. E. Marovitz (at Kent State University), Professor John Bryant (at Hofstra University) and various other members of the Melville Society. I am especially grateful to Professor Elizabeth Schultz (at the University of Kansas) and Associate Professor Kathleen E. Kier (at City University of New York) for their insightful reading of part of this work. My thanks as well to the indefatigable Ms Jiang Guiqin at Nanjing University Press for her expert work in editing.

Finally, I owe a special debt of thanks to my wife, Aihua who has been a constant inspiration and support during the various stages of my work on this study. I deeply appreciate her encouragement and understanding, as I do the innate curiosity and energy of my son, Ruxuan. I hope some of the open-mindedness and generosity of these two special people carries over into this project.

· 17 ·

Abstract

Herman Melville (1819—1891) is generally known as an author of the 1840s and 1850s, but his works are certainly of modern significance. This is especially true of his early South Sea narratives. Those with the time to give them attention for more than their adventure yarns or their biographical information will find the books full of humor, beauty, and rhetorical adventure. Whatever the "facts" of Melville's observation as a rover in the South Seas, he experienced a society radically different from his own.

Melville's vision of difference between the Pacific and the Atlantic worlds is entrenched in political, racial, cultural, and ethnographic considerations despite his aesthetic pursuits. Melville's construction of the colonial South Sea world is by no means a mere romance that has little to do with the political or economic conditions of the society in which his texts were produced. Behind their ahistorical appearance, Melville's South Sea tales were so acutely addressed to the political life of the nation that a failure to understand their politics becomes a failure to understand their cultural significance. This dissertation is meant to be a cultural critique of Melville's Polynesian Trilogy: Typee, Omoo, and Mardi.

Herman Melville and Imperialism

This study positions Melville in the complex process of both critiquing mid-nineteenth century ideologies of racial and colonial thinking and retaining a dubious relation with colonial ideology, which constitutes the particular set of historical realities during the time of colonization in the South Seas. Melville's work, built on his own sea experience, is embedded in history, which mirrors the complex social formations of Antebellum America. In this regard, Melville is no less than a historian. His reconstruction of the Euro-American South Sea colonial experience, like every cultural practice, is an ideological art that is socially produced.

Melville was cold about American meddling in the South Seas. He disliked French invasion, and thought missionary expansion horrible. His Polynesian Trilogy, with its racial stereotypes, ingratiating generalities on alien customs and the native mind, and its tendency to attach moral valuations to cultural particulars, does have affinities with what he despised. In his works, the native Polynesian islanders are the consummate figures of the Other.

An ideological analysis is used as a method of investigation. The methodology reflects a recent trend in American literary scholarship toward cultural studies from which the present dissertation draws on such critical approaches as the feminist, the Marxist, and the New Historicist. In accordance with these approaches this study chooses to maintain a certain methodological openness out of the realization that one's work can benefit from the application of a variety of conceptional and analytical methods.

Research convinces the present author that in Melville one encounters both a harsh critic of the dominant American ideology and an ardent promoter of American democracy . To investigate this

subject is to address a central issue of modern literary theory: the bearing that exterior circumstances and the social world have upon the literary text. This dissertation attempts to bring together literary and social analysis by showing that the special richness of major literary works is, to a great degree, historically determined. It studies Melville's trilogy as products of a sudden fluidity of textual modes and strategies he perceived and recorded. It is also argued that the trilogy enacts the narrative of the new empires claimed by the United States in the West and the Pacific. Melville's reliance upon the hierarchies of colonial discourse and his narrative strategies of managing the natives display the persistence of empire building in the literary imagination of nineteenth-century America.

CONTENTS

《南京大学博士文丛》总序(洪银兴)

| Abbreviations ····· | •••• | (| į |) |
|---|-----------|----|-----|----|
| Acknowledgments | | (| ii |) |
| Abstract ····· | •••• | (| ٧ |) |
| Introduction | •••• | (| 1 |) |
| Chapter I. Typee: A Cultural Representation of | f | | | |
| Euro-American Imperialism ······· | •••• | (| 47 |) |
| Chapter ${ m I\hspace{1em} I}$. ${\it Omoo}$: A Narration of Transgression | n ai | nd | | |
| the Ideology of "Civilization" | •••• | (| 89 |) |
| Chapter II . <i>Mardi</i> : A Narrative of Power and t | the | | | |
| Reemergence of Colonial Expansion | | | | |
| | • • • • • | (1 | 130 |)) |
| Conclusion | •••• | (1 | 175 | 5) |
| Works Cited | ••••• | (1 | 190 |)) |
| ndex ····· | | (2 | 208 | ₹) |

Introduction

Two indispensable aspects may have inspired this study. The first is a growing recognition that ideological readings have projected both new interpretations and new methods in literary research. The second is an increasing wealth of scholarship on Herman Melville (1819—1891) which has developed alongside these new interpretations and methods. Obviously, the twentieth century has witnessed a rapid development of literary theories, each of which has brought about a vast collection of literary studies. The present-day comprehensive studies of Melville have been largely due to the rise of various schools of literary and critical theory. My exploration of Melville's fiction has benefited a great deal from these competing views.

Around the late 1970s there began to appear a gradual and yet decisive swing away from a concern with judgment toward a concern with meaning and interpretation. It is this shift of concerns that provides an institutional identity for the salient diversity of the forms of contemporary critical theory. As a result of this diversity, the

Herman Melville and Imperialism

ideological approach has acquired its footing. It represents a special coincidence between a notion that "social context" was both illuminating and problematical in the study of literature and the development of an analytical method that rendered ideology as an interpretive construction in many ways analogous to literature itself (Bercovitch and Jehlen 5).

Though it is risky to argue that there is an analogy between literature and ideology, the two concepts are in fact intimately related. Literature, in Mary Jehlen's words, is "a vehicle for abiding truths," and ideology (of literary works), "a system of interested deceit" (5). In this kind of criticism, the ideological aspects of a literary work will be felt as at best irrelevant to, and at worst detracting from, its aesthetic values. In terms of such criticism, ideology is an unfortunate irruption of opinions and doctrine within what should be a fully "creative" or "imaginative" work. However, such is not the case. What is of ideology is usually a systematic and comprehensive set of ideas relating to and explaining social and political life. Ideologies explain to people why things happen and in so doing, try to justify the status quo. As any conception of ideology implies that class and convention combine to constitute individual behavior, ideological analysis unavoidably involves a study of the limits of self-definition. Our interest in ideology is, then, connected to our identification with a group of thinkers and theorists who believe that ideological considerations are of great importance, and that revealing the ideological aspects of cultural practices and the ideological messages found in some traditional texts is a means of reevaluation. Nearly all writers involve themselves in an ideological choice when they are making a generic choice (Cohen 214). The work of Hayden White