CAMBRIDGE CONCISE HISTORIES · 剑桥国别简史从书之四

A Concise History of GERMANY 德国简史

Mary Fulbrook

SECOND EDITION 第二版

0 00 00

了 <u>上海外语教育出版社</u> SHANGHAI FOREIGN LANGUAGE EDUCATION PRESE

TATACA

0

000

Cambridge Concise Histories · 剑桥国别简史丛书之四

A Concise History of Germany

德国简史

Mary Fulbrook



A Concise History of Germany

SECOND EDITION

MARY FULBROOK



I

图书在版编目(CIP)数据

德国简史 / (英) 富尔布鲁克(Fulbrook, M.) 著.一上海: 上海外语教育出版社, 2006

(剑桥国别简史丛书)

ISBN 7-81095-869-0

I.德… Ⅱ.富… Ⅲ.德国-历史-英文 Ⅳ.K516

中国版本图书馆CIP数据核字(2005)第125396号

图字: 09-2004-725号

出版发行:上海外语教育出版社

(上海外国语大学内) 邮编:200083

电话: 021-65425300(总机)

电子邮箱: bookinfo@sflep.com.cn

- 网 址: http://www.sflep.com.cn http://www.sflep.com
- 责任编辑:陈懋

ED	刷:	上海市崇明县裕安印刷厂
经	销:	新华书店上海发行所
开	本:	850×1168 1/32 印张 9.25 字数 308千字
版	次:	2006年9月第1版 2006年9月第1次印刷
ed	数:	3 500 册
书	号:	ISBN 7-81095-869-0 / K • 029

6

定价: 20.50元

本版图书如有印装质量问题,可向本社调换

PUBLISHED BY THE PRESS SYNDICATE OF THE UNIVERSITY OF CAMBRIDGE The Pitt Building, Trumpington Street, Cambridge, United Kingdom

CAMBRIDGE UNIVERSITY PRESS The Edinburgh Building, Cambridge CB2 2RU, UK 40 West 20th Street, New York, NY 10011-4211, USA 477 Williamstown Road, Port Melbourne, VIC 3207, Australia Ruiz de Alarcón 13, 28014 Madrid, Spain Dock House, The Waterfront, Cape Town 8001, South Africa

http://www.cambridge.org

© Cambridge University Press 1991

This book is in copyright. Subject to statutory exception and to the provisions of relevant collective licensing agreements, no reproduction of any part may take place without the written permission of Cambridge University Press.

> First published 1991 Reprinted eight times Second edition 2004

Originally published by Cambridge University Press in 2004 This reprint edition is published with the permission of the Syndicate of the Press of the University of Cambridge, Cambridge, England. This edition is licensed for distribution and sale in the People's Republic of China only excluding Taiwan, Hong Kong and Macao, and may not be distributed and sold elsewhere.

本书由剑桥大学出版社授权上海外语教育出版社出版。 仅供在中华人民共和国境内销售。

剑桥国别简史丛书书目

1. A Concise History of Australia (Second Edition)	Stuart Macintyre
《澳大利亚简史》(第二版)	
2. A Concise History of Britain, 1707–1975	W. A. Speck
《英国简史》	
3. A Concise History of France (Second Edition)	Roger Price
《法国简史》(第二版)	
4. A Concise History of Germany (Second Edition)	Mary Fulbrook
《德国简史》(第二版)	
5. A Concise History of Greece (Second Edition)	Richard Clogg
《希腊简史》(第二版)	
6. <i>A Concise History of India</i> Barbara D. Metcalf	& Thomas R. Metcalf
《印度简史》	
7. A Concise History of Italy (Updated Edition)	Christopher Duggan
《意大利简史》(最新版)	
8. A Concise History of Mexico	Brian Hamnett
《墨西哥简史》	
9. A Concise History of Portugal (Second Edition)	David Birmingham
《葡萄牙简史》(第二版)	
10. A Concise History of South Africa	Robert Ross
《南非简史》	

出版说明

跨入21世纪后,全球一体化的发展趋势使世界各国的联系 愈来愈密切,不同国家、不同民族之间的交往比以往任何时候 都更加频繁和便捷。人们除了了解自己周围或自己国家的事情 外,越来越多地把目光投向整个世界,关注其他国家和民族的 发展与人们的生活。要了解一个国家、一个民族的现状,我们 需要了解它的历史和发展沿革。由此,上海外语教育出版社 (简称"外教社")从英国剑桥大学出版社引进了这套"剑桥国 别简史丛书"(*Cambridge Concise Histories*),奉献给我国广大 读者,尤其是我国英语专业本科生、研究生以及具有一定英语 基础并对世界历史感兴趣的读者。

"剑桥国别简史丛书"是剑桥大学出版社自上世纪八九十 年代开始陆续推出的一套插图版国别简史丛书。丛书为一个开 放系列,目前已经出版的品种涉及16个国家。作为第一批,我 们从中挑选了英国、法国、德国、澳大利亚、希腊、印度、意大 利、墨西哥、葡萄牙和南非等10个国家的简史图书,其中既有有 关英语国家的,也有非英语国家的。

由于作者都是来自英国、美国、澳大利亚等国的历史学教 授和知名专家,所以该丛书具有很高的学术价值和较强的权威 性,作者又能采用浅显通俗的语言描述这些国家的政治、经济、 文化、社会和历史,丛书信息量大、可读性强。该丛书在英国 出版以后,深受读者欢迎,有的品种已重印多达10余次。

我们衷心希望该丛书的引进对我国读者学习、研究历史, 了解世界有所帮助和参考作用,对掌握更多的历史文化知识有 所裨益。

上海外语教育出版社

Î

PREFACE

A book such as this is infinitely easier to criticise than to write. The attempt to compress over a thousand years of highly complex history into a brief volume will inevitably provoke squeals of protest from countless specialists, who see their own particular patches distorted, constrained, misrepresented, even ignored. Yet a brief history of such a large topic can make no attempt at comprehensiveness. At best it can provide an intelligent guide to the broad sweep of developments.

These limitations are indeed partly inherent in the nature of historical writing, which cannot be a simple matter of recounting an agreed narrative, but rather must be a process of imposing an order on the mass of material - and on the interpretations of that material which comes to us from the past. But it is particularly the case for a concise history of Germany that some brutal decisions about selection and omission have had to be made. While readers will all have their own views on the matter, the author has had to make particular choices. In terms of space devoted to different periods, the book operates on the landscape principle: things nearer to the observer loom larger, are perceived in closer detail, than the mistier general views of the distant horizons. Thus chapters generally deal with progressively shorter periods of time as the present is neared. Within the general landscape surveyed some features appear more important than others. The problem of 'teleology' is well known to historians: there is a tendency to notice particularly features pointing towards the present, explaining developments partly in terms of

Preface

their consequences (whether or not participants were aware of their 'contributions' to historical 'progress'), and to ignore turnings that led nowhere. While there has been a healthy reaction against this in recent historical writing, it is still the case that certain developments appear more important from the point of view of current concerns than do others. And all authors inevitably have their own particular interests, enthusiasms and blind spots, however hard they try to be balanced and objective in coverage. There is also the particular problem, in relation to the history of 'Germany', of the limits of what is held to be its proper subject matter. In this volume the history of Austria has had to be considered only insofar as it was an integral part of 'Germany' at different times, or interrelated with the history of modern Germany since 1871. Austria, while perhaps the most obvious, is not the only area to suffer in this way: the boundaries of 'Germany' have been extremely changeable over the centuries.

A wide-ranging work such as this must rely heavily on researches undertaken by others, and represent a synthesis of existing knowledge and often quite conflicting views, while yet developing a coherent overall account. The author is painfully aware of gaps and inadequacies in the present analysis, but hopes at least that in presenting a broad framework which spans the centuries two useful purposes will have been accomplished. This book may present a basis and stimulus for subsequent more detailed exploration of particular aspects; it may also serve to locate existing knowledge and interests of readers within a wider interpretive framework. The book is intended as a form of large-scale map which can be used as a context for finer investigation of details along the way.

I am tremendously grateful to my colleagues and friends who have read and made valuable comments on parts of the manuscript, saving me from factual errors and inappropriate interpretations. I would like in particular to thank the following for their painstaking efforts to improve the text: David Blackbourn; Ian Kershaw; Timothy McFarland; Rudolf Muhs; Hamish Scott; Bob Scribner; Jill Stephenson; Martin Swales. Obviously, I alone am responsible for the inadequacies which remain. The work benefited from a small grant from the UCL Dean's Fund enabling me to spend some time combing libraries, museums and archives for suitable illustrative

Preface

material. The choice of appropriate illustrations was almost as difficult as the construction of the text, and raised as many problems of selection, interpretation and omission. Discerning readers will notice that illustrations of personalities and familiar sights have generally been demoted in favour of representation of broader themes and more remote periods or places. Finally, I would also like to thank my husband and my three children for being willing to spend innumerable summers wandering around central Europe in search of aspects of the German past.

PREFACE TO THE UPDATED EDITION (1992)

First of all, I would like to thank Dr Werner Schochow of Berlin for pointing out to me some errors of detail which crept unnoticed into the first edition, and for suggesting certain amendments to the index. I am extremely grateful to him for his close and careful reading of the text, and the trouble he took in providing detailed comments and suggestions.

I have also taken the opportunity to put discussion of West Germany into the past tense (East Germany having already suffered that fate at the time of the first edition). While much of what was 'West Germany' has of course passed over into the enlarged Federal Republic after unification in 1990, nevertheless united Germany is a new entity, and it would be prejudging its development in a quite a-historical fashion to suggest that what was true of the pre-1990 Federal Republic will continue to obtain in the new, rather lop-sided united Federal Republic, which faces both new domestic challenges and a changed European context.

> Mary Fulbrook London, October 1991

PREFACE TO THE SECOND EDITION (2004)

For the Second Edition, I have made a number of minor changes throughout the text, to reflect the changing viewpoints of the present, and the implications of recent scholarship. A new chapter

٦

Preface

has been added on Germany since 1990. The bibliography has been drastically pruned and substantially updated. But I have chosen not to tinker dramatically with the main body of the book, which has now proved its usefulness as an accessible overview for a wide range of readers across the English-speaking world and in a number of foreign translations.

> Mary Fulbrook London, March 2003

xviii

CONTENTS

List of illustrations		page ix
Preface		xv
I	INTRODUCTION: THE GERMAN LANDS AND PEOPLE	I
2	MEDIAEVAL GERMANY	9
	The beginnings of German history	9
	Germany in the early and high middle ages	13
	Germany in the later middle ages	22
3	THE AGE OF CONFESSIONALISM, 1500-1648	33
	The German Reformation: the early years	34
	The German Peasants' War	40
	The development of the German Reformation	43
	Germany in the age of Counter-Reformation	50
	The Thirty Years War	53
	The Peace of Westphalia and the effects of the War	60
4	THE AGE OF ABSOLUTISM, 1648-1815	69
	Absolutism and the rise of Prussia	72
	Religion, culture and Enlightenment	84
	The impact of the French Revolution	94
5	THE AGE OF INDUSTRIALISATION, 1815-1918	104
	Restoration Germany, 1815–48	104
	The revolutions of 1848	116
	The unification of Germany	122
	Germany under Bismarck	131
	Society and politics in Wilhelmine Germany	137

ł

	Culture in Imperial Germany	144
	Foreign policy and the First World War	148
6	DEMOCRACY AND DICTATORSHIP, 1918-45	155
	The Weimar Republic: origins and early years	156
	The period of apparent stabilisation	167
	The collapse of Weimar democracy	172
	The consolidation of Hitler's power	179
	Foreign policy and war	187
	Holocaust, resistance and defeat	197
7	THE TWO GERMANIES, 1945-90	205
	The creation of the two Germanies	205
	From establishment to consolidation	212
	Politics in the two Germanies, 1949–89	220
	Economy and society in West Germany	230
	Economy and society in the GDR, 1949-89	235
	The revolution of 1989 and the unification of Germany	243
8	THE FEDERAL REPUBLIC OF GERMANY SINCE 1990	250
9	PATTERNS AND PROBLEMS OF GERMAN HISTORY	258
Sı	ggestions for further reading	262
Index		272

ILLUSTRATIONS

PLATES

I	Kloster Grüssau in Silesia. Source: <i>Die schöne Heimat</i> . <i>Bilder aus Deutschland</i> (Leipzig: Verlag Karl Robert Langewiesche, 1922)	page	3
2	A crucifix near Jachenau, in southern Bavaria. Photo: Harriett C. Wilson		5
3	The view toward Alexanderplatz in East Berlin. Photo by the author		7
4	Illustrations of Minnesinger from the fourteenth-century Mannesse Manuscript. Source: Die Minnesinger in Bildern der Mannesischen Handschrift (Leipzig: Insel-Verlag, 1929)		21
5	The government of Augsburg is handed over to the guilds, 1368. Sketch from Das Behaim Ehrenbuch der bürgerlichen und zunftlichen Regierung der hl. Reichsstadt Augsburg (1545), reproduced in Ullstein's Weltgeschichte (Berlin: Ullstein, 1907-9)		23
6	The Marienburg. Source: Die schöne Heimat		25
7	A page from Eike von Repgow, Sachsenspiegel, including details of the granting of a castle as a fief. Herzog-August-Bibliothek, Wolfenbüttel		30
8	'Passional Christi und Anti-Christi', with woodcuts by Lukas Cranach the Elder. The Pope is identified with Anti-Christ. Reproduced from Ullstein's <i>Weltgeschichte</i>		41

í

9	'The Jewish Snipper and Money-Changer'. A broadsheet criticising the supposed avarice of the Jew at a time of rampant inflation (n.p., 1622). Flugschriftensammlung, Herzog-August-Bibliothek, Wolfenbüttel	52
цо	A very full depiction of means of exorcism and methods of dealing with a witch and her two helpers (Augsburg: Elias Wellhofer, 1654). Flugschriftensammlung, Herzog-August-Bibliothek, Wolfenbüttel	54
11	The Battle of the White Mountain, 1620 (n.p.: 1620). Flugschriftensammlung, Herzog-August-Bibliothek, Wolfenbüttel	57
12	War depicted as a beast ravaging Germany (n.p.: 1630/1648). Flugschriftensammlung, Herzog-August-Bibliothek, Wolfenbüttel	63
13	A broadsheet illustrating the current craze for French fashions in the 'A-la-Mode-Kampf' of 1630 (Nuremberg? c. 1630). Flugschriftensammlung, Herzog-August-Bibliothek, wolfenbüttel	66
14	A depiction of 'travellers', people with no fixed livelihood in the disrupted society of mid-seventeenth-century Europe. Source: Ullstein's <i>Weltgeschichte</i>	67
15	The Diet of the Holy Roman Empire at Regensburg, 1653. Herzog-August-Bibliothek, Wolfenbüttel	70
16	The Würzburg Residence, designed by Balthasar Neumann, and mainly built in the period 1720–44. Source: Johannes Arndt, <i>Deutsche Kunst der Barockzeit</i> (Leipzig: Bibliographisches Institut, 1941)	72
17	Recruitment of soldiers in the early eighteenth century. From H. J. von Fleming, <i>Der Vollkommene Teutsche</i> <i>Soldat</i> (Leipzig, 1726), reproduced in Ullstein's <i>Weltgeschichte</i>	78
18	Nuremberg in 1774. Germanisches Nationalmuseum Nuremberg, Kupferstichkabinett	82
19	The altar in the monastery of Benediktbeuern, southern Bavaria. Photo: Harriett C. Wilson	85

ł

	List of illustrations	xi
20	The battle of Jena, 1806. Source: Ullstein's Weltgeschichte	98
21	Prince Metternich in his study. Source: Karl Gutskow, Unter dem schwarzen Bären (E. Berlin: Verlag der Nation, 1971)	106
22	The ceremonial opening of Munich University, 1826. Bayerisches Hauptstaatsarchiv, Munich	108
23	A variety of eighteenth- and early nineteenth-century occupations. Germanisches Nationalmuseum Nuremberg, Kupferstichkabinett	112
24	Barricades in Berlin, 1848. Source: Gutskow, U <i>nter dem schwarzen Bären</i>	118
25	Borsig's locomotive factory in Moabit, Berlin, 1855. Landesarchiv Berlin, Landesbildstelle	123
26	A selection of contemporary cartoons about Bismarck. Source: Ullstein's <i>Weltgeschichte</i>	136
27	A cartoon of working-class life by the Berlin artist Heinrich Zille	139
28	The latest in ladies' bicycling fashion, as illustrated in the popular middle-class magazine, <i>Die Gartenlaube</i> . Source: Karin Helm (ed.), <i>Rosinen aus der Gartenlaube</i> (Gütersloh: Signum Verlag, n.d.)	147
29	Barricades in Berlin, March 1919. Landesarchiv Berlin, Landesbildstelle	161
30	The Free Corps Werdenfels, in Munich to suppress revolutionary uprisings. Bayerisches Hauptstaatsarchiv, Munich	161
31	The Kapp Putsch. Soldiers march into Berlin, March 1920. Landesarchiv Berlin, Landesbildstelle	163
32	A peasant wedding in Bad Tölz, Bavaria. Source: Deutschland Bild-Heft Nr. 117: 'Bad Tölz und das Land im Isar-Winkel' (Berlin-Tempelhof: Universum-Verlagsanstalt, c. 1933)	169
33	A 1932 election poster for Hindenburg. Bayerisches Hauptstaatsarchiv, Munich	175

List of illustrations

34	The Berlin rent strike of 1932. Landesarchiv Berlin, Landesbildstelle	177
35	Propaganda for Hitler celebrating the 'Day of Potsdam'. Bayerisches Hauptstaatsarchiv, Munich	180
36	A delegation of the Nazi girls' organisation honours the Nazi heroes who fell in the 1923 putsch. Bayerisches Hauptstaatsarchiv, Munich	184
37	The Austrian town of Lienz changes the name of one of its major squares to 'Adolf-Hitler-Platz'. Source: contemporary postcard in the possession of the author	191
38	The Jewish ghetto in Radom, Poland. Bayerisches Haupstaatsarchiv, Munich	199
39	Auschwitz-Birkenau casts a shadow over German history which cannot be erased. Photo by the author, 1988	203
40	The Berlin Wall starts to go up, August 1961. Landesarchiv Berlin, Landesbildstelle	216
41	People hack out mementoes from the now defunct Berlin Wall. Photo: Cornelie Usborne	246

MAPS

I	The division of the Frankish Kingdom at the Treaty of	
	Verdun, 843	12
2	The German Empire, c. 1024–1125	17
3	Europe at the time of the Reformation	35
4	Germany after the Peace of Westphalia, 1648	61
5	The growth of Brandenburg-Prussia to 1786	80
6	The German Confederation in 1815. (After M. Hughes, Nationalism and Society: Germany 1800–1945 (London:	
	Edward Arnold, 1988))	102
7	Development of the Prussian-German Customs Union	115
8	The unification of Germany, 1867–71. (After Hughes,	
	Nationalism and Society)	130