

Development of Higher Education  
and

Financing Policies in the Context of  
OECD-China Programme

# 高等教育发展的财政政策 ——OECD与中国

范文曜 闫国华 主编

教育科学出版社

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## 出版说明

根据中国教育部和经济合作与发展组织（OECD）的协商，2004年7月11—14日，双方在北京共同召开了“高等教育发展与财政政策国际研讨会”。这是继双方2000年在北京召开“高等教育发展政策国际研讨会”之后所召开的又一次高层研讨会。出席研讨会的中方代表有来自教育部、财政部、国家发展改革委员会的政府部门官员，若干省级教育行政部门的负责人，不同类型大学的校长，以及教育政策研究机构的学者约40人。参加研讨会的国外代表有来自OECD国家的高级教育行政官员和教育政策研究机构的学者，包括英国、法国、瑞士、美国、挪威、奥地利、墨西哥等国的代表共15人。

经济合作与发展组织和中国教育部高度重视本次研讨会的召开。经济合作与发展组织副总干事 Berglind Ásgeirsdóttir 女士、英格兰高等教育拨款委员会主席 Howard Newby 先生、经济合作与发展组织教育委员会副主任 Bernard Hugonnier 先生、中国教育部副部长章新胜先生、中国教育部副部长吴启迪女士出席会议并做大会演讲。研讨会的主题是“高等教育发展与财政政策”，下有四个专题：就业市场与高等教育发展、多样化发展要求的高等教育质量评价、政府高等教育财政政策的优化选择和大学经费来源多元化趋势。与会人员分组对四个专题进行了交流研讨。经过与会代表的共同努力，“高等教育发展与财政政策国际研讨会”取得了圆满成功，中外代表对研讨会给予了很高的评价。

经济合作与发展组织教育委员会和中国教育部教育发展研究中心为“高等教育发展与财政政策国际研讨会”的成功举办做了精心的学术准备。2001年以来，双方联合开展了高等教育质量评价和财政拨款政策

研究。应 OECD 邀请，教育部司局和教育发展研究中心联合组织专家组，对主要发达国家的高等教育质量保证体系和财政拨款办法进行了考察，提出了改进中国评价和拨款办法的政策方案。应中国教育部邀请，OECD 专家组考察了中国高等教育质量保证体系和财政拨款情况，并提出了高水平的考察报告和政策建议。本项目得到了王宽诚教育基金会的资助，谨致谢忱。

现将“高等教育发展与财政政策国际研讨会”主要文献、OECD 专家组考察报告和政策建议全文以及教育部考察团关于欧洲四国高等教育投入体制与评价机制改革新进展的考察报告编辑成书，供关心和支持高等教育发展的社会各界参考。

编 者

2005 年 3 月 于北京

# **Development of Higher Education and Financing Policies in the Context of OECD-China Programme**

## **FOREWORD**

An International Seminar on the Development of Higher Education and Financing Policies was convened jointly on the agreement between Chinese Ministry of Education and OECD on July 11 – 14, 2004 in Beijing, which was a high-level seminar following the International Seminar on Policy of the Development of Higher Education held in Beijing in 2000. The participants of the seminar were the officials from Ministry of Education, Ministry of Finance, State Commission for Development and Reform, the presidents from different types of higher educational institutions as well as the scholars from the research institutions of educational policies. There were about 40 Chinese participants attending this seminar. There were 15 foreign participants. They were high-level administrative officials from the OECD countries and scholars from research institutions of educational policies in the OECD countries including England, France, Switzerland, United States, Norway, Austria and Mexico.

Both OECD and the Chinese Ministry of Education attached great importance to this seminar. Ms. Berglind Ásgeirsdóttir, the OECD Deputy Secretary General, Sir Howard Newby, the Chief Executive of Higher Education Funding Council of England (HEFCE), Mr. Bernard Hugonnier, the Deputy Director for Education, OECD, Mr. Zhang Xinsheng and Ms. Wu Qidi, the Vice Educational Ministers of China, made speeches respectively at the conference. The main topic of the seminar was Development of Higher Education and Financing Policies with four specific subjects: labour market and

development of higher education, quality evaluation in a diversified higher education system, optimising governmental financing policies for higher education, and developing institutional capacity to attract and effectively use diverse sources of funding. The participants worked in four teams respectively focusing on the above subjects and exchanged their views and experience. With the joint efforts of all participants, this conference came to a great success and received a high appraisal for its success.

Directorate for Education, OECD and the Chinese Ministry of Education made fully academic preparation for this conference. Since 2001, they have conducted a joint research in the quality evaluation of higher education and financing policies. With the invitation of OECD, a study team made up of experts from the related departments of MOE and the National Center for Education Development Research paid a study visit to the system of quality assurance and funding methods adopted in some developed countries. Afterwards, a proposal for policy alternatives of reforming the methods of evaluating and financing the Chinese higher education was made. With the invitation of the Chinese Ministry of Education, OECD organized an expert team to make a study visit to the conditions of the quality assurance system in the Chinese higher education and its financing system and prepared a high-level review and policy proposal. The project gratefully acknowledges the support of K. C. WONG EDUCATION FOUNDATION, HONG KONG.

The main documents and papers prepared for this conference by the participants, the OECD review and policy proposal in full, the visit report on the reform trends of the funding system and evaluating system in higher education in four countries made by the study team of the Chinese Ministry of Education, now are compiled to the reference of those who are concerned about and support the development of education.

Editors in Chief  
Fan Wenyao  
Yan Guohua  
March, 2005  
Beijing



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## 扩大教育开放与合作 推进高等教育改革发展

——在“高等教育发展与财政政策国际研讨会”  
开幕式上的致辞

中华人民共和国教育部副部长 章新胜

尊敬的阿格斯塔副总干事，尊敬的纽比爵士，  
尊敬的主席，各位来宾，女士们，先生们：

今天，在盛夏的北京，中国教育部和经济合作与发展组织（OECD）在这里共同举办“高等教育发展与财政政策国际研讨会”，我谨代表教育部对来自 OECD 和有关国家的行政官员、教育专家以及来自国内的各位专家、嘉宾表示热烈的欢迎和衷心的感谢！

中国教育部对这次会议，给予了高度的重视。中方与会人员除我本人外，还有教育部副部长吴启迪女士，她将发表大会演讲。与会的还有教育部有关司局的负责人，有关省教育厅的负责人，高等院校的大学校长和学者专家等。希望通过本次会议，在高等教育多样化发展及其质量评价、财政拨款与大学经费来源多元化等方面，与 OECD 专家开展广泛的交流与研讨，吸收借鉴 OECD 国家在高等教育质量保障与投入体制等方面的经验与做法，促进我国高等教育的改革与发展。我们也对双方业已存在的合作关系表示满意，并相信双方有着很好的合作前景，希望进行长期的合作。

### 一、世界范围内高等教育的改革与中国高等教育取得的历史性成就

20 世纪 60 年代后期以来，世界高等教育进入快速发展的历史时