# SOFTARE ENCIPLIER NG Planning for Change

David Alex Lamb



8862699



E8862699

# Software Engineering: \_\_\_Planning for Change \_\_\_

### **David Alex Lamb**

Department of Computing and Information Science Queen's University Kingston, Ontario, Canada





PRENTICE HALL, Englewood Cliffs, New Jersey 07632

Library of Congress Cataloging-in-Publication Data

LAMB, DAVID ALEX, 1954-

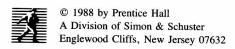
Bibliography: p. Includes index.

 1. Computer software—Development.
 I. Title.

 QA76.76.D47L36
 1988
 005.1
 87-14321

ISBN 0-13-822982-1

Editorial/production supervision and interior design: Mary Jo Stanley Cover design: Lundgren Graphics, Ltd. Manufacturing buyer: Gordon Osbourne



All rights reserved. No part of this book may be reproduced, in any form or by any means, without permission in writing from the publisher.

Printed in the United States of America

### 250 1-286528-ET-0 NASI

Prentice-Hall International (UK) Limited, London
Prentice-Hall of Australia Pty. Limited, Sydney
Prentice-Hall Canada Inc., Toronto
Prentice-Hall Hispanoamericana, S.A., Mexico
Prentice-Hall of India Private Limited, New Delhi
Prentice-Hall of Japan, Inc., Tokyo
Simon & Schuster Asia Pte. Ltd., Singapore
Editora Prentice-Hall do Brasil, Ltda., Rio de Janeiro

# Software Engineering: Planning for Change



In loving memory
Roy William Lamb
1905–1986
Richard M. Beard
1924–1986



## Preface

This book introduces the fundamental ideas of software engineering. As with most terms naming broad categories, "software engineering" describes a field with a solid core of key ideas, along with fuzzy boundaries separating it from other fields. The Introduction (Chapter 1) outlines what I mean by the term; the Retrospective (Chapter 20) reflects further on the key ideas and mentions other topics I have left out.

Most of the book requires only general familiarity with programming. You can understand some of the motivations better if you have written moderate-sized (1,000 to 3,000 line) programs in a higher-level language. Many programming examples presume knowledge of Pascal; some use Ada or C. Chapter 6 requires some familiarity with first-order logic. Part III requires some mathematical sophistication; you should be familiar with discrete mathematics, particularly set theory, functions, and mathematical logic.

Although this textbook should be valuable to anyone who wishes to understand software engineering, I have aimed it primarily at senior-level undergraduates or graduate students who have had little or no experience working with others on a large program. Thus I include some material, such as that of Chapter 15, that should be familiar to those who have already had some form of programming job. Furthermore, I believe the only way to teach software engineering is to have students carry out a moderate-sized group project; this text is geared to a one-semester or one-year group project course.

I could not have written this book without the guidance of David Parnas, who has taught software engineering project courses for many years. I based much of the material of Part II on a collection of papers he put together for his course at the University of Victoria. The bibliography lists other sources I consulted. Other material comes from my experience as a member of the technical staff at Bell-Northern Research, and a staff scientist at Tartan Laboratories. While I was building and maintaining systems as a Research Assistant at Carnegie-Mellon University, I learned much from Ivor Durham, Craig Everhart, Joe Newcomer, Brian Reid, Tom Rodeheffer, and Steve Shafer. Some of the material for Chapter 17 came from discussions with Ed Satterthwaite about system modeling, and with Ellen Borison about her Ph.D. dissertation.

Thanks to (in alphabetical order) Richard Beard, John Nestor, Joe Newcomer, and Sid Penstone for enlightening discussions of what it means to be an engineer. Margaret Lamb proofread two earlier drafts of the book, wrote the program that produced the index, suggested some of the projects in Chapter 4, discovered several embarrassing mistakes in examples, and helped me to improve the trace specifications in Appendix E. Thanks also to the students in CISC422 and CISC838 at Queen's University during 1985 and 1986, who endured my efforts to write this book; Phil Beaudet, Susan Lee, Karen Lefave, and Jim Roche helped by commenting on earlier drafts of the manuscript.

# Contents \_\_\_\_\_

### Preface xxi

### Part I: Overview

### 1 Introduction 1

- 1.1 Relation to Other Fields 2
- 1.2 Why the Difficulty? 4
- 1.3 Using This Book 4

Further Reading 6

### 2 The Lifetime of a Software System 7

- 2.1 Typical Activities 7
  - 2.1.1 Opportunity Study 8
  - 2.1.2 Problem Formulation 9
  - 2.1.3 Product Realization 10
  - 2.1.4 Delivery and Beyond 10
- 2.2 Project Documents 10
- 2.3 Overlap and Cycles 11
- 2.4 Personnel 12

Further Reading 12

3 Technical Writing 13

|      | 3.1    | Planning a Document 13                     |  |  |
|------|--------|--|--|--|
|      | 3.2    | Organizing a Document 15 Proofreading 16   |  |  |
|      | 3.3    | Proofreading 16                            |  |  |
|      | Furth  | er Reading 17                              |  |  |
|      | Exerc  |  |  |  |
|      |        |  |  |  |
| Pa   | rt II: | Software Lifetime                          |  |  |
| 4    | Regu   | irements Analysis and Specification 19     |  |  |
| 1/45 | 4.1    | What Is a Requirement? 19                  |  |  |
|      | 4.2    | Problem Analysis 21                        |  |  |
|      |        | 4.2.1 Dealing with Customers 21            |  |  |
|      |        | 4.2.2 Why Build This System? 22            |  |  |
|      |        | 4.2.3 Requirements Considerations 23       |  |  |
|      |        | 4.2.4 Sketching Initial Requirements 24    |  |  |
|      | 4.3    | The Requirements Specification Document 26 |  |  |
|      |        | 4.3.1 Document Organization 28             |  |  |
|      |        | 4.3.2 Input and Output 30                  |  |  |
|      |        | 4.3.3 Describing Software Functions 31     |  |  |
|      |        | 4.3.3.1 Modes 32                           |  |  |
|      |        | 4.3.3.2 Concurrent Systems 32              |  |  |
|      | 4.4    |  |  |  |
|      | 4.5    |  |  |  |
|      | 4.6    | Validating Requirements 37                 |  |  |
|      |        | er Reading 37                              |  |  |
|      |        | tises 37                                   |  |  |
|      | Proje  | ct Exercises 37                            |  |  |
| 5    | Preli  | iminary Design 41                          |  |  |
|      | 5.1    | System Structure 41                        |  |  |
|      |        | 5.1.1 The Is Composed Of Relation 42       |  |  |
|      |        | 5.1.2 The <i>Uses</i> Relation 43          |  |  |
|      | 5.2    | Desiderata for Modular Systems 44          |  |  |
|      |        | 5.2.1 Program Families 45                  |  |  |
|      |        | 5.2.2 Stepwise Refinement 46               |  |  |
|      | 5.3    | Information Hiding 47                      |  |  |
|      |        | 5.3.1 Hiding Representations 48            |  |  |
|      |        | 5.3.2 Hiding Policies 50                   |  |  |
|      |        | 5.3.3 Hiding Time of Operations 51         |  |  |
|      | 5.4    | The Module Decomposition Document 51       |  |  |
|      |        | 5.4.1 System Modules 52                    |  |  |

6

|   | Exerc                        | 5.4.3 S<br>5.4.4 H<br>Module I |  |  |
|---|------------------------------|--------------------------------|--|--|
| 6 | Mod                          | dule Interfaces 59             |  |  |
| • | 6.1                          | Deciding                       | on Functionality 59                    |  |
|   |                              | 6.1.1                          | Abstract Interfaces 60                 |  |
|   |                              |                                | Imports and Exports 60                 |  |
|   | 6.2                          | Informat                       | ion-Hiding Complexities 62             |  |
|   |                              |                                | Iterators 63                           |  |
|   |                              | 6.2.2                          | Accumulators 67                        |  |
|   |                              | 6.2.3                          | Path Expressions 70                    |  |
|   |                              | 6.2.4                          | Editors 71                             |  |
|   | 6.3                          | What Is                        | a Specification? 72                    |  |
|   |                              |                                | Using Specifications 72                |  |
|   |                              |                                | Abstract Programs 73                   |  |
|   | 6.4                          |                                | Interface Procedures 75                |  |
|   |                              |                                | Specification Content 76               |  |
|   |                              | 6.4.2                          | Undefined Values 78                    |  |
|   |                              | 6.4.3                          | Implementation Languages 79            |  |
|   |                              | 6.4.4                          | Specifying Input/Output Operations 80  |  |
|   |                              |                                | Summary Information 82                 |  |
|   | 6.5 Restricted Interfaces 83 |                                |  |  |
|   | Further Reading 84           |                                |  |  |
|   |                              | cises 84                       | 05                                     |  |
|   | Proje                        | ct Exercis                     | es 85                                  |  |
| 7 | Mod                          | lule Imn                       | lementation 87                         |  |
| ′ | 7.1                          |                                | nting Modules 87                       |  |
|   | 7.1                          |                                | No Module Facilities 88                |  |
|   |                              |                                | Separate Compilation 89                |  |
|   |                              |                                | Module Constructs 91                   |  |
|   | 7.2                          |                                | Specifications into Implementations 91 |  |
|   |                              |                                | Interface Procedures 92                |  |
|   |                              |                                | Namespace Control 94                   |  |
|   |                              |                                | Undesired Event Handling 94            |  |
|   |                              | 7.2.4                          | Undefined Values 100                   |  |
|   |                              |                                | Initialization 101                     |  |

8

9

10

|       | Module Design 101 Coding 102 7.4.1 Style Conventions 103 7.4.2 Commenting Conventions 104 7.4.3 Usage Conventions 106 |
|-------|---|
| Fy    | 7.4.3 Usage Conventions 106 ercises 107   |
|       | oject Exercises 108   |
| Te    | sting 109   |
| 8.1   | Scaffolding 111   |
|       | 8.1.1 Test Drivers 111  |
|       | 8.1.2 Stubs 111   |
| 8.2   | Unit Testing 113  |
| 8.3   | Integration Testing 114   |
|       | 8.3.1 Styles of Integration 114   |
|       | 8.3.2 Unit Testing versus Integration Testing 115   |
| 8.4   | System Testing 115  |
| 8.5   | Regression Testing 116  |
| 8.6   |   |
|       | rcises 117  |
| FIQ   | ject Exercises 118  |
| Svs   | tem Delivery 119  |
| 9.1   | Site Preparation 110  |
| 9.2   | User Training 120   |
| 9.3   | System Introduction 121   |
| 9.4   | The Effect of Market Size 122   |
| Evo   | lution 125  |
|       | Categories of Maintenance 125   |
| 10.2  | Levels of Support 126   |
|       | 10.2.1 Full Support 126   |
|       | 10.2.2 The Worst Case 127   |
|       | 10.2.3 Restructuring 127  |
| 10.3  | Product Support 129   |
|       | 10.3.1 Problem Reports 129  |
|       | 10.3.2 Multiple Releases 130  |
|       | 10.3.2 Multiple Releases 130<br>10.3.3 Distributing Information 131   |
|       | 10.3.4 Conversion Support 131   |
| Furt  | ner Reading 132   |
| Proje | ect Exercises 132   |

Contents

### Part III: Specifications and Verification

### 11 Introduction to Specifications 133

- 11.1 Common Issues 133
- 11.2 Verification 134
- 11.3 Limitations of Specifications 134

Further Reading 136

### 12 Algebraic Specifications 137

- 12.1 Outline of a Specification 137
  - 12.1.1 Exceptions 139
  - 12.1.2 Constructor Functions 139
  - 12.1.3 Auxiliary Functions 140
- 12.2 Dealing with Side Effects 140
- 12.3 Reasoning About Algebraic Specifications 143
- 12.4 Advantages and Disadvantages 144

Exercises 145

### 13 Trace Specifications 147

- 13.1 State Machine Specifications 147
- 13.2 Trace Specifications 148
- 13.3 More Complex Traces 151
- 13.4 Normal Forms for Traces 153
- 13.5 Advantages and Disadvantages 155

Further Reading 157

Exercises 157

### 14 Abstract Modeling 159

- 14.1 Overview of Verification 159
- 14.2 Overview of Abstract Modeling 160
- 14.3 Relation to Algebraic Specifications 162

Further Reading 166

Exercises 166

### Part IV: Other Topics

### 15 The Workplace 169

- 15.1 Team Structure 169
  - 15.1.1 Small Teams 170
  - 15.1.2 Chief Programmer Teams 171
  - 15.1.3 Chief Programmer/Chief Engineer 172
  - 15.1.4 Designer/Team Leader 172

Contents xii

|    | 15.2<br>15.3<br>15.4<br>Furthe | 15.2.1 Status Meetings 173 15.2.2 Brainstorming Sessions 173 15.2.3 Decision-Making Meetings 174 Programmer Psychology 174 15.3.1 Ego 175 15.3.2 Programmer Variability 175 15.3.3 Group Psychology 176 |  |  |
|----|--------------------------------|---|--|--|
| 16 | Scheduling and Budgeting 179   |   |  |  |
|    | 16.1                           | Schedules 179   |  |  |
|    | 16.2                           | Budgets 181<br>Estimating Time 182  |  |  |
|    | 16.3                           | Estimating Time 182   |  |  |
|    |                                | er Reading 183  |  |  |
|    | Exerc                          | ises 184  |  |  |
| 17 | Confi                          | onfiguration Management 185   |  |  |
| _, |                                | The Need for Configuration Management 185   |  |  |
|    | 17.2                           | Configuration Identification 186  |  |  |
|    |                                | 17.2.1 Objects 186  |  |  |
|    |                                | 17.2.2 Relationships 187  |  |  |
|    | 17.3                           | Configuration Control 188   |  |  |
|    |                                | 17.3.1 Baselines and Updates 189  |  |  |
|    |                                | 17.3.2 Change Control 190   |  |  |
|    |                                | 17.3.3 System Rebuilding 190  |  |  |
|    | 17.4                           | Configuration Status and Accounting 191<br>Configuration Hierarchy 192  |  |  |
|    | 17.5                           | Configuration Hierarchy 192   |  |  |
|    | rurtne                         | er Reading 192  |  |  |
| 18 | Onali                          | ity Assurance 193   |  |  |
|    | 18.1                           | Measures of Quality 193   |  |  |
|    | 18.2                           | Validation 194  |  |  |
|    | 18.3                           | Validation 194 Reviews 195  |  |  |
|    |                                | 18.3.1 Requirements Review 196  |  |  |
|    |                                | 18.3.2 Design Review 197  |  |  |
|    |                                | 18.3.3 Code Walkthroughs 198  |  |  |
|    |                                | ses 199   |  |  |
|    | Projec                         | t Exercises 199   |  |  |
|    |                                |   |  |  |

18

| 19 | 19.2<br>19.3<br>19.4<br>19.5 | Evaluating a Tool 201 Software Engineering Environments 203 Reusable Components 203 19.3.1 Module Libraries 204 19.3.2 Program Generators 204 Prototyping 205       |
|----|------------------------------|---|
| 20 | Retr                         | ospective 209 Fundamental Themes 209  |
|    | 20.2                         | What Else Is There? 210 Do People Really Do This? 210 A Personal View 212   |
| Aŗ | pend                         | ices  |
| A  | Sam<br>A.1<br>A.2            |   |
|    | A.3<br>A.4                   | Exception Summary 219 A.3.1 Add Command Exceptions 219 A.3.2 Input File Exceptions 220 A.3.3 Other Command Exceptions 221 A.3.4 Unchecked Problems 221 Glossary 221 |
| В  | Samp<br>B.1                  | ple Life-Cycle Considerations 223 Introduction 223  |
|    | B.2                          | Fundamental Assumptions 223   |
|    | B.3                          | Potential Changes 224   |
|    | B.4                          | Subsets 225   |

xiv Contents

| C | Sam<br>C.1 |        | stem Test Plan 227 uction 227           |     |
|---|------------|--------|---|-----|
|   | C.2        |        | onality Tests 229                       |     |
|   |            | C.2.1  |   |     |
|   |            | C.2.2  | Advanced Functionality 230              |     |
|   | C.3        | Excep  | tion Tests 230                          |     |
|   |            | C.3.1  | Input File Tests 230                    |     |
|   |            | C.3.2  | Capacity Exceptions 233                 |     |
| D | Sam        | ple Mo | dule Decomposition and Dependencies     | 235 |
|   | D.1        | Introd | uction 235                              |     |
|   | D.2        | System | Module 236                              |     |
|   |            | D.2.1  | Char Module 236                         |     |
|   | D.3        | Requir | rements Module 236                      |     |
|   |            |        | Add Module 236                          |     |
|   |            | D.3.2  | Change Module 236                       |     |
|   |            | D.3.3  | Delete Module 236<br>Command Module 236 |     |
|   |            | D.3.4  | Command Module 236                      |     |
|   |            | D.3.5  | GenFile Module 236                      |     |
|   |            | D.3.6  | What Module 236                         |     |
|   |            | D.3.7  | Who Module 236                          |     |
|   | D.4        |        | re Decision Module 237                  |     |
|   |            | D.4.1  |   |     |
|   |            | D.4.2  | Name Module 237<br>Person Module 237    |     |
|   |            | D.4.3  | Person Module 237                       |     |
|   |            |        | RelDef Module 237                       |     |
|   |            | D.4.5  |   |     |
|   |            | D.4.6  | Table Module 237                        |     |
|   |            | D.4.7  | word Module 237                         |     |
|   | <b>D.5</b> |        | e Dependencies 238                      |     |
|   |            | D.5.1  | Add Module 239                          |     |
|   |            | D.5.2  |   |     |
|   |            | D.5.3  |   |     |
|   |            | D.5.4  |   |     |
|   |            | D.5.5  |   |     |
|   |            | D.5.6  | Find Module 239                         |     |
|   |            | D.5.7  |   |     |
|   |            | D.5.8  |   |     |
|   |            |        | Name Module 240                         |     |
|   |            |        | Person Module 240                       |     |
|   |            |        | RelDef Module 240                       |     |
|   |            | D.5.12 | · · · · · · · · · · · · · · · · · · ·   |     |
|   |            | D.5.13 | Table Module 240                        |     |

|   |      | D.5.14 What Module 240  |
|---|------|---|
|   |      | D.5.15 Who Module 240<br>D.5.16 Word Module 240                               |
|   |      | D.5.16 Word Module 240  |
| E | Sam  | ple Module Specifications 241   |
|   | E.1  | Introduction 241  |
|   | E.2  | Command Module 242  |
|   | E.3  | Find Module 242   |
|   | D.4  | Genrie Module 242   |
|   | E.5  |   |
|   | E.6  |   |
|   | E.7  | Person Module, Find Interface 248   |
|   | E.8  | RelDef Module 249   |
|   | E.9  | Set Module 251  |
|   |      | E.9.1 Syntax 251  |
|   |      | E.9.2 Auxiliary Functions 251   |
|   |      | E.9.3 Equations 251   |
|   | E.10 | What Module 252   |
|   | E.11 | Who Module 252  |
| F | Sam  | ple Integration Test Plan 253   |
|   | F.1  | Introduction 253  |
|   | T 2  | I Inia Tradina 254  |
|   | F.3  |   |
|   | F.4  | Test Drivers 255  |
|   |      | F.4.1 Char Module 255   |
|   |      | F.4.2 Command Module 255<br>F.4.3 Find Module 255<br>F.4.4 GenFile Module 255 |
|   |      | F.4.3 Find Module 255   |
|   |      | F.4.4 GenFile Module 255  |
|   |      | F.4.5 Name Module 255   |
|   |      | F.4.6 Person Module 256   |
|   |      | F.4.7 RelDef Module 256<br>F.4.8 Set Module 256<br>F.4.9 Table Module 256     |
|   |      | F.4.8 Set Module 256  |
|   |      | F.4.9 Table Module 256  |
|   |      | F.4.10 What Module 256  |
|   |      | F.4.11 Who Module 257   |
|   | F.5  | F.4.12 Word Module 257<br>Stubs 257   |
|   | 1    | F.5.1 Person Module 257   |
|   |      | F.5.2 What Module 257   |
|   |      | F.5.3 Who Command 257   |
|   |      | 1.5.5 Wild Collination 257  |

### G Sample Module Implementation Summary 259

- G.1 Introduction 259
- G.2 Find Module 259
- G.3 GenFile Module 260
- G.4 Name Module 260
- G.5 RelDef Module 262
- G.6 Set Module 263
- G.7 Who Module 264
- G.8 Word Module 264

### H Sample Listing 265

- H.1 Explanation of the Listing 265
- H.2 The Listing 267
- H.3 Code Walkthrough Report 273
  - H.3.1 Observations 273
  - H.3.2 Action Items 273

### I Sample Release Notice 275

- I.1 Introduction 275
- I.2 Restrictions 275
- I.3 Input Files 275
- I.4 Exception Messages 276

Glossary 277

Bibliography 279

Index 283