

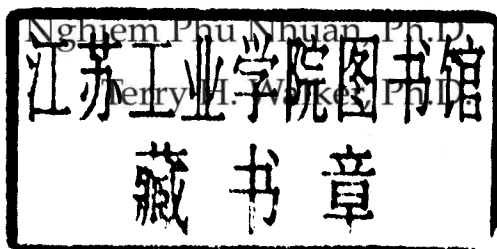
Caye M. Drapcho Nghiem Phu Nhuan Terry H. Walker

Biofuels Engineering Process Technology



Biofuels Engineering Process Technology

Caye M. Drapcho, Ph.D.



**Mc
Graw
Hill**

New York Chicago San Francisco
Lisbon London Madrid Mexico City
Milan New Delhi San Juan
Seoul Singapore Sydney Toronto

Copyright © 2008 by The McGraw-Hill Companies, Inc. All rights reserved. Printed in the United States of America. Except as permitted under the United States Copyright Act of 1976, no part of this publication may be reproduced or distributed in any form or by any means, or stored in a data base or retrieval system, without the prior written permission of the publisher.

1 2 3 4 5 6 7 8 9 0 DOC/DOC 0 1 4 3 2 1 0 9 8

ISBN 978-0-07-148749-8

MHID 0-07-148749-2

Sponsoring Editor

Larry S. Hager

Production Supervisor

Pamela A. Pelton

Editorial Supervisor

Stephen M. Smith

Project Manager

Vastavikta Sharma, International
Typesetting and Composition

Copy Editor

Megha RC, International
Typesetting and Composition

Proofreader

Upendra Prasad, International
Typesetting and Composition

Indexer

Broccoli Information
Management

Art Director, Cover

Jeff Weeks

Composition

International Typesetting
and Composition

Printed and bound by RR Donnelley.

McGraw-Hill books are available at special quantity discounts to use as premiums and sales promotions, or for use in corporate training programs. To contact a special sales representative, please visit the Contact Us page at www.mhprofessional.com.

This book is printed on acid-free paper.

Information contained in this work has been obtained by The McGraw-Hill Companies, Inc. ("McGraw-Hill") from sources believed to be reliable. However, neither McGraw-Hill nor its authors guarantee the accuracy or completeness of any information published herein, and neither McGraw-Hill nor its authors shall be responsible for any errors, omissions, or damages arising out of use of this information. This work is published with the understanding that McGraw-Hill and its authors are supplying information but are not attempting to render engineering or other professional services. If such services are required, the assistance of an appropriate professional should be sought.

Preface

The development of renewable energy has attracted a great deal of interest not only because of the steady rise in oil prices, but also because of the limit of fossil fuel reserves. One day not very far into the future, refineries and coal-fire power plants may be closed forever because their reserves have been depleted. It took nature a very long time to create gas, oil, and coal, but it takes us just a blink of an eye within the geological time scale to burn them all.

There are many sources of renewable energy. Biofuels are just one source, but a very important one. Biofuels can be defined as fuels that are derived from biological sources. Among them, methane produced by anaerobic digestion has been used by the human race for hundreds, if not thousands, of years. More recently, ethanol produced from sugar- and starch-based feedstocks has become another important biofuel. Other biofuels such as lignocellulosic ethanol, biodiesel, biohydrogen, and bioelectricity have been the focus of vigorous research, and the technologies for their production are being developed, although most of these are not quite ready for commercialization.

This book is written with two objectives. First, it may be a reference book for those who are interested in biofuels. Second, it may be used as a textbook to teach biofuel technologies to science and engineering students who want to contribute to the development and implementation of processes for production of these important renewable energy sources. In this book, readers will find the fundamental concepts of important biofuels and the current state-of-the-art technology for their production.

We hope our book will serve our readers well. We will be very grateful to receive comments and suggestions for improvement from our colleagues in this field and also from the students who will use this book in their educational endeavors.

Caye M. Drapcho, Ph.D.
Nghiem Phu Nhuan, Ph.D.
Terry H. Walker, Ph.D.

Contents

Preface	xi
---------------	----

Part 1 The Basics

1 Introduction	3
1.1 Biorefinery	3
1.2 Description of Biofuels	5
1.3 Energy Use	6
1.4 Efficiency of Energy Use	8
1.5 Biofuels Production and Use	10
1.6 Alternative Energies	12
1.7 Environmental Impact	13
1.8 Book Overview	14
References	15
2 Harvesting Energy from Biochemical Reactions ...	17
2.1 Introduction and Basic Definitions	17
2.2 Biochemical Pathways Review for Organoheterotrophic Metabolism	19
2.2.1 Aerobic Respiration	19
2.2.2 Anaerobic Respiration	23
2.2.3 Fermentation	25
2.3 Biochemical Pathways Overview for Lithotrophic Growth	30
2.4 Biochemical Pathways Overview for Phototrophic Metabolism	31
2.4.1 Light Reactions	32
2.4.2 Anabolic (Dark) Reactions	33
2.5 Definition and Importance of Chemical Oxygen Demand	33
Acknowledgments.....	35
References	36
3 Microbial Modeling of Biofuel Production	37
3.1 Introduction	37
3.2 Summary of Microbial Growth Models	37
3.2.1 Unstructured, Single Limiting Nutrient Models	38
3.2.2 Inhibition Models	39

3.2.3	Models for Multiple Limiting Substrates	42
3.2.4	Yield Parameters	44
3.3	Kinetic Rate Expressions	45
3.3.1	Temperature Effects	47
3.4	Bioreactor Operation and Design for Biofuel Production	48
3.4.1	Batch Reactors	50
3.4.2	Continuous Stirred Tank Reactors	50
3.4.3	CSTR with Cell Recycle	52
3.4.4	Fed-Batch Systems	54
3.4.5	Plug Flow Systems	55
3.5	Bioreactor Design Strategies	57
3.6	Modeling of Glucose Utilization and Hydrogen Production	58
3.6.1	Batch Fermentations and Simulations	59
3.6.2	CSTR Fermentations and Simulations	61
	Summary	64
	References	65

Part 2 Biofuels

4	Biofuel Feedstocks	69
4.1	Starch Feedstocks	69
4.1.1	Cereal Grains	69
4.1.2	Other Grains	78
4.1.3	Tubers and Roots	78
4.2	Sugar Feedstocks	79
4.2.1	Sugarcane	79
4.2.2	Sugar Beet	80
4.3	Lignocellulosic Feedstocks	80
4.3.1	Forest Products and Residues	81
4.3.2	Agricultural Residues	82
4.3.3	Agricultural Processing By-Products	84
4.3.4	Dedicated Energy Crops	84
4.4	Plant Oils and Animal Fats	88
4.5	Miscellaneous Feedstocks	91
4.5.1	Animal Wastes	91
4.5.2	Municipal Solid Waste	94
	References	94

5	Ethanol Production	105
5.1	Ethanol Production from Sugar and Starch	
	Feedstocks	105
	5.1.1 Microorganisms	105
	5.1.2 Process Technology	111
5.2	Ethanol Production from Lignocellulosic	
	Feedstocks	133
	5.2.1 Basic Concept	133
	5.2.2 The Sugar Platform	134
	5.2.3 The Syngas Platform	158
	Acknowledgments	174
	References	174
6	Biodiesel	197
6.1	Introduction	197
	6.1.1 Environmental Considerations	199
6.2	Biodiesel Production Chemistry and	
	Thermodynamic Aspects	201
	6.2.1 Transesterification	202
	6.2.2 Esterification	202
	6.2.3 Lipase-Catalyzed Interesterification	
	and Transesterification	203
	6.2.4 Side Reactions: Saponification	
	and Hydrolysis	203
	6.2.5 Alcohol Effect	204
	6.2.6 Base or Alkali Catalysis	204
	6.2.7 Acid Catalysis	206
	6.2.8 Enzyme Catalysis	208
	6.2.9 Supercritical Esterification	
	and Transesterification	208
	6.2.10 Thermodynamics and	
	Reaction Kinetics	210
6.3	Oil Sources and Production	219
	6.3.1 Plant Oils	219
	6.3.2 Microbial and Algal Oils	223
	6.3.3 Used Cooking Oils	233
	6.3.4 Straight Vegetable Oil	233
	6.3.5 Biosynthesis of Oils	
	and Modification	234
6.4	Coproducts	236
6.5	Methods of Biodiesel Production	238
	6.5.1 General Biodiesel Production	
	Procedures	239
	6.5.2 Pilot and Commercial Scale	245
	6.5.3 Quality Control Analytical	
	Technique	247

6.6	Economics	250
6.6.1	Feedstock Cost	252
6.6.2	Manufacturing Cost	255
6.6.3	Capital Cost	255
6.6.4	Operating Cost	257
6.7	Summary and Conclusions	258
	Acknowledgments	259
	Problems	260
	References	262
7	Biological Production of Hydrogen	269
7.1	Introduction	269
7.1.1	Important Enzymes	269
7.1.2	Abiotic H ₂ Production	271
7.2	Photobiological H ₂ Production	271
7.2.1	Direct Biophotolysis	272
7.2.2	Indirect Biophotolysis	273
7.2.3	Photofermentation	273
7.2.4	Photobiological H ₂ Production Potential	274
7.3	Hydrogen Production by Fermentation	274
7.3.1	Overview	274
7.3.2	Energetics	275
7.3.3	Thermotogales	276
7.3.4	Biochemical Pathway for Fermentative H ₂ Production by <i>Thermotoga</i>	276
7.3.5	Hydrogen Production by Other Bacteria	277
7.3.6	Coproduct Formation	279
7.3.7	Batch Fermentation	280
7.3.8	Hydrogen Inhibition	281
7.3.9	Role of Sulfur—Sulfidogenesis	281
7.3.10	Use of Other Carbon Sources Obtained from Agricultural Residues	284
7.3.11	Process and Culture Parameters	287
7.4	Hydrogen Detection, Quantification, and Reporting	290
7.4.1	Hydrogen Detection	291
7.4.2	Total Gas Pressure	292
7.4.3	Water Vapor Pressure	292
7.4.4	Hydrogen Partial Pressure	292
7.4.5	Hydrogen Gas Concentration	293
7.4.6	Hydrogen Concentration Expressed as mol H ₂ /L Media	294

7.4.7	Hydrogen Production Rate	294
7.4.8	Dissolved H ₂ Concentration in Liquid	294
7.5	Fermentation Bioreactor Sizing for PEM Fuel Cell Use	297
	Acknowledgment	299
	References	299
8	Microbial Fuel Cells	303
8.1	Overview	303
8.2	Biochemical Basis	303
8.3	Past Work Summary	305
8.4	Fuel Cell Design	308
8.4.1	Anode Compartment	308
8.4.2	Microbial Cultures	309
8.4.3	Redox Mediators	310
8.4.4	Cathode Compartment	311
8.4.5	Exchange Membrane	312
8.4.6	Power Density as Function of Circuit Resistance	313
8.5	MFC Performance Methods	314
8.5.1	Substrate and Biomass Measurements	314
8.5.2	Basic Power Calculations	315
8.5.3	Calculation Example	317
8.6	MFC Performance	318
8.6.1	Power Density as Function of Substrate	318
8.6.2	Single-Chamber Versus Two-Chamber Designs	320
8.6.3	Single-Chamber Designs	320
8.6.4	Wastewater Treatment Effectiveness	321
8.7	Fabrication Example	322
8.8	Future Directions	323
	References	325
9	Methane	329
9.1	Introduction	329
9.2	Microbiology of Methane Production	329
9.2.1	Methanogenic Environments	329
9.2.2	Methane Process Description	330
9.2.3	Microbial Communities	332
9.3	Biomass Sources for Methane Generation	334

x Contents

9.4	Systems	338
9.4.1	Reactor Conditions	339
9.4.2	Process Design	340
9.5	Biogas Composition and Use	343
	References	344
	Appendix: Conversion Factors and Constants	347
	Index	351

PART 1

The Basics

CHAPTER 1

Introduction

CHAPTER 2

Harvesting Energy from
Biochemical Reactions

CHAPTER 3

Microbial Modeling of Biofuel
Production

CHAPTER 1

Introduction

1.1 Biorefinery

Renewable energy deriving from solar, wind, and biomass sources has great potential for growth to meet our future energy needs. Fuels such as ethanol, methane, and hydrogen are characterized as biofuels because they can be produced by the activity of biological organisms. Which of these fuels will play a major role in our future? The answer is not clear, as factors such as land availability, future technical innovation, environmental policy regulating greenhouse gas emissions, governmental subsidies for fossil fuel extraction/processing, implementation of net metering, and public support for alternative fuels will all affect the outcome. A critical point is that as research and development continue to improve the efficiency of biofuel production processes, economic feasibility will continue to improve.

Biofuel production is best evaluated in the context of a biorefinery (Fig. 1.1). In a biorefinery, agricultural feedstocks and by-products are processed through a series of biological, chemical, and physical processes to recover biofuels, biomaterials, nutraceuticals, polymers, and specialty chemical compounds.^{2,3} This concept can be compared to a petroleum refinery in which oil is processed to produce fuels, plastics, and petrochemicals. The recoverable products in a biorefinery range from basic food ingredients to complex pharmaceutical compounds and from simple building materials to complex industrial composites and polymers. Biofuels, such as ethanol, hydrogen, or biodiesel, and biochemicals, such as xylitol, glycerol, citric acid, lactic acid, isopropanol, or vitamins, can be produced for use in the energy, food, and nutraceutical/pharmaceutical industries. Fibers, adhesives, biodegradable plastics such as polylactic acid, degradable surfactants, detergents, and enzymes can be recovered for industrial use. Many biofuel compounds may only be economically feasible to produce when valuable coproducts are also recovered and when energy-efficient processing is employed. One advantage of microbial conversion processes over chemical processes is that microbes are

able to select their substrate among a complex mixture of compounds, minimizing the need for isolation and purification of substrate prior to processing. This can translate to more complete use of substrate and lower chemical requirements for processing.

Early proponents of the biorefinery concept emphasized the *zero-emissions* goal inherent in the plan—waste streams, water, and heat from one process are utilized as feed streams or energy to another, to fully recover all possible products and reduce waste with maximized efficiency.^{2,3} Ethanol and biodiesel production can be linked effectively in this way. In ethanol fermentation, 0.96 kg of CO₂ is produced per kilogram of ethanol formed. The CO₂ can be fed to algal bioreactors to produce oils used for biodiesel production. Approximately 1.3 kg CO₂ is consumed per kilogram of algae grown, or 0.5 kg algal oil produced by oleaginous strains. Another example is the potential application of microbial fuel cells to generate electricity by utilizing waste organic compounds in spent fermentation media from biofuel production processes.

Also encompassed in a sustainable biorefinery is the use of “green” processing technologies to replace traditional chemical processing. For example, supercritical CO₂ can be used to extract oils and nutraceutical compounds from biomass instead of using toxic organic solvents such as hexane.⁴ Ethanol can be used in biodiesel production from biological oils in place of toxic petroleum-based methanol traditionally used. Widespread application of biorefineries

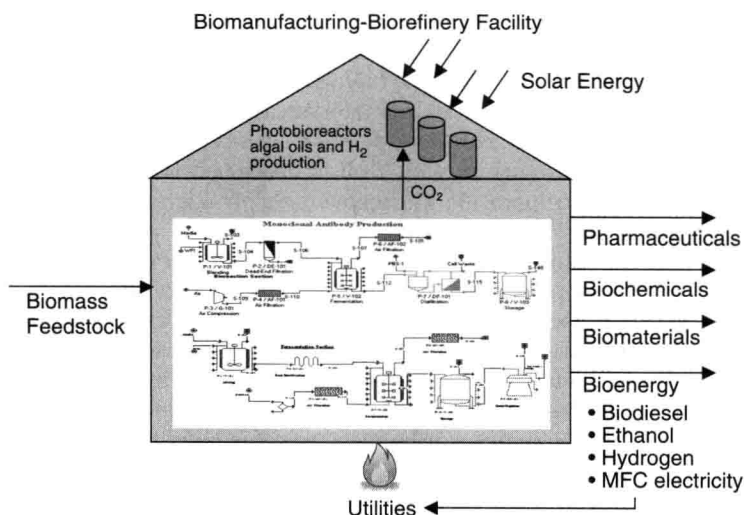


FIGURE 1.1 Integrated biorefinery showing example bioprocesses of monoclonal antibody and ethanol production. (Adapted from Walker, 2005.)

would allow for replacement of petroleum-derived products with sustainable, carbon-neutral, low-polluting alternatives.

In addition to environmental benefits of biorefining, there are economic benefits as new industries grow in response to need.^{2,3} A thorough economic analysis, including ecosystem and environmental impact, harvest, transport, processing, and storage costs must be considered. The R&D Act of 2000 and the Energy Policy Act of 2005 recommend increasing biofuel production from 0.5 to 20 percent and biobased chemicals and materials from 5 to 25 percent,⁵ a goal that may best be reached through a biorefinery model.

1.2 Description of Biofuels

The origin of all fuel and biofuel compounds is ultimately the sun, as solar energy is captured and stored as organic compounds through photosynthetic processes. Certain biofuels, such as oils produced by plants and algae, are direct products of photosynthesis. These oils can be used directly as fuel or chemically transesterified to biodiesel. Other biofuels such as ethanol and methane are produced as organic substrates are fermented by microbes under anaerobic conditions. Hydrogen gas can be produced by both routes, that is, by photosynthetic algae and cyanobacteria under certain nutrient- or oxygen-depleted conditions, and by bacteria and archae utilizing organic substrates under anaerobic conditions. Electrical energy produced by microbial fuel cells—specialized biological reactors that intercept electron flow from microbial metabolism—can fall into either category, depending on whether electron harvest occurs from organic substrates oxidized by organotrophic cultures or from photosynthetic cultures.

A comparison of biofuel energy contents reveals that hydrogen gas has the highest energy density of common fuels expressed on a mass basis (Table 1.1). For liquid fuels, biodiesel, gasoline, and diesel have energy densities in the 40 to 46 kJ/g range. Biodiesel fuel contains 13 percent lower energy density than petroleum diesel fuel, but combusts more completely and has greater lubricity.⁷ The infrastructure for transportation, storage, and distribution of hydrogen is lacking, which is a significant advantage for adoption of biodiesel.

Another measure of energy content is energy yield (Y_E), the energy produced per unit of fossil fuel energy consumed. Y_E for biodiesel from soybean oil is 3.2 compared to 1.5 for ethanol from corn and 0.84 and 0.81 for petroleum diesel and gasoline, respectively.⁸ Even greater Y_E values are achievable for biodiesel created from algal sources or for ethanol from cellulosic sources.⁹ The high net energy gain for biofuels is attributed to the solar energy captured compared to an overall net energy loss for fossil fuels.

Fuel source	Energy density (kJ/g)	Density (kg/m ³)	Energy content (GJ/m ³)
Hydrogen	143.0	0.0898	0.0128
Methane (natural gas)	54.0	0.7167	0.0387
No. 2 diesel	46.0	850	39.1
Gasoline	44.0	740	32.6
Soybean oil	42.0	914	38.3
Soybean biodiesel	40.2	885	35.6
Coal	35.0	800	28.0
Ethanol	29.6	794	23.5
Methanol	22.3	790	17.6
Softwood	20.4	270	5.5
Hardwood	18.4	380	7.0
Rapeseed oil	18.0	912	16.4
Bagasse	17.5	160	2.8
Rice hulls	16.2	130	2.1
Pyrolysis oil	8.3	1280	10.6

*Values reported at standard temperature and pressure

Source: Adapted from Brown, 2003.

TABLE 1.1 Energy Density Values* for Common Fuels

1.3 Energy Use

The motivation for development and use of alternative fuels include (1) diminishing reserves of readily recoverable oil, (2) concern over global climate change,¹⁰ (3) increasing fuel prices, and (4) the desire for energy independence and security. The U.S. Energy Information Administration determined that total world energy consumption in 2005 was 488 EJ (exajoule, 10^{18} J) or 463 Quad (quadrillion Btu, 10^{15} Btu), with U.S. consumption of 106 EJ (100.6 Quad) or 22 percent of the world total.¹¹ World consumption is expected to surpass 650 EJ by 2025.¹¹ The rates of increase in energy usage vary greatly by nation. Between 1985 and 2005, annual energy consumption increased 31 percent in the United States, while only 18 percent in Europe, and an overwhelming 250 percent in China and India,

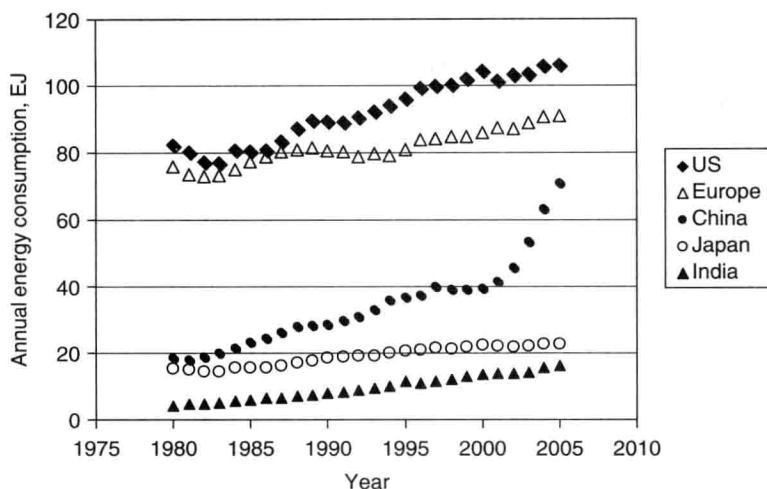


FIGURE 1.2 Annual energy consumption values for selected countries.
(Adapted from Energy Information Agency, 2007.)

although India's total consumption is small at only 3 percent of the world total (Fig. 1.2). These values reflect a host of factors, including degree of industrialization, gross domestic product, relative efficiency of primary energy source used, and energy conservation. In the United States, fossil fuels accounted for 86 percent of our total energy consumption in 2004. Petroleum fuels, natural gas, and coal accounted for 40, 23, and 23 percent, respectively, with an additional 8 percent from nuclear power and only 6 percent from renewable sources, including hydroelectric (2.7 percent), biomass/biofuels (2.7 percent), and 0.6 percent from solar, wind, and geothermal energy sources combined.^{11,12} Currently available fossil fuel sources are estimated to become nearly depleted within the next century, with petroleum fuel reserves depleted within 40 years.^{11,13} The United States imports 10 million barrels of oil per day of the existing world reserves (1.3 trillion barrels) (Table 1.2). Peak oil, the maximum rate of oil production, is expected to occur between 2010 and 2020.¹¹ Even with increasing attention on hydrogen as an alternative fuel, 95 percent of worldwide production of hydrogen gas is from fossil fuel sources, primarily the thermocatalytic reformation of natural gas.¹⁴

Approximately 50 percent of the U.S. trade deficit is attributed to the import of crude oil. Crude oil prices have risen from less than \$20/barrel in the 1990s to nearly \$100/barrel in 2007. Accounting for military aid and subsidies to protect and maintain an uninterrupted flow of crude oil from unstable regions of the world, the true cost of oil¹⁵ has been estimated as greater than \$100/barrel since 2004.