

Collaborative Action Research for English Language Teachers

Anne Burns

江苏工业学院图书馆 藏 书 章



PUBLISHED BY THE PRESS SYNDICATE OF THE UNIVERSITY OF CAMBRIDGE The Pitt Building, Trumpington Street, Cambridge, United Kingdom

CAMBRIDGE UNIVERSITY PRESS
The Edinburgh Building, Cambridge CB2 2RU, UK
40 West 20th Street, New York, NY 10011-4211, USA
477 Williamstown Road, Port Melbourne, VIC 3207, Australia
Ruiz de Alarcón 13,28014 Madrid, Spain
Dock House, The Waterfront, Cape Town 8001, South Africa

© Cambridge University Press 1999

This book is in copyright. Subject to statuary exception and to the provisions of relevant collective licensing agreements, no reproduction of any part may take place without the written permission of Cambridge University Press.

First published 1999 Reprinted 2001, 2003

Printed in the United Kingdom at the University Press, Cambridge

Typeset in Sabon 10.5pt/12pt

A catalogue record for this book is available from the British Library

Library of Congress cataloguing in publication data applied for.

ISBN 0 521 63084 3 Hardback ISBN 0 521 63895 X Paperback

Collaborative Action Research for English Language Teachers

CAMBRIDGE LANGUAGE TEACHING LIBRARY

A series covering central issues in language teaching and learning, by authors who have expert knowledge in their field

In this series:

Affect in Language Learning edited by Jane Arnold

Approaches in Methods in Language Teaching by Jack C. Richards and Theodore S. Rodgers

Appropriate Methodology and Social Context by Adrian Holliday

Beyond Training by Jack C. Richards

Collaborative Action Research For English Language Teachers by Anne Burns

Collaborative Language Learning and Teaching edited by David Nunan

Communicative Language Teaching by William Littlewood

Communicative Methodology in Language Teaching by Christopher Brumfit

Course Design by Fraida Dubin and Elite Olshtain

Culture Bound edited by Joyce Merrill Valdes

Designing Tasks for the Communicative Classroom by David Nunan

Developing Reading Skills by Françoise Grellet

Developments in ESP by Tony Dudley-Evans and Maggie Jo St John

Discourse Analysis for Language Teachers by Michael McCarthy

Discourse and Language Education by Evelyn Hatch

English for Academic Purposes by R. R. Jordan

English for Specific Purposes by Tom Hutchinson and Alan Waters

Focus on the Language Classroom by Dick Allwright and Kathleen M. Bailey

Foreign and Second Language Learning by William Littlewood

Language Learning in Intercultural Perspective edited by Michael Byram and Michael Fleming

The Language Teaching Matrix by Jack C. Richards

Language Test Construction and Evaluation by J. Charles Alderson, Caroline Clapham and Dianne Wall

Learner-centredness as Language Education by Ian Tudor

Managing Curricular Innovation by Numa Markee

Materials Development in Language Teaching edited by Brian Tomlinson

Psychology for Language Teachers by Marion Williams and Robert L. Burden

Research Methods in Language Learning by David Nunan

Second Language Teacher Education edited by Jack C. Richards and David Nunan

Society and the Language Classroom edited by Hywell Coleman

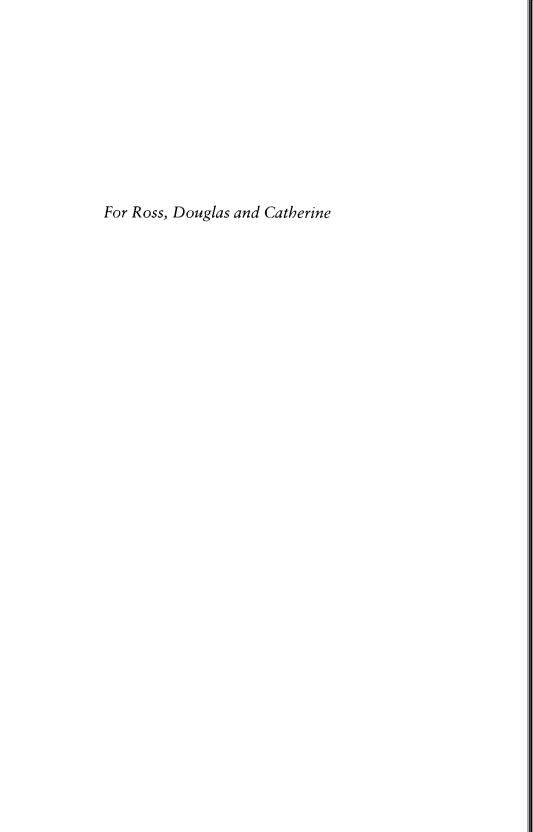
Teacher Learning in Language Teaching edited by Donald Freeman and Jack C. Richards

Teaching the Spoken Language by Gillian Brown and George Yule

Understanding Research in Second Language Learning by James Dean Brown Vocabulary: Description, Acquisition and Pedagogy edited by Norbert Schmitt and Michael McCarthy

Vocabulary, Semantics, and Language Education by Evelyn Hatch and Cheryl Brown

Voices From the Language Classroom edited by Kathleen M. Bailey and David Nunan



Acknowledgements

Numerous people have supported and encouraged me in the writing of this book. My appreciation and thanks are first due to the many teachers who have collaborated with me as practitioner researchers. Their names are too numerous to list individually, but their contributions as well as their enthusiasm for teacher research are hopefully well reflected throughout the book.

Chris Candlin, Geoff Brindley and Catherine du Peloux Menagé, my colleagues at the National Centre for English Language Teaching and Research at Macquarie University, must also be thanked for their ongoing support and encouragement of my work. I am grateful also to the staff of the Resource Centre at NCELTR for their endless patience in chasing up books, articles and references whenever I needed them. Jenny Hammond and Helen Joyce deserve special mention for their reading and editing of the manuscript at various stages and for their suggestions and discussions. My collaboration with my co-researcher and co-editor, Susan Hood, has been a constant source of stimulation as well as personal pleasure over a number of years.

Thanks are also due to Alison Sharpe of Cambridge University Press who greatly encouraged me at an early stage to consider that the book could be a reality and to Mickey Bonin who took over from Alison and kept me going at just the right point in the process. Julia Harding, who edited the manuscript, brought a fresh editorial eye to my writing and made many useful suggestions.

As always, my patient and uncomplaining family have supported and encouraged me throughout the entire enterprise. It is to them that I affectionately dedicate the book.

The publishers and I are grateful to the following copyright owners for permission to reproduce copyright material. Every endeavour has been made to contact copyright owners and apologies are expressed for any omission.

Goswami, D. and P. Stillman. 1987. Reclaiming the Classroom: Teacher Research as an Agency for Change. Boynton/Cook Publishers, a subsidiary of Reed Elsevier, Inc., Portsmouth, NH on p.16; Kemmis, S. and R. McTaggart. 1988. The Action Research Planner. Geelong, Victoria: Deakin University Press on pp.33, 51,112; Somekh, B. 1993. Quality in

Educational Research - The Contribution of Classroom Teachers. In Edge, I. and K. Richards (Eds.). Teachers Develop Teachers Research. Macmillan Heinemann Ltd. on pp. 33 and 34; McNiff, J. 1988. Action Research: Principles and Practice. Routledge on p.51; Allwright, D. 1993. Integrating 'Research' and 'Pedagogy': Appropriate Criteria and Practical Possibilities. In Edge, J. and K. Richards (Eds.). Teachers Develop Teachers Research. Macmillan Heinemann Ltd. on p.52: Kemmis, S. and R. McTaggart. 1982. The Action Research Planner. Geelong, Victoria: Deakin University Press on pp. 54 and 55; Hitchcock, G. and D. Hughes. 1995. Research and the Teacher. Routledge on pp.71, 72, 136 and 137; Somekh, B. 1994. Inhabiting Each Other's Castles: Towards Knowledge and Mutual Growth Through Collaboration. In Educational Action Research 2. Oxford: Triangle Journals on pp.72 and 73; Kebir, C. 1994. An Action Research Look at the Communication Strategies of Adult Learners. In TESOL Journal 4. Copyright © 1994 by Teachers of English to Speakers of Other Languages, Inc. on pp.73 and 177, used with permission; McKernan, J. 1996. Curriculum Action Research. London: Kogan Page Ltd. on pp.89 and 94; Brophy, M. 1995. Helena's Perspective. In C. Riddell (Ed.). Journeys of Reflection. ESL Action Research in TAFE. Office of Training and Further Education and Western Metropolitan College of TAFE, Melbourne, Victoria: Australia, on pp.133 and 134; Koster, P. 1996. In the Mood. In Investigating the Teaching of Grammar. Reports from a Collaborative Action Research Project Conducted by NSW AMES. NSW AMES Occasional Papers Volume 1 Sydney: Program Support and Development Services on pp.141, 142 and 143; Allan, L. 1994. Reflection and Teaching: Co-operative Workshops to Explore Your Experience. Sydney: Adult Literacy Information Office, on pp.147 and 148; Elliot, J. 1991. Action Research for Educational Change. Open University Press on p.186; Winter, R. 1989. Learning from Experience: Principles and Practice in Action Research. The Falmer Press on p. 186; Erickson, F. and J. Wilson. 1982. Sights and Sounds of Life in Schools. Research Series 125. College of Education, University of Michigan, on pp.198 and 199; Mazillo, T. 1994. On Becoming a Researcher. In TESOL Journal 4. Copyright © 1994 by Teachers of English to Speakers of Other Languages, Inc. on p. 201, used with permission; Calhoun, E.F. 1994. How to Use Action Research in the Self-renewing School. Alexandria, VA: Association for Supervision and Curriculum Development, on pp.209, 210, 211 and 212; Burns, A. and S. Hood (Eds.). 1995, 1996, 1997. The Teacher's Voice Series and Prospect: A Journal of Australian TESOL. National Centre for English Language Teaching and Research, Macquarie University: Sydney.

Contents

	Acknowledgements Preface	X I
I	Why should teachers do action research?	7
	Action research: a case study	7
I.I I.2	A collaborative perspective on action research	12
1.2	Teachers' responses to action research	14
1.4	Summary	17
	Group discussion tasks	18
2	Definitions and processes	20
2.1	Introduction	20
2.2	Quantitative approaches to research	2.1
2.3	Qualitative approaches to research	22
2.4	Action research	2.4
2.5	What are the origins of action research?	26
2.6	What does action research involve?	29
2.7	What are the processes of doing action research?	35
2.8	Summary	43
	Group discussion tasks	44
3	Getting started	45
3.1	Introduction	45
3.2	Constraints and impediments	45
3.3	Finding a focus	53
3.4	The role of theory in question formulation	68
3.5	Ethical considerations	7 ^C
3.6	Summary	75
-	Group discussion tasks	76

Contents

4	Observational techniques for collecting action research data	78
4.I	Introduction	78
4.2	Observation	8c
4.2	Notes and diaries	85
4.4	Audio and video recording	94
4.5	Photographs	101
4.6	Charting the social organisation of the classroom	105
4.7	Summary	115
	Group discussion tasks	116
5	Non-observational techniques for data collection	117
5.1	Introduction	117
5.2	Interviews	118
5.3	Surveys and questionnaires	129
5.4	Life and career histories	136
5.5	Documents	140
5.6	Metaphor development	147
5.7	Summary	150
	Group discussion tasks	151
6	Analysing action research data	152
6.1	Introduction	152
6.2	What is data analysis?	153
6.3	When should data be analysed?	154
6.4	Processes of analysis	156
6.5	Validity and action research	160
6.6	Enhancing trustworthiness in action research	162
6.7	Techniques for analysing data	166
6.8	Summary	179
	Group discussion tasks	180
7	Disseminating the research and sustaining the action	181
7.1	Introduction	181
7.2	Disseminating the research	181
7.3	Sustaining the action	201
7 ·4	Summary	212
	Group discussion tasks	2 T 2

		Contents
8	Collaborative action research in practice	214
8.1	Introduction	214
8.2	Action research as professional development Jane Hamilton	215
8.3	Using English outside the classroom Janette Kohn	221
8.4	The Teams/Competencies Project The staff of Wilkins Intensive English Centre	225
8.5	Strategies for 'non-language' outcomes Lenn de Leon	229
8.6	Concluding remarks	233
	Further reading	236
	References	243
	Index	255

Preface

... the challenge and stimulation from sharing the energy and professionalism of other teachers on the research team, and particularly collaborating with another teacher researcher from my college, was very enjoyable.

(Vivienne Campbell, Queensland)

Recently, the notion of the 'teacher as researcher' has received much attention in the second language teaching literature. However, this attention is typically from the perspective of academic researchers rather than teachers themselves. We still know little about how second language teachers view and carry out action research, what kinds of support structures or information are needed as they conduct research as well as carry out regular classroom activities, and what conditions promote or hinder the doing of action research. The last few years have seen a proliferation of publications on classroom-based and teacherinitiated research and reflection. However, few of these publications have focused specifically on action research as it is practised and perceived by teachers and researchers working together to conduct it. The majority of these publications draw on the professional research literature to illustrate their descriptions of and recommendations for teacher research, rather than on the work of teacher researchers themselves. Much of the literature on action research in second language teaching has also had a tendency to characterise and discuss action research as 'collaborative', but then to go on to represent and promote it as a somewhat individualistic enterprise. Few discussions explore how action researchers can link their investigative work to that of other colleagues and in what ways such collaborative processes can make an impact upon whole-school changes and priorities.

The rationale for this book arises from my collaborative work over a number of years as a teacher educator and researcher with ESL teachers in the Australian Adult Migrant English Program (AMEP). Much of the research carried out within this national programme has adopted an action research stance for investigating teaching and learning practices and classroom processes. The aim has been to apply the perspectives gained from this particular approach to organisational curriculum and resource development. The approximately 150 teachers within this

organisation with whom I have worked closely on various projects have strongly supported the notion of collaborative involvement in action research and have seen this approach both as a way of strengthening their own research skills and as a powerful route for their own professional development.

Areas for action research have been identified through a process coordinated by the Australian government's Key Research Centre for the AMEP, the National Centre for English Language Teaching and Research (NCELTR), at Macquarie University where I work. All AMEP providers across Australia are invited to have input into the identification of possible research areas. These are then prioritised for their broad relevance to the development of curriculum theory and practice within the organisation nationally. Once research areas are determined, teachers from different states are invited to express their interest in participation as practitioner researchers in investigating this area. During their involvement in the research, the teachers receive paid release time to attend workshops and write up their findings, but data collection activities are conducted in their own time. My own role has been to work together with my co-researcher, Susan Hood, to collaborate with participating teachers and to develop a linked network of teacher researcher groups across the country. For each project we have conducted a series of workshops with these groups over a period of approximately six months, providing input on research and research processes and data collection methods, identifying focal areas for research on an individual or partnership basis and enabling members of the group to report and reflect critically on their research findings and insights.

This book aspires to add to the growing literature on classroom-based action research, but it has, perhaps, a more modest aim: to provide an accessible overview of theoretical perspectives on action research and, especially, to provide a practical introduction from the teacher's, rather than the researcher's, point of view. To this end, the majority of the illustrative accounts in this book are drawn from the work of teacher action researchers and result from my experience of collaborating with them. I also draw to some extent on my collaborations with teachers in a high school, as well as from the work of some of my students and other Australian teachers in further education contexts. In general, these accounts aim to illustrate the kinds of collaborative processes which were set in place in order to carry out the research, as well as the decisions and actions undertaken by the individual teachers within these groups as they directed their research efforts towards change, not only at the classroom level, but also at the broader institutional level. It is to be hoped that this perspective complements existing publications by offering a straightforward account directed towards groups of teachers who wish to test out assumptions of educational theory in practice and

to promote a cooperative teaching environment which values critical reflection and informed change. I believe that if teacher action research is to flourish, it needs to be advocated by teachers themselves. It is because of this conviction that I have chosen to use the voices of the teachers I have worked with as a major resource for articulating my arguments.

The authentic voices of teachers in this book, then, are represented by Australian teachers, who are primarily involved in English as a second language in adult immigrant programmes. Readers in other contexts may find themselves working in very different types of English language programmes, in EFL classes, for example, where there are dissimilar class sizes and groupings and learners of very different age groups and cultural backgrounds. You may wonder what relevance the Australian case studies have for you. I would argue that, while the specifics of the research context and the action strategies may turn out to be very different, the kinds of practical questions and issues and daily concerns encountered by teachers of English as a second or foreign language are likely to be broadly recognisable across many educational settings and will, therefore, contain many areas of relevance for teachers in other countries. The same kinds of problems - how to motivate learners, how to teach grammar effectively, how to improve classroom dynamics, how to select and sequence tasks and activities for particular learner groups, how to encourage learners to develop better learning strategies - come up again and again and are researchable issues for teachers anywhere. The purpose of the book is not, therefore, to focus on the Australian scene, but to sketch out issues and possibilities for collaborating in teacher research in any context. However, in order to contextualise the great majority of the studies in the book, it is worth sketching out a broad picture of the AMEP, its learners, programmes and the teachers who work in it, so that readers can gain a sense of the scope and nature of the context that frames most of the teacher researchers' experiences and extracts.

The AMEP is a large-scale national ESL programme established by the Australian government as part of its immigration policies since 1948 and funded by the Department of Immigration and Multicultural Affairs (DIMA). Approximately 1,500 teachers work in this programme in adult teaching centres across the different states and territories.

The major objective of the programme is to respond to the settlement English language needs of adult immigrants coming to Australia from countries all over the world. In general, AMEP classes are characterised by groups of students from many different countries of origin, who will have widely divergent experiences of immigration, ranging from business migration to intake as refugees or as part of a family reunion

programme. Students will have a range of very different formal learning backgrounds and differing exposure to the English language, either through formal instruction or informally. Some classes cater for students who may have had little or no previous education or who may have very limited literacy skills even in first language. Others focus on preparing fast-learning students with well-developed learning skills for further education or employment. These various courses are generally taught over a 10–15, or, in fewer cases, a 20-week period. This means that teachers see particular groups of students for very limited periods of time and their timetables may change rapidly as they are scheduled to teach different kinds of classes. In this situation, teachers have to be able to address different learning needs and plan different courses very flexibly.

Over the fifty years of the AMEP's existence, its programmes have diversified at various times to include shipboard classes en route to Australia, on-arrival courses offered intensively at major teaching centres, short community-based programmes and evening classes, onsite and off-site workplace programmes, distance/correspondence education, self-access and independent learning provision and a 'home tutor' support scheme. Because of government policy changes, since 1992 the major focus of the programme has again been narrowed to the settlement language needs of immigrants within their first three years of arrival in Australia. Recent government policy in relation to adult education more generally has also placed great emphasis on vocational education and training and, at the same time, there has been a widespread move to competency- and outcomes-based curriculum development. As part of the AMEP response to these changes, since this time AMEP teachers have found themselves teaching beginner to intermediate level ESL learners within a nationally accredited competencybased curriculum framework, The Certificates in Spoken and Written English. In addition, because of the move towards vocational training, some AMEP teachers have been employed in other government-funded, labour market English language programmes aimed at enhancing literacy and numeracy skills in order to increase employment opportunities. For many teachers this has meant rapidly diversifying their teaching from the more 'traditional' adult ESL programmes for newly arrived immigrants to courses for mixed groupings of longer-term immigrant and native speaker learners. Student attendance in labour market programmes was linked to receiving unemployment benefits. Thus, teachers were frequently faced with learners whose attendance in class was involuntary, accompanied by negative responses to learning from previous education, and who may have experienced various social or personal problems associated with long-term unemployment in addition to their language learning needs.

Readers will find the impact of these educational changes on AMEP teachers reflected in several of the case studies in this book. For many readers employed in very different ESL/EFL contexts, some of the AMEP teachers' concerns may seem distant or unreasonable, even bizarre. The idea of second language teachers having to teach mathematics or literacy in mixed group classrooms may strike you as bewildering or unrealistic. The cultural and social ambience of the Australian classroom reflected in the nature of the student-teacher relationships may also be in contrast to your own experiences. However, for the Australian teachers featured here, these were precisely the kinds of new directions they were required to take as second language teachers. Nor, I would argue, is this requirement to adjust to new classes, new learners and new working conditions. so remote from the experiences of many second language teachers in other multicultural countries where, in the 1990s, similar rapid changes are occurring in government and educational policy, such as in Britain, the United States and Canada. What is illustrated by these examples is not so much the specific classroom subject or content areas to be taught, as these will always differ from teacher to teacher, but the processes and decisions that a second language teacher went through in addressing an action research issue of practical significance in his or her educational situation.

In the chapters that follow, then, action research is exemplified from studies in the Australian context, one that, perhaps more than many others, has been particularly conducive to large-scale collaborative action research in the field of language teaching (McDonough and McDonough 1997; Roberts 1998). Despite some of the very recent shifts in government policy surrounding immigration and adult ESL educational provision, and in contrast to almost all other countries. Australia has had a relatively long and stable history of well-funded national support for adult ESL programmes, resulting in a high level of teacher professional development and specialisation and a coherent large-scale programme of curriculum development and research (see, for example, Nunan 1988; Tudor 1996). At the same time, the increased awareness of and focus on action research arising from the experiences of the 1973-6 Ford Teaching Project in Britain found substantial expression in Australia at Deakin University, which became a major centre of activity from the late 1970s onwards for educational action researchers working from a critical perspective, such as Stephen Kemmis, Wilfrid Carr, Colin Henry and Robin McTaggart. This work undoubtedly provided fertile ground for transposing action research approaches into the adult ESL field so that it could be integrated into curriculum and professional development in a fairly large-scale and cohesive way. While some readers may say that, compared with their own situations, this is an indulgent, or even a provocative, position

Preface

from which to portray a picture of collaborative action research, it seems to me that the main point is that the AMEP experience has been a fortunate and productive one, which has provided a strong argument that second language teachers can and should have a major, and empowering, presence in research in their own field. It has also provided a critical framework for exploring teachers' responses to involvement in research, for analysing processes for supporting collaborative teacher research institutionally and for highlighting the value of integrating curriculum enquiry with the normal patterns of practitioners' work.

It is teachers' voices, then, drawn from the daily realities of their specific settings that are foregrounded in this book. Most of the teachers whose work is featured have been my colleagues over a number of years. Each chapter is prefaced by suggestions, reflections and evaluations made by some of them during the course of their research. With the teachers' permission, I have also drawn substantially on their work to provide practical illustrations which we hope will be helpful to other collaborative groups. In almost all instances, it was the teachers' choice that I should refer to them by their own first names to reflect the close collegiality that grew within our groups during the various phases of the research. The discussion tasks at the end of each chapter include many of those that we tried out at various points in the different collaborating groups.

Action research has achieved something of a 'flavour of the month' characteristic in recent discussions of teacher education. However, it makes demands of time and energy on teachers, who are not typically encouraged to do research. It can be confronting and unsettling in its requirement that we look at our practices critically. In my experience, it is made more feasible, professionally exhilarating and relevant when conducted with a collaborative and supportive group of colleagues. This book does not make any claims to offer definitive models or theories of action research, but it is hoped that by offering realistic accounts of the personal experiences of classroom teacher researchers and those who have worked closely with them, it will inspire other teachers, teacher educators and researchers to incorporate collaborative action research processes into their own professional activities as a systematic way of theorising and reflecting upon their classroom and organisational practices.