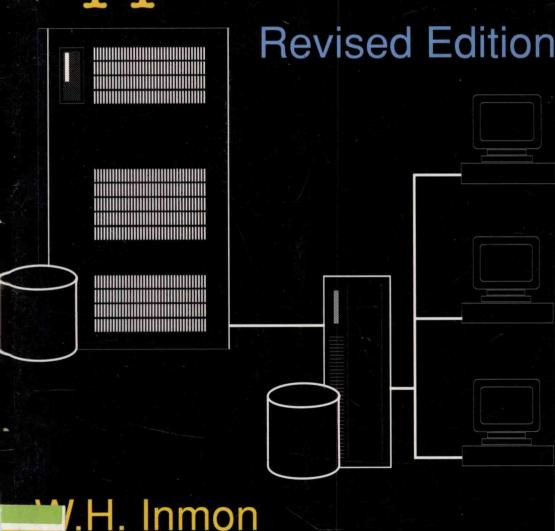
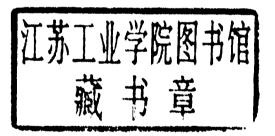
Developing Client/Server Applications



Developing Client/Server Applications

W.H. Inmon





A Wiley-QED Publication

John Wiley & Sons, Inc.

New York • Chichester • Brisbane • Toronto • Singapore

Designations used by companies to distinguish their products are often claimed as trademarks. In all instances where John Wiley & Sons, Inc. is aware of a claim, the product names appear in initial capital or all capital letters. Readers, however, should contact the appropriate companies for more complete information regarding trademarks and registration.

This text is printed on acid-free paper.

Copyright © 1993 by John Wiley & Sons, Inc.

All rights reserved.

This publication is designed to provide accurate and authoritative information in regard to the subject matter covered. It is sold with the understanding that the publisher is not engaged in rendering legal, accounting, or other professional services. If legal advice or other expert assistance is required, the services of a competent professional person should be sought. FROM A DECLARATION OF PRINCIPLES JOINTLY ADOPTED BY A COMMITTEE OF THE AMERICAN BAR ASSOCIATION AND A COMMITTEE OF PUBLISHERS.

Reproduction or translation of any part of this work beyond that permitted by section 107 or 108 of the 1976 United States Copyright Act without the permission of the copyright owner is unlawful. Requests for permission or further information should be addressed to the Permissions Department, John Wiley & Sons, Inc.

Library of Congress Cataloging-In-Publication Data

Inmon, William H.

Developing client/server applications / W.H. Inmon, Rev. ed.

p. cm.

Includes bibliographical references and index.

ISBN 0-471-56906-2

1. Client/server computing. I. Title.

QA76.9.C55155 1993

005.2-dc20

93-12201

CIP

Printed in the United States of America

10 9 8 7 6 5 4 3 2 1

Preface

A doctor can bury his mistakes, but an architect can only advise his clients to plant vines.

Frank Lloyd Wright

The client/server environment is seductive. Unlike the mainframe environment, the developer has complete control over the development and operational activities that occur in the machine in the client/server environment. Furthermore, the costs associated with hardware, software, and networks are so small (relative to other forms of processing) that a devil-may-care attitude pervades client/server processing. Because the developer has complete control over the processor and the costs of development and operations, it is easy to take a relaxed approach to the development process. The systems built for the client/server environment are often built in a lackadaisical, off-hand fashion. But such an attitude toward devel-

x PREFACE

opment is patently dangerous. The size and scale of the environment and the opportunity to control all aspects of operations belie the mess that can be made. Simply stated, unless guided by architectural principles, designers in an autonomous environment such as the client/server world will create chaos. The larger the environment grows, the more chaotic it gets. Just as development in the mainframe environment is structured under a set of guidelines and principles, so the client/server environment should be governed. At least the client/server environment ought to be governed by underlying principles.

This book is about those underlying foundations that shape the client/server environment—what they are, how they are implemented, and what happens if they are ignored. Together those principles form an architecture that applies generically across all client/server environments. This book is *not* about any specific client/server technology. Instead, the principles discussed and the resulting architecture that is described apply to *all* technologies that support client/server processing.

The intent of this book is to arm the reader with practical solutions. Very little in the way of theory is presented. Upon reading this book, the reader will be prepared to build very sound, very stable client/server applications. Some of the germane topics to be discussed include

- performance in the client/server environment,
- control of update/"ownership"—"stewardship" of client/ server data,
- the difference between operational and DSS processing in the client/server environment,
- application-by-application development versus integration,
- · client/server processing and the data warehouse,

- metadata across the client/server environment,
- "requirements-driven development" versus "datadriven development" in the client/server environment,
- DSS processing in the client/server environment, and much more.

This book is for developers, programmers, database designers, managers, database administrators, data administrators, and designers. Students of computer science should also find this book to be of interest.

The author would like to express thanks to the following people for their support throughout this project:

Sheryl Larsen, Platinum Technology
Mark Gordon, Knowledgeware
Cheryl Estep, Chevron
Gary Noble, EPNG
Bill Pomeroy, AGS Consulting
Patti Mann, AMS
Claudia Imhoff, Connect
John Zachman, independent consultant
Sue Osterfelt, Storagetek
Ed Young, Prism Solutions
Ed Berkowitz, attorney/systems analyst

In addition, thanks to Melba Novak for her back-office support.

WHI

Contents

Preface		ix
1.	Architecture in the Client/Server Environment	1
	Client/Server Processing—The Basics	3
	Costs	10
	Usage of the Application	11
	DSS/Operational Differences and Client/Server	
	Processing	15
	Autonomy vs. Integration	15
	A Matrix	16
	Organizational Dynamics	17
	Structure of Data	18
	Summary	19
2.	The Client/Server Environment—Some Issues	21
	Other Issues	22
	Outer Limits of Reasonability	26
	System of Record	26
	Current Value Data versus Archival Data	30
	Node Residency—A Major Issue	32

V

vi CONTENTS

	System Development Life Cycle Summary	40 43
3.	The System of Record and Operational DSS Processing	45
	The Operational System of Record for	
	Client/Server Processing	46
	System of Record—DSS Processing	54
	The DSS System of Record/Data Warehouse Summary	$\frac{62}{71}$
4.	Configurations	73
	A Critique	75
	An Example	76
	The "Pure" Server Environment and the Data	
	Warehouse	81
	Summary	84
5.	Performance in the Client/Server Environment	85
	Performance Problem Manifestation	87
	Other Performance Practices	103
	Summary	104
6.	Metadata and the Client/Server Environment	105
	Central Repository	109
	Summary	113
7.	A Client/Server Development Methodology	115
	A Philosophical Observation	117
	Two Methodologies	118
	Operational Client/Server System	120
	M1—Initial Project Activities	120
	M2—Sizing, Phasing	121
	M3—Requirements Formalization	121
	PREQ1—Technical Environment Definition	122
	D1—ERD (Entity Relationship Diagram) D2—DIS (Data Item Sets)	123 123
	D3—Performance Analysis	123 123
	D4—Physical Database Design	124

	CONTENTS	vii
	P1—Functional Decomposition	124
	P2—Context Level 0	125
	P3—Context Level 1–n	125
	P4—Data-Flow Diagram (DFD)	125
	P5—Algorithmic Specification; Performance	
	Analysis	125
	P6—Pseudocode	126
	P7—Coding	126
	P8—Walk-through	127
	P9—Compilation	127
	P10—Testing	127
	P11—Implementation	128
	JA1—Data Store Definition	128
	GA1—High-Level Review	129
	GA2—Design Review	129
	DSS System of Record Development	129
	DSS1—Data Model Analysis	130
	DSS2—Breadbox Analysis	132
	DSS3—Technical Assessment	132
	DSS4—Technical Environment Preparation	133
	DSS5—Subject Area Analysis	133
	DSS6—DSS System of Record Design	134
	DSS7—Source System Analysis DSS8—Specifications	134 135
		136
	DSS9—Programming	136
	DSS10—Population DEPT1—Repeat Standard Development	138
	IND1—Determine Data Needed	139
	IND2—Program to Extract Data	139
	IND3—Combine, Merge, Analyze	140
	IND4—Analyze Data	140
	IND5—Answer Question	140
	IND6—Institutionalization	140
	Summary	141
	Summary	171
8.	Database Design Issues in the Client/Server	
	Environment	143
	Managing Primitive and Derived Data	144
	Relationships in the Client/Server	20-
	Environment	145

viii CONTENTS

	Indexing Data Partitioning Encoding/Decoding Data Variable Length Data Embedded Key Information	152 157 158 158 161
	Recursion Micro/Macro Vision of the System Summary	161 162 163
9.	Program Design in the Client/Server	
	Environment	165
	Program Preparation by Environment Understand the Cells Respect for Node Residency Node Sensitivity/Insensitivity Performance Standardization Summary	166 168 169 170 170 171 172
10.	Administration of the Client/Server	
15 (200)	Environment	175
	Network Administration Corporate Metadata, Common Code Administration Summary	176 176 180
App	pendix. Client/Server, Mainframe Processing	183
Glossary		185
References		207
Index		211

Architecture in the Client/Server Environment

Always design a thing by considering it in its next larger context—a chair in a room, a room in a house, a house in an environment, an environment in a city plan.

Eero Saarinen

A working man's definition of architecture is not having to tear up the street when the power lines are being laid. Architected properly, the power lines are laid first, then the street is built. Preparing for all major requirements, then building the structure in a sequence so that the parts fit together in a nondisruptive fashion is a good working definition of architecture.

Architecture in the client/server world is best understood in terms of a negative example, when things are not built in a nondisruptive fashion. Consider the following sequence of events, which are entirely possible in the client/server environment:

- 1. A small program is built to service an auto parts "onboard" database on one node of the client/server environment.
- 2. The database is redesigned to include foreign auto parts as well as domestic auto parts.
- Code is reconstructed to allow update to the database. as well as loading/access of the database.
- 4. Data is added to show flow of parts—transactions—as well as parts on board.
- 5. Code is changed and data is altered once again to allow requests to come from a client through the server.
- 6. In order to determine who can change data, code is added and data is changed to implement an "update control" feature, and so on.

After a few iterations of change to code and data, the system turns into a mess. By not anticipating requirements—by taking the stance that the system was simple and adding complexities incrementally—the developer has produced a maintenance and operational nightmare. Just because client/server systems are smaller than their mainframe cousins does not mean that they are any easier to build or maintain. In some regards client/server systems are more complex than their mainframe cousins. In the mainframe environment, for all its costs and complexities, there are certain basic services done automatically that have to be done individually in the client/server environment. For these reasons then, it is very important to take an architected approach to client/server processing and development. What then, are the shaping factors of a client/server architecture?

- technology
 - the processors in the network
 - · data in the network
 - · the network itself
 - · programs—systems and applications
- 2. costs
- 3. usage
 - · DSS processing and operational processing
- 4. autonomy vs. integration.
- 5. organizational dynamics
- 6. structure of data

CLIENT/SERVER PROCESSING—THE BASICS

In its simplest form, a client/server architecture can be depicted as shown in Figure 1.1.

Figure 1.1 shows that there is a network connecting two pc's or workstations (called "nodes" in the network). Other pc's or workstations (i.e., nodes) most certainly will be connected by means of the network, although they are not shown in the simple picture depicted by Figure 1.1. The two pc's in the figure have a special relationship. Pc-B holds and manages data that can be accessed by Pc-A

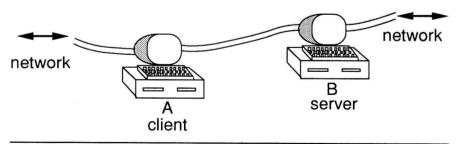


Figure 1.1 A simple perspective of the client/server environment.

4 DEVELOPING CLIENT/SERVER APPLICATIONS

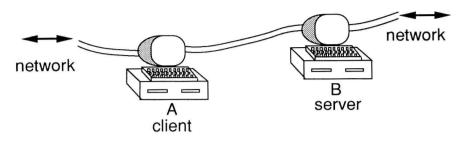


Figure 1.2 The different nodes of the network can be comprised of very different processors, such as pc's and workstations.

through the control of Pc-B. Pc-A is said to be the "client" and Pc-B is said to be the "server." In other words, Pc-B serves the needs of Pc-A. This simple configuration belies the complexity of the applications that are built in a client/server architecture. From a technical standpoint alone, there are many variations of the simple architecture shown in Figure 1.1. For example, Figure 1.2 shows a pc and a larger workstation linked together.

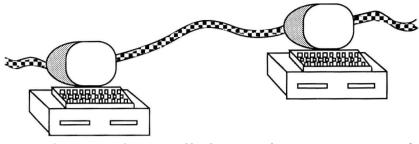
But disparity of processing size among nodes is not the only variable; another important variable is the network itself. Figure 1.3 shows an intermittent linkage between two nodes in the network.

A network may also be connected to another network, as shown by Figure 1.4.

The nodes of a network are organized into "rings." The ring consists of nodes that share the same network. Figure 1.5 shows a ring.

The speed of movement of data within a ring (under normal circumstances) is measured in terms of milliseconds.

Rings are connected to other rings by means of bridges. A bridge is nothing more than a device that controls the traffic from one ring to another. Figure 1.6 shows a bridge.



an intermittent linkage between nodes

Figure 1.3 Not only can the technologies found at the nodes vary, but the technology itself may be based on a variety of technologies as well.

There are, then, *many* possibilities in the arrangement of nodes and networks in the client/server environment. But the major issue of client/server architecture does not center around the physical configuration of the nodes and the network. Instead, the major issues of client/server architecture center around the processing that occurs within the architecture and the data stored in and flowing

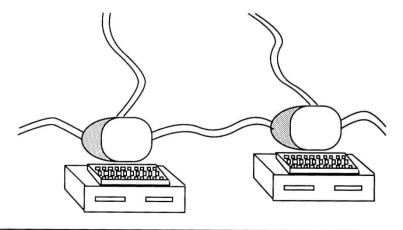


Figure 1.4 Multiply connected nodes to different networks.

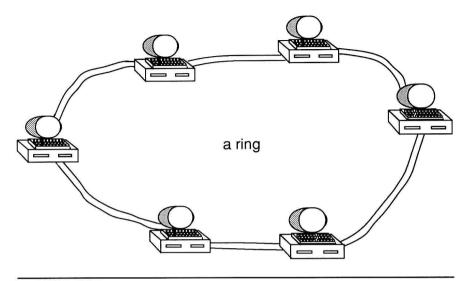


Figure 1.5 A "ring."

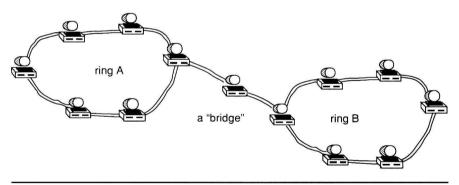


Figure 1.6 A bridge between rings A and B.

through the network itself. Focusing on the technology making up the client/server environment is an interesting thing to do. Certainly the developer/designer needs to be thoroughly grounded in the technology that the client/ server environment will run on. But the proper focus of

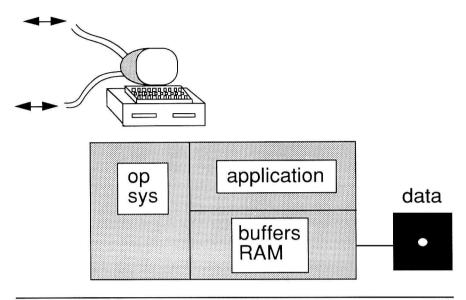


Figure 1.7 The components of each node in the network.

the developer should be not on technology, but on the application(s) being built and the larger structure of processing and data.

A simple arrangement of the important software components found within each node of the network is shown by Figure 1.7. Figure 1.7 shows that each node has an operating system, application programs, internal data, and external data. The external data may be on a wide variety of media, such as tape, floppy disk, etc. (The symbol for floppy disk will be used to represent *all* external media for data storage.) Each node is connected with other nodes by means of the network. Internodal communication is achieved by means of a layered protocol of packets of data. Some nodes have more powerful software components of one or more of these aspects than other nodes. But all nodes share these common characteristics.

One of the interesting questions is how does the client/