

Anne Canteaut  
Kapaleeswaran Viswanathan (Eds.)

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# Progress in Cryptology – INDOCRYPT 2004

5th International Conference on Cryptology in India  
Chennai, India, December 2004  
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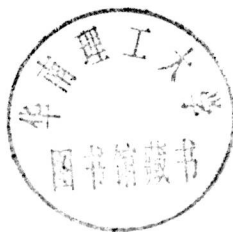
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Anne Canteaut

Institut National de Recherche en Informatique et Automatique (INRIA)

Projet CODES, Domaine de Voluceau, Rocquencourt

78153 Le Chesnay Cedex, France

E-mail: anne.canteaut@inria.fr

Kapaleeswaran Viswanathan

SETS, 21 Mangadu Swamy Street, Nungambakkam

Chennai 600 034, India

E-mail: kapali@sets.org.in

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# Preface

The INDOCRYPT series of conferences started in 2000. INDOCRYPT 2004 was the fifth one in this series. The popularity of this series is increasing every year. The number of papers submitted to INDOCRYPT 2004 was 181, out of which 147 papers conformed to the specifications in the call for papers and, therefore, were accepted to the review process. Those 147 submissions were spread over 22 countries.

Only 30 papers were accepted to this proceedings. We should note that many of the papers that were not accepted were of good quality but only the top 30 papers were accepted. Each submission received at least three independent reviews. The selection process also included a Web-based discussion phase. We made efforts to compare the submissions with other ongoing conferences around the world in order to ensure detection of double-submissions, which were not allowed by the call for papers. We wish to acknowledge the use of the Web-based review software developed by Bart Preneel, Wim Moreau, and Joris Claessens in conducting the review process electronically. The software greatly facilitated the Program Committee in completing the review process on time. We would like to thank Cédric Lauradoux and the team at INRIA for their total support in configuring and managing the Web-based submission and review softwares. We are unable to imagine the outcome of the review process without their participation.

This year the invited talks were presented by Prof. Colin Boyd and Prof. Amit Sahai. Colin provided a talk on the design of key establishment protocols while Amit presented a talk on secure protocols for complex tasks in complex environments. They presented two sides of the same coin so that the audience can gain a more comprehensive view of the analysis and design of cryptographic protocols. We hope that the invited talks contributed their share to promoting such an exciting area in cryptology research in India. At the same time, the invited talks were of great value for international researchers, as well, because Colin and Amit shared the latest results of their research activities.

The smooth and successful progress of INDOCRYPT 2004 was due to the efforts of many individuals. The members of the Program Committee worked hard throughout, and did an excellent job. Many external reviewers contributed their time and expertise to aid our decision-making. The Organizing Committee put its maximal effort into ensuring the successful progress of this conference. We wish to thank Prof. R. Balasubramaniam and Dr. M.S. Vijayaraghavan for being the general co-chairs of this conference. We also thank the Cryptology Research Society of India and ISI, Calcutta.

We hope that the INDOCRYPT series of conferences remains a forum for discussing high-quality results in the area of cryptology and its applications to information security in the years to come.

December 2004

Anne Canteaut  
Kapaleeswaran Viswanathan

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# Design of Secure Key Establishment Protocols: Successes, Failures and Prospects

Colin Boyd\*

Information Security Research Centre,  
Queensland University of Technology,  
Brisbane Q4001, Australia  
boyd@isrc.qut.edu.au

**Abstract.** Key establishment protocols form one of the most basic types of cryptographic protocols and have been studied intensively for over 20 years. The current status of design and analysis methods is reviewed with particular reference to formal approaches. Likely future trends and open issues are also discussed.

## 1 Introduction

Key establishment is a foundational element for secure communications. It concerns how to set up a new key (a *session key*) to protect communications during a subsequent session. In terms of modern cryptography it is a venerable problem that has been widely studied from almost every conceivable angle. One may ask how hard it can be to consider all ways of setting up a session key. Yet the evidence is that this study has not yet been exhaustive. One reason for this is that new requirements have become evident over time that were not previously recognised. Another reason is that there is no well-defined method to explore the space of possible secure protocols. Even until today most systematic or formal techniques allow only protocol analysis and not design of protocols to meet specific requirements. The purposes of this paper are:

- to explore current techniques to ensure the security of key establishment protocols, particularly those with some formal basis;
- to consider to what extent these methods can be used to systematically design new protocols;
- to summarise (and speculate on) prospects for the future of these methods.

In the rest of this introduction some background information is provided on protocol types and potential security requirements. Section 2 looks at informal design principles for key establishment. Sections 3 and 4 are devoted to the two main formal approaches to protocol analysis: the formal methods approach which

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comes from the computer security research community, and the computational approach which comes from the cryptography research community. Section 5 discusses current trends and prospects for combining the benefits of both these approaches.

### 1.1 Key Agreement and Key Transport

A common way of classifying key establishment is to consider protocols which provide either *key agreement* or *key transport*. Key agreement protocols require input to the session key from both parties in a two-party protocol, or more generally from more than one party in a multi-party protocol. In a key transport protocol one party (often a trusted third party) chooses the key and forwards it to the other parties.

It is often stated that key agreement is preferable to key transport. Reasons given are that key agreement is ‘fairer’ since no party is able to fix the key value. However, this property does not correspond to any standard security property and most models do not in any case take account of malicious insiders. Since any party is free to give away the session key at will, what may be the benefit of making the key some fixed value? In addition, it is often suggested that using pseudo-random input from more than one party serves to increase the randomness of the final key. This may or may not be useful depending on how the values are combined. In particular, suppose that two parties  $A$  and  $B$  provide values  $g^x$  and  $g^y$  in the classic Diffie-Hellman key agreement protocol. If the random number generator of  $A$  is very weak then it may be easy for an adversary to obtain  $x$  and hence the shared key  $g^{xy}$ , no matter how strong is the random number generator of  $B$ <sup>1</sup>.

### 1.2 Adding Requirements

One reason that key establishment continues to be a challenging problem is the addition of new properties that are desired in certain situations. These include ways of strengthening the security properties such as the following.

**Forward Secrecy** is the property that compromise of long-term keys should not compromise session keys that were previously accepted. Forward secrecy is increasingly regarded as a very desirable property. It seems to be achievable only through the use of ephemeral public keys, such as in Diffie-Hellman key exchange. (Although it is not widely recognised, ephemeral keys from any public key encryption scheme can be used to provide forward secrecy, including RSA as noted by Wiener [Wie98].)

**Resistance to Key Compromise Impersonation** is a less widely discussed property that is related to forward secrecy in that it concerns what may happen after long-term keys are compromised. It demands that the adversary who has obtained the long-term key of entity  $A$  is unable to masquerade as other principals to  $A$ .

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<sup>1</sup> This observation was made to me by Carsten Rudolph.



**Anonymity of Principals** was often neglected in the past, but with the prevalence of communications on public (including wireless) networks it is more widely recognised as an issue. For example, the Internet Key Exchange (IKE) protocol [HC98] explicitly addresses this requirement, although its provision is not so robust as may have been initially expected [PK00].

**Resistance to Denial of Service** is a pressing practical need for protocols, particularly those run on open networks. This is another property that was considered in the design of IKE, although there has been much controversy over the resulting solution [PK00].

As well as the above extra security features that can be relevant to any security architecture, some protocols have extra fundamental assumptions about the way that the network is set up and the security infrastructure in place.

**Group Key Establishment** protocols have become very popular in the recent literature in line with the increase in collaborative communications applications. There are many possible types of architecture. One of the most challenging is the ad-hoc network where the security infrastructure may be minimal.

**Low-Power Principals** are as prevalent as ever, due to the inexorable miniaturisation of devices. The most common example has been the mobile telephone, and there are many protocols designed specifically for its use. New lightweight technologies, such as RFID tags, open up new challenges.

**Password-Based Protocols** were first introduced around 15 years ago. These protocols assume that shared keys have only a small amount of entropy, and must therefore be robust against off-line guessing attacks in which the adversary attempts to eliminate potential passwords using public information. Recently such protocols have attracted extensive interest, and standards in both IEEE [IEEE04] and ISO are in preparation.

**Identity-Based Protocols** have been around for about 20 years but recent techniques based on elliptic curve pairings have resulted in an explosion of interest in this area. These protocols allow users to establish keys without the use of an on-line server or a public key infrastructure. There is likely to be continuing interest in this area and to date few key establishment protocols using the new techniques come with a proof of security.

Notice that most combinations of the above requirements or scenarios are possible, although some are in conflict with others. For example, protocols providing forward secrecy are typically more computationally expensive than those that do not. Therefore protocols designed for low-power principals often sacrifice forward secrecy for benefits in efficiency.

## 2 Design Principles

In 1994 Abadi and Needham gathered together the experience of many years and produced a set of 11 rules of thumb to be used as principles for designers of cryptographic protocols [AN94]. The following year Anderson and Needham