

Christian Kop Günther Fliedl
Heinrich C. Mayr Elisabeth Métais (Eds.)

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Preface

Information systems and natural language processing are fundamental fields of research and development in informatics. The combination of both is an exciting and future-oriented field which has been addressed by the NLDB conference series since 1995. There are still many open research questions but also an increasing number of interesting solutions and approaches.

NLDB 2006 with its high-quality contributions tersely reflected the current discussion and research: natural language and/or ontology-based information retrieval, question-answering methods, dialog processing, query processing as well as ontology- and concept creation from natural language. Some papers presented the newest methods for parsing, entity recognition and language identification which are important for many of the topics mentioned before. In particular, 53 papers were submitted by authors from 14 nations. From these contributions, the Program Committee, based on 3 peer reviews for each paper, selected 17 full and 5 short papers, thus coming up with an overall acceptance rate of 32% (41% including short papers).

Many persons contributed to making NLDB 2006 a success. First we thank all authors for their valuable contributions. Secondly, we thank all members of the Program Committee for their detailed reviews and discussion. Furthermore we thank the following people for their substantial organizational collaboration: Kerstin Jörgl, who did a lot of work to compose these proceedings, our Conference Secretary, Christine Seger, Stefan Ellersdorfer for his technical support, and Jürgen Vöhringer and Christian Winkler, who provided additional last-minute reviews.

This year, NLDB was a part of a multi-conference event on Information Systems: UNISCON — United Information Systems Conference. Thus, participants could get into scientific contact with experts from more technical (ISTA 2006) or more business-oriented (BIS 2006) fields. In either case, they profited from UNISCON's organizational environment. We, therefore, express our thanks also to the UNISCON organization team: Markus Adam, Jörg Kerschbaumer and all the students who supported the participants during the NLDB 2006 conference.

March 2006

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An Automated Multi-component Approach to Extracting Entity Relationships from Database Requirement Specification Documents

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Abstract. This paper describes a natural language system that extracts entity relationship diagram components from natural language database design documents. The system is a fully integrated composite of existing, publicly available components including a parser, WordNet and Google web corpus search facilities, and a novel rule-based tuple-extraction process. The system differs from previous approaches in being fully automatic (as opposed to approaches requiring human disambiguation or other interaction) and in providing a higher level of performance than previously reported results.

1 Introduction

In the database design process, designers first elicit natural language requirements specifications from users, then transform the requirements into a formal representation schema. The Entity Relationship Diagram (ERD) model is one of the most popular of these formal representation schemata [1]. It is a graphic way of displaying entity, relationship, and attribute types, which incorporates some of the important semantic information about the real world situation, and it is considered to be a very natural and easy-to-understand way of conceptualizing the structure of a database.

The process of translating natural language Database Requirement Specification (DRS) documents into ERDs is, however, time-consuming, error-prone and costly, and there have been a series of attempts to automate this process, which is relevant both to research in sublanguage processing (since the language of database requirement specifications is more limited than general natural language both in structure and content) and to the general problem of extraction of formal representations from natural language. Chen [2] first explored the correspondence between English sentence structure and that of entity relationship diagrams and proposed eleven rules for translation. These rules were neither complete nor fully accurate and a number of studies have tried to improve on this approach [3-9]. Some of these approaches, e.g., [3] emphasized a dialogue tool that helped elicit the natural language description itself, while at the same time avoiding some of the disambiguation difficulties of the natural language translation process.

Most approaches to this problem have involved extensions of Chen's original approach [2], involving the application of heuristic translation rules to the outputs of a

syntactic parser. Most recently Omar *et al.* [4] used such an approach augmented with a confidence level weighting mechanism to achieve a reported performance of 95% recall and 82% precision in terms of entity recognition. They did not however describe the results in terms of the correctness of attachments of entities and relations.

Automated extraction of entity relationship diagrams from natural language DRS is hard due to the lexical, syntactic and semantic ambiguity of natural language. To avoid the need for human input to resolve such ambiguity, it is necessary to go beyond the simple models incorporating syntactic parsing and simple translation rules, to utilize the semantic information required for such disambiguation. For some natural language applications this can require extensive and explicit knowledge bases that are expensive and time consuming to construct and often do not fully cover the necessary knowledge.

This paper describes an integrated multi-component framework for this problem that brings the necessary semantic knowledge to bear using publicly available, relatively weakly structured, but very large scale resources. It is suggested that this architecture, or ones similar to it, will be applicable to a wide set of similar special purpose natural language to formalism translation problems, and perhaps to a wider set of limited scope natural language problems as well.

2 A Model

Most of the previous research on applying natural language processing to database concept modeling employed a model consisting of the application of heuristic translation rules to the outputs of a syntactic parser. This model proved incapable of adequately dealing with issues such as distinguishing entities from attributes, recognizing conjunctive relations and subtle semantic disambiguation. This section describes an enhanced general model incorporating two knowledge sources capable of providing elements of semantic processing. Each of the components can be realized by different choices according to specific domain and application requirements. The specific components utilized in this system, and the advantages of the approaches taken with some of the components will be described in the following section on implementation.

2.1 A Syntactic Parser

This model follows previous research on ERD extraction, as well as most natural language processing work in general, in having an independent syntactic parser, as opposed to incorporating semantic and syntactic considerations in a single formalism. Some criteria for the choice of syntactic parser are obvious, such as accuracy and lexical coverage. Others are perhaps less so. It is desirable to have an extensible lexicon, so that DRS documents written for particular specialized domains can be covered. The parser should be robust in handling incomplete or incorrect structures, and it should allow for user extensions to cover additional domain specific structures. Finally, the output structures should facilitate the types of processing required by the following components of the system.

2.2 Heuristic Translation Rules

As in previous work, translation rules are employed to extract the ERD components from the outputs of the syntactic parser. In this system, the forms of the translation

rules are unusually tightly coupled to the format of the outputs of the syntactic parser. Also, because of the use of semantic filters, the translation rules can be allowed to overgenerate to a certain degree, reducing potential problems of undergeneration. Because of the inherent ambiguity of natural language, it is possible to extract multiple ERDs from a given natural description. What is desired is that interpretations that violate semantic constraints are not retained.

2.3 A Lexical Filter

One form of semantic filtering involves a lexical filter which can help in issues such as distinguishing entities from attributes and relations. Although most modern parsers are lexicalized, it can be useful to employ a separate, post-parsing, lexical filter to deal with semantic disambiguation issues concerned with the semantic interpretations of parsing outputs, as opposed to lexical issues concerned with deriving the correct syntactic interpretations. A post-parsing lexical component can be in the form of a dictionary, lexicon, thesaurus, or general ontology. It can also incorporate domain specific modules. Using a separate post-parsing lexical component allows a system designer to employ a wider and less constrained set of approaches to lexical disambiguation and filtering.

2.4 A Semantic Filter

Some classical ambiguity problems such as prepositional phrase attachment and conjunctive structure interpretation require an analysis of the appropriateness or likeliness of relationships among terms. Some sort of semantic analysis of complex, multi-term structures is necessary to handle these issues. The choices here range from very detailed knowledge-based approaches, which are notoriously difficult to provide for large open-ended domains, to shallow quasi-statistical approaches based on the empirical evidence found in large corpora.

3 An Implementation

In this general approach, one could utilize a number of options for each component. In addition to the specific reasons for the choices made which are discussed below, there were several general considerations that guided the choices. The components utilized (with the exception of the translation rules) are powerful off-the-shelf components that lend themselves to integration in a straightforward environment. We utilized the Link Parser and WordNet, which are open source C language resources that can be readily compiled as dynamic libraries, and Google APIs, which are used to access the Google web corpus via SOAP and WSDL standards. All of them were integrated in the .Net environment.

3.1 Link Parser

The parsing component utilizes Link Parser (LP), which is based on Link Grammar, an original theory of English syntax [10]. The parser has a lexicon of about 60,000 word forms. It covers a wide variety of syntactic constructions, including many rare and

idiomatic ones. It also can be easily extended [11]. The parser is robust [12]; it is able to skip over portions of the sentence that it cannot understand, and assign some structure to the rest of the sentence. It is able to handle unknown vocabulary, and make intelligent guesses from context and spelling about the syntactic categories of unknown words. It has knowledge of capitalization, numerical expressions, and a variety of punctuation symbols. Link Grammar and LP have been applied to several sublanguages to extract useful information [13-15]. LP is similar to the Constituent Object Parser [16] and Link Grammar is similar to ideas of [17] upon which [16] was loosely based.

The basic idea of Link Grammar (and these related approaches) is to transfer some of the formal complexity of context-free grammar rules to the data structures representing words. Words are structures with left- and right-pointing connectors. Left- and right-pointing connectors can be joined to form a link, and words have rules that determine how the links can be formed. A sentence is accepted as valid when a complete linkage is formed that includes all the words of the sentence. LP can return more than one complete linkage for a single sentence, reflecting the fact that sentences do have valid alternative syntactic interpretations. LP uses probabilistic information on the creation of links to estimate the likelihood of the correctness of individual interpretations of a given sentence, and its performance in that regard is good. Informally, it appeared that the most likely syntactic interpretation of sentences was almost always among the top three or four interpretations returned. The use of the semantic filters in this architecture made this kind of performance adequate for this application.

3.2 Heuristic Translation Rules

The translation rules extract entity-relation connections which are represented as tuples, e.g. *<has company employees>*. For an n -ary relationship, there are total $n+1$ objects in a tuple. The first one is the relationship; the following are the entities connected by the relationship. Each of the objects also has some properties. The LP was chosen over similarly powerful parsers because the links correspond relatively directly to the components of entity relation diagrams, as illustrated in Fig. 1. for the sentence “*A company has 3,000 employees*”.

In Fig. 1., the “Ss”(subject) and “Op”(object) links in Link Grammar correspond to the two entity-to-relation links in ERD. The “Dsu” and “Dmcn” links provide cardinality information for the relation between the two entities. This basic pattern can be extracted even from a more elaborate and complex version of this sentence, and, in general, such ERD patterns can be extracted from a wide variety of complex sentence forms. The LP employs 107 link types that describe relationships between words, but many of these are not relevant to the style of language used in DRS or are not relevant to the ERD extraction process. The extraction/translation module consists of 50 rules, each of which applies to a single link type. The rules are not strictly declarative, but rather include procedural actions and calls, even to outside modules such as the WordNet component.

The heuristic translation rules we used are link-based, which are more flexible and semantic-rich, compared with syntactic-driven, POS-based approaches in previous research [2, 4, 7]. For each heuristic rule, it is verb-centered. A frame is built for each verb. Then various rules are applied to fill out the values of the slots of the frame,